

# Particle-Physics Constraints from Stars





Max-Planck-Institut für Physik (Werner-Heisenberg-Institut) SFB 1258 Neutrinos Dark Matte Messenge



## Georg G. Raffelt, Max-Planck-Institut für Physik, München

# **Particle-Physics Constraints from Stars**

Low-mass particles (neutrinos, axions and friends, hidden photons, low-mass carriers of new forces, ...) can be probed by stars.

- Particles from the Sun and their detection
- Impact of new energy-loss channels on low-mass stars
- Supernova 1987A
- Neutron-star cooling
- Axion conversion in pulsar magnetospheres
- Superradiance of ultra-light bosons from black holes

In this lecture focus on the astrophysics of these arguments (often not so clear to particle physicists) and not so much on the latest results for all types of particles



http://earthspacecircle.blogspot.com/2013/07/stellar-evolution.html









Core-collapse supernova

# **Particles from the Sun**



2002 Solar Neutrinos (R.Davis, M.Koshiba) 2015 Solar Nu Oscillations (A.McDonald)





# Search for solar axions with CAST and future IAXO



Excess events in XENON1T DM search. Solar axions? arXiv:2006.09721

## **Bethe's Classic Paper on Nuclear Reactions in Stars**

MARCH 1, 1939

PHYSICAL REVIEW

VOLUME 55

#### **Energy Production in Stars\***

H. A. BETHE Cornell University, Ithaca, New York (Received September 7, 1938)

It is shown that the most important source of energy in ordinary stars is the reactions of carbon and nitrogen with protons. These reactions form a cycle in which the original nucleus is reproduced, viz.  $C^{12}+H=N^{14}$ ,  $N^{12}=C^{13}+\epsilon^{+}$ ,  $C^{13}+H=N^{14}$ ,  $N^{14}+H=O^{15}$ ,  $O^{16}=N^{15}+\epsilon^{+}$ ,  $N^{15}+H=C^{12}$  $+H\epsilon^{4}$ . Thus carbon and nitrogen merely serve as catalysts for the combination of four protons (and two electrons) into an  $\alpha$ -particle (§7).

The carbon-nitrogen reactions are unique in their cyclical character (§8). For all nuclei lighter than carbon, reaction with protons will lead to the emission of an *a*-particle so that the original nucleus is permanently destroyed. For all nuclei heavier than fluorine, only radiative capture of the protons occurs, also destroying the original nucleus. Oxygen and fluorine reactions mostly lead back to nitrogen. Besides, these heavier nuclei react much more slowly than C and N and are therefore unimportant for the energy production.

The agreement of the carbon-nitrogen reactions with observational data (§7, 9) is excellent. In order to give the correct energy evolution in the sun, the central temperature of the sun would have to be 18.5 million degrees while

#### §1. INTRODUCTION

THE progress of nuclear physics in the last few years makes it possible to decide rather definitely which processes can and which cannot occur in the interior of stars. Such decisions will be attempted in the present paper, the discussion being restricted primarily to main sequence stars. The results will be at variance with some current hypotheses.

The first main result is that, under present conditions, no elements heavier than helium can be built up to any appreciable extent. Therefore we must assume that the heavier elements were built up *before* the stars reached their present state of temperature and density. No attempt will be made at speculations about this previous state of stellar matter.

The energy production of stars is then due entirely to the combination of four protons and two electrons into an  $\alpha$ -particle. This simplifies the discussion of stellar evolution inasmuch as

\* Awarded an A. Cressy Morrison Prize in 1938, by the New York Academy of Sciences.

integration of the Eddington equations gives 19. For the brilliant star Y Cygni the corresponding figures are 30 and 32. This good agreement holds for all bright stars of the main sequence, but, of course, not for giants.

For fainter stars, with lower central temperatures, the reaction  $H+H=D+\epsilon^{+}$  and the reactions following it, are believed to be mainly responsible for the energy production. (§10)

It is shown further (§5-6) that no elements heavier than He<sup>4</sup> can be built up in ordinary stars. This is due to the fact, mentioned above, that all elements up to boron are disintegrated by proton bombardment (a-emission!) rather than built up (by radiative capture). The instability of Be<sup>8</sup> reduces the formation of heavier elements still further. The production of neutrons in stars is likewise negligible. The heavier elements found in stars must therefore have existed already when the star was formed.

Finally, the suggested mechanism of energy production is used to draw conclusions about astrophysical problems, such as the mass-luminosity relation (§10), the stability against temperature changes (§11), and stellar evolution (§12).

### the amount of heavy matter, and therefore the opacity, does not change with time.

The combination of four protons and two electrons can occur essentially only in two ways. The first mechanism starts with the combination of two protons to form a deuteron with positron emission, *viz*.

 $\mathbf{H} + \mathbf{H} = \mathbf{D} + \boldsymbol{\epsilon}^+.$ 

(1)

The deuteron is then transformed into He<sup>4</sup> by further capture of protons; these captures occur very rapidly compared with process (1). The second mechanism uses carbon and nitrogen as catalysts, according to the chain reaction

 $\begin{array}{ll} C^{12}\!+\!H\!=\!N^{13}\!+\!\gamma, & N^{13}\!=\!C^{13}\!+\!\epsilon^+ \\ C^{13}\!+\!H\!=\!N^{14}\!+\!\gamma, & \\ N^{14}\!+\!H\!=\!O^{15}\!+\!\gamma, & O^{15}\!=\!N^{15}\!+\!\epsilon^+ \\ N^{15}\!+\!H\!=\!C^{12}\!+\!He^4. \end{array} \tag{2}$ 

<sup>d</sup> The catalyst C<sup>12</sup> is reproduced in all cases except about one in 10,000, therefore the abundance of carbon and nitrogen remains practically unchanged (in comparison with the change of the number of protons). The two reactions (1) and 434

### No neutrinos from nuclear reactions in 1938 ...

The combination of four protons and two electrons can occur essentially or ly in two ways. The first mechanism starts with the combination of two protons to form a deuteron with positron emission, *viz*.

$$H+H=D+\epsilon^+.$$
 (1)

The deuteron is then transformed into  $He^4$  by further capture of protons; these captures occur very rapidly compared with process (1). The second mechanism uses carbon and nitrogen as catalysts, according to the chain reaction

$$C^{12} + H = N^{13} + \gamma, \qquad N^{13} = C^{13} + \epsilon^{+}$$

$$C^{13} + H = N^{14} + \gamma, \qquad O^{15} = N^{15} + \epsilon^{+}$$

$$N^{14} + H = O^{15} + \gamma, \qquad O^{15} = N^{15} + \epsilon^{+}$$

$$N^{15} + H = C^{12} + He^{4}.$$
(2)

# **Predicting Neutrinos from Stars**

#### The Possible Role of Neutrinos in Stellar Evolution

It can be considered at present as definitely established that the energy production in stars is caused by various types of thermonuclear reactions taking place in their interior. Since these reaction chains usually contain the processes of  $\beta$ -disintegration accompanied by the emission of high speed neutrinos, and since the neutrinos can pass almost without difficulty through the body of the star, we must assume that a certain part of the total energy produced escapes into interstellar space without being noticed as the actual thermal radiation of the star. Thus, for example, in the case of the carbon-nitrogen cycle in the sun, about 7 percent of the energy produced is lost in the form of neutrino radiation. However, since, in such reaction chains, the energy taken away by neutrinos represents a definite fraction of the total energy liberation, these losses are of but secondary importance for the problem of stellar equilibrium and evolution.

More detailed calculations on this collapse process are now in progress.

G. GAMOW

The George Washington University, Washington, D. C.,

M. SCHOENBERG\*

University of São Paulo, São Paulo, Brazil, November 23, 1940.

\*Fellow of the Guggenheim Memorial Foundation. Now in Washington, D. C.

Phys. Rev. 58:1117 (1940)



# **Neutrinos from the Sun**







Solar radiation: 98 % light (photons) 2 % neutrinos At Earth 66 billion neutrinos/cm<sup>2</sup> sec

Hans Bethe (1906–2005, Nobel prize 1967) Thermonuclear reaction chains (1938)

# Hydrogen Burning



# **Solar Neutrinos from Nuclear Reactions**



# Solar Neutrino Spectroscopy with Borexino





Borexino Collaboration: *Comprehensive measurement of pp-chain solar neutrinos* Nature 562 (2018) 505

## **Thermal Neutrinos: Production Processes**



Figure 1. Processes for thermal neutrino pair production in the Sun.

### Vitagliano, Redondo & Raffelt, arXiv:1708.02248

# Solar neutrino flux at keV energies

- Thermally produced neutrinos and antineutrinos dominate at keV energies
- Future detection opportunities?



Vitagliano, Raffelt & Redondo, JCAP 1712 (2017) 010 [arXiv:1708.02248]

# Grand Unified Neutrino Spectrum (GUNS) at Earth





# Virial Theorem – Dark Matter in Galaxy Clusters



A gravitationally bound system of many particles obeys the virial theorem

$$2\langle E_{\rm kin} \rangle = -\langle E_{\rm grav} \rangle$$
$$2\left\langle \frac{mv^2}{2} \right\rangle = \left\langle \frac{G_N M_r m}{r} \right\rangle$$
$$\langle v^2 \rangle \approx G_N M_r \langle r^{-1} \rangle$$

Velocity dispersion from Doppler shifts and geometric size

### Total Mass

# **Virial Theorem Applied to the Sun**

Virial Theorem 
$$\langle E_{\rm kin} \rangle = -\frac{1}{2} \langle E_{\rm grav} \rangle$$

Approximate Sun as a homogeneous sphere with

 $\begin{array}{ll} {\rm Mass} & M_{\rm sun} = 1.99 \times 10^{33} {\rm g} \\ {\rm Radius} & R_{\rm sun} = 6.96 \times 10^{10} {\rm cm} \\ {\rm Gravitational\ potential\ energy\ of\ a} \\ {\rm proton\ near\ center\ of\ the\ sphere} \end{array}$ 

$$\langle E_{\text{grav}} \rangle = -\frac{3}{2} \frac{G_N M_{\text{sun}} m_p}{R_{\text{sun}}} = -3.2 \text{ keV}$$

Thermal velocity distribution

$$\langle E_{\rm kin} \rangle = \frac{3}{2} k_{\rm B} T = -\frac{1}{2} \langle E_{\rm grav} \rangle$$

**Estimated temperature** 

T = 1.1 keV



Central temperature from standard solar models  $T_{\rm c} = 1.56 \times 10^7 {\rm K} = 1.34 {\rm keV}$ 

# **Standard Solar Model: Internal Structure**



Georg Raffelt, MPI Physics, Munich

20

### Experimental Tests of the "Invisible" Axion

P. Sikivie

Physics Department, University of Florida, Gainesville, Florida 32611 (Received 13 July 1983)

Experiments are proposed which address the question of the existence of the "invisible" axion for the whole allowed range of the axion decay constant. These experiments exploit the coupling of the axion to the electromagnetic field, axion emission by the sun, and/or the cosmological abundance and presumed clustering of axions in the halo of our galaxy.

### Primakoff effect:

Axion-photon transition in external static E or B field (Originally discussed for  $\pi^0$  by Henri Primakoff 1951)



### **Pierre Sikivie:**

Macroscopic B-field can provide a large coherent transition rate over a big volume (low-mass axions)

• Axion helioscope: Look at the Sun through a dipole magnet

 Axion haloscope: Look for dark-matter axions with A microwave resonant cavity

# Let's point a magnet at the sun...



# ...and look for X-Rays!

By CAST student Sebastian Baum



## LHC Magnet Mounted as a Telescope to Follow the Sun



# Searching for Solar Axions with CAST



### New CAST limit on the axion-photon interaction, Nature Physics 13 (2017) 584 [1705.02290]

# Next Generation Axion Helioscope (IAXO)



Need new magnet w/ – Much bigger aperture:  $\sim 1 \text{ m}^2$  per bore

- Lighter (no iron yoke)
- Bores at T<sub>room</sub>
- Irastorza et al.: Towards a new generation axion helioscope, arXiv:1103.5334
- Armengaud et al.: Conceptual Design of the International Axion Observatory (IAXO), arXiv:1401.3233



# IAXO Sensitivty Forecast



## Physics potential of the International Axion Observatory (IAXO) JCAP 1906 (2019) 047, arXiv:1904.09155

## **Observation of Excess Electronic Recoil Events in XENON1T**

## arXiv:2006.09721 (17 June 2020) ~ 150 citations



## Caused by solar axions or other particles from the Sun?

# **Some Quick Blog Links**

17 June Resonannes, Particle Physics Blog: Hail the XENON excess http://resonaances.blogspot.com/

> The Reference Frame <a href="https://motls.blogspot.com/2020/06/xenon1t-our-excess-is-due-to-tritium.html">https://motls.blogspot.com/2020/06/xenon1t-our-excess-is-due-to-tritium.html</a> XENON1T: our excess is due to tritium junk, axions, or magnetic neutrinos

- 18 June CosmoQuest: Observation of Excess Events in the XENON1T Dark Matter Experiment https://cosmoquest.org/x/2020/06/observation-of-excess-events-in-the-xenon1t-dark-matter-experiment/
- 19 June physicsworld, Particle and nuclear XENON1T may have detected something very interesting, or maybe not <a href="https://physicsworld.com/a/xenon1t-may-have-detected-something-very-interesting-or-maybe-not/">https://physicsworld.com/a/xenon1t-may-have-detected-something-very-interesting-or-maybe-not/</a>
- 22 June Centrales Forschungsnetz Aussergewöhnlicher Himmels-Phänomene Astronomie: Observation of Excess Events in the XENON1T Dark Matter Experiment <a href="https://www.hjkc.de/">https://www.hjkc.de/</a> blog/2020/06/22/15595-astronomie-observation-of-excess-events-in-the-xenon1t-dark-matter-experiment/">https://www.hjkc.de/</a> blog/2020/06/22/15595-astronomie-observation-of-excess-events-in-the-xenon1t-dark-matter-experiment/</a>
- 30 June ParticleBites: The high energy physics reader's digest The XENON1T Excess : The Newest Craze in Particle Physics https://www.particlebites.com/?p=7260

AlphaGalileo **Observation of Excess Events in the XENON1T Dark Matter Experiment** <u>https://www.alphagalileo.org/en-gb/Item-Display/ItemId/194613</u>

# keV-Range Energy Depositions

### Nuclear recoil

## **Electronic recoil (ER)**



**Dark-matter WIMPs** 

**Coherent scattering of 10 MeV solar neutrinos** 



Solar neutrinos with large dipole moments



Solar axions (keV energies)

keV-mass bosonic DM particles (ALP-like, hidden photons, ...)

## **Observation of Excess Electronic Recoil Events in XENON1T**



arXiv:2006.09721 (17 June 2020), accepted in PRD

Georg Raffelt, MPI Physics, Munich

**KEK-PH Lectures and Workshops 2020** 

# Solar Axions/ALPs



# **XENON1T** Results for Solar Axions/ALPs

### Gao+ 2006.14598

## XENON Collab. 2006.09721

**Including Primakoff detection** 

**Only axio-electric detection** 



### XENON1T excess cannot be due to solar axions/ALPs by a large margin

# **IAXO Sensitivity Forecast**



## Physics potential of the International Axion Observatory (IAXO) JCAP 1906 (2019) 047, arXiv:1904.09155

## Electron-recoil excess events in XENON1T (3.5 $\sigma$ ) can be attributed to

- Statistical fluctuation ("extraordinary claims require extraordinary evidence")
- Tritium contamination (~3 atoms per kg xenon)
  - strong conflict with estimated purification
  - but not proven or disproven
- Dark matter signal (MANY scenarios, e.g. keV-range hidden photons)
- Solar neutrinos with non-standard interactions

Solar axion or neutrino MDM interpretation in strong conflict with CAST and/or stellar energy-loss limits

Solar hidden photons provide poor spectral fit

### Solar Axions Cannot Explain the XENON1T Excess

 Luca Di Luzio<sup>(b)</sup>,<sup>1,\*</sup> Marco Fedele<sup>(b)</sup>,<sup>2,†</sup> Maurizio Giannotti<sup>(b)</sup>,<sup>3,‡</sup> Federico Mescia<sup>(b)</sup>,<sup>2,§</sup> and Enrico Nardi<sup>(b)</sup>,<sup>4,||</sup>
 <sup>1</sup>Deutsches Elektronen-Synchrotron DESY, Notkestraße 85, D-22607 Hamburg, Germany
 <sup>2</sup>Department de Física Quàntica i Astrofísica, Institut de Ciències del Cosmos (ICCUB), Universitat de Barcelona, Martí i Franquès 1, E-08028 Barcelona, Spain
 <sup>3</sup>Physical Sciences, Barry University, 11300 NE 2nd Avenue, Miami Shores, Florida 33161, USA
 <sup>4</sup>INFN, Laboratori Nazionali di Frascati, C.P. 13, 100044 Frascati, Italy

(Received 3 July 2020; revised 23 July 2020; accepted 30 July 2020; published 24 September 2020)

We argue that the interpretation in terms of solar axions of the recent XENON1T excess is not tenable when confronted with astrophysical observations of stellar evolution. We discuss the reasons why the emission of a flux of solar axions sufficiently intense to explain the anomalous data would radically alter the distribution of certain type of stars in the color-magnitude diagram in the first place and would also clash with a certain number of other astrophysical observables. Quantitatively, the significance of the discrepancy ranges from  $3.3\sigma$  for the rate of period change of pulsating white dwarfs and exceeds  $19\sigma$  for the *R* parameter and for  $M_{I,TRGB}$ .

DOI: 10.1103/PhysRevLett.125.131804

Introduction.—The XENON1T collaboration [1] has reported an excess in low-energy electronic recoil data below 7 keV and peaking around 2–3 keV. The collaboration cautions that the excess could be due to an unaccounted background from  $\beta$  decays due to a trace amount of tritium, but they also explore the possibility that the and because the location of the peak around 2–3 keV corresponds roughly to the maximum of the axion energy spectrum for the ABC processes, the Primakoff and <sup>57</sup>Fe components are both allowed to be absent as long as there is a nonzero ABC component. This selects  $g_{ae}$  as the crucial coupling to attempt to explain the data in terms of the QCD

### Solar Axions Cannot Explain the XENON1T Excess



Introduction.—The XENON1T collaboration [1] has reported an excess in low-energy electronic recoil data below 7 keV and peaking around 2–3 keV. The collaboration cautions that the excess could be due to an unaccounted background from  $\beta$  decays due to a trace amount of tritium, but they also explore the possibility that the and because the location of the peak around 2–3 keV corresponds roughly to the maximum of the axion energy spectrum for the ABC processes, the Primakoff and <sup>57</sup>Fe components are both allowed to be absent as long as there is a nonzero ABC component. This selects  $g_{ae}$  as the crucial coupling to attempt to explain the data in terms of the QCD
#### **Equations of Stellar Structure**

Assume spherical symmetry and static structure (neglect kinetic energy) Excludes: Rotation, convection, magnetic fields, supernova-dynamics, ...

Hydrostatic equilibrium

$$\frac{dP}{dr} = -\frac{G_N M_r \rho}{r^2}$$

**Energy conservation** 

$$\frac{dL_r}{dr} = 4\pi r^2 \epsilon \rho$$

Energy transfer

$$L_r = \frac{4\pi r^2}{3\kappa\rho} \frac{d(aT^4)}{dr}$$

Literature

- Clayton: Principles of stellar evolution and nucleosynthesis (Univ. Chicago Press 1968)
- Kippenhahn & Weigert: Stellar structure and evolution (Springer 1990)

- *r* Radius from center
- P Pressure
- $G_N$  Newton's constant
- $\rho$  Mass density
- $M_r$  Integrated mass up to r
- $L_r$  Luminosity (energy flux)
- $\epsilon$  Local rate of energy generation [erg g<sup>-1</sup>s<sup>-1</sup>]

$$\epsilon = \epsilon_{\rm nuc} + \epsilon_{\rm grav} - \epsilon_{\nu}$$

- κ Opacity  $κ^{-1} = κ_{ν}^{-1} + κ_{c}^{-1}$
- $\kappa_{\gamma}$  Radiative opacity

$$\kappa_{\gamma}\rho = \langle \lambda_{\gamma} \rangle_{\text{Rosseland}}^{-1}$$

 $\kappa_c$  Electron conduction

#### **Convection in Main-Sequence Stars**



Fig. 22.7. The mass values m from centre to surface are plotted against the stellar mass M for the same zero-age main-sequence models as in Fig. 22.1. "Cloudy" areas indicate the extension of convective zones inside the models. Two solid lines give the m values at which r is 1/4 and 1/2 of the total radius R. The dashed lines show the mass elements inside which 50% and 90% of the total luminosity L are produced

Kippenhahn & Weigert, Stellar Structure and Evolution

# **Self-Regulated Nuclear Burning**



Virial Theorem:  $\langle E_{kin} \rangle = -\frac{1}{2} \langle E_{grav} \rangle$ 

#### **Small Contraction**

- $\rightarrow$  Heating
- $\rightarrow$  Increased nuclear burning
- $\rightarrow$  Increased pressure
- $\rightarrow$  Expansion

Additional energy loss ("cooling")

- $\rightarrow$  Loss of pressure
- $\rightarrow$  Contraction
- $\rightarrow$  Heating
- $\rightarrow$  Increased nuclear burning

Hydrogen burning at nearly fixed T

- $\rightarrow$  Gravitational potential nearly fixed:  $G_N M/R \sim \text{constant}$
- $\rightarrow R \propto M$  (More massive stars bigger)

#### **Nuclear Binding Energy**



# **Thermonuclear Reactions and Gamow Peak**

Coulomb repulsion prevents nuclear reactions, except for Gamow tunneling

Tunneling probability

$$p \propto E^{-1/2} e^{-2\pi\eta}$$

where the Sommerfeld parameter is

$$\eta = \left(\frac{m}{2E}\right)^{1/2} Z_1 Z_2 e^2$$

Parameterize cross section with astrophysical S-factor

$$S(E) = \sigma(E) E e^{2\pi\eta(E)}$$



q

[MeV

S

#### **Main Nuclear Burning Stages**

**Hydrogen burning**  $4p + 2e^- \rightarrow {}^4\text{He} + 2\nu_e$ 

- Proceeds by pp chains and CNO cycle
- No higher elements are formed because no stable isotope with mass number 8
- Neutrinos from  $p \rightarrow n$  conversion
- Typical temperatures: 10<sup>7</sup> K (~1 keV)

#### Helium burning

 $\label{eq:He} {}^{4}\text{He} + {}^{4}\text{He} \leftrightarrow {}^{8}\text{Be} + {}^{4}\text{He} \rightarrow {}^{12}\text{C}$  "Triple alpha reaction" because  ${}^{8}\text{Be}$  unstable, builds up with concentration  $\sim 10^{-9}$ 

$$^{12}C + {}^{4}He \rightarrow {}^{16}O$$
  
 $^{16}O + {}^{4}He \rightarrow {}^{20}Ne$ 

Typical temperatures: 10<sup>8</sup> K (~10 keV)

#### **Carbon burning**

Many reactions, for example

$$^{12}\text{C} + \,^{12}\text{C} \rightarrow \,^{23}\text{Na} + p$$
 or  $^{20}\text{Ne} + \,^{4}\text{He}$  etc

Typical temperatures: 10<sup>9</sup> K (~100 keV)

- Each type of burning occurs at a very different T but a broad range of densities
- Never co-exist in the same location



#### **Hydrogen Exhaustion**



### **Burning Phases of a 15 Solar-Mass Star**

|               | $L_{\gamma}$ [10 <sup>4</sup> $L_{sun}$ ] |                         |                         |                                        |     |                         |                     |
|---------------|-------------------------------------------|-------------------------|-------------------------|----------------------------------------|-----|-------------------------|---------------------|
| Burning Phase |                                           | Dominant<br>Process     | T <sub>c</sub><br>[keV] | ρ <sub>c</sub><br>[g/cm <sup>3</sup> ] |     | $L_{\gamma}/L_{\gamma}$ | Duration<br>[years] |
|               | Hydrogen                                  | $H \rightarrow He$      | 3                       | 5.9                                    | 2.1 | -                       | 1.2×10 <sup>7</sup> |
|               | Helium                                    | $He \rightarrow C, O$   | 14                      | 1.3×10 <sup>3</sup>                    | 6.0 | 1.7×10 <sup>-5</sup>    | 1.3×10 <sup>6</sup> |
|               | Carbon                                    | $C \rightarrow Ne, Mg$  | 53                      | 1.7×10 <sup>5</sup>                    | 8.6 | 1.0                     | 6.3×10 <sup>3</sup> |
|               | Neon                                      | $Ne \rightarrow 0, Mg$  | 110                     | 1.6×10 <sup>7</sup>                    | 9.6 | 1.8×10 <sup>3</sup>     | 7.0                 |
|               | Oxygen                                    | $0 \rightarrow Si$      | 160                     | 9.7×10 <sup>7</sup>                    | 9.6 | 2.1×10 <sup>4</sup>     | 1.7                 |
|               | Silicon                                   | $Si \rightarrow Fe, Ni$ | 270                     | 2.3×10 <sup>8</sup>                    | 9.6 | 9.2×10 <sup>5</sup>     | 6 days              |

# **Degenerate Stars ("White Dwarfs")**

#### Assume temperature very small

- $\rightarrow$  No thermal pressure
- $\rightarrow$  Electron degeneracy is pressure source
- Pressure ~ Momentum density × Velocity
- Electron density  $n_e = p_F^3/(3\pi^3)$
- Momentum  $p_{
  m F}$  (Fermi momentum)
- Velocity  $v \propto p_{\rm F}/m_e$
- Pressure  $P \propto p_{\rm F}^5 \propto \rho^{5/3} \propto M^{5/3} R^{-5}$
- Density  $\rho \propto MR^{-3}$

Hydrostatic equilibrium

$$\frac{dP}{dr} = -\frac{G_N M_r \rho}{r^2}$$
With  $dP/dr \sim -P/R$  we have
 $P \propto G_N M \rho R^{-1} \propto G_N M^2 R^{-4}$ 
Inverse mass radius relationship
 $R \propto M^{-1/3}$ 

 $R = 10,500 \text{ km} \left(\frac{0.6 M_{\odot}}{M}\right)$ 

$$(2Y_e)^{5/3}$$

( $Y_e$  electrons per nucleon)

For sufficiently large stellar mass M, electrons become relativistic

Velocity = speed of light

Pressure

$$P \propto p_{\rm F}^4 \propto \rho^{4/3} \propto M^{4/3} R^{-4}$$

No stable configuration

Chandrasekhar mass limit  $M_{\rm Ch} = 1.457 \ M_{\odot} \ (2Y_e)^2$ 

#### **Galactic Globular Cluster M55**









globular clusters













#### **Planetary Nebulae**

Hour Glass Nebula



Eskimo Nebula

Planetary Nebula NGC 3132 Planetary Nebula IC 418

#### **Evolution of Stars**

| M < 0.08 M <sub>sun</sub>         | Never ignites hydroger<br>("hydrogen white dwar                                 | Brown dwarf                                       |                                                                                                                                                  |  |
|-----------------------------------|---------------------------------------------------------------------------------|---------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|--|
| 0.08 < M ≲ 0.8 M <sub>sun</sub>   | Hydrogen burning not<br>in Hubble time                                          | Low-mass<br>main-squence star                     |                                                                                                                                                  |  |
| 0.8 ≲ M ≲ 2 M <sub>sun</sub>      | Degenerate helium cor<br>after hydrogen exhaus                                  | <ul> <li>Carbon-oxygen<br/>white dwarf</li> </ul> |                                                                                                                                                  |  |
| $2 \lesssim M \lesssim 8 M_{sun}$ | Helium ignition non-de                                                          | <ul> <li>Planetary nebula</li> </ul>              |                                                                                                                                                  |  |
| 8 M <sub>sun</sub> ≲ M < ???      | All burning cycles<br>→ Onion skin<br>structure with<br>degenerate iron<br>core | Core<br>collapse<br>supernova                     | <ul> <li>Neutron star<br/>(often pulsar)</li> <li>Sometimes<br/>black hole</li> <li>Supernova<br/>remnant (SNR),<br/>e.g. crab nebula</li> </ul> |  |







#### **Neutrinos from Thermal Processes**



#### **Brightness and Core Mass at TRGB**

Raffelt & Weiss, Astron. Astrophys. 264 (1992) 536





**Fig. 2.** Core mass at helium flash,  $\mathcal{M}_{tip}$ , and mass-coordinate of the ignition point,  $\mathcal{M}_{ig}$ , as a function of  $F_{\nu}$  for  $\mathcal{M} = 0.80$ ,  $Z = 10^{-4}$ , and  $Y_0 = 0.22$  (see Table 2).

Fig. 3. Absolute surface brightness as a function of core mass for the  $Z = 10^{-4}$  runs of Table 2. The curves are marked with the relevant  $F_{\nu}$  values.

Parametric study: Vary standard neutrino losses with a fudge factor  $F_{\nu}$ ( $F_{\nu} = 1$  standard,  $F_{\nu} = 0$  no losses at all, etc.)

- Helium ignition point (mass coordinate  $\mathcal{M}_{ig}$ )
- Core mass at ignition  $\mathcal{M}_{tip}$
- Bolometric brightness at ignition  $M_{tip}$

#### Particle Emission from Red-Giant Core or White Dwarf

#### Large Neutrino Dipole Moment

- Requires BSM physics
- Direct coupling to EM field
- Enhances plasmon decay



# Axions (or friends) with direct coupling to electrons

 Bremsstrahlung emission by degenerate electrons



 $\mu_{
m v} < 1.5 imes 10^{-12} \mu_{
m B}$  (95% CL)

 $g_{ae} < 1.6 \times 10^{-13}$  (95% CL)

## Helium Ignition for Low-Mass Red Giants

Brightness increase at He ignition by nonstandard neutrino losses (increased plasmon decay by neutrino dipole moment)



Viaux, Catelan, Stetson, Raffelt, Redondo, Valcarce & Weiss, arXiv:1308.4627

#### **Upper Red Giant Branch of Globular Clusters**



#### Straniero et al., arXiv:2010.03833

Viaux et al., arXiv:1308.4627

# Limits on Axion-Electron Coupling from GC M5



#### New TRGB Calibration from 22 Globular Clusters

#### Straniero et al., arXiv:2010.03833 (8 Oct. 2020)



# Tip of the Red-Giant Branch in the Galaxy NGC 4258

THE ASTROPHYSICAL JOURNAL, 835:28 (17pp), 2017 January 20

JANG & LEE



**Figure 7.**  $QT - (F555W - F814W)_0$  CMDs of NGC 4258 from five different reduction methods : ALLFRAME on drc, IRAF/DAOPHOT on drc, ALLFRAME on flc, DOLPHOT on flc, and DOLPHOT on flt (from left to right). Edge detection responses are shown by the solid lines. Note that the estimated TRGB magnitudes (dashed lines) agree very well.

# NGC 4258 hosts a water megamaser → Quasi-geometric distance determination → Among the best absolute TRGB calibrations

# **TRGB in Different Filters**



## **Determinations of the Hubble Constant**



Freedman et al. 2019, ApJ 882:34

Recent Published H<sub>0</sub> Values



Freedman et al. 2020 ApJ 891:57

## **Axion Bounds from TRGB Calibrations**



Bounds from "water megamaser" galaxy NGC 4258, compared with stellar evolution theory (95% CL)

 $g_{ae} < 1.6 \times 10^{-13}$  $\mu_{\nu} < 1.5 \times 10^{-12} \mu_{\rm B}$ 

XENON1T interpretation:

 $g_{ae} \sim 30 \times 10^{-13}$  $\mu_{\nu} \sim 20 \times 10^{-12} \mu_{\rm B}$ 

Updated TRGB Calibrations Capozzi & Raffelt, arXiv:2007.03694



### **ALP Limits from Globular Clusters**



Ayala, Dominguez, Giannotti, Mirizzi & Straniero, arXiv:1406.6053
#### White Dwarf Luminosity Function



Miller Bertolami, Melendez, Althaus & Isern, arXiv:1406.7712

### **Axion Bounds from WD Luminosity Function**



### Period Change of Variable White Dwarfs

#### Period change $\dot{\Pi}$ of pulsating white darfs depends on cooling speed



Córsico, Althaus, Miller Bertolami & Kepler: Pulsating white dwarfs: new insights, Astron. Astrophys. Rev. 27 (2019) 7 [1907.00115]

Georg Raffelt, MPI Physics, Munich

### White Dwarf Bounds or Cooling Hint?

Isern: White dwarfs as advanced physics laboratories. The Axion case [2002.08069] Physics potential of the International Axion Observatory (IAXO) [1904.09155]



All results improve with a bit of extra cooling ...



#### **Photon Dispersion Relation in Stars**

Non-relativistic plasma of electrons and nuclei



#### **Resonant Production of Hidden Photons & Friends**

#### Non-relativistic plasma of electrons and nuclei



#### Hardy & Lasenby, arXiv:1611.05852

#### **Hidden Photon Bounds**



FIG. 1. Left panel: Direct detection constraints at 90% C.L. on solar-generated dark photon fluxes in the parameter space of vector mass  $m_{A'}$  versus kinetic mixing parameter  $\epsilon$ . The red (blue) line is derived from the S2-only reported data by XENON1T [8] (XENON10 [26]). Solid lines apply to a "hard" Stückelberg mass and dashed lines show how the constraint continues for a "soft" Higgsed dark photon mass with e' = 0.1 and following [22]. Cooling constraints from the sun, and for HB and RG stars as labeled are derived following [6, 24]. Right panel: Dark photon dark matter parameter space showing the favored region from a fit to XENON1T data [9] (1 $\sigma$  and 2 $\sigma$  ellipses). Official limits by the XENON1T collaboration using S2 [8] and S1+S2 [9] data are shown by the solid black lines as labeled. The HB constraint (and cooling hint, dotted line) are taken from [31] and the solar and RG constraints are derived following [6, 24]; see the main text for a discussion of the latter bounds.

#### An, Pospelov, Pradler & Ritz, arXiv:2006.13929 (24 June 2020)



Core-collapse supernova

Black Hole



# Crab Nebula – Remnant of SN 1054

# Crab Pulsar

#### Chandra x-ray images

#### Supernova Remnant in Cas A (SN 1680?)

Chandra x-ray image

Non-pulsar compact remnant









#### **Newborn Neutron Star**



Gravitational binding energy  $E_b \approx 3 \times 10^{53} \text{ erg} \approx 17\% \text{ M}_{SUN} \text{ c}^2$ This shows up as 99% Neutrinos 1% Kinetic energy of explosion 0.01% Photons, outshine host galaxy Neutrino luminosity

$$\begin{array}{rcl} \mathsf{L}_{_{\rm V}} &\sim & 3\times 10^{53} \ \mathrm{erg} \ / \ 3 \ \mathrm{sec} \\ &\sim & 3\times 10^{19} \ \mathrm{L}_{_{\rm SUN}} \end{array}$$

While it lasts, outshines the entire visible universe

#### Why No Prompt Explosion?

0.1 M<sub>sun</sub> of iron has a nuclear binding energy ≈ 1.7 × 10<sup>51</sup> erg
Comparable to explosion energy

Dissociated Material (n, p, e, v)

amock

Poissociat

- Shock wave forms within the iron core
- Dissipates its energy by dissociating the remaining layer of iron

#### Supernova Delayed Explosion Scenario



### **Three Phases of Neutrino Emission**



- De-leptonization of outer core layers
- Neutrinos powered by infalling matter

diffusion time scale

Spherically symmetric Garching model (25  ${\rm M}_\odot$ ) with Boltzmann neutrino transport

### **Death Watch of a Million Supergiants**

- Monitoring 27 galaxies within 10 Mpc for many years
- Visit typically twice per year
- 10<sup>6</sup> supergiants (lifetime 10<sup>6</sup> years)
- Combined SN rate: about 1 per year

#### First 7 years of survey:

- 6 successful core-collapse SNe
- 1 candidate failed SN





Gerke, Kochanek & Stanek, arXiv:1411.1761 Adams, Kochanek, Gerke, Stanek (& Dai), arXiv:1610.02402 (1609.01283)

### Neutrino-Driven Mechanism – Modern Version

- Stalled accretion shock pushed out to ~150 km as matter piles up on the PNS
- Heating (gain) region develops within some tens of ms after bounce
- Convective overturn & shock oscillations (SASI) enhance efficiency of v-heating, finally revives shock
- Successful explosions in 1D and 2D for different progenitor masses (e.g. Garching group)
- Details important (treatment of GR, v interaction rates, etc.)
- First self-consistent 3D studies being performed, sometimes successful explosions

#### $\rightarrow$ 3D Model of Princeton Group



Adapted from B. Müller

### Exploding 3D Garching Model (20 M<sub>SUN</sub>)



Melson, Janka, Bollig, Hanke, Marek & Müller, arXiv:1504.07631

## Sanduleak –69 202

#### Tarantula Nebula

Large Magellanic Cloud Distance 50 kpc (160.000 light years)

# Sanduleak –69 202

#### Supernova 1987A 23 February 1987

### SN 1987A Rings (Hubble Space Telescope 4/1994)





### Neutrino Signal of Supernova 1987A





#### SN 1987A Event No.9 in Kamiokande



| a)  |       |              |           |  |
|-----|-------|--------------|-----------|--|
| u / | NUM   | 9            |           |  |
|     | RUN   | 1892         |           |  |
|     | EVENT | 139372       |           |  |
| 1   | TIME  | 2/23/87      |           |  |
|     |       | 16:35:37 JST |           |  |
|     | TOTAL | ENERGY       | 19.8 MeV  |  |
|     | TOTAL | P.E.         | 51(0)     |  |
|     | MAX   | P.E.         | 4(0)      |  |
|     | THRES | P. E.        | 0.2 (1.0) |  |
|     |       |              |           |  |
|     |       |              |           |  |
| )   |       |              |           |  |



Hirata et al., PRD 38 (1988) 448

#### Irvine-Michigan-Brookhaven (IMB) Detector



### **2002 Physics Nobel Prize for Neutrino Astronomy**





Ray Davis Jr. (1914–2006) Masatoshi Koshiba (\*1926)

# "for pioneering contributions to astrophysics, in particular for the detection of cosmic neutrinos"

Georg Raffelt, MPI Physics, Munich

#### Early Lightcurve of SN 1987A



#### **Do Neutrinos Gravitate?**



Shapiro time delay for particles moving in a gravitational potential

$$\Delta t = -2 \int_{A}^{B} dt \, \Phi[r(t)]$$

For trip from LMC to us, depending on galactic model,

 $\Delta t \approx 1-5$  months

Neutrinos and photons respond to gravity the same to within

 $1-4 \times 10^{-3}$ 

Longo, PRL 60:173, 1988 Krauss & Tremaine, PRL 60:176, 1988

#### **Interpreting SN 1987A Neutrinos**



#### **Interpreting SN 1987A Neutrinos**


#### **SN 1987A Burst of Neutrino Papers**

#### inSPIRE: Citations of the papers reporting the neutrino burst



### Supernova 1987A Energy-Loss Argument





Emission of very weakly interacting particles would "steal" energy from the neutrino burst and shorten it. (Early neutrino burst powered by accretion, not sensitive to volume energy loss.)

#### Late-time signal most sensitive observable

### **Cooling Time Scale**

Exponential cooling model:  $T = T_0 e^{-t/4\tau}$ , constant radius,  $L = L_0 e^{-t/\tau}$ Fit parameters are  $T_0$ ,  $\tau$ , radius, 3 offset times for KII, IMB & BST detectors



### **Axion Emission from a Nuclear Medium**

Axion-nucleon interaction: 
$$\mathcal{L}_{int} = \frac{c_N}{2f_a} \overline{\Psi}_N \gamma_\mu \gamma_5 \Psi_N \partial^\mu a = \frac{c_N}{2f_a} J^A_\mu \partial^\mu_a$$



Axial-vector interaction implies dominance of spin-dependent process

- Interaction potential (one-pion exchange OPE often used, but too simplistic)
- In-medium coupling constants
- In-medium effective nucleon properties
- Correlation effects (static and dynamical spin-spin correlations)

#### → For latest discussion see Carenza et al. arXiv:1906.11844v3 (28 May 2020)

Thermal  $\pi^-$  contribute significantly (dominantly?)



#### $\rightarrow$ For latest discussion see Carenza et al. arXiv:2010.02943 (06 Oct 2020)

### SN 1987A Axion Limits from Burst Duration

- Raffelt, Lect. Notes Phys. 741 (2008) 51 [hep-ph/0611350] Burst duration calibrated by early numerical studies "Generic" emission rates inspired by OPE rates  $f_a \gtrsim 4 \times 10^8$  GeV and  $m_a \lesssim 16$  meV (KSVZ, based on proton coupling)
- Chang, Essig & McDermott, JHEP 1809 (2018) 051 [1803.00993] Various correction factors to emission rates, specific SN core models  $f_a \gtrsim 1 \times 10^8$  GeV and  $m_a \lesssim 60$  meV (KSVZ, based on proton coupling)
- Carenza, Fischer, Giannotti, Guo, Martínez-Pinedo & Mirizzi, JCAP 10 (2019) 016 & Erratum [1906.11844v3] Beyond OPE emission rates, specific SN core models: similar to Chang et al.  $f_a \gtrsim 4 \times 10^8$  GeV and  $m_a \lesssim 15$  meV (KSVZ, based on proton coupling)
- Carenza, Fore, Giannotti, Mirizzi & Reddy [arXiv:2010.02943] Including thermal pions  $\pi^- + p \rightarrow n + a$  (factor 3 larger emission)  $f_a \gtrsim 5 \times 10^8$  GeV and  $m_a \lesssim 11$  meV (KSVZ, based on proton coupling)
- Bar, Blum & D'Amico, Is there a supernova bound on axions? [1907.05020] Alternative picture of SN explosion (thermonuclear event) Observed signal not PNS cooling. SN1987A neutron star (or pulsar) not yet found. (but see "NS 1987A in SN 1987A", Page et al. arXiv:2004.06078)

Georg Raffelt, MPI Physics, Munich

### **Operational Detectors for Supernova Neutrinos**



Georg Raffelt, MPI Physics, Munich

**KEK-PH Lectures and Workshops 2020** 

### Local Group of Galaxies



Many large detectors online for next decades Every year a 3% chance I am optimistic to see more SN neutrinos!

•

#### **Neutron Star Cooling**



Potekhin & Chabrier: Magnetic neutron star cooling and microphysics [1711.07662]

Georg Raffelt, MPI Physics, Munich

### **Axion Limits from Neutron Star Cooling**

#### Selection of pulsars at different age:

- Umeda, Iwamoto, Tsuruta, Qin & Nomoto, astro-ph/9806337
- A. Sedrakian, arXiv:1512.07828 (hadronic axions)
- A. Sedrakian, arXiv:1810.00190 (non-hadronic axions)

#### Supernova Remnant Cas A (320 years)

- Leinson, arXiv:1405.6873
- Hamaguchi, Nagata, Yanagi & Zheng, arXiv:1806.07151

#### Supernova Remnant HESS J1731-347 (27 kyears)

- Beznogov, Rrapaj, Page & Reddy, arXiv:1806.07991  $g_{an}^2 < 0.77 \times 10^{-19}$
- Leinson, arXiv:1909.03941  $g_{an}^2 < 1.1 \times 10^{-19}$

 $C_n m_a \lesssim 2 \text{ meV}$ 

Limits broadly comparable to SN 1987A bounds ( $m_a$  tens of meV range)

- Protons superconducting bremsstrahlung from neutrons
- Neutron-axion coupling can be very small or vanish

### **Cooling of Neutron Star in Cas A**



Measured surface temperature over 10 years reveals unusually fast cooling rate

- Neutron Cooper pair breaking and formation (PBF) as neutrino emission process?
- Evidence for extra cooling (by axions)?

Leinson, arXiv:1405.6873

### **Axion Bounds from Magnetic WDs and NSs**



- Buschmann, Co, Dessert & Safdi: *X-Ray Search for Axions from Nearby Isolated Neutron Stars*, arXiv:1910.04164
- Dessert, Long & Safdi: X-Ray Signatures of Axion Conversion in Magnetic White Dwarf Stars, PRL 123 (2019) 061104, arXiv:1903.05088









## Superradiance

Initially slow particle scattering in the ergoregion speeds up by extracting angular momentum and energy from the BH;

Waves similarly increase in amplitude

Particles/waves trapped in orbit around the BH repeat this process continuously



Superradiance condition:

Angular velocity of particle slower than angular velocity of BH horizon



(m = magnetic quantum number)

Particles in orbits that satisfy the SR condition are amplified: "Black hole bomb"

#### Kinematic, not resonant condition

# **Black Hole Spins**

Five currently measured black holes combine to set limit:  $2 \times 10^{-11} > \mu_* > 6 \times 10^{-13} \text{ eV}$ 

$$3 \times 10^{17} < f_a < 1 \times 10^{19} \text{ GeV}$$



Masha Baryakhtar, Talk at Invisibles 2016, https://indico.cern.ch/event/464402/

## Gravitational Wave Signals



Arvanitaki, Baryakhtar, Dimopoulos, Dubovsky & Lasenby, arXiv:1604.03958

Masha Baryakhtar, Talk at Invisibles 2016, https://indico.cern.ch/event/464402/

#### Direct Constraints on the Ultralight Boson Mass from Searches of Continuous Gravitational Waves

C. Palomba<sup>(b)</sup>, <sup>1</sup> S. D'Antonio<sup>(b)</sup>, <sup>2</sup> P. Astone, <sup>1</sup> S. Frasca, <sup>3,1</sup> G. Intini, <sup>3,1</sup> I. La Rosa, <sup>4</sup> P. Leaci, <sup>3,1</sup> S. Mastrogiovanni, <sup>5</sup> A. L. Miller, <sup>3,1,6</sup> F. Muciaccia, <sup>3</sup> O. J. Piccinni, <sup>3,1</sup> L. Rei, <sup>7</sup> and F. Simula<sup>(b)</sup>

#### Superradiance limits from LIGO O2 all-sky search for periodic GWs



FIG. 2. 95% C.L. exclusion regions in the plane  $m_b - M_{BH}$  assuming a maximum distance d = 1 kpc (left plot) and d = 15 kpc (right plot), a black hole initial adimensional spin  $\chi_i = 0.998$ , and three possible values for  $t_{age}$ : 10<sup>3</sup>, 10<sup>6</sup>, 10<sup>8</sup> yr (left plot) and 10<sup>3</sup>, 10<sup>4.5</sup>, 10<sup>6</sup> yr (right plot). The larger light gray area is the accessible parameter space. As expected, the extension of the excluded region decreases for increasing  $t_{age}$  (corresponding to darker color).

#### See also: Search for ultralight bosons in Cygnus X-1 with Advanced LIGO, arXiv:1909.11267

### **Axions and Stars**



### **Opportunities for detection**

Astrophysical Bounds (Energy loss of stars)

#### Super Radiance







IAXO Solar Axion Telescope

Axion conversion in neutron star magnetospheres