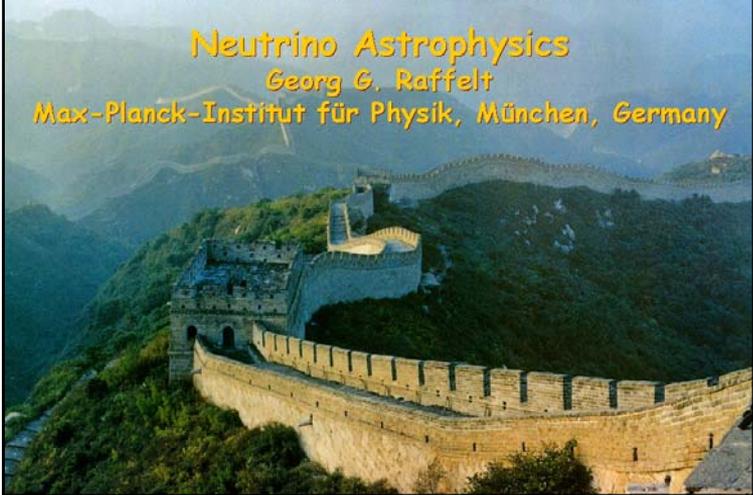


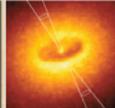
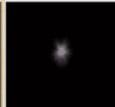
Topical Seminar on Frontiers of Particle Physics 2002: Neutrinos and Cosmology
 Yun Hu Holiday Resort of Mi Yun, Beijing, China (20-25 August 2002)

Neutrino Astrophysics

Georg G. Raffelt
 Max-Planck-Institut für Physik, München, Germany



Where do Neutrinos Appear in Nature?

✓ Nuclear Reactors			Sun ✓
✓ Particle-Accelerators			Supernovae (Stellar Collapse) SN 1987A ✓
✓ Earth Atmosphere (Cosmic Rays)			Astrophysical Accelerators Soon ?
2002 ? Earth Crust (Natural Radioactivity)			Cosmic Big Bang (Today $330 \nu/cm^3$) Indirect Evidence

Georg Raffelt, Max-Planck-Institut für Physik, München, Germany Neutrinos and Cosmology, Beijing, China (20-25 August 2002)

Neutrino Astrophysics



**Lecture I:
 Physics with Supernovae**



**Lecture II:
 Cosmological Neutrinos**

Georg Raffelt, Max-Planck-Institut für Physik, München, Germany Neutrinos and Cosmology, Beijing, China (20-25 August 2002)

Sanduleak -69 202

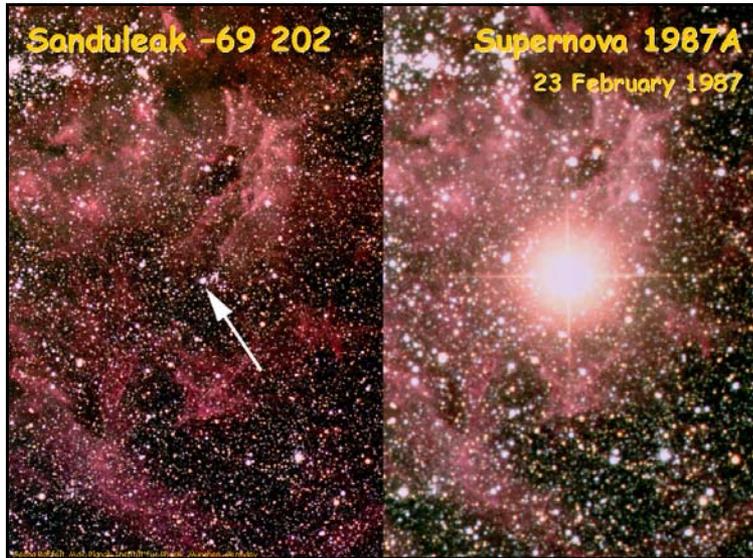




Tarantula Nebula



Large Magellanic Cloud
 Distance 50 kpc
 (160.000 light years)



Supernova 1054 Petrograph

3 concentric circles, diameter ~ 1 foot, with huge red flames trailing to the right.

SN 1054

Halley's Comet? Crescent Moon?

Possible SN 1054 Petrograph by the Anasazi people
(Chaco Canyon, South-Western U.S.)

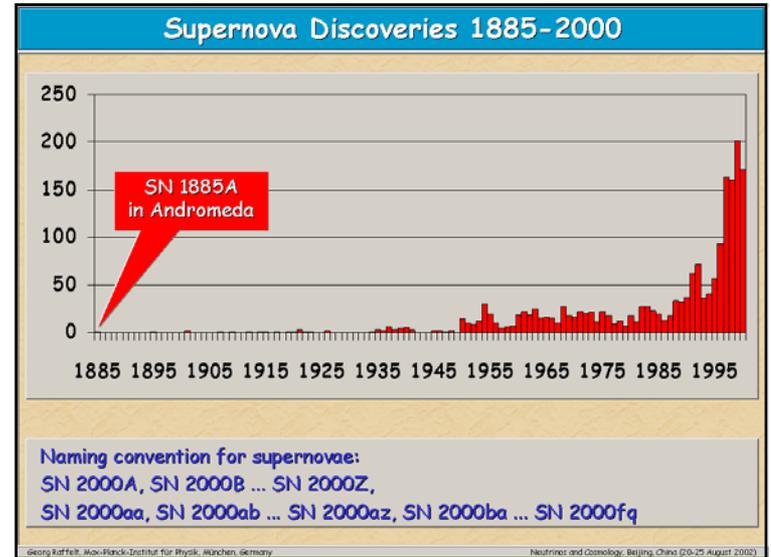
Georg Raffelt, Max-Planck-Institut für Physik, München, Germany

Neutrinos and Cosmology, Beijing, China (26-28 August 2002)

Classification of Supernovae

Spectral Type	Ia	Ib	Ic	II
Spectrum	No Hydrogen			Hydrogen
	Silicon	No Silicon		
		Helium	No Helium	
Physical Mechanism	Nuclear explosion of low-mass star	Core collapse of evolved massive star (may have lost its hydrogen or even helium envelope during red-giant evolution)		
Light Curve	Reproducible	Large variations		
Neutrinos	Insignificant	~ 100 × Visible energy		
Compact Remnant	None	Neutron star (typically appears as pulsar) Sometimes black hole ?		
Rate / h ² SNU	0.36 ± 0.11	0.14 ± 0.07		0.71 ± 0.34
Observed	Total ~ 2000 as of today (nowadays ~200/year)			

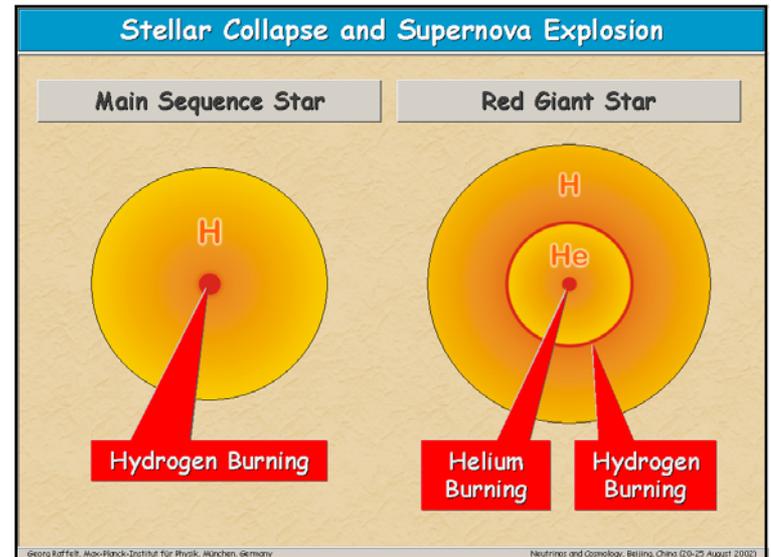
Georg Kuffel, Max-Planck-Institut für Physik, München, Germany Neutrinos and Cosmology, Beijing, China (20-25 August 2002)

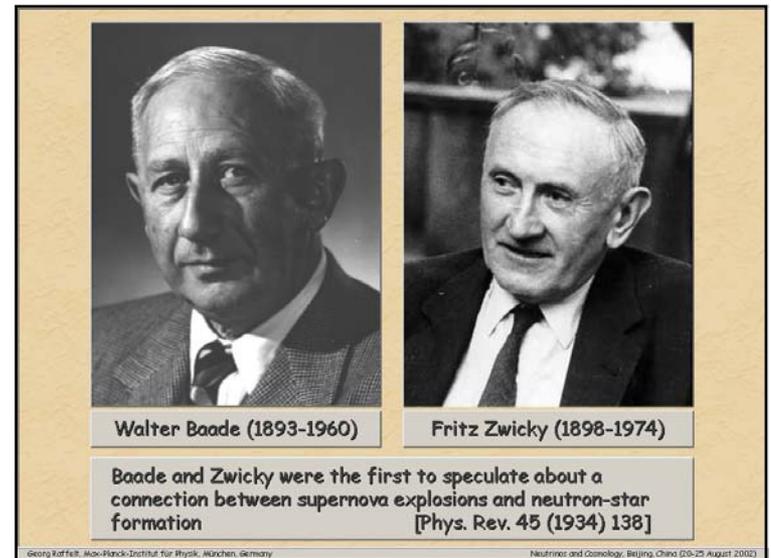
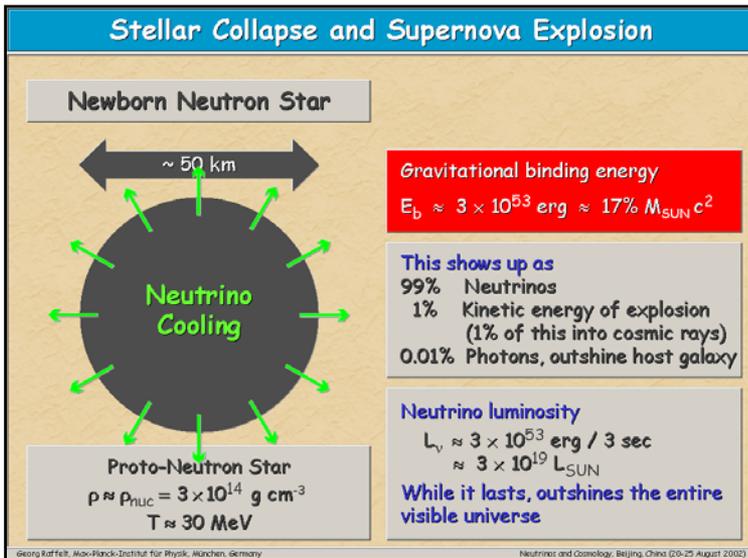
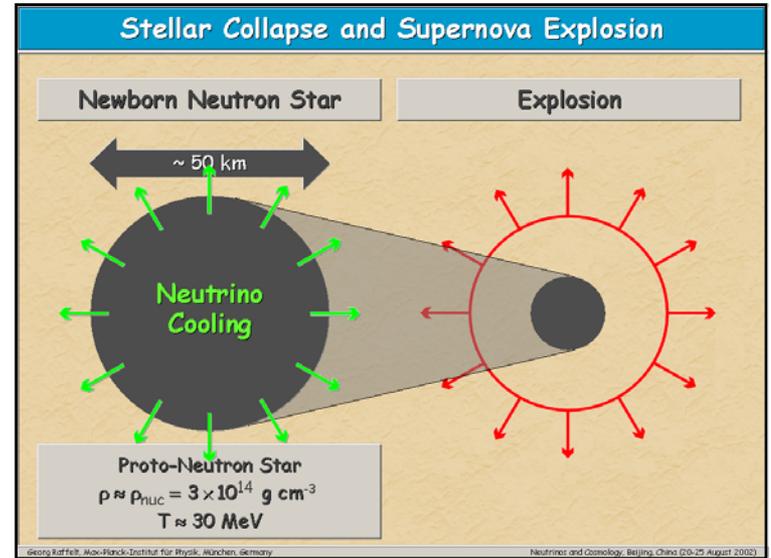
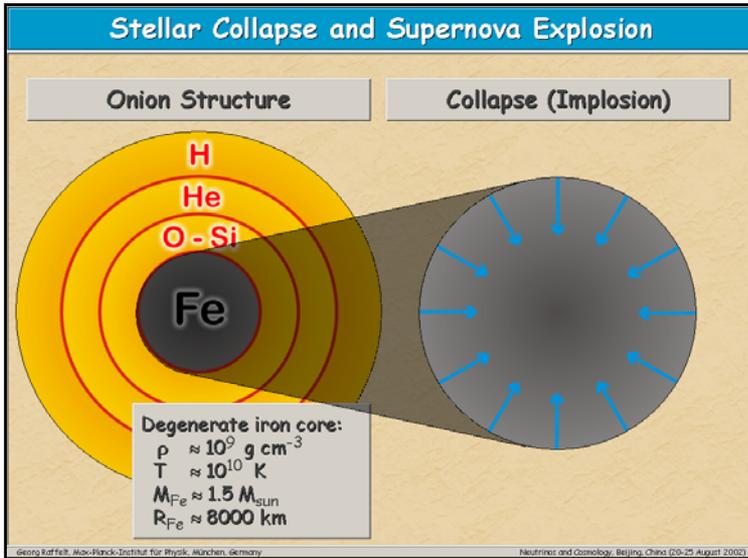


Lecture I: Physics with Supernovae

	Physical Mechanism of Core-Collapse Supernovae
	Supernova Neutrino Detection
	Limits on Particle Properties
	Flavor Oscillations of Supernova Neutrinos

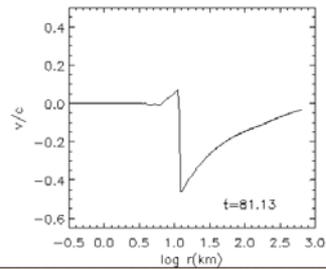
Georg Kuffel, Max-Planck-Institut für Physik, München, Germany Neutrinos and Cosmology, Beijing, China (20-25 August 2002)



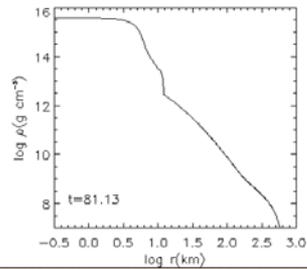


Collapse and Prompt Explosion

Velocity



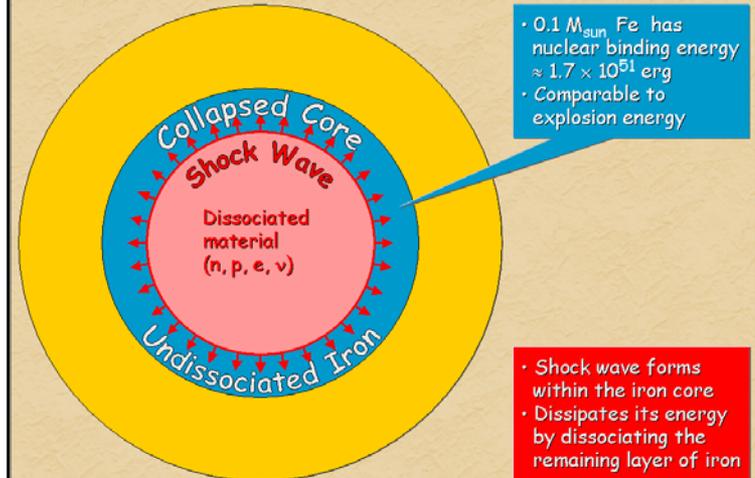
Density



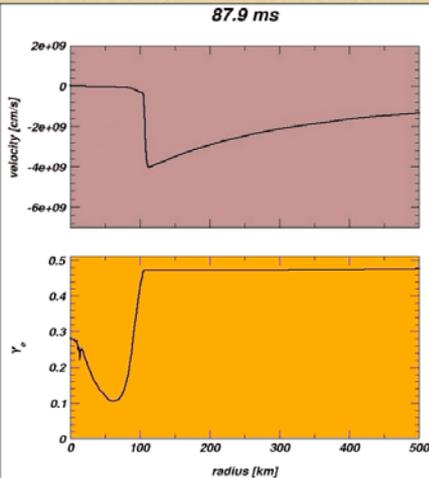
Supernova explosion primarily a hydrodynamical phenomenon

Movies by J.A.Font, Numerical Hydrodynamics in General Relativity
<http://www.livingreviews.org>

Why No Prompt Explosion?



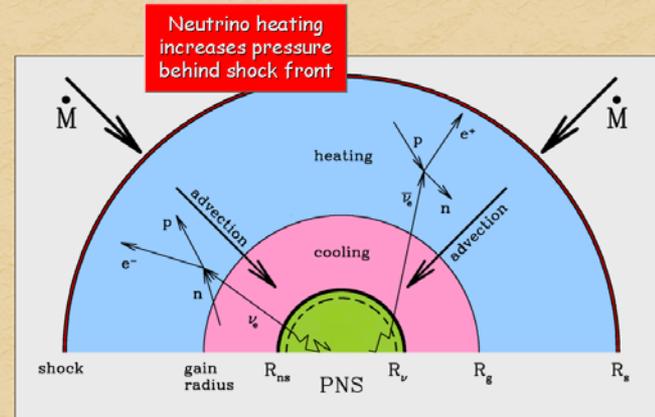
Failed Explosion



Spherically symmetric simulation of a $15 M_{\text{sun}}$ stellar model with state-of-the-art neutrino transport

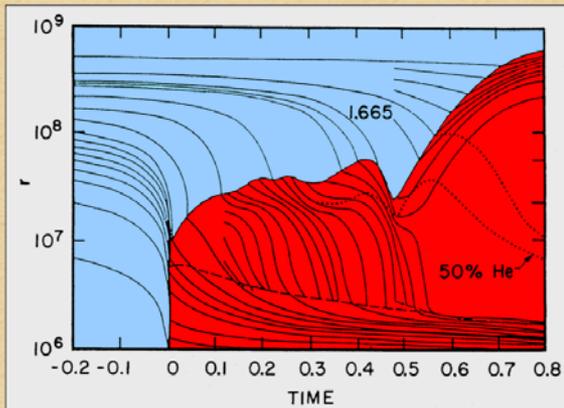
Movie courtesy of Bronson Messer, Oakridge group (2001)

Neutrinos to the Rescue



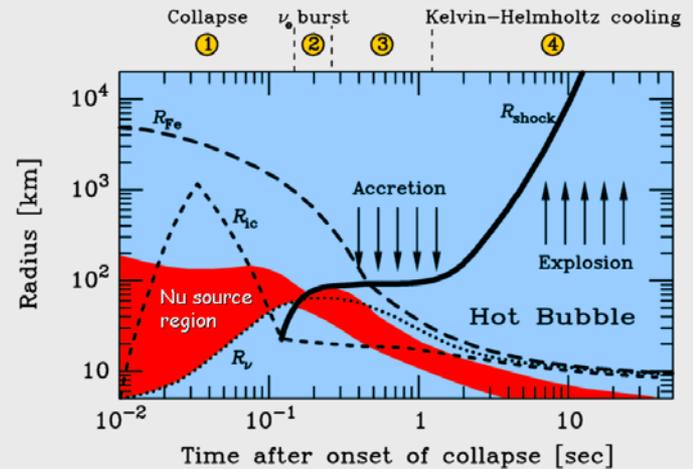
Picture adapted from Janka, astro-ph/0008432

Revival of a Stalled Supernova Shock by Neutrino Heating



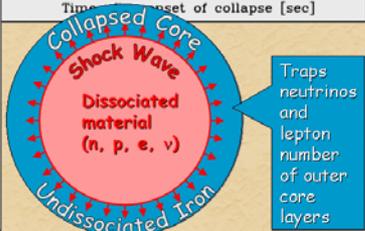
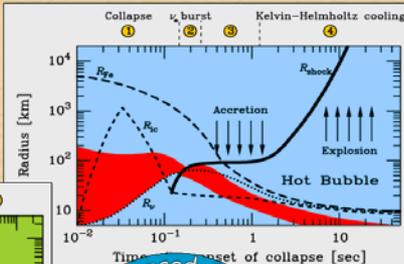
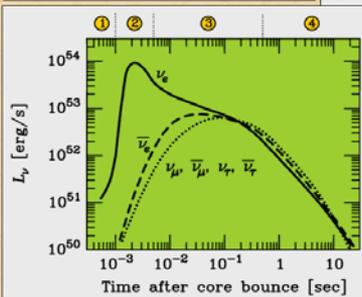
Wilson, Proc. Univ. Illinois Meeting on Numerical Astrophysics (1982)
 Bethe & Wilson, ApJ 295 (1985) 14

Supernova Collapse and Explosion

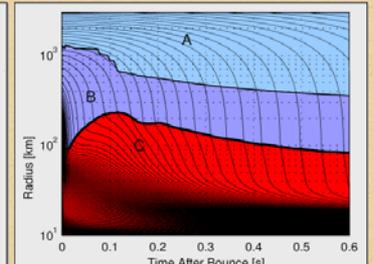
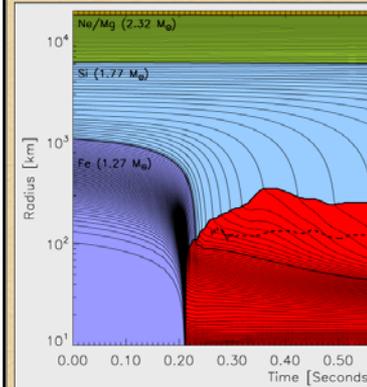


Structure of Supernova Neutrino Signal

1. Collapse (infall phase)
2. Shock break out
3. Matter accretion
4. Kelvin-Helmholtz cooling



Failed Explosions in Spherical Symmetry

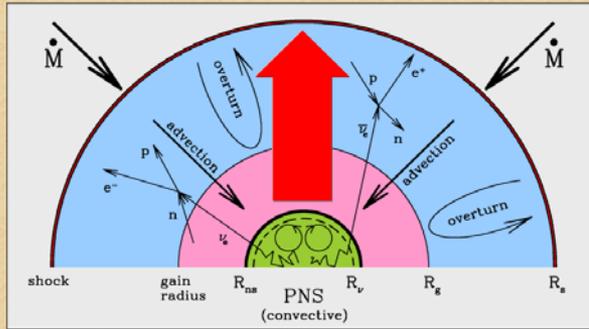


Mezzacappa et al., PRL 86 (2001) 1935

Rampp & Janka, ApJ 539 (2000) L33

Spherically symmetric (1-D) simulations with state-of-the-art neutrino transport do not explode

Novel Forms of Energy Transfer?



New particles or neutrinos with novel properties could provide a new channel of energy transfer from proto neutron star to shock wave

Must not transfer too much energy → Limits on decaying neutrinos [Falk & Schramm, PLB 79 (1978) 511]

Shock Revival by Novel Particles?

THE ASTROPHYSICAL JOURNAL, 260:868-874, 1982 September 15
© 1982. The American Astronomical Society. All rights reserved. Printed in U.S.A.

SUPERNOVAE INDUCED BY AXION-LIKE PARTICLES

DAVID N. SCHRAMM
The University of Chicago

AND

JAMES R. WILSON
Lawrence Livermore Laboratory

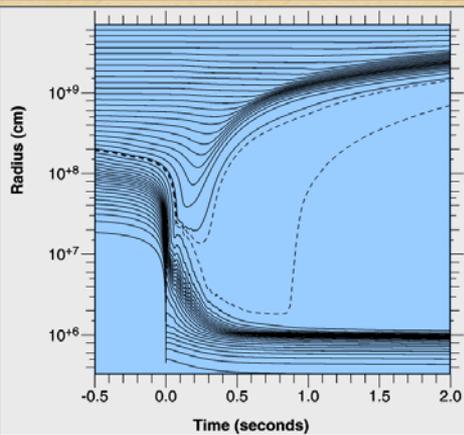
Received 1981 December 22; accepted 1982 April 1

ABSTRACT

It is shown that a new type of particle which may have been seen in a recent accelerator experiment may, if truly present, provide a mechanism whereby gravitationally collapsing massive stars may eject their outer mantles and envelopes in supernova explosions of $\sim 10^{51}$ ergs while leaving the cores to form neutron star remnants. These particles are "axion-like," which means they interact semiweakly, decay to two photons with lifetimes $\sim 10^{-3}$ s, and have masses $0.15 \leq M_a \leq 1$ MeV. It is hoped that future accelerator searches will be able to confirm or deny the existence of these particles, the presence of which would cause a dramatic solution to the long-standing gravitational-collapse supernova problem.

Subject headings: elementary particles — nuclear reactions — stars: collapsed — stars: supernovae

Neutron-Finger Convection to the Rescue

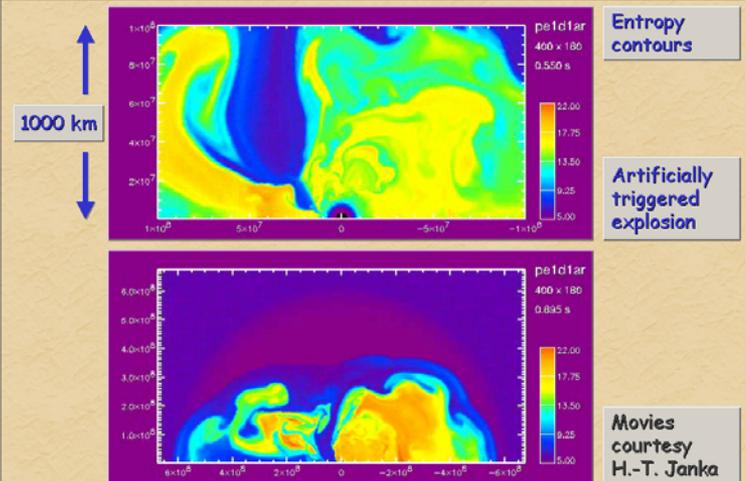


Livermore group obtains robust delayed explosions with 1-D code of Mayle & Wilson

Neutrino luminosity is enhanced by "neutron finger convection" in proto neutron star

Totani, Sato, Dalhed & Wilson, ApJ 496 (1998) 216

Convection in Supernovae (2-D Simulation)



Entropy contours

Artificially triggered explosion

Movies courtesy H.-T. Janka

Theoretical Status of Supernova Explosions



- Spherically symmetric models do not explode, even with state-of-the-art Boltzmann solvers for neutrino transport
- Delayed explosion scenario requires enhanced neutrino luminosity at early times (\sim factor 2)



- Convection between proto neutron star (PNS) and shock wave and perhaps within PNS helps
- Next steps: 2-D and 3-D simulations self-consistently coupled with state-of-the-art neutrino transport



- Particle-physics models for new channel of energy transfer can be constructed
- Simplest neutrino flavor-oscillation scenario suppressed by large matter effects relative to small Δm



- New physical ingredients required?
- Explosion a magneto-hydrodynamical effect? (Strong B-fields and fast rotation possible)

Lecture I: Physics with Supernovae



Physical Mechanism of Core-Collapse Supernovae



Supernova Neutrino Detection

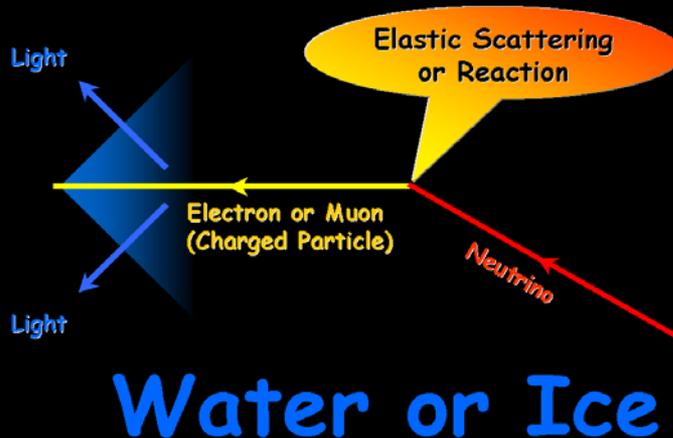


Limits on Particle Properties



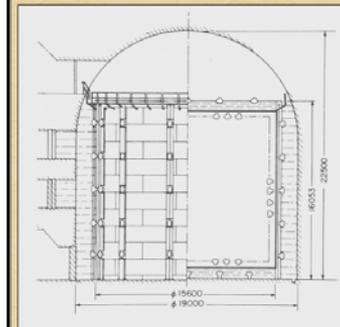
Flavor Oscillations of Supernova Neutrinos

Cherenkov Effect

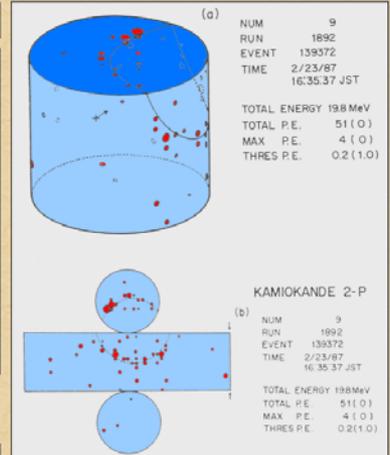


SN 1987A Event No.9 in Kamiokande

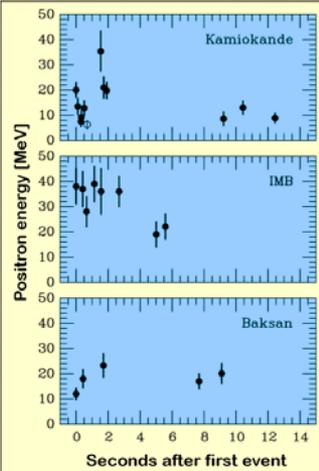
Kamiokande Detector



Hirata et al., PRD 38 (1988) 448



Neutrino Signal of Supernova 1987A



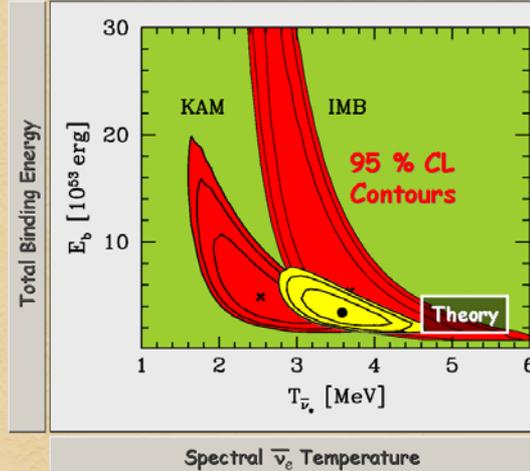
Kamiokande (Japan)
Water Cherenkov detector
Clock uncertainty ± 1 min

Irvine-Michigan-Brookhaven (US)
Water Cherenkov detector
Clock uncertainty ± 50 ms

Baksan Scintillator Telescope
(Soviet Union)
Clock uncertainty $\pm 2/-54$ s

Within clock uncertainties,
signals are contemporaneous

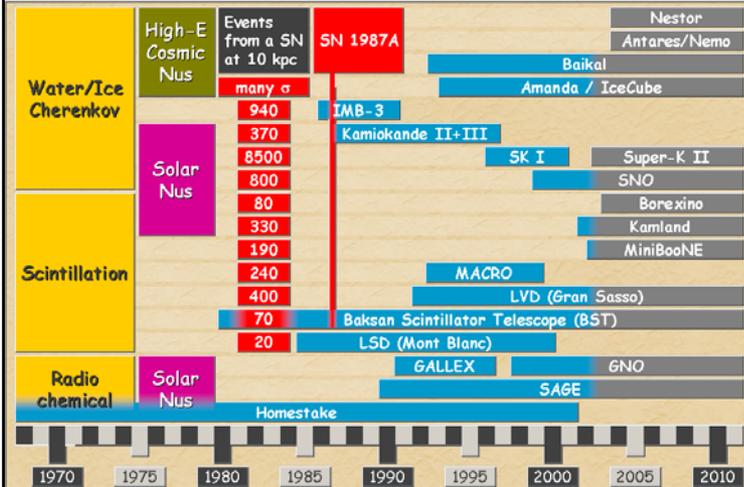
Interpreting SN 1987A Neutrinos



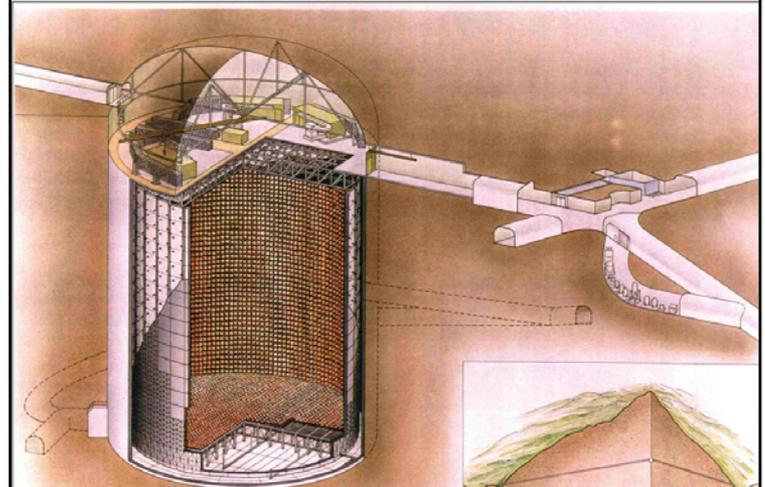
Jegerlehner,
Neubig & Raffelt,
PRD 54 (1996) 1194

Assume thermal
spectra and
equipartition of
energy between the
six degrees of
freedom
 $\nu_e, \nu_{\mu}, \nu_{\tau}$, and their
antiparticles

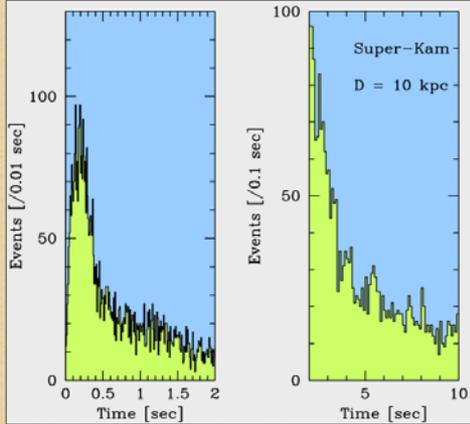
Short History of Neutrino Astronomy



Super-Kamiokande Neutrino Detector



Simulated Supernova Signal in Super-Kamiokande

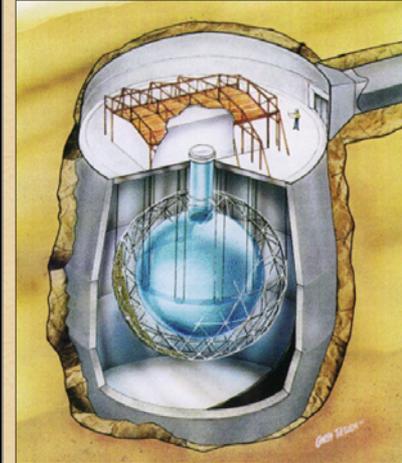


Total of about 8300 events for $t < 18$ s

Monte-Carlo simulation for Super-Kamiokande signal of SN at 10 kpc, based on a numerical Livermore model

Totani, Sato, Dalhed & Wilson, ApJ 496 (1998) 216

Sudbury Neutrino Observatory (SNO)



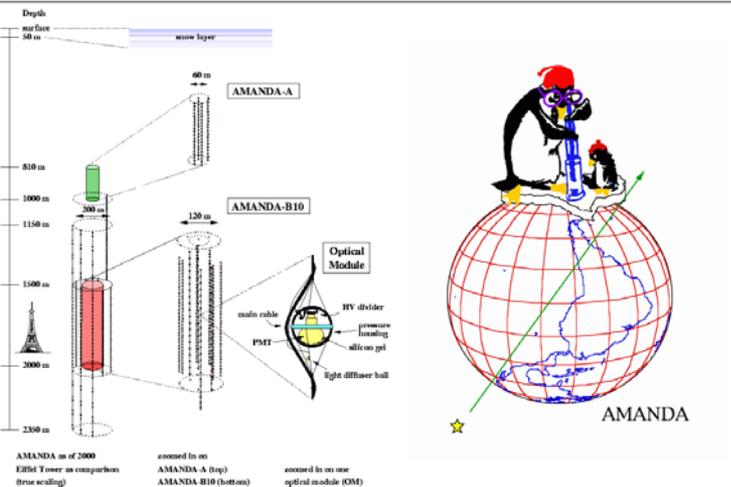
1000 tons of heavy water

Events from a SN at 10 kpc (no flavor oscillations)

Heavy water (1 kt)	Events:
CC: $\nu_e + d \rightarrow p + p + e^-$	72
CC: $\bar{\nu}_e + d \rightarrow n + n + e^+$	138
NC: $\nu_e + d \rightarrow \nu_e + p + n$	30
NC: $\bar{\nu}_e + d \rightarrow \bar{\nu}_e + p + n$	32
NC: $\nu_x + d \rightarrow \nu_x + p + n$	164

Light water (1.4 kt)	Events:
CC: $\bar{\nu}_e + p \rightarrow n + e^+$	331

AMANDA - Neutrino Telescope at the Southpole

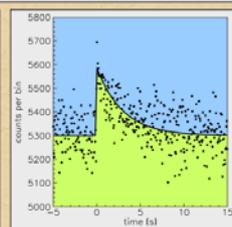
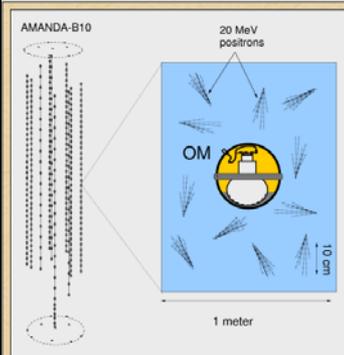


AMANDA is of 2000 EPR4 Tower in comparison (true scaling)

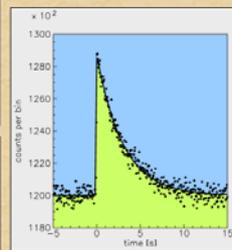
zoomed in on AMANDA-A (top) AMANDA-B10 (bottom)

zoomed in on one optical module (OM)

Amanda/IceCube as a Supernova Detector



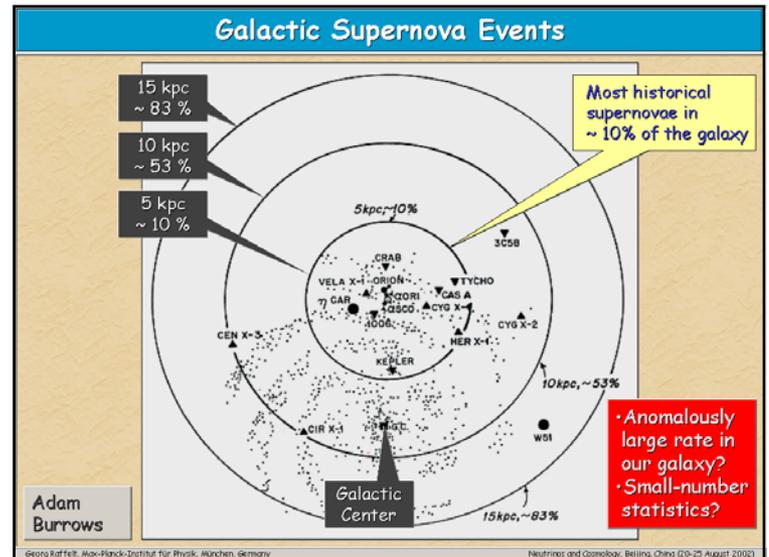
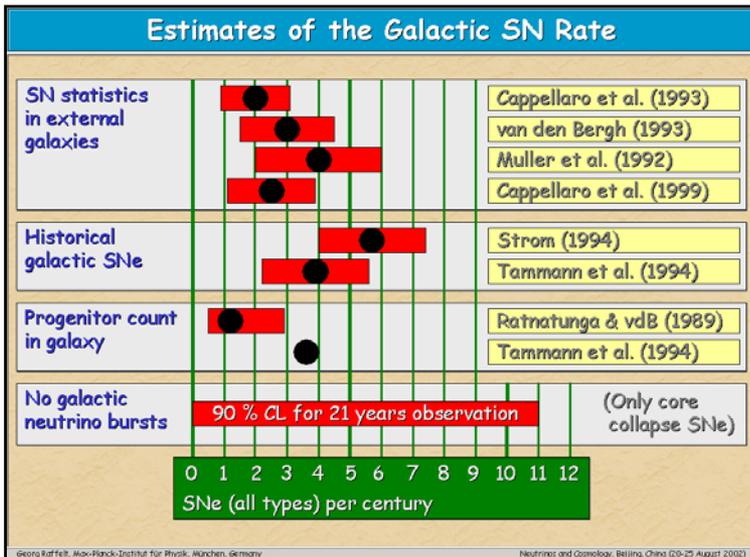
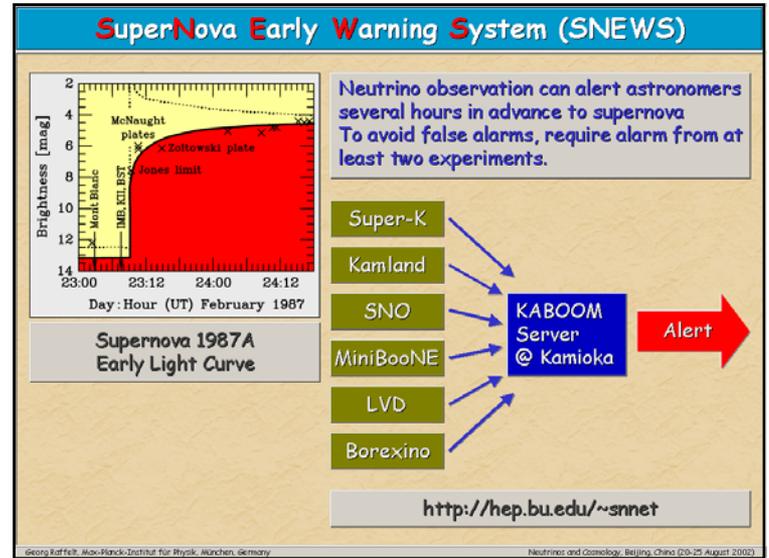
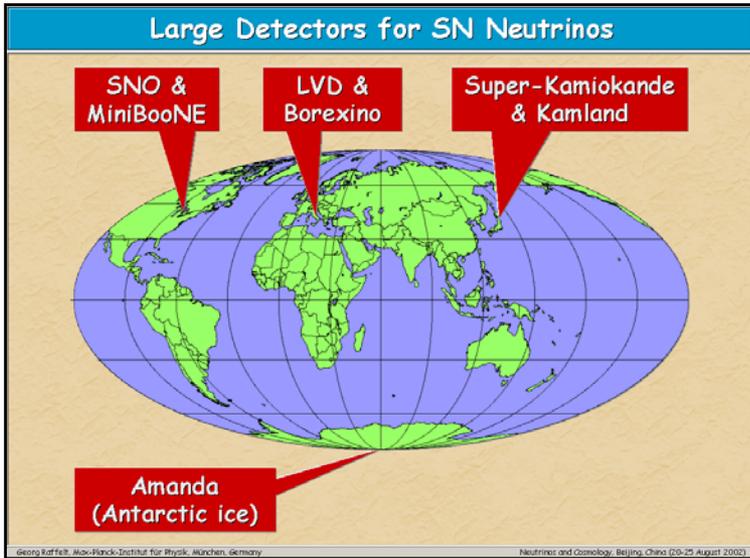
SN @ 8.5 kpc
Signal in Amanda



SN @ 8.5 kpc
Signal in Ice Cube

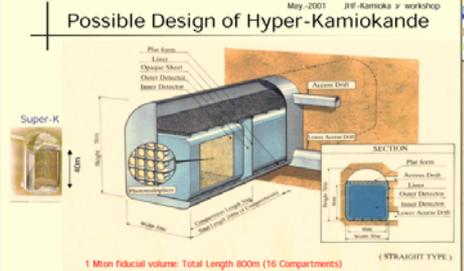
Amadora Collaboration (2001)

Each optical module (OM) picks up Cherenkov light from its neighborhood. SN appears as correlated "noise" between OMs



The Future: A Megatonne Detector?

- Megatonne detector motivated by
- Long baseline neutrino oscillations
 - Proton decay
 - Atmospheric neutrinos
 - Solar neutrinos
 - Supernova neutrinos (~10⁵ events for SN at 10 kpc)

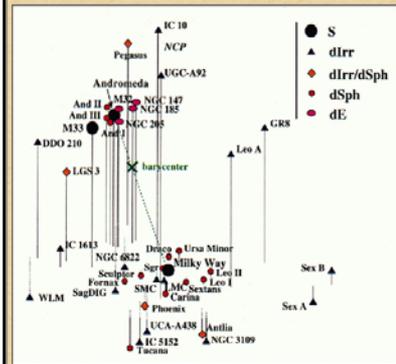


Phase-I (0.77MW + Super-K)
Phase-II (AMW+Hyper-K) → Phase-I x 200

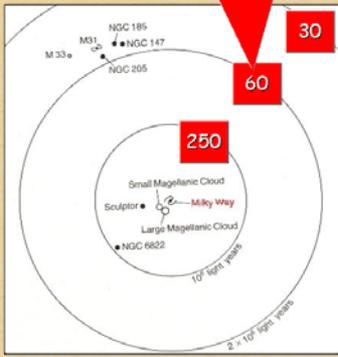
Similar discussions in

- USA (UNO project)
- Europe (Frejus Tunnel)

Local Group of Galaxies



Events in a detector with 30 x Super-K fiducial volume (8000 events in SK at 10 kpc)



Diffuse Background Flux of SN Neutrinos

1 SNU = 1 SN / 10¹⁰ L_{sun,B} / 100 years
 L_{sun,B} = 0.54 L_{sun} = 2 x 10³³ erg/s
 E_v ~ 3 x 10⁵³ erg per core-collapse SN

1 SNU ~ 4 L_v / L_{γ,B}
 Average neutrino luminosity of galaxies ~ photon luminosity

- Photons come from nuclear energy
- Neutrinos from gravitational energy

For galaxies, average nuclear & gravitational energy release similar

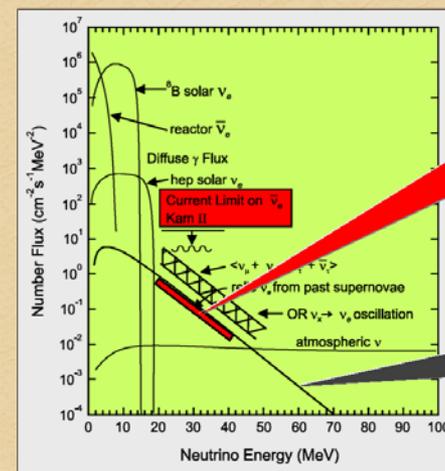
Present-day SN rate of ~ 1 SNU, extrapolated to the entire universe, corresponds to ν_e flux of ~ 1 cm⁻² s⁻¹

Realistic flux dominated by much larger early star-formation rate

- Upper limit ~ 54 cm⁻² s⁻¹ [Kaplinghat et al., astro-ph/9912391]
- "Realistic estimate" ~ 10 cm⁻² s⁻¹ [Hartmann & Woosley, Astropart. Phys. 7 (1997) 137]

Measurement would tell us about early history of star formation

Experimental Limits on Relic SN Neutrinos



Preliminary Super-K upper limit of 39 cm⁻² s⁻¹ for Kaplinghat et al. spectrum (Totsuka, private comm.) ~ factor 30 improvement

Upper-limit flux of Kaplinghat et al., astro-ph/9912391 Integrated 54 cm⁻² s⁻¹

Cline, astro-ph/0103138

Lecture I: Physics with Supernovae



Physical Mechanism of Core-Collapse Supernovae



Supernova Neutrino Detection



Limits on Particle Properties



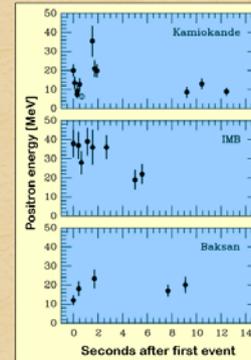
Flavor Oscillations of Supernova Neutrinos

Neutrino Mass Limits by Signal Dispersion

Time-of-flight delay of massive neutrinos

$$\Delta t = 2.57 \text{ s} \left(\frac{D}{50 \text{ kpc}} \right) \left(\frac{10 \text{ MeV}}{E_\nu} \right)^2 \left(\frac{m_\nu}{10 \text{ eV}} \right)^2$$

SN 1987A



Neutrino Mass Limits by Signal Dispersion

Time-of-flight delay of massive neutrinos

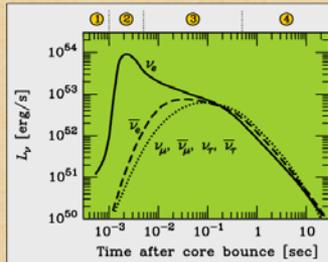
$$\Delta t = 2.57 \text{ s} \left(\frac{D}{50 \text{ kpc}} \right) \left(\frac{10 \text{ MeV}}{E_\nu} \right)^2 \left(\frac{m_\nu}{10 \text{ eV}} \right)^2$$

SN 1987A

$E \approx 20 \text{ MeV}$, $\Delta t \approx 10 \text{ s}$, $D \approx 50 \text{ kpc}$
Simple estimate or detailed maximum likelihood give similar results

$$m_{\nu_e} < 20 \text{ eV}$$

Future Galactic SN (Super-K)



Neutrino Mass Limits by Signal Dispersion

Time-of-flight delay of massive neutrinos

$$\Delta t = 2.57 \text{ s} \left(\frac{D}{50 \text{ kpc}} \right) \left(\frac{10 \text{ MeV}}{E_\nu} \right)^2 \left(\frac{m_\nu}{10 \text{ eV}} \right)^2$$

SN 1987A

$E \approx 20 \text{ MeV}$, $\Delta t \approx 10 \text{ s}$, $D \approx 50 \text{ kpc}$
Simple estimate or detailed maximum likelihood give similar results

$$m_{\nu_e} < 20 \text{ eV}$$

Future Galactic SN (Super-K)

$D \approx 10 \text{ kpc}$, Rise-time 0.01 s
Sensitivity approximately [T.Totani, PRL 80 (1998) 2040]

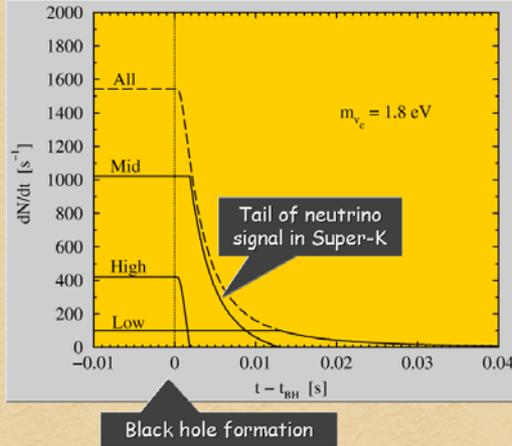
$$m_{\nu_e} \sim 3 \text{ eV}$$

Future SN in Andromeda (Megatonne)

$D \approx 750 \text{ kpc}$, $\Delta t \approx 10 \text{ s}$
Sensitivity approximately

$$m_{\nu_e} \sim 1-2 \text{ eV}$$

Neutrino Mass from Early Black Hole Formation



Beacom, Boyd & Mezzacappa, hep-ph/0006015

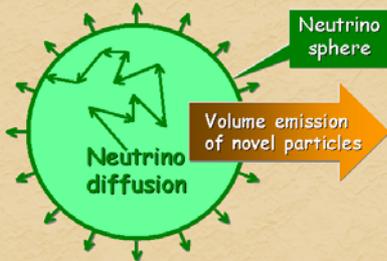
Super-Kamiokande sensitivity $m_\nu \sim 1.8 \text{ eV}$

Neutrino Mass Limits and Future Sensitivity

Tritium endpoint	Mainz/Troitsk	2.5 eV
	KATRIN	0.3 eV
Supernova Nus Time-of-flight	SN 1987A	20 eV
	Super-Kamiokande	3 eV
	with black hole	2 eV
	with gravity waves	1 eV
Cosmic structure	2dF Redshift Survey	0.8 eV
	Sloan Digital Sky Survey	0.3 eV

- Assume 3 mass eigenstates with very small mass differences as indicated by atmospheric and solar neutrinos
- The cosmological limit refers to $m_\nu = \Sigma m_\nu / \sqrt{3}$

The Energy-Loss Argument

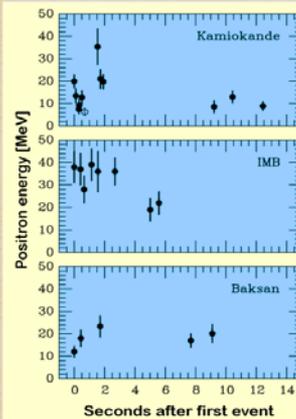


Assuming that the neutrino burst was not shortened by more than $\sim \frac{1}{2}$ leads to an approximate requirement on a novel energy-loss rate

$$e_x < 10^{19} \text{ erg g}^{-1} \text{ s}^{-1}$$

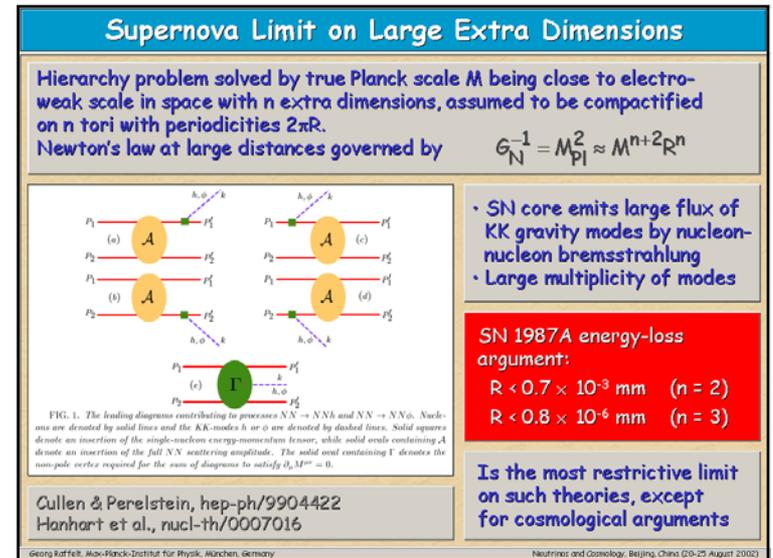
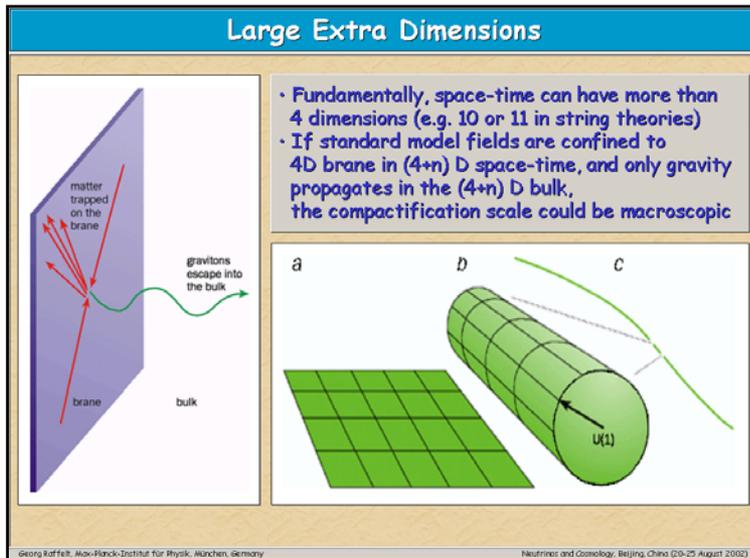
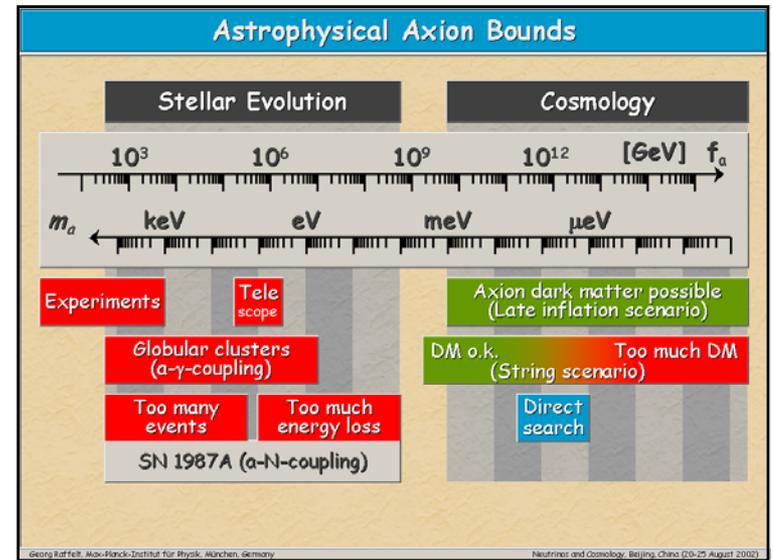
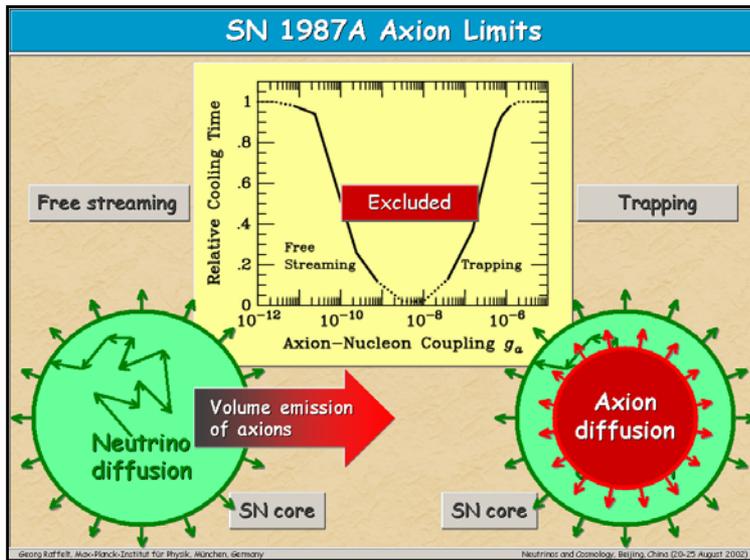
for $\rho \approx 3 \times 10^{14} \text{ g cm}^{-3}$ and $T \approx 30 \text{ MeV}$

SN 1987A neutrino signal

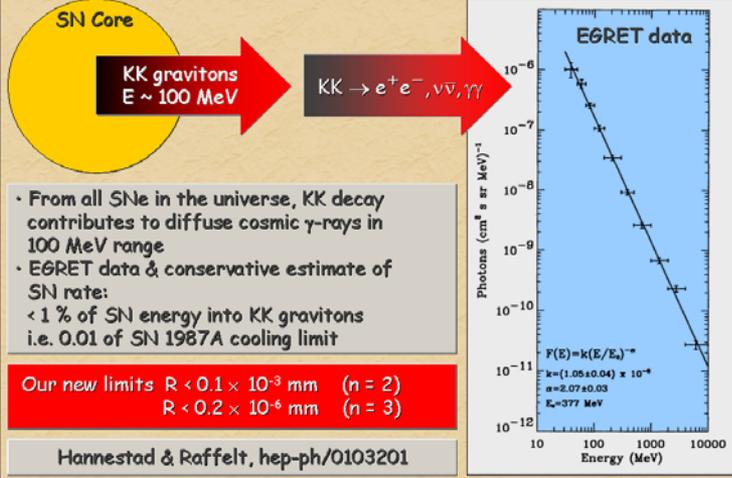


Axion Emission Processes in Stars

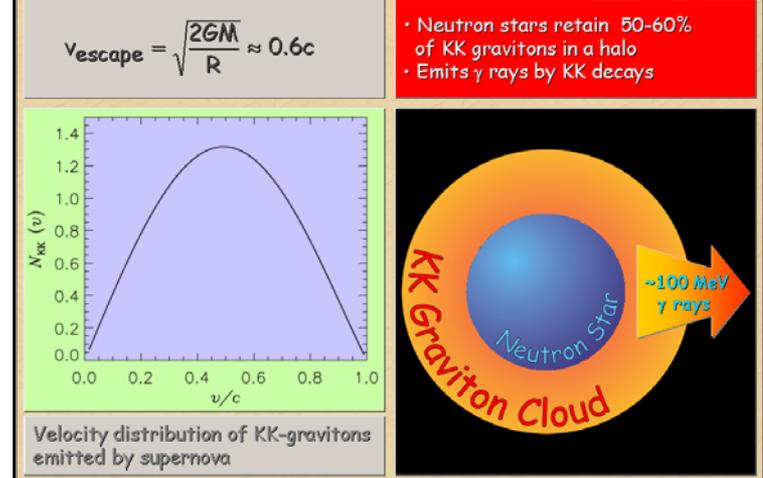
Nucleons	$\frac{C_N}{2f_a} \Psi_N \gamma_{\mu\nu} \Psi_N \partial^\mu a$	Nucleon Bremsstrahlung	
Photons	$\frac{C_e}{2f_a} \Psi_e \gamma_{\mu\nu} \Psi_e \partial^\mu a$	Primakoff	
Electrons	$C_\gamma \frac{\alpha}{2\pi f_a} \frac{1}{4} F_{\mu\nu} \tilde{F}^{\mu\nu} a$ $= -C_\gamma \frac{\alpha}{2\pi f_a} \vec{E} \cdot \vec{B} a$	Compton	
		Pair Annihilation	
		Electromagnetic Bremsstrahlung	



Improved Limits on Large Extra Dimensions

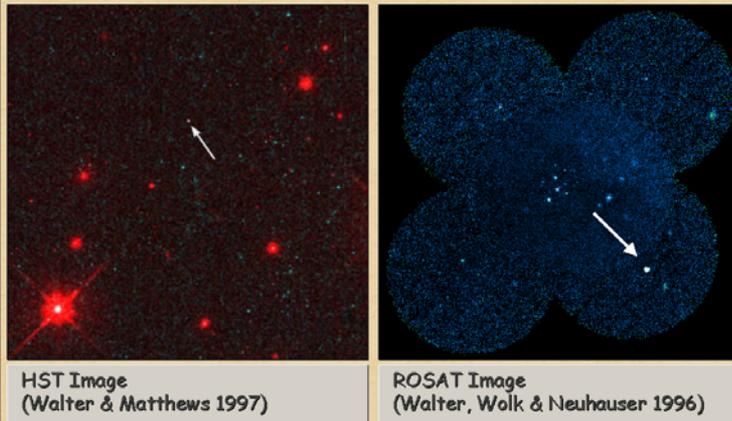


KK Graviton Retention by Neutron Star

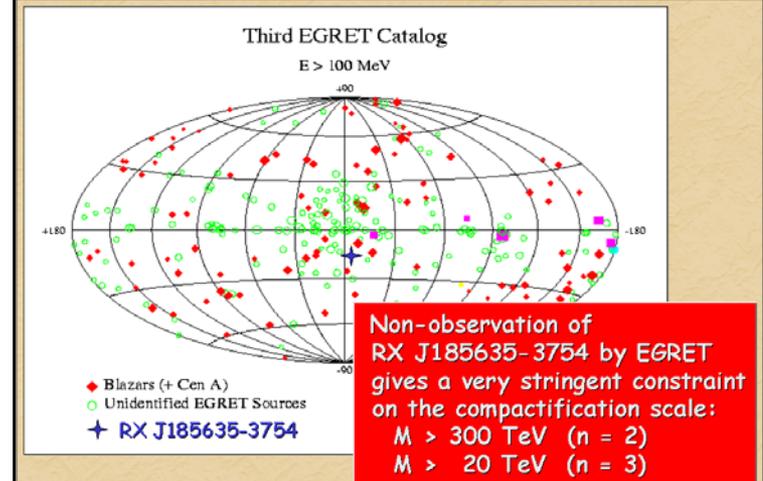


Nearby Neutron Star RX J185635-3754

D = 61 pc (closest known neutron star), Age $\sim 1.2 \times 10^6$ yr



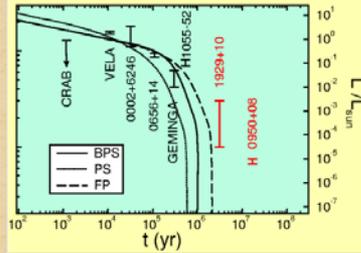
Third EGRET Catalog (Hartmann et al. 1999)



Neutron Star Excess Heat

- Neutron stars retain 50-60% of KK gravitons in a halo
- Emits γ rays by KK decays

Neutron star cooling calculations vs. observations (Pavlov, Stringfellow & Cordova 1996, Larson & Link 1999)



To avoid excess heating by KK decay
 $M > 1600 \text{ TeV}$ ($n = 2$)
 $M > 60 \text{ TeV}$ ($n = 3$)

Summary of Limits on Large Extra Dimensions

	M^{\min} [TeV]		R^{\max} [mm]	
	$n = 2$	$n = 3$	$n = 2$	$n = 3$
Laboratory experiments	0.6	-	0.2	-
SN 1987A neutrino signal	30	3	7×10^{-4}	8×10^{-7}
EØRET				
Cosmic SNe	84	7	8×10^{-5}	2×10^{-7}
Cas A	73	7	1×10^{-4}	2×10^{-7}
PSR J0953+0755	300	19	8×10^{-6}	4×10^{-8}
RX J185635-3754	454	27	3×10^{-6}	2×10^{-8}
Excess heat PSR J0953+0755	1680	60	2×10^{-7}	5×10^{-9}

Hannestad & Raffelt, PRL 88 (2002) 071301 [hep-ph/0103201]

Lecture I: Physics with Supernovae



Physical Mechanism of Core-Collapse Supernovae



Supernova Neutrino Detection



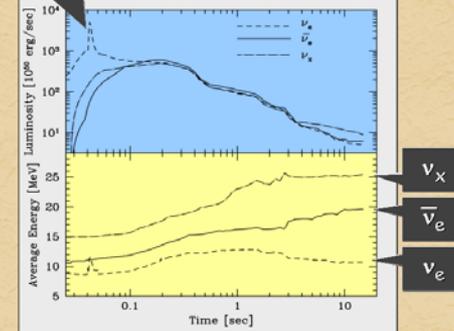
Limits on Particle Properties



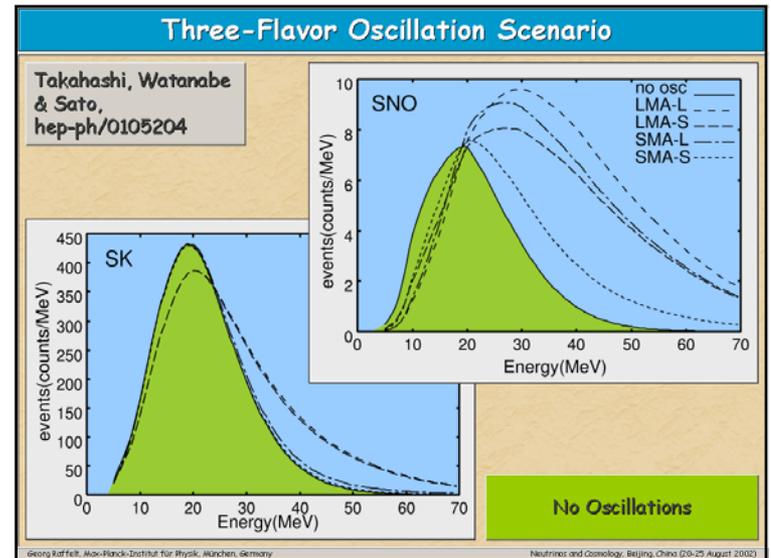
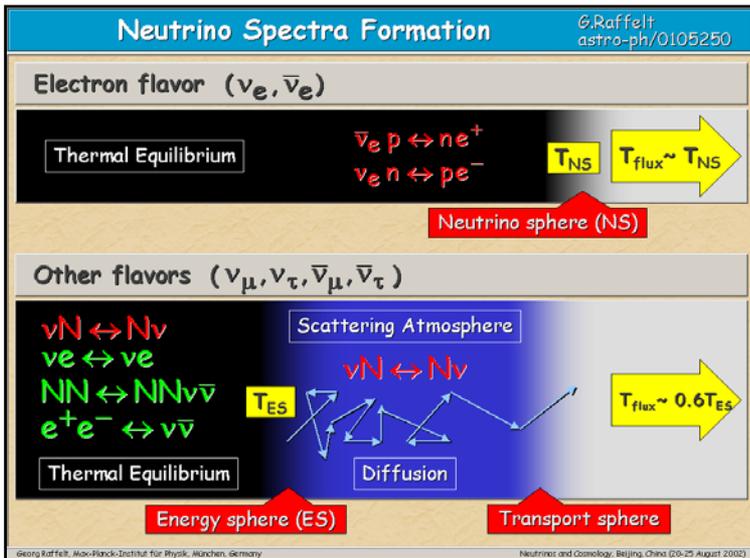
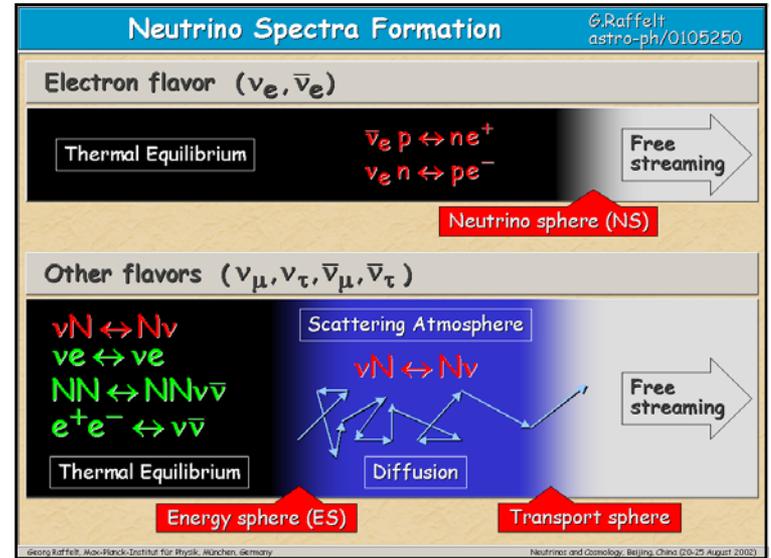
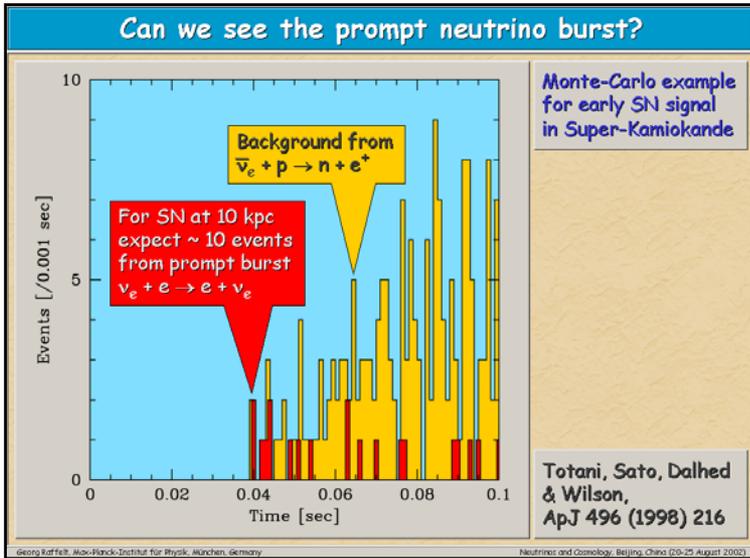
Flavor Oscillations of Supernova Neutrinos

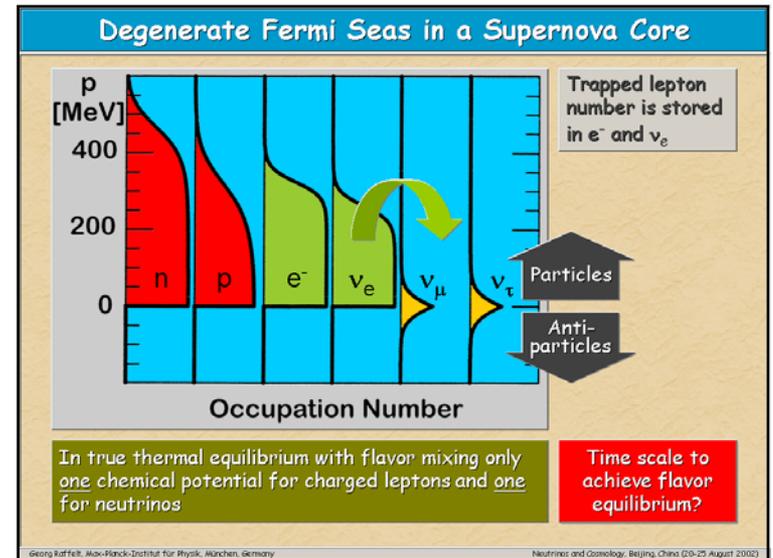
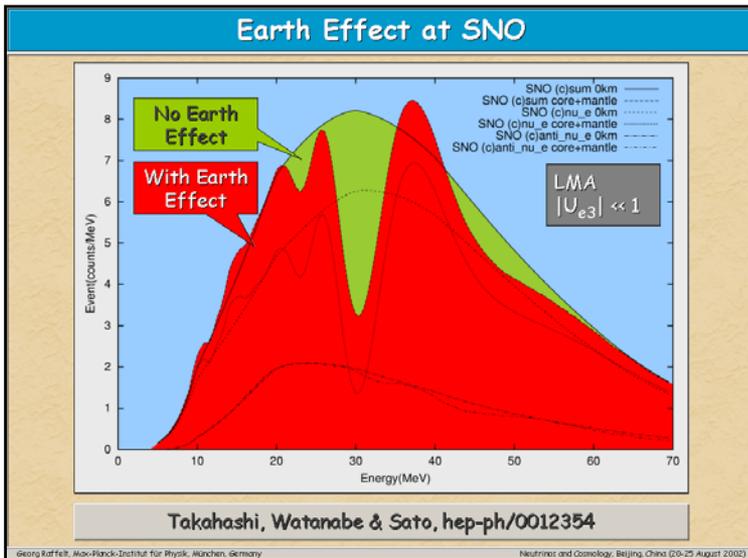
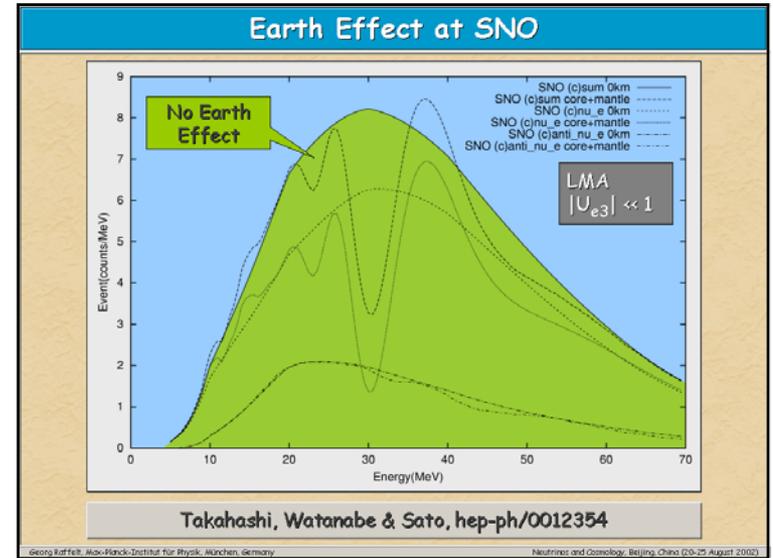
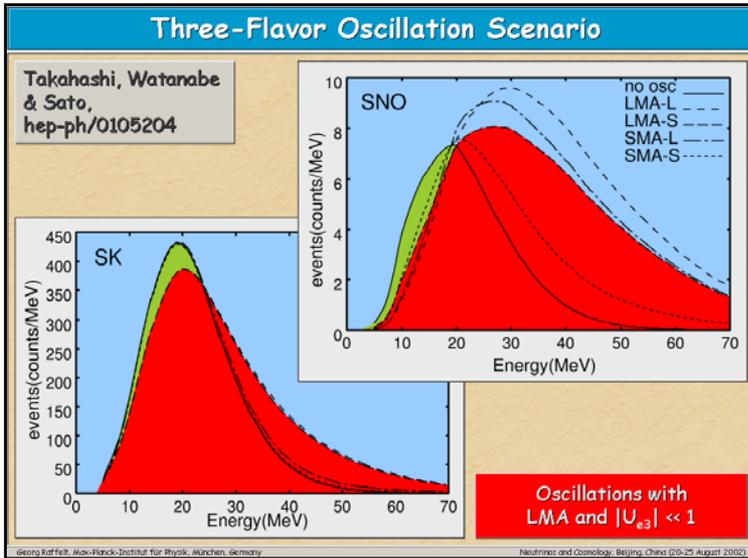
Flavor-Dependent Fluxes and Spectra

Prompt ν_e depletion burst



Numerical model of Totani, Sato, Dalhed & Wilson, ApJ 496 (1998) 216



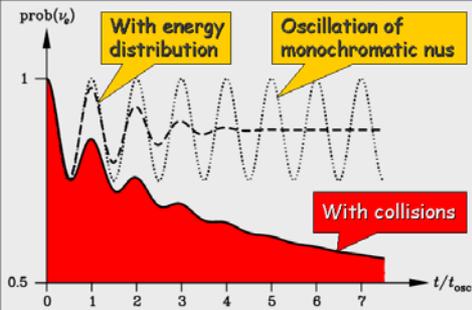


Flavor Relaxation in a Supernova Core

Neutrinos suffer collisions in a medium that can interrupt the coherence of flavor oscillations: The flavor content is "measured" and oscillations start from scratch from the "collapsed state".

Average oscillation probability $\frac{1}{2} \sin^2(2\Theta)$
Collision rate \sim damping rate Γ

Conversion rate $\frac{1}{2} \sin^2(2\Theta) \Gamma$



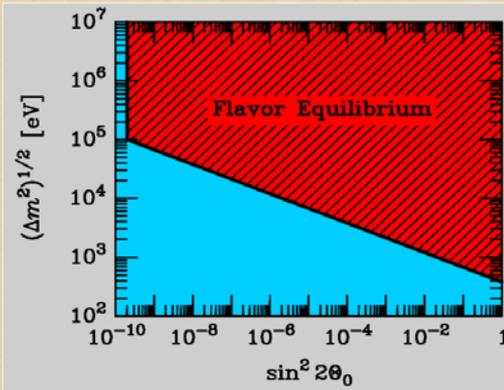
Θ is the mixing angle in the medium.
In a SN core, the weak potential corresponds to $\Delta m \sim 10\text{-}100$ keV

Vast suppression of flavor conversion for sub-eV masses.

Georg Kuffel, Max-Planck-Institut für Physik, München, Germany

Neutrinos and Cosmology, Beijing, China (20-25 August 2002)

Flavor Conversion in a Supernova Core



Within ~ 1 sec flavor equilibrium is achieved between ν_e and ν_μ or ν_τ .

Suppression of mixing angle by medium effects responsible for flavor-lepton number conservation in a supernova core

Georg Kuffel, Max-Planck-Institut für Physik, München, Germany

Neutrinos and Cosmology, Beijing, China (20-25 August 2002)

Conclusions of Lecture I



- Core-collapse supernova explosions probably explained by neutrino-driven delayed explosion mechanism
- But thus far no working numerical standard model
- Convection key to successful explosion?



- High-statistics observation of a galactic SN is crucial for empirical study of core-collapse event
- Not sensitive to sub-eV neutrino masses
- May differentiate between some mixing scenarios



- If neutrino mixing parameters in currently favored regions
- Neutrino flavor oscillations not important for SN physics
- But crucial for detector signal interpretation
- Sterile ν s and/or dipole moments can have strong effects



- Particle emission by supernova cores continues to provide most restrictive limits on various theories (axions, r.h. neutrinos, extra dimensions, ...)
- High-statistics observation would put these on firm grounds

Georg Kuffel, Max-Planck-Institut für Physik, München, Germany

Neutrinos and Cosmology, Beijing, China (20-25 August 2002)