

CMB & Cosmology: Where Are We?  
Ringberg Castle, Tegernsee, Germany, 2-6 December 2002

# Neutrinos in Physics and Astrophysics

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Neutrinos in Physics and Astrophysics

	Flavor oscillations and all that
	Quest for the absolute mass scale
	Neutrino mass and the baryon asymmetry of the universe
	Neutrinos as astrophysical messengers

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Missing Neutrinos from the Sun

Homestake  
Chlorine

Uncertainty

Calculation of expected experimental count rate from various source reactions

John Bahcall

Measurement (1970 - 1995)

Raymond Davis Jr.

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Neutrino Flavor Oscillations

Two-flavor mixing  $\begin{pmatrix} v_e \\ v_\mu \end{pmatrix} = \begin{pmatrix} \cos\theta & \sin\theta \\ -\sin\theta & \cos\theta \end{pmatrix} \begin{pmatrix} v_1 \\ v_2 \end{pmatrix}$

Each mass eigenstate propagates as  $e^{ipz}$   
with  $p = \sqrt{E^2 - m^2} \approx E - \frac{m^2}{2E}$

Phase difference  $\frac{\Delta m^2}{2E} z$  implies flavor oscillations

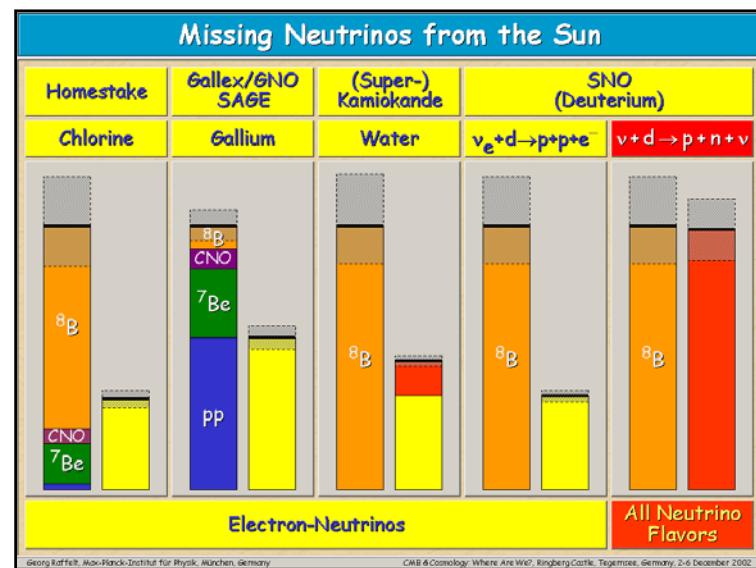
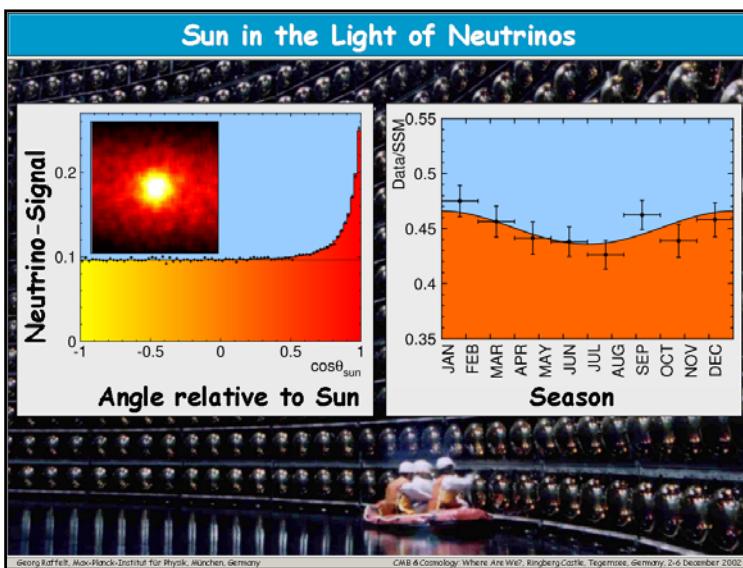
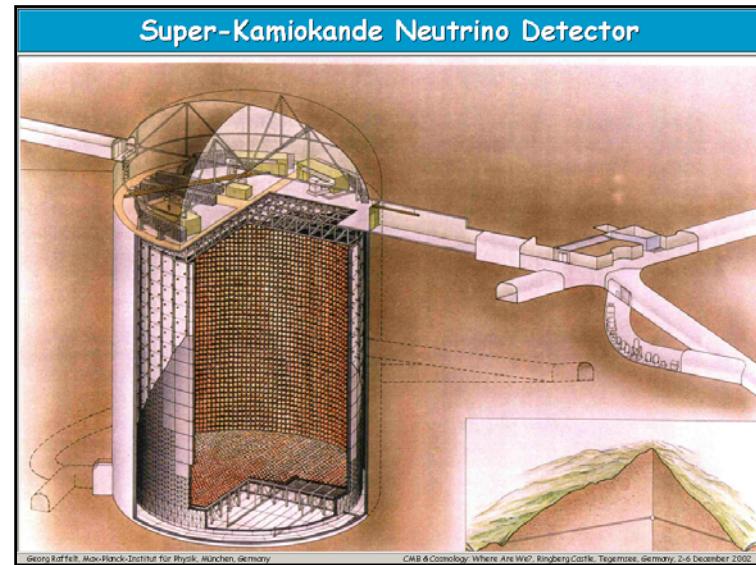
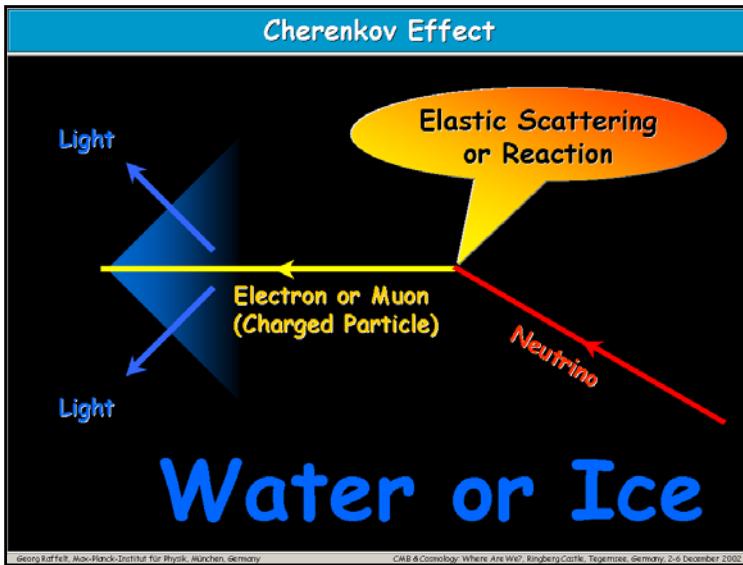
Probability  $v_e \rightarrow v_\mu$

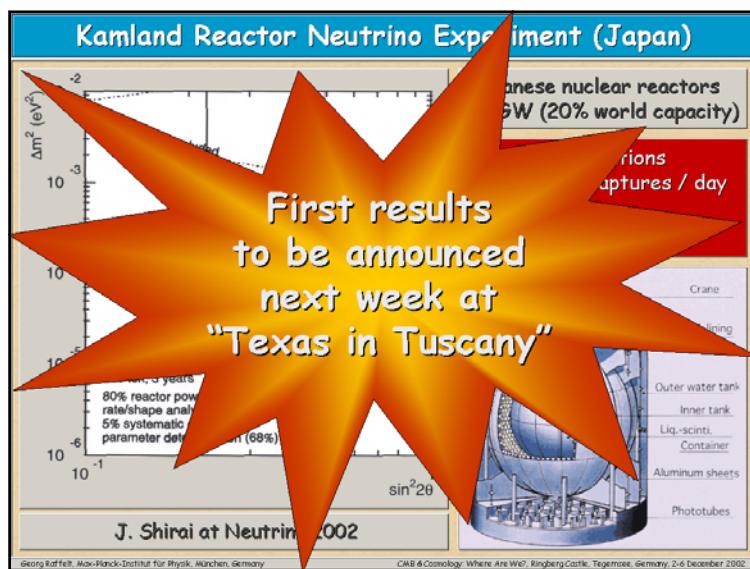
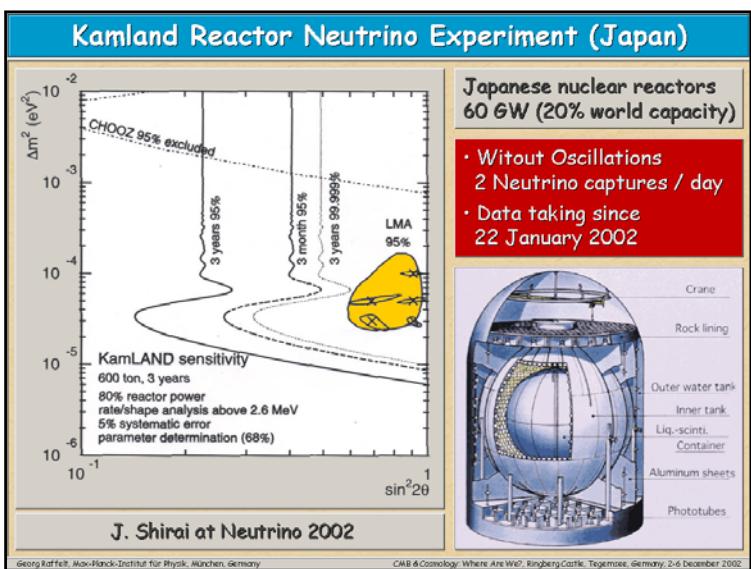
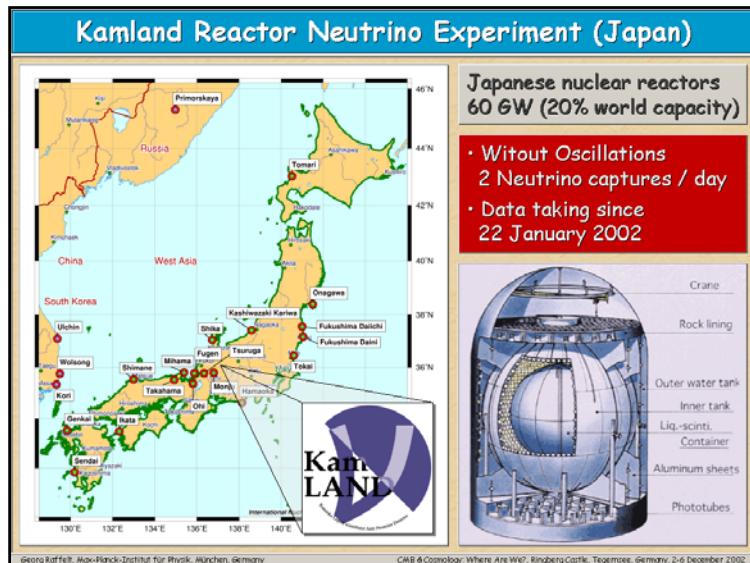
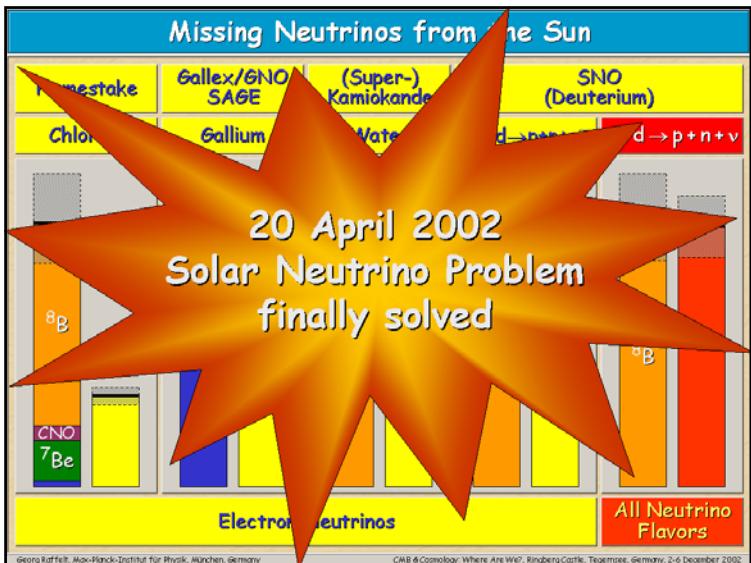
$\sin^2(2\theta)$

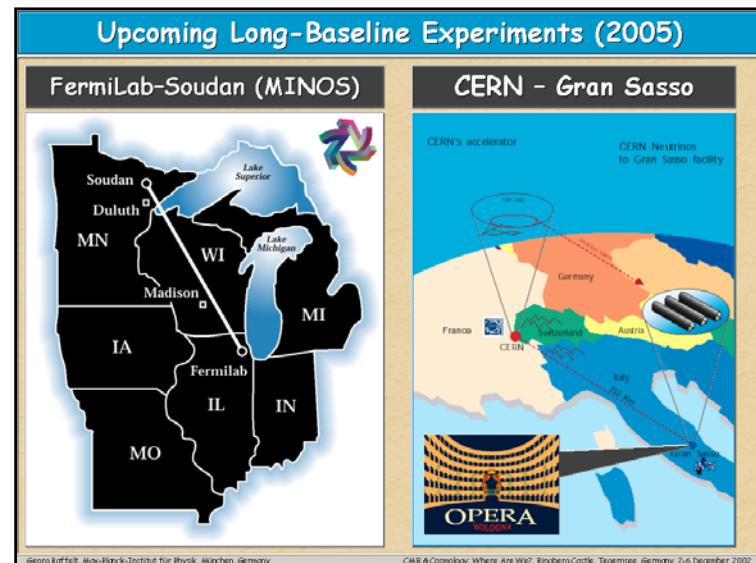
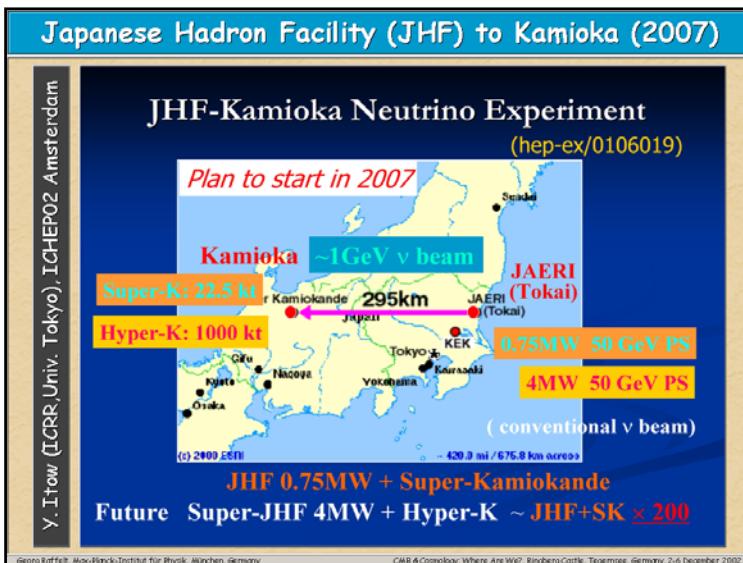
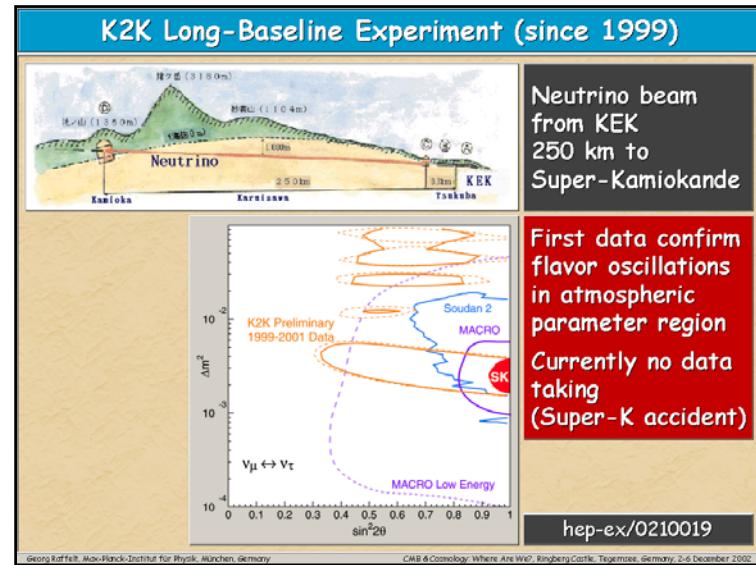
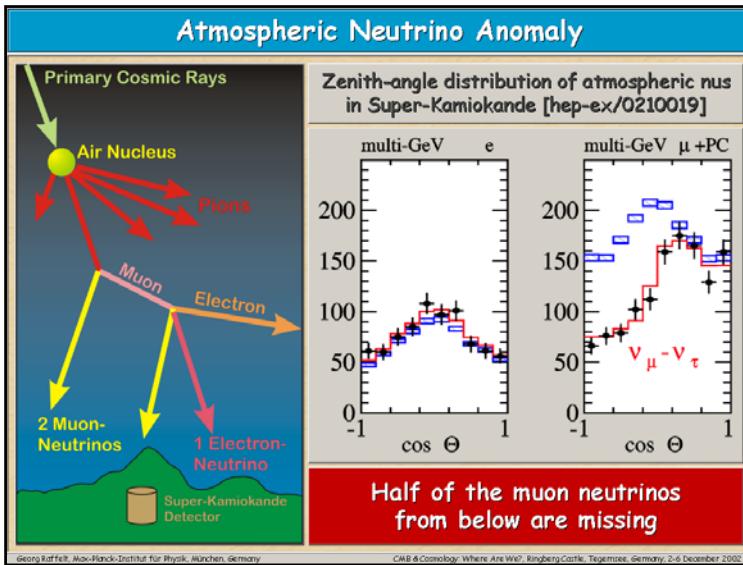
Oscillation Length  $\frac{4\pi E}{\Delta m^2} = 2.5 m \left(\frac{E}{\text{MeV}}\right) \left(\frac{eV^2}{\Delta m^2}\right)$

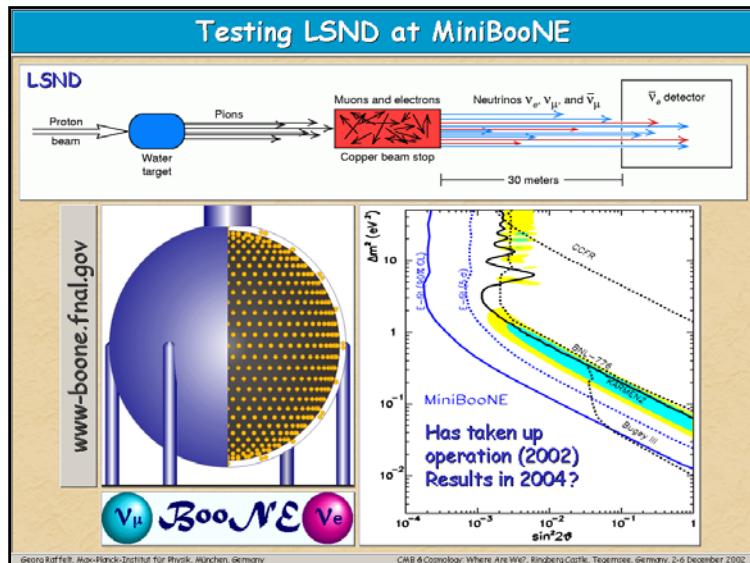
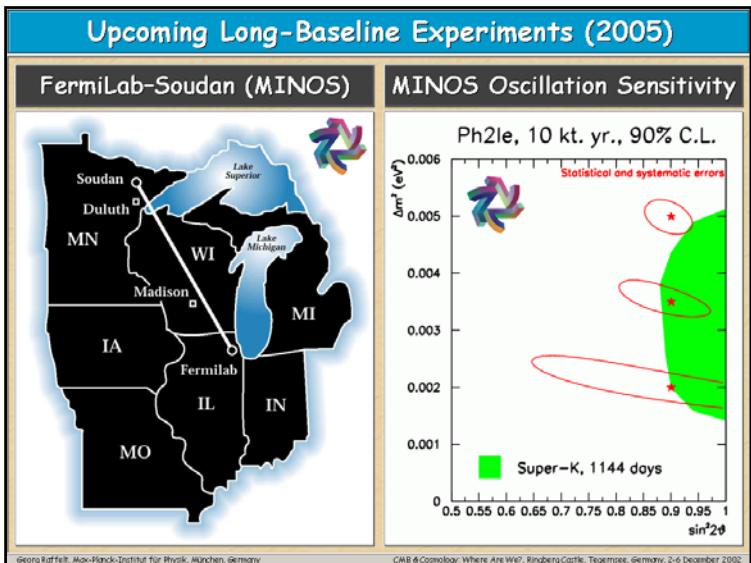
Bruno Pontecorvo (1913 - 1993)  
Invented nu oscillations

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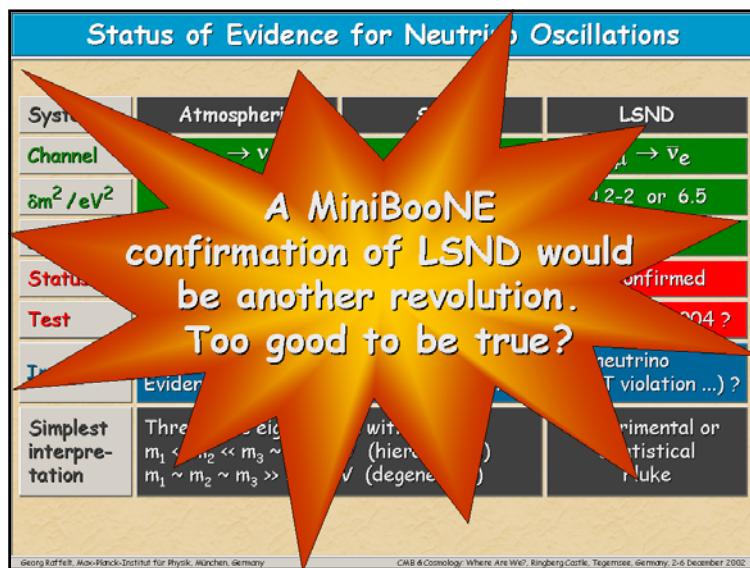




### Status of Evidence for Neutrino Oscillations

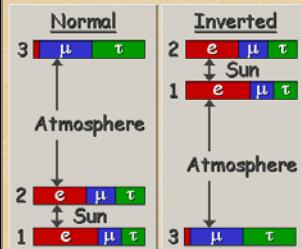
System	Atmospheric	Solar	LSND
Channel	$\nu_\mu \rightarrow \nu_\tau$	$\nu_e \rightarrow \nu_{\mu\tau}$	$\bar{\nu}_\mu \rightarrow \bar{\nu}_e$
$\delta m^2 / \text{eV}^2$	$(1.5 - 4) \times 10^{-3}$	$\text{LMA } (0.2 - 2) \times 10^{-4}$	0.2-2 or 6.5
$\sin^2 2\theta$	0.9-1	0.2-0.6	0.001-0.03
Status	Established	Established	Unconfirmed
Test	Long Baseline (K2K)	KamLAND 2002 ?	MiniBooNE 2004 ?
Implication	Mutually inconsistent, even with a sterile neutrino Evidence for physics beyond flavor oscillations (CPT violation ...) ?		
Simplest interpretation	Three mass eigenstates with $m_1 \ll m_2 \ll m_3 \sim 50 \text{ meV}$ (hierarchical) $m_1 \sim m_2 \sim m_3 \gg 50 \text{ meV}$ (degenerate)	Experimental or Statistical Fluke	

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## Three-Flavor Neutrino Parameters (Ignoring LSND)

Atmospheric $32^\circ < \theta_{23} < 60^\circ$	Chooz Limit $\theta_{13} < 14^\circ$	Solar $27^\circ < \theta_{12} < 41^\circ$	$3\sigma$ ranges hep-ph/0211054
$\begin{pmatrix} v_e \\ v_\mu \\ v_\tau \end{pmatrix} = \begin{pmatrix} 1 & & \\ C_{23} & S_{23} & \\ -S_{23} & C_{23} \end{pmatrix} \begin{pmatrix} C_{13} & e^{-i\delta} S_{13} & 1 \\ -e^{i\delta} S_{13} & C_{13} & \\ & & 1 \end{pmatrix} \begin{pmatrix} v_1 \\ v_2 \\ v_3 \end{pmatrix}$			$\Delta m^2 / \text{meV}^2$
$C_{12} = \cos \theta_{12}$ etc., $\delta$ CP-violating phase			Solar 24 - 240 Atmospheric 1400 - 6000



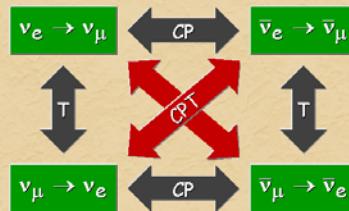
### Tasks and Open Questions

- Precision for  $\theta_{12}$  and  $\theta_{23}$  ( $\theta_{12} < 45^\circ$  and  $\theta_{23} = 45^\circ$ ?)
- How large is  $\theta_{13}$ ?
- CP-violating phase?
- Mass ordering? (normal vs inverted)
- Absolute masses? (hierarchical vs degenerate)
- Dirac or Majorana?

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## Discrete Symmetries in Neutrino Oscillations



CP Particle  $\leftrightarrow$  antiparticle  
T Time reversal  
CPT Should be conserved in local field theories

Analogous relations for any pair of neutrino flavors

Probability for the "key process"  $\bar{v}_\mu \rightarrow \bar{v}_e$  and  $v_\mu \rightarrow v_e$

$$P(v_\mu \rightarrow v_e) = \sin^2 \theta_{23} \sin^2 2\theta_{13} \sin^2 \Delta_{\text{atm}}$$

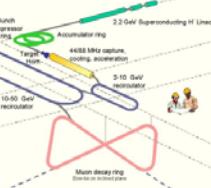
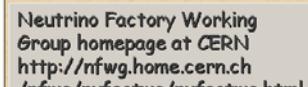
$$+ \sin 2\theta_{12} \sin 2\theta_{23} \sin 2\theta_{13} \cos \theta_{13} \Delta_{\text{sun}} \sin \Delta_{\text{atm}} (\cos \delta \cos \Delta_{\text{atm}} \pm \sin \delta \sin \Delta_{\text{atm}})$$

$$\text{where } \Delta_{\text{atm}} = \frac{\Delta m_{\text{atm}}^2 L}{4E} \text{ and } \Delta_{\text{sun}} = \frac{\Delta m_{\text{sun}}^2 L}{4E}$$

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## Long-Baseline Experiments

Nuclear reactors	Nuclear decay	Kamland (2002) Borexino (>2003?)	Solar LMA
Conventional beam	Proton beam dump $\rightarrow \pi \rightarrow \mu \nu_\mu \rightarrow e \bar{\nu}_\mu \nu_\mu \nu_e$	K2K (1999) NuMI (2005) CNGS (2005) JHF (2007)	Test and precision for atm $\sin^2 2\theta_{13} \sim 0.01$
Super beam	Primary proton beam > 1 MW	Super-JHF, Super-NuMI, ...	$\sin^2 2\theta_{13} \sim 0.001$
Beta beam	Nuclear decay (high Lorentz factor)	R&D	$\sin^2 2\theta_{13} \sim 0.0001$ CP violation Mass ordering
Neutrino factory		R&D 	
Muon storage ring $\mu \rightarrow e \bar{\nu}_\mu \nu_e$		<b>Neutrino Factory Working Group homepage at CERN</b> <a href="http://nfwg.home.cern.ch/nfwg/nufactwg/nufactwg.html">http://nfwg.home.cern.ch/nfwg/nufactwg/nufactwg.html</a>	

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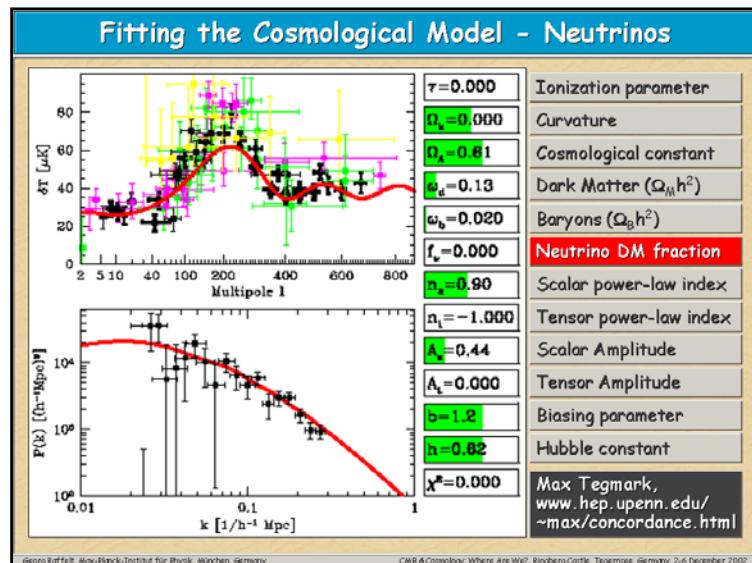
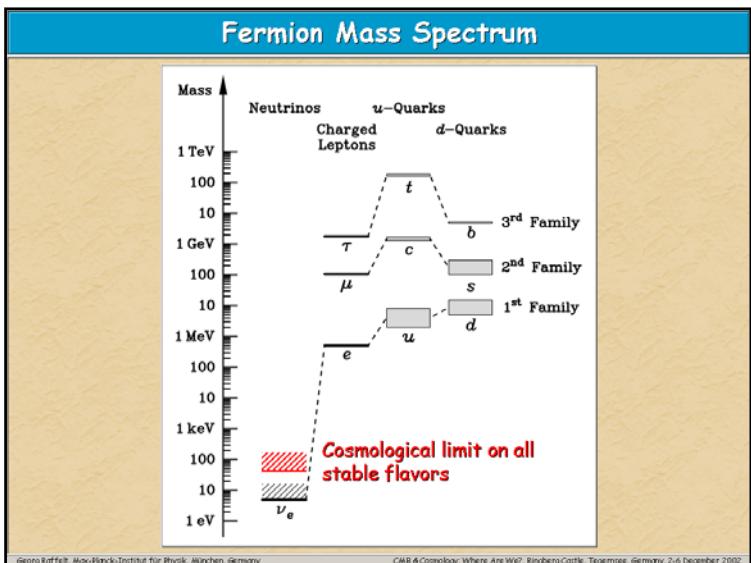
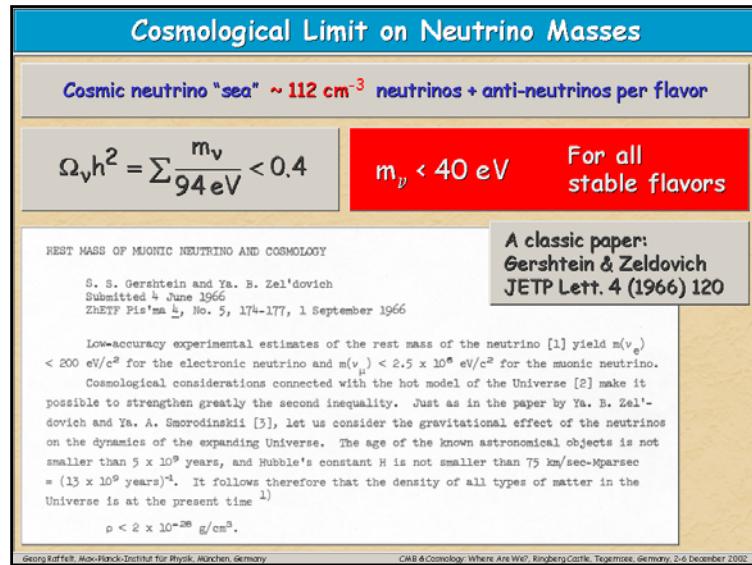
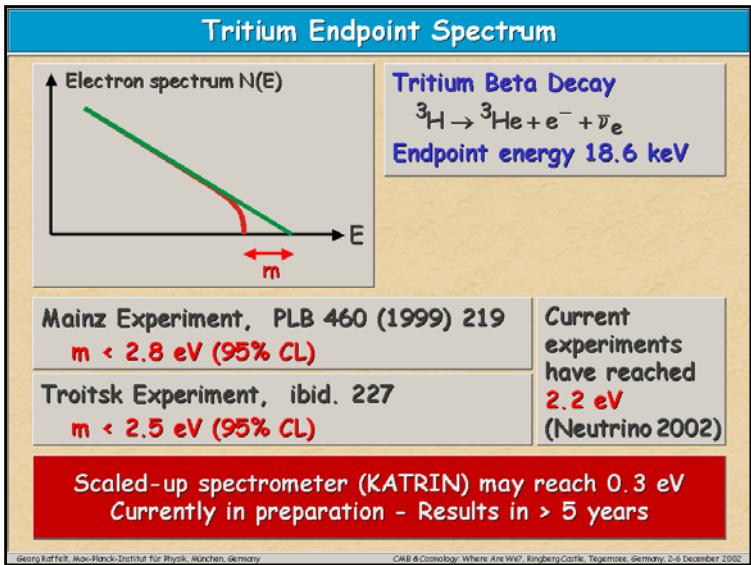
Quest for the absolute mass scale

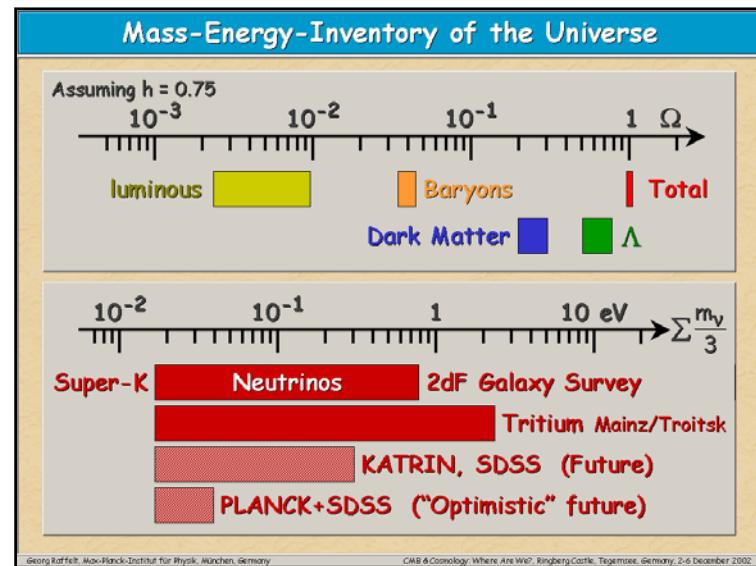
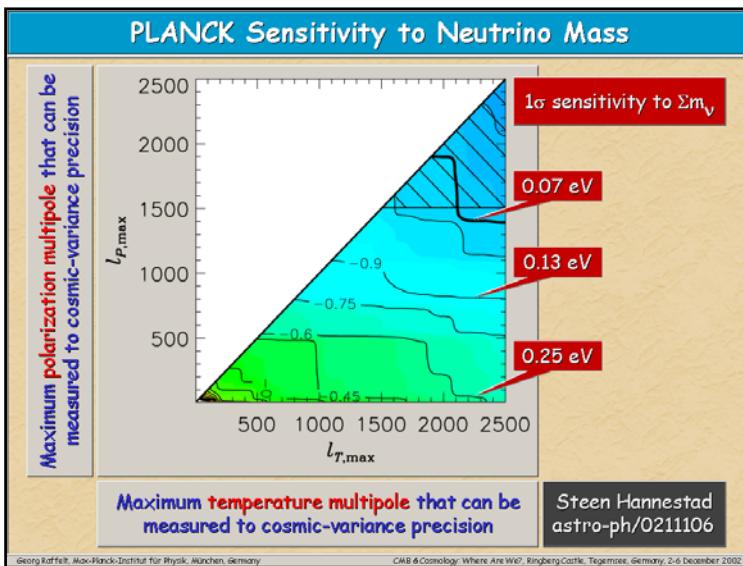
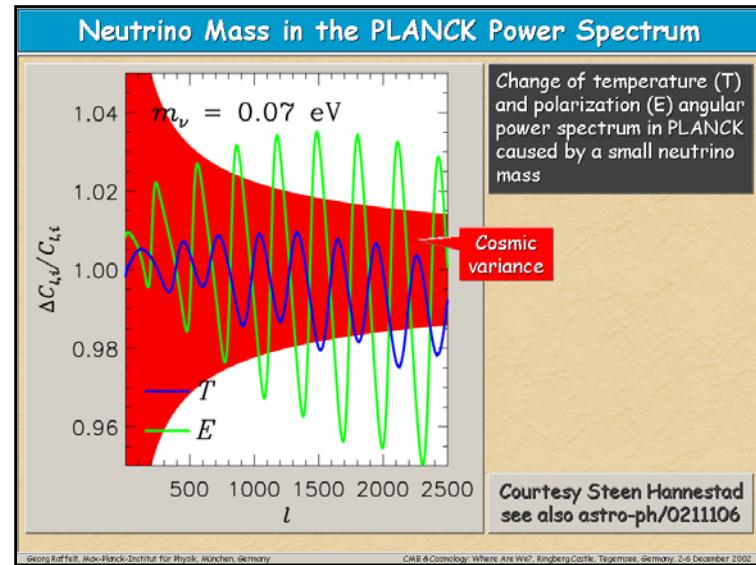
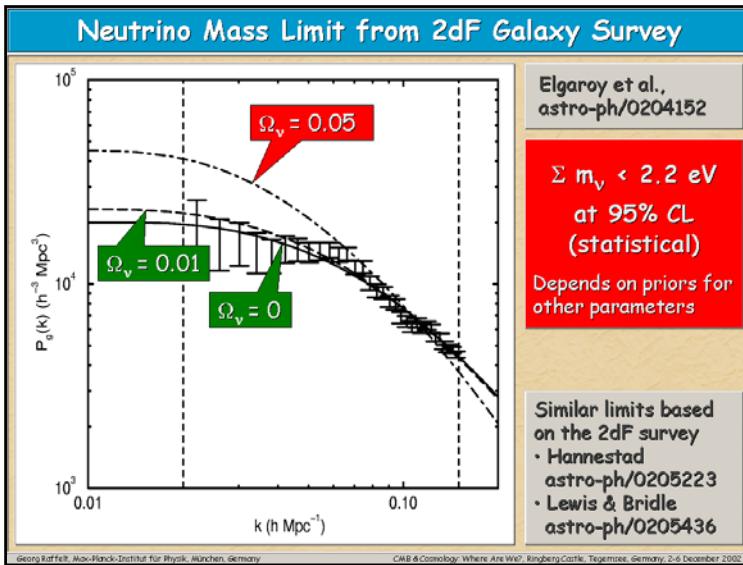
Neutrino mass and the baryon asymmetry of the universe

Neutrinos as astrophysical messengers

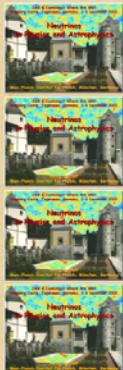
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## Baryogenesis in the Early Universe



Andrei Sakharov  
1921 - 1989

Sakharov conditions for creating the Baryon Asymmetry of the Universe (BAU)

- C and CP violation
- Baryon number violation
- Deviation from thermal equilibrium

Particle-physics standard model

- Violates C and CP
- Violates B and L by EW instanton effects (B - L conserved)

- However, electroweak baryogenesis not quantitatively possible within particle-physics standard model
- Works in SUSY models for small range of parameters

A.Riotto & M.Trodden: Recent progress in baryogenesis  
Ann. Rev. Nucl. Part. Sci. 49 (1999) 35

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## Leptogenesis by Majorana Neutrino Decays

### A classic paper

Volume 174, number 1

PHYSICS LETTERS B

26 June 1986

#### BARYOGENESIS WITHOUT GRAND UNIFICATION

M. FUKUGITA

Research Institute for Fundamental Physics, Kyoto University, Kyoto 606, Japan

and

T. YANAGIDA

Institute of Physics, College of General Education, Tohoku University, Sendai 980, Japan  
and Deutsches Elektronen-Synchrotron DESY, D-2000 Hamburg, Fed. Rep. Germany

Received 8 March 1986

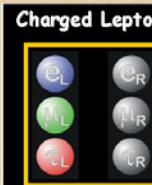
A mechanism is pointed out to generate cosmological baryon number excess without resorting to grand unified theories. The lepton number excess originating from Majorana mass terms may transform into the baryon number excess through the unsuppressed baryon number violation of electroweak processes at high temperatures.

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## See-Saw Model for Neutrino Masses

Dirac masses  
from coupling  
to standard  
Higgs field  $\phi$



Heavy  
Majorana  
masses  
 $M_j > 10^{10}$  GeV

Lagrangian for  
particle masses

$$L_{\text{mass}} = -\bar{\ell}_L \phi g_\ell e_R - \bar{\ell}_L \phi g_\nu N_R - \frac{1}{2} \bar{N}_R^c M N_R + \text{h.c.}$$

$$\begin{pmatrix} \bar{\nu}_L & \bar{N}_R \end{pmatrix} \begin{pmatrix} 0 & g_V \langle \phi \rangle \\ g_V \langle \phi \rangle & M \end{pmatrix} \begin{pmatrix} \nu_L \\ N_R \end{pmatrix}$$

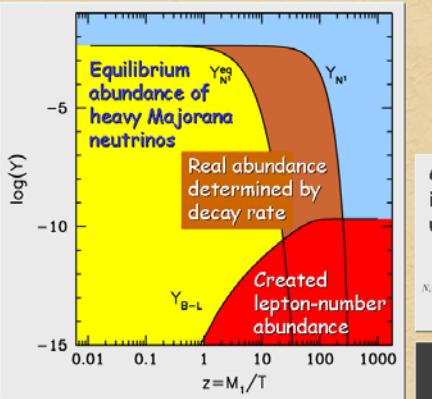
Diagonalize

$$\begin{pmatrix} \bar{\nu}_L & \bar{N}_R \end{pmatrix} \begin{pmatrix} \frac{g_V^2 \langle \phi \rangle^2}{M} & 0 \\ 0 & M \end{pmatrix} \begin{pmatrix} \nu_L \\ N_R \end{pmatrix}$$

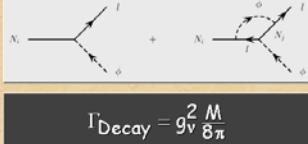
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## Leptogenesis by Out-of-Equilibrium Decay



CP-violating decays by interference of tree-level with one-loop diagram



W. Buchmüller & M. Plümacher: Neutrino masses and the baryon asymmetry  
Int. J. Mod. Phys. A15 (2000) 5047-5086

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## Leptogenesis by Majorana Neutrino Decays

In see-saw models for neutrino masses, out-of-equilibrium decay of right-handed heavy Majorana neutrinos provides source for CP- and L-violation

Cosmological evolution:

- $B = L = 0$  early on
- Thermal freeze-out of heavy Majorana neutrinos
- Out-of-equilibrium CP-violating decay creates net L
- Shift L excess into B by sphaleron effects

Sufficient deviation from equilibrium distribution of heavy Majorana neutrinos at freeze-out

Limits on Yukawa couplings

Limits on masses of ordinary neutrinos

Requires Majorana neutrino masses below 0.2 eV

Buchmüller, Di Bari & Plümacher, PLB 547 (2002) 128 [hep-ph/0209301]

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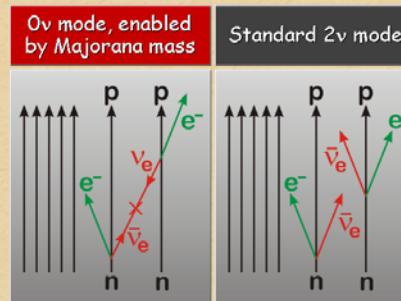
## Leptogenesis – A Popular Research Topic

Fukugita & Yanagida PLB 174 (1986) 45	Langacker, Peccei & Yanagida Mod. Phys. Lett. A 1 (1986) 541	Campbell, Davidson & Olive NPB 399 (1993) 111
Gherghetta & Jungmann PRD 48 (1993) 1546	Murayama & Yanagida PLB 322 (1994) 349	Worah PRD 53 (1996) 3902
Dine, Randall & Thomas NPB 458 (1996) 291	Buchmüller & Plümacher PLB 389 (1996) 73	Jeanerot PRL 77 (1996) 3292
Ma & Sarkar PRL 80 (1998) 5716	Plümacher NPB 530 (1998) 207	Flanz & Paschos PRD 58 (1998) 113009
Akhmedov, Rubakov & Smirnov PRL 81 (1998) 1562	Carlier, Frére & Lina PRD 60 (1999) 096003	Lazarides & Shafii PRD 58 (1998) 071702
Berger & Brahmachari PRD 60 (1999) 073009	Ellis, Lola & Nanopoulos PLB 452 (1999) 87	Giudice, Peloso, Riotto & Tkachev JHEP 9908 (1999) 014
Frére, Ling, Tytgat & v.Elewyck PRD 61 (1999) 016005	Dick, Lindner, Ratz & Wright PRL 84 (2000) 4039	Barbieri, Creminelli, Strumia & Tetradi NPB 575 (2000) 61
Asaka, Hamaguchi, Kawasaki & Yanagida PRD 61 (2000) 083512	Hambye, Ma & Sarkar PRD 62 (2000) 013007	Lalakulich, Paschos & Flanz PRD 62 (2000) 053006
Mangano & Miele PRD 62 (2000) 063514	Goldberg PLB 474 (2000) 389	Rangarajan & Mishra PRD 61 (2000) 043509
Falcone & Tramontano PRD 63 (2001) 073007	Bastero-Gil & King PRD 63 (2001) 123509	Hirsch & King PRD 64 (2001) 113005
Branco, Morozumi, Nobre & Rebelo NPB 617 (2001) 475	Hambye, Ma & Sarkar NPB 602 (2001) 23	Joshipura, Paschos & Rodejohann NPB 611 (2001) 227
AND MANY MORE ...		

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## Neutrinoless $\beta\beta$ Decay

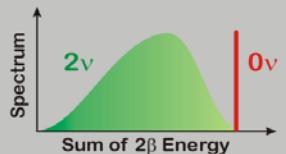


Some nuclei decay only by the  $\beta\beta$  mode, e.g.



Measured quantity  $|m_{ee}| = \left| \sum_{i=1}^N \lambda_i |U_{ei}|^2 m_i \right|$

Best limit from  $^{76}\text{Ge}$   $|m_{ee}| < 0.35$  eV



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## Summary of Current Neutrinoless $\beta\beta$ Decay Limits

### $0\nu\beta\beta$ Experimental Situation

2 main experimental approaches:

- Active Source
- Passive Source

Best  $0\nu\beta\beta$  results involve active source experiments

Experiment	Isotope	$T_{1/2}^{0\nu}$ (y)	$\langle m_\nu \rangle$ (eV)
You Ke et al. 1998	$^{48}\text{Ca}$	$> 9.5 \times 10^{21}$ (76%)	$< 8.3$
Klapdor-Kleingrothaus 2001	$^{76}\text{Ge}$	$> 1.9 \times 10^{25}$	$< 0.35$
Aalseth et al. 2002		$> 1.57 \times 10^{25}$	$< 0.33 - 1.35$
Elliott et al. 1992	$^{82}\text{Se}$	$> 2.7 \times 10^{22}$ (68%)	$< 5$
Ejiri et al. 2001	$^{100}\text{Mo}$	$> 5.5 \times 10^{22}$	$< 2.1$
Danevich et al. 2000	$^{116}\text{Cd}$	$> 7 \times 10^{22}$	$< 2.6$
Bernatowicz et al. 1993	$^{130/128}\text{Te}^*$	$(3.52 \pm 0.11) \times 10^4$	$< 1.1 - 1.5$
Bernatowicz et al. 1993	$^{128}\text{Te}^*$	$> 7.7 \times 10^{24}$	$< 1.1 - 1.5$
MI DBD – v 2002	$^{130}\text{Te}$	$> 2.1 \times 10^{23}$	$< 0.85 - 2.1$
Luescher et al. 1998	$^{136}\text{Xe}$	$> 4.4 \times 10^{23}$	$< 1.8 - 5.2$
Belli et al. 2001	$^{136}\text{Xe}$	$> 7 \times 10^{23}$	$< 1.4 - 4.1$
De Silva et al. 1997	$^{150}\text{Nd}$	$> 1.2 \times 10^{21}$	$< 3$
Danevich et al. 2001	$^{160}\text{Gd}$	$> 1.3 \times 10^{21}$	$< 26$

O.Cremenesi at Neutrino 2002

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## Summary of Future $\beta\beta$ Decay Projects

### Future projects

Experiment	Author	Isotope	Detector description	$T_{1/2}^{0\nu}$ (y)	$\langle m_\nu \rangle$ (eV)
COBRA	Zuber 2001	$^{136}\text{Te}$	10 kg CdTe semiconductors	$1 \times 10^{24}$	0.71
CUORICINO	Arnaboldi et al. 2001	$^{136}\text{Te}$	40 kg of TeO <sub>2</sub> bolometers	$1.5 \times 10^{25}$	0.19
NEMO3	Sarazin et al. 2000	$^{100}\text{Mo}$	10 kg of bismuth isotopes (7 kg Mo) with tracking	$4 \times 10^{25}$	0.56
CUORE	Arnaboldi et al. 2001	$^{136}\text{Te}$	760 kg of TeO <sub>2</sub> bolometers	$7 \times 10^{26}$	0.027
EXO	Danevich et al 2000	$^{136}\text{Xe}$	11 enriched Xe TPC	$8 \times 10^{25}$	0.052
GEM	Zdesenko et al. 2001	$^{76}\text{Ge}$	11 enriched Ge diodes in liquid nitrogen + water shield	$7 \times 10^{27}$	0.018
GENIUS	Klapdor-Kleingrothaus et al. 2001	$^{76}\text{Ge}$	11 enriched Ge diodes in liquid nitrogen	$1 \times 10^{28}$	0.015
MAJORANA	Aalseth et al 2002	$^{76}\text{Ge}$	0.5 t enriched Ge segmented diodes	$4 \times 10^{27}$	0.025
DCBA	Ishihara et al 2000	$^{150}\text{Nd}$	20 kg enriched Nd layers with tracking	$2 \times 10^{26}$	0.035
CAMEO	Bellini et al 2001	$^{116}\text{Cd}$	11 CdWO <sub>4</sub> crystals in liquid scintillator	$> 10^{26}$	0.069
CANDLES	Kishimoto et al.	$^{48}\text{Ca}$	several tons of CaF <sub>2</sub> crystal in liquid scintillator	$1 \times 10^{26}$	
GSO	Danevich 2001	$^{160}\text{Gd}$	21 Gd <sub>2</sub> SiO <sub>5</sub> :Ce crystal scintillator in liquid scintillator	$2 \times 10^{25}$	0.065
MOON	Ejiri et al 2000	$^{100}\text{Mo}$	341 natural Mo sheets between plastic scintillator	$1 \times 10^{27}$	0.036
Xe	Cacciariaga et al. 2001	$^{136}\text{Xe}$	1.56 t of enriched Xe in liquid scintillator	$5 \times 10^{26}$	0.066
XMASS	Moriyama et al. 2001	$^{136}\text{Xe}$	10 t of liquid Xe	$3 \times 10^{26}$	0.086

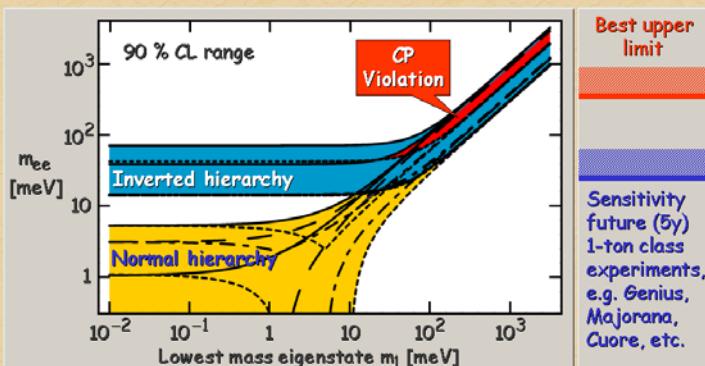
\* Staudt, Muto, Klapdor-Kleingrothaus *Eurphys. Lett* 13 (1990) 31

O.Cremenesi at Neutrino 2002

Georg Raffelt, Max-Planck-Institut für Physik, München, Germany

CMB & Cosmology: Where Are We?, Ringberg Castle, Tegernsee, Germany, 2-6 December 2002

## Effective Majorana Mass in Plausible Scenarios



Pascoli & Petcov, hep-ph/0205022

See also Feruglio, Strumia & Vissani, hep-ph/0201291

Klapdor-Kleingrothaus, Päs & Smirnov, hep-ph/0103076, and others

Georg Raffelt, Max-Planck-Institut für Physik, München, Germany

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## Neutrinos in Physics and Astrophysics

Flavor oscillations and all that

Quest for the absolute mass scale

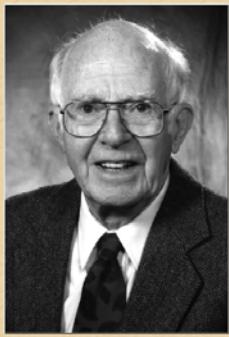
Neutrino mass and the baryon asymmetry of the universe

Neutrinos as astrophysical messengers

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## 2002 Physics Nobel Prize for Neutrino Astronomy



Ray Davis Jr.  
(\*1914)



Masatoshi Koshiba  
(\*1926)

"for pioneering contributions to astrophysics, in particular for the detection of cosmic neutrinos"

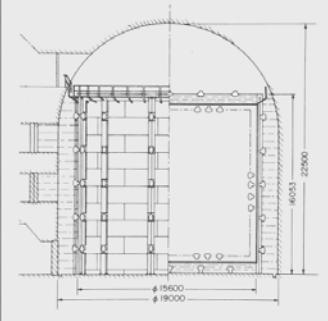


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## SN 1987A Event No.9 in Kamiokande

### Kamiokande Detector

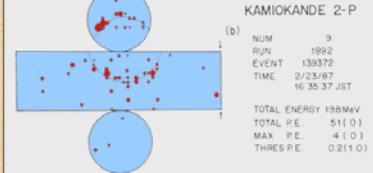


Hirata et al., PRD 38 (1988) 448

(a)  
NUM 9  
RUN 1892  
EVENT 139572  
TIME 2/23/87  
16:35:37 JST

TOTAL ENERGY 19.8 MeV  
TOTAL P.E. 51 (0)  
MAX P.E. 4 (0)  
THRES P.E. 0.2 (1.0)

KAMIOKANDE 2-P



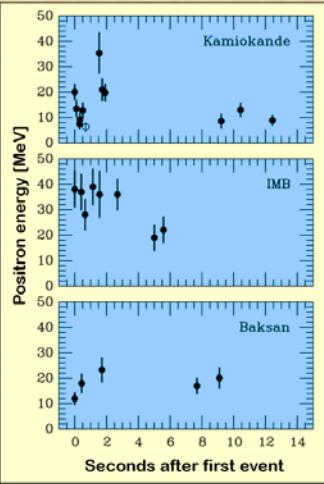
(b)  
NUM 3  
RUN 1892  
EVENT 139572  
TIME 2/23/87  
16:35:37 JST

TOTAL ENERGY 19.8 MeV  
TOTAL P.E. 51 (0)  
MAX P.E. 4 (0)  
THRES P.E. 0.2 (1.0)

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## Neutrino Signal of Supernova 1987A



Kamiokande (Japan)  
Water Cherenkov detector  
Clock uncertainty  $\pm 1$  min

Irvine-Michigan-Brookhaven (US)  
Water Cherenkov detector  
Clock uncertainty  $\pm 50$  ms

Baksan Scintillator Telescope  
(Soviet Union)  
Clock uncertainty  $+2/-54$  s

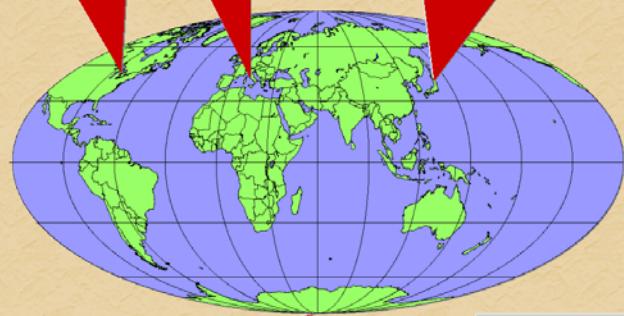
Within clock uncertainties,  
signals are contemporaneous

## Large Detectors for SN Neutrinos

SNO (800)  
MiniBooNE (190)

LVD (400)  
Borexino (80)

Super-Kamiokande (8500)  
Kamland (330)



Aranda  
IceCube

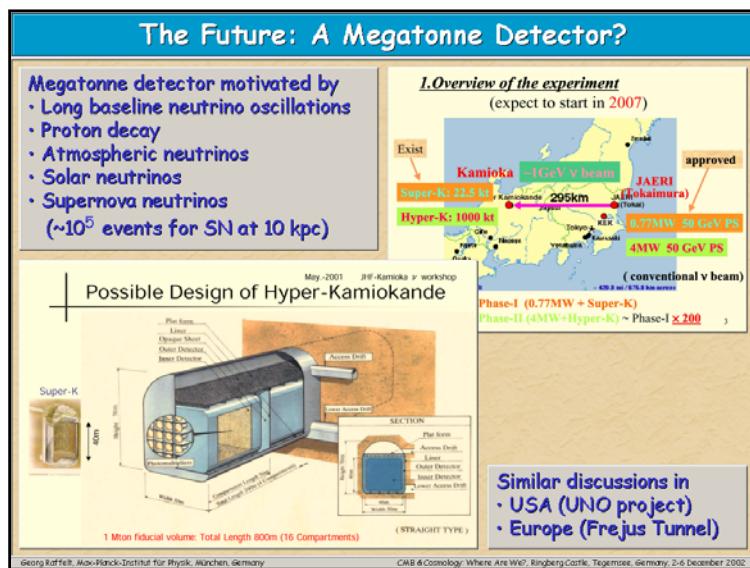
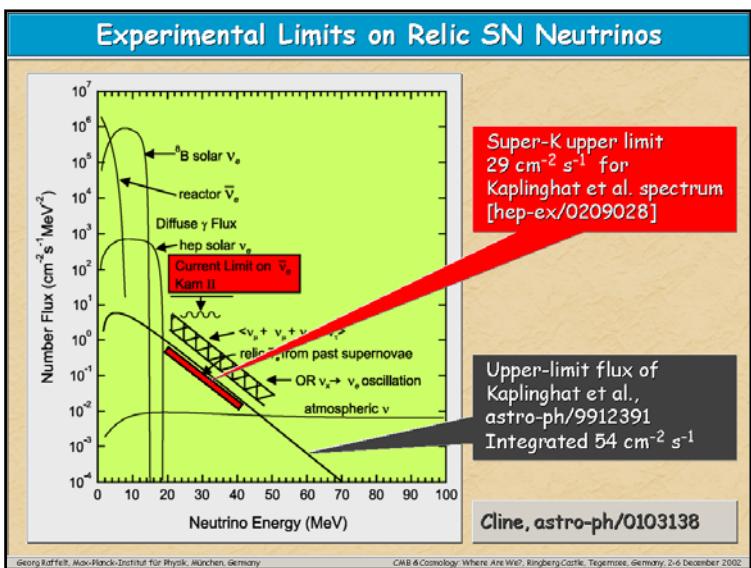
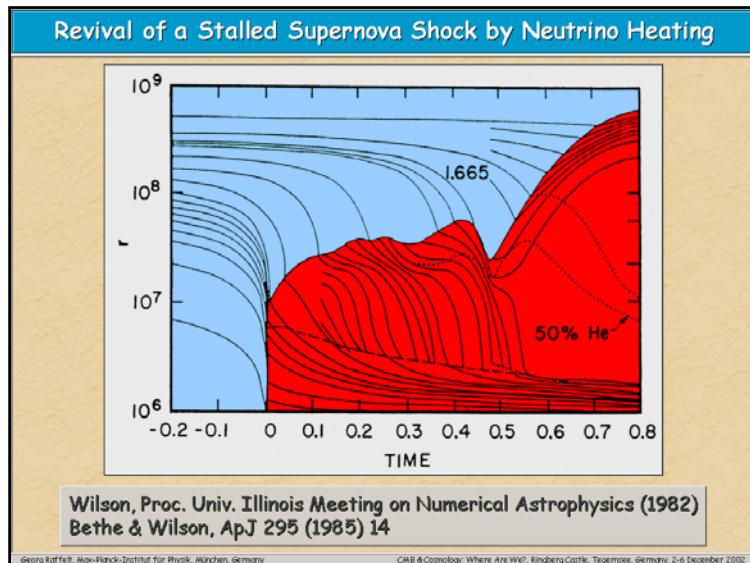
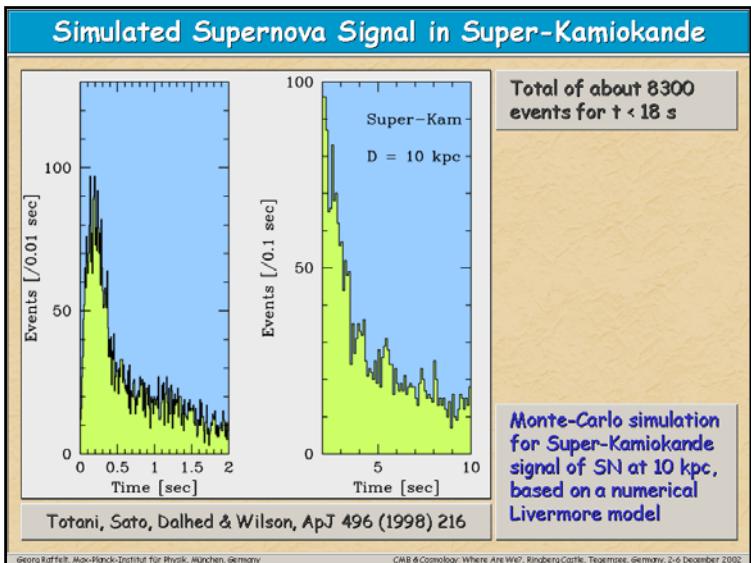
In brackets events  
for a "fiducial SN"  
at distance 10 kpc

Georg Raffelt, Max-Planck-Institut für Physik, München, Germany

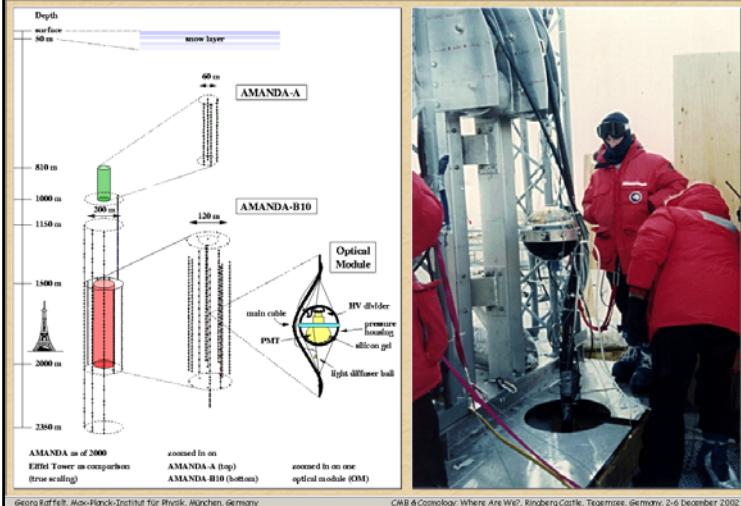
CMB & Cosmology: Where Are We?, Ringberg Castle, Tegernsee, Germany, 2-6 December 2002

Georg Raffelt, Max-Planck-Institut für Physik, München, Germany

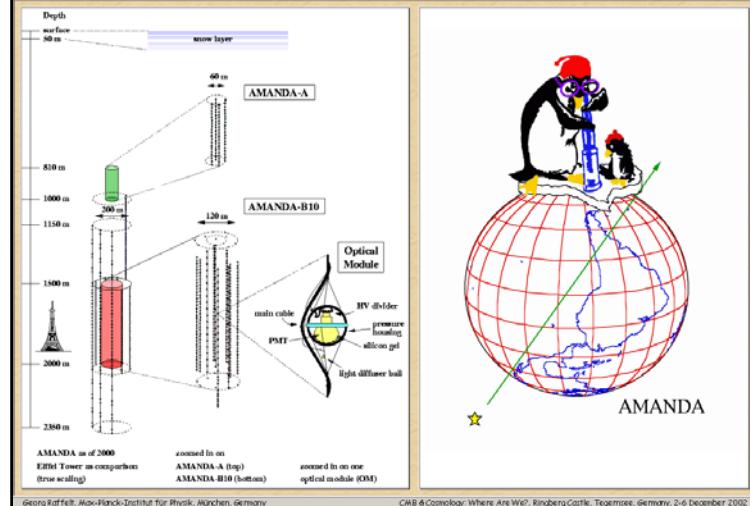
CMB & Cosmology: Where Are We?, Ringberg Castle, Tegernsee, Germany, 2-6 December 2002



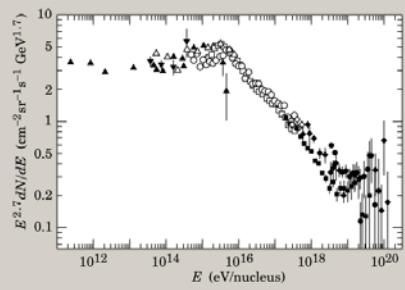
AMANDA - South Pole Neutrino Telescope



AMANDA - South Pole Neutrino Telescope



Gamma-, Neutrino- and Proton-Astronomy



Cosmic-ray spectrum  $\times E^{2.7}$

What are  
the sources?

TeV  $\gamma$  astronomy

Photon mean free path < few 10 Mpc

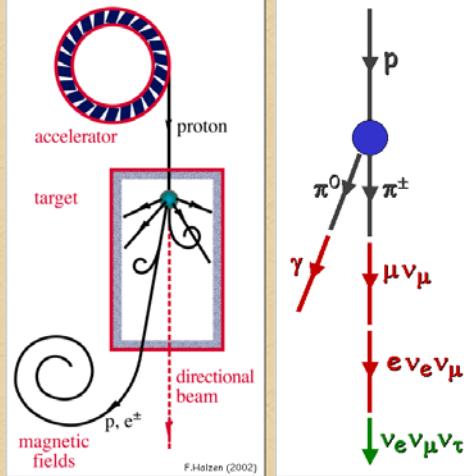
## Proton magnetic field deflection

## GZK cutoff

Opportunity for neutrino astronomy

- Point back to sources
  - No absorption (reach across the universe)

## Neutrino Beams: Heaven and Earth



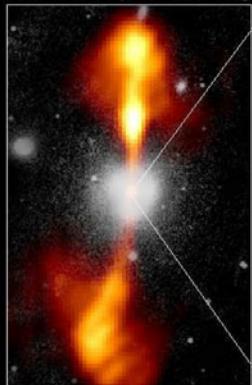
## Target: Protons or Photons

Approx. equal fluxes of photons & neutrinos

Equal neutrino fluxes  
in all flavors due to  
oscillations

## Core of the Galaxy NGC 4261

Ground-Based Optical/Radio Image



380 Arc Seconds  
88,000 LIGHTYEARS

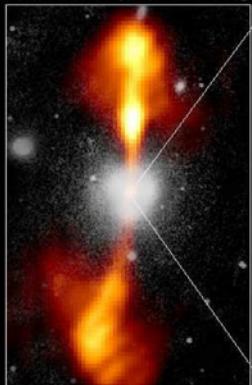
HST Image of a Gas and Dust Disk



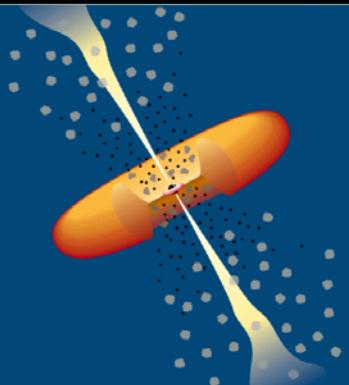
17 Arc Seconds  
400 LIGHTYEARS

## Core of the Galaxy NGC 4261

Ground-Based Optical/Radio Image



380 Arc Seconds  
88,000 LIGHTYEARS



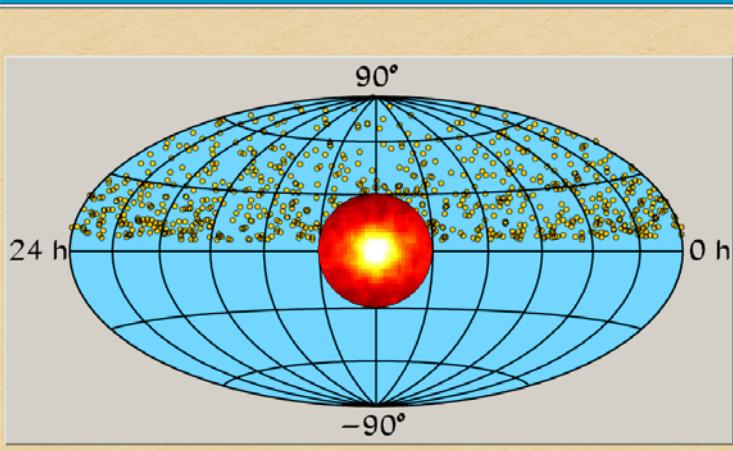
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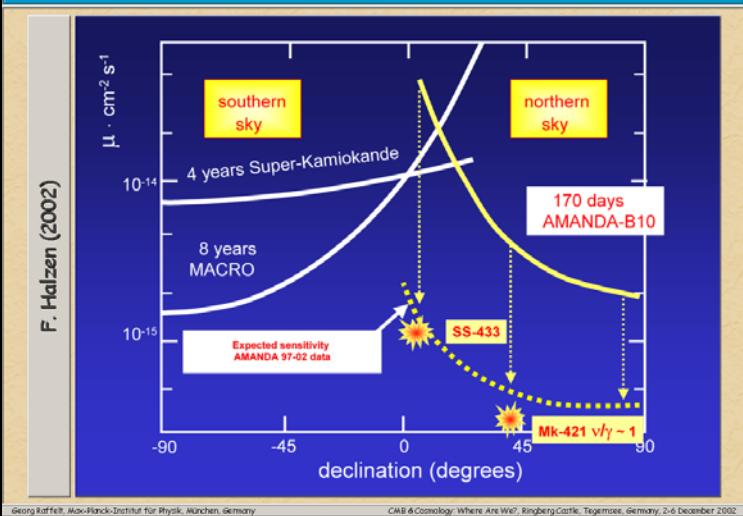
## Neutrino Sky in Amanda and Super-Kamiokande



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## Amanda Point Search Results



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