

# Stellar Evolution

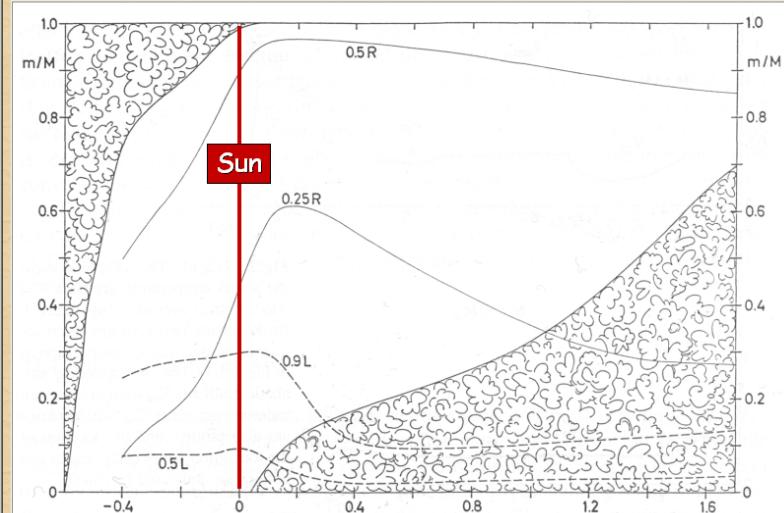
- Role of neutrinos in normal stars
- Limits on "exotic" neutrino properties
- Neutrino interactions in a medium

| Equations of Stellar Structure  |   |
|---|---|
| Assume spherical symmetry and static structure (neglect kinetic energy)<br>Excludes: Rotation, convection, magnetic fields, supernova-dynamics, ...   |   |
| Hydrostatic equilibrium   | $\frac{dP}{dr} = -\frac{G_N M_r p}{r^2}$              |
| Energy conservation   | $\frac{dL_r}{dr} = 4\pi r^2 \epsilon p$               |
| Energy transfer   | $L_r = \frac{4\pi r^2}{3\kappa p} \frac{d(aT^4)}{dr}$ |
| Literature  |   |
| <ul style="list-style-type: none"> <li>• Clayton: Principles of stellar evolution and nucleosynthesis (Univ. Chicago Press 1968)</li> <li>• Kippenhahn &amp; Weigert: Stellar structure and evolution (Springer 1990)</li> </ul>  |   |
| $r$ Radius from center<br>$P$ Pressure<br>$G_N$ Newton's constant<br>$p$ Mass density<br>$M_r$ Integrated mass up to $r$<br>$L_r$ Luminosity (energy flux)<br>$\epsilon$ Local rate of energy generation [erg/g/s]<br>$\epsilon = \epsilon_{\text{nuc}} + \epsilon_{\text{grav}} - \epsilon_{\nu}$<br>$\kappa$ Opacity<br>$\kappa^{-1} = \kappa_y^{-1} + \kappa_c^{-1}$<br>$\kappa_y$ Radiative opacity<br>$\kappa_y p = \langle \lambda_y \rangle_{\text{Rosseland}}^{-1}$<br>$\kappa_c$ Electron conduction |   |

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## Convection in Main-Sequence Stars



Kippenhahn & Weigert, Stellar Structure and Evolution (Springer 1990)

Fig. 22.7. The mass values  $m$  from centre to surface are plotted against the stellar mass  $M$  for the same zero-age main-sequence models as in Fig. 22.1. "Cloudy" areas indicate the extension of convective zones inside the models. Two solid lines give the  $m$  values at which  $r$  is  $1/4$  and  $1/2$  of the total radius  $R$ . The dashed lines show the mass elements inside which  $50\%$  and  $90\%$  of the total luminosity  $L$  are produced

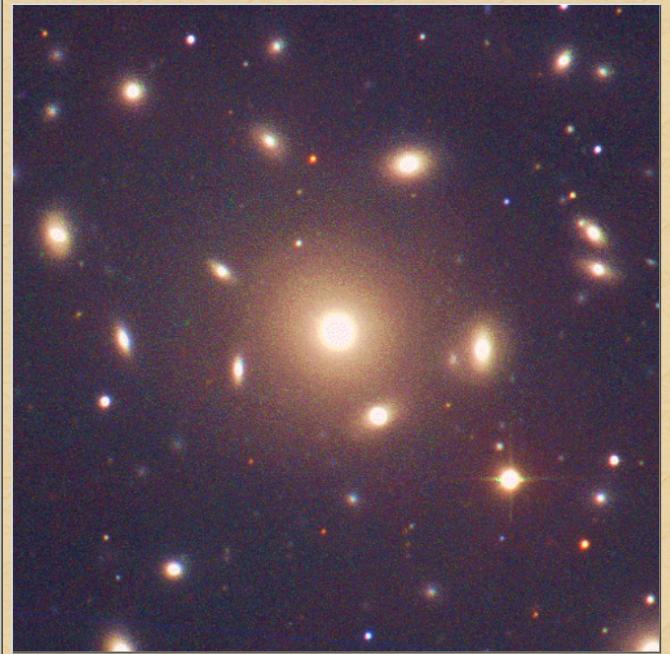
## Virial Theorem and Hydrostatic Equilibrium

|  |  |
|--|--|
| Hydrostatic equilibrium  | $\frac{dP}{dr} = -\frac{G_N M_r p}{r^2}$                                       |
| Integrate both sides   | $\int_0^R dr 4\pi r^3 P' = - \int_0^R dr 4\pi r^3 \frac{G_N M_r p}{r^2}$       |
| L.h.s. partial integration with $P=0$ at surface $R$                       | $-3 \int_0^R dr 4\pi r^2 P = E_{\text{grav}}^{\text{tot}}$                     |
| Classical monatomic gas: $P = \frac{2}{3}U$ (U density of internal energy) | $U^{\text{tot}} = -\frac{1}{2}E_{\text{grav}}^{\text{tot}}$                    |
| Average energy of single "atoms" of the gas                                | $\langle E_{\text{kin}} \rangle = -\frac{1}{2}\langle E_{\text{grav}} \rangle$ |
|  | Virial Theorem   |
| Most important tool to understand self-gravitating systems                 |  |

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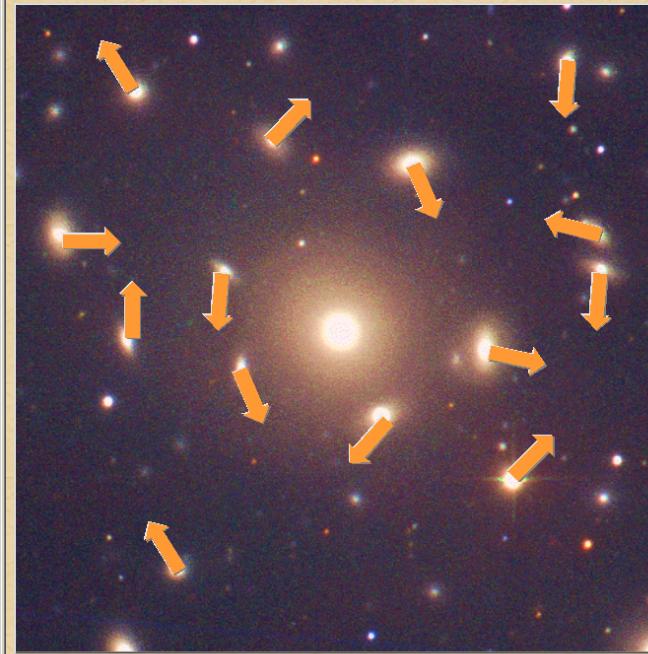
## Coma Cluster of Galaxies



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## Coma Cluster of Galaxies



Velocities from  
Doppler shifts of  
spectral lines

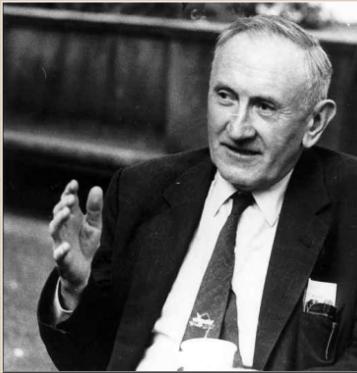


Estimate mass  
of galaxy cluster

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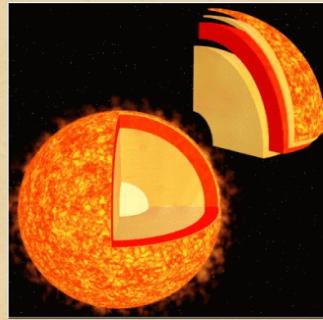
## Dark Matter in Galaxy Clusters



Fritz Zwicky  
"Die Rotverschiebung von  
Extragalaktischen Nebeln"  
[Helv. Phys. Acta 6 (1933) 110]

[...] In order to obtain the observed average Doppler effect of 1000 km/s or more, the average density of the Coma cluster would have to be at least 400 times larger than what is found from observations of the luminous matter. Should this be confirmed one would find the surprising result that **dark matter** is far more abundant than luminous matter. [...]

## Virial Theorem Applied to the Sun



$$\langle E_{\text{kin}} \rangle = -\frac{1}{2} \langle E_{\text{grav}} \rangle$$

Virial Theorem

Approximate Sun as a homogeneous sphere with

$$\text{Mass } M_{\odot} = 1.99 \times 10^{33} \text{ g}$$

$$\text{Radius } R_{\odot} = 6.96 \times 10^{10} \text{ cm}$$

Gravitational potential energy of a proton near center of the sphere

$$\langle E_{\text{grav}} \rangle = -\frac{3}{2} \frac{G M_{\odot} m_p}{R_{\odot}} = -3.2 \text{ keV}$$

Central temperature  
from standard solar  
models

$$T_c = 1.56 \times 10^7 \text{ K} \\ = 1.34 \text{ keV}$$

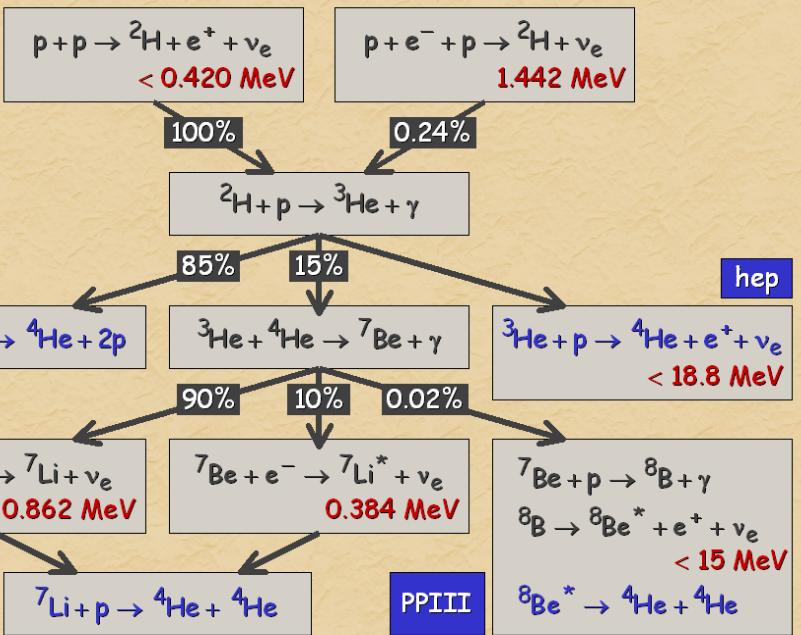
Thermal velocity distribution

$$\langle E_{\text{kin}} \rangle = \frac{3}{2} k_B T = -\frac{1}{2} \langle E_{\text{grav}} \rangle$$

Estimated temperature

$$T = 1.1 \text{ keV}$$

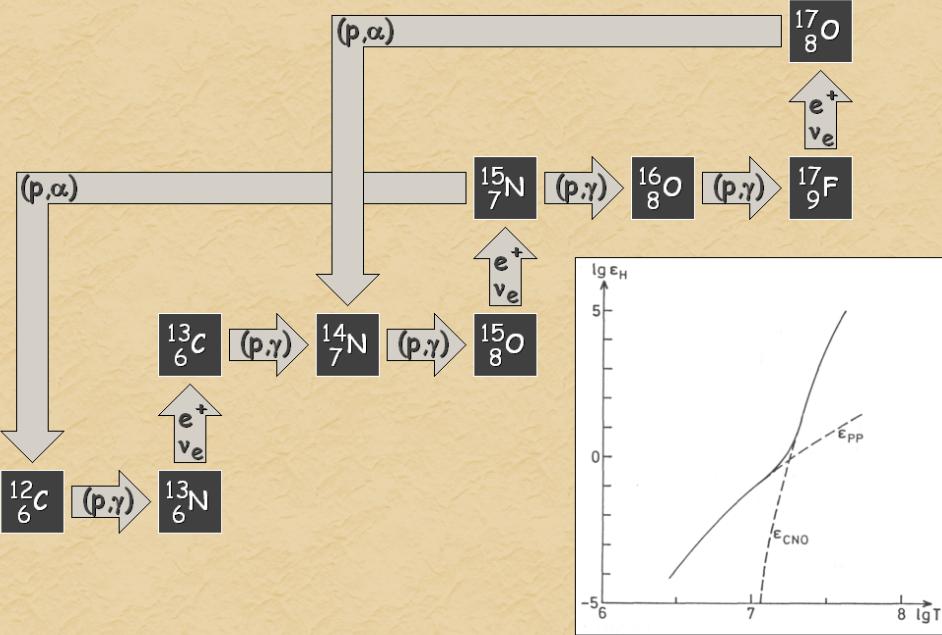
## Hydrogen burning: Proton-Proton Chains



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## Hydrogen Burning: CNO Cycle



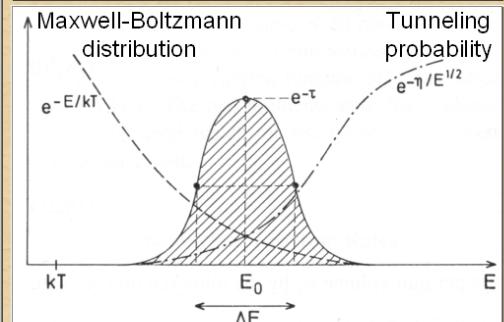
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## Thermonuclear Reactions and Gamow Peak

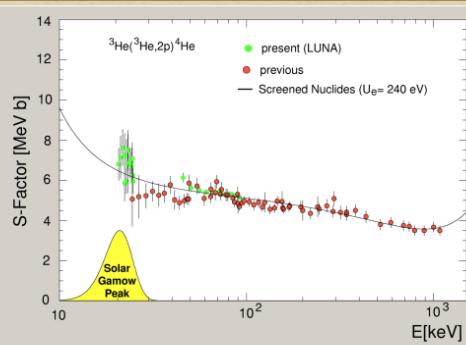
Coulomb repulsion prevents nuclear reactions, except for Gamow tunneling

Tunneling probability  $\propto E^{-1/2} e^{-2\pi\eta}$  with Sommerfeld parameter  $\eta = \left(\frac{m}{2}\right)^{1/2} \frac{Z_1 Z_2 e^2}{E^{1/2}}$



Parameterize cross section with astrophysical S-factor

$$S(E) = \sigma(E) E e^{2\pi\eta(E)}$$



### Hydrogen burning $4p + 2e^- \rightarrow ^4He + 2\nu_e$

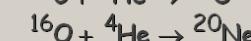
- Proceeds by pp chains and CNO cycle
- No higher elements are formed because no stable isotope with mass number 8
- Neutrinos from  $p \rightarrow n$  conversion
- Typical temperatures:  $10^7$  K ( $\sim 1$  keV)

- Each type of burning occurs at very different T but broad range of density
- Never co-exist in same location

### Helium burning



"Triple alpha reaction" because  $^8Be$  unstable, builds up with concentration  $\sim 10^{-9}$



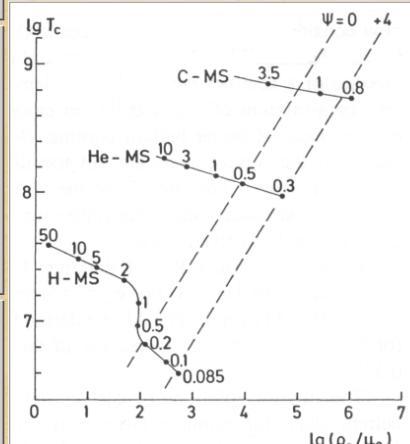
Typical temperatures:  $10^8$  K ( $\sim 10$  keV)

### Carbon burning

Many reactions, for example



Typical temperatures:  $10^9$  K ( $\sim 100$  keV)



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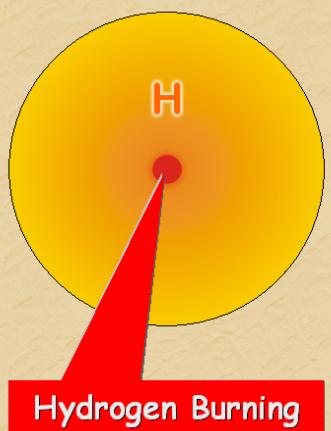
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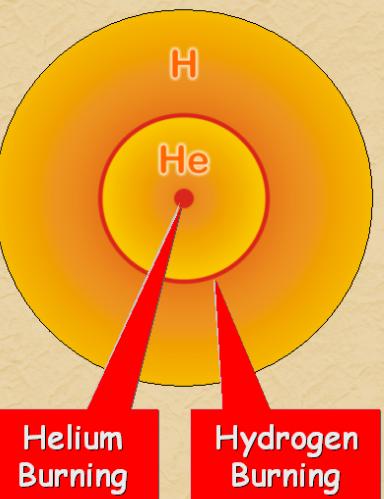
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## Hydrogen Exhaustion

Main-sequence star



Helium-burning star



## Burning Phases of a 15 Solar-Mass Star

| Burning Phase | Dominant Process                      | $T_c$ [keV] | $\rho_c$ [ $\text{g}/\text{cm}^3$ ] | $L_\gamma [10^4 L_{\text{sun}}]$ | $L_v/L_\gamma$       | Duration [years]  |
|---------------|---------------------------------------|-------------|-------------------------------------|----------------------------------|----------------------|-------------------|
| Hydrogen      | $\text{H} \rightarrow \text{He}$      | 3           | 5.9                                 | 2.1                              | -                    | $1.2 \times 10^7$ |
| Helium        | $\text{He} \rightarrow \text{C, O}$   | 14          | $1.3 \times 10^3$                   | 6.0                              | $1.7 \times 10^{-5}$ | $1.3 \times 10^6$ |
| Carbon        | $\text{C} \rightarrow \text{Ne, Mg}$  | 53          | $1.7 \times 10^5$                   | 8.6                              | 1.0                  | $6.3 \times 10^3$ |
| Neon          | $\text{Ne} \rightarrow \text{O, Mg}$  | 110         | $1.6 \times 10^7$                   | 9.6                              | $1.8 \times 10^3$    | 7.0               |
| Oxygen        | $\text{O} \rightarrow \text{Si}$      | 160         | $9.7 \times 10^7$                   | 9.6                              | $2.1 \times 10^4$    | 1.7               |
| Silicon       | $\text{Si} \rightarrow \text{Fe, Ni}$ | 270         | $2.3 \times 10^8$                   | 9.6                              | $9.2 \times 10^5$    | 6 days            |

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## Neutrinos from Thermal Plasma Processes

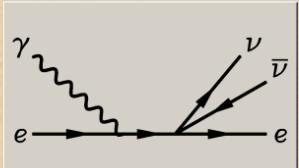
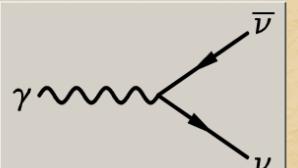


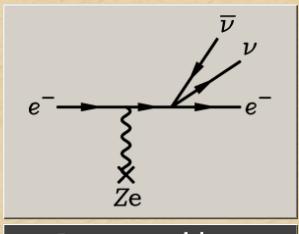
Photo (Compton)



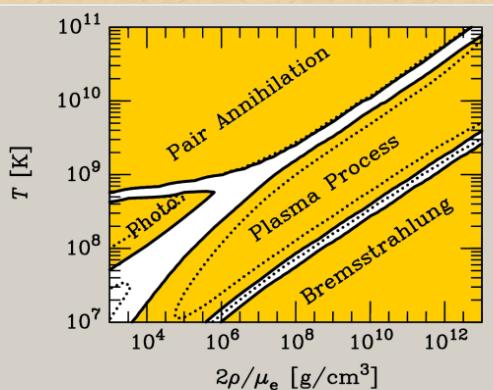
Plasmon decay



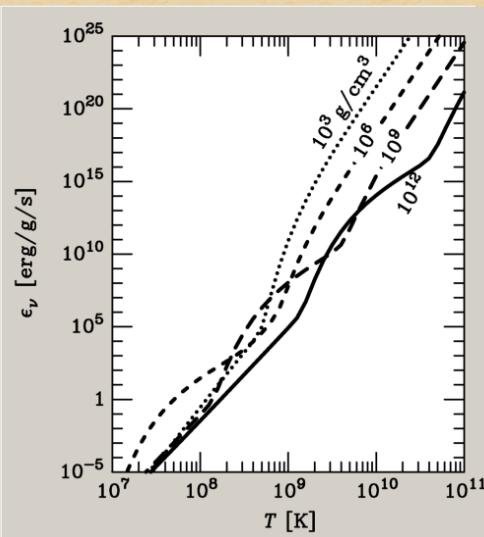
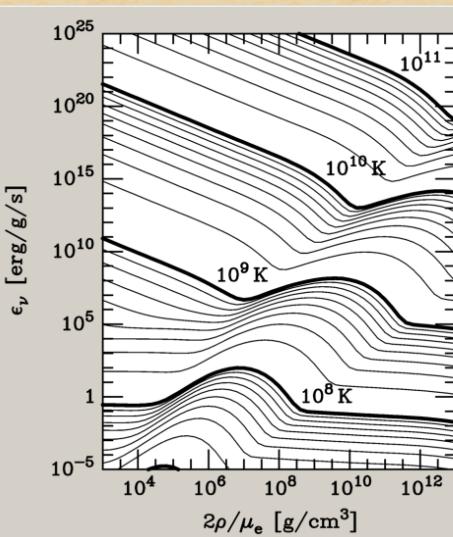
Pair annihilation



Bremsstrahlung



## Neutrino Energy Loss Rates



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# Existence of Direct Neutrino-Electron Coupling

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9 MARCH 1970

## ASTROPHYSICAL DETERMINATION OF THE COUPLING CONSTANT FOR THE ELECTRON-NEUTRINO WEAK INTERACTION

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Goddard Institute for Space Studies, National Aeronautics and Space Administration, New York, New York 10025  
(Received 22 December 1969)

The existence of the  $(\bar{e}\nu_e)(\bar{\nu}_e e)$  weak interaction is confirmed by the results of nine astrophysical tests. The value of the coupling constant is equal to, or close to, the coupling constant of beta decay, namely,  $g^2 = 10^{0+2} g_\beta^2$ .

Of all the astrophysical tests applied so far for the inference of a direct electron-neutrino interaction in nature, none has unambiguously provided a useful upper limit on the coupling constant, which in the  $V-A$  theory of Feynman and Gell-Mann<sup>1</sup> is taken to be equal to the "universal" weak-interaction coupling constant measured from beta decays (called  $g_\beta$  hereafter). However, it is important to point out that these tests, made by the author and his colleagues during the past eight years, do provide a nonzero lower limit, and therefore establish at least the existence of the  $(\bar{e}\nu_e)(\bar{\nu}_e e)$  interaction. It should be emphasized, nonetheless, that all of these tests rely on the validity of various stellar model calculations. These models, while not subject to scrutiny in the same sense as a laboratory ex-

periment, nevertheless provide relative theoretical lifetimes, calculated with and without the inclusion of neutrino emission. In this Letter, the unmodified term "luminosity" will mean the photon luminosity  $L$  radiated by the star. The "neutrino luminosity" will be designated  $L_\nu$ . Quantities referring to the sun are subscripted with an encircled dot.

The most accurate available data on white dwarfs are those collected by Eggen<sup>7</sup> for the two clusters Hyades and Pleiades and for the nearby general field. Of chief interest here are the hot white dwarfs, for which the observational data<sup>7,8</sup> have been reduced following the procedure of Van Horn.<sup>9</sup> The resulting luminosities are estimated to have a statistical accuracy of  $\pm 0.1$  in  $\log(L/L_\odot)$ , which is adequate here.

Models of cooling white dwarfs have been con-

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## Degenerate Stars ("White Dwarfs")

Assume T very small  
→ No thermal pressure  
→ Electron degeneracy for pressure

Inverse mass-radius relationship  
for degenerate stars  $R \propto M^{-1/3}$

Pressure  $\sim$  Momentum density  $\times$  Velocity

- Electron density  $n_e = p_F^3 / (3\pi^2)$
- Momentum  $p_F$  (Fermi momentum)
- Velocity  $v \sim p_F/m_e$
- Pressure  $P \propto p_F^5 \propto p^{5/3} \propto M^{5/3} R^{-5}$
- Density  $\rho \propto M R^{-3}$   
(Stellar mass  $M$  and radius  $R$ )

Hydrostatic equilibrium

$$\frac{dp}{dr} = -\frac{G_N M \rho p}{r^2}$$

With  $dp/dr \sim -P/R$  we have approximately

$$P \sim G_N M \rho R^{-1} \sim G_N M^2 R^{-4}$$

$$R = 10,500 \text{ km} \left( \frac{0.6 M_\odot}{M} \right)^{1/3} (2Y_e)^{5/3}$$

( $Y_e$  electrons per nucleon)

For sufficiently large mass  
electrons become relativistic

- Velocity = speed of light
- Pressure

$$P \propto p_F^4 \propto p^{4/3} \propto M^{4/3} R^{-4}$$

No stable configuration

$$\text{Chandrasekhar mass limit}$$

$$M_{\text{Ch}} = 1.457 M_\odot (2Y_e)^2$$

## Self-Regulated Nuclear Burning

Virial Theorem  $\langle E_{\text{kin}} \rangle = -\frac{1}{2} \langle E_{\text{grav}} \rangle$

### Small Contraction

- Heating
- Increased nuclear burning
- Increased pressure
- Expansion



Main-Sequence Star

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### Additional energy loss ("cooling")

- Loss of pressure
- Contraction
- Heating
- Increased nuclear burning

### Hydrogen burning at a nearly fixed T

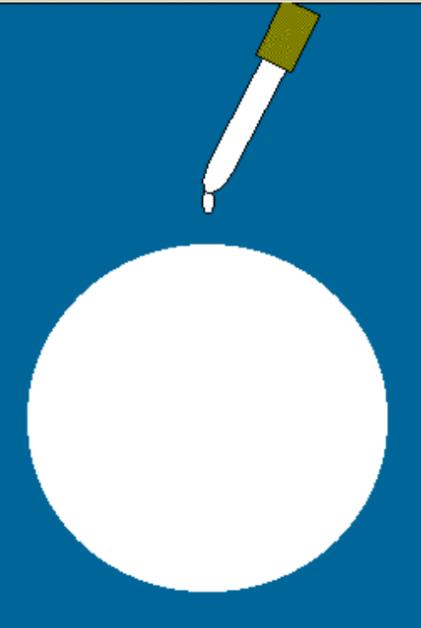
- Gravitational potential nearly fixed:  
 $G_N M/R \sim \text{constant}$
- $R \propto M$  (More massive stars bigger)

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## Degenerate Stars

Inverse mass-radius relationship  
for degenerate stars  $R \propto M^{-1/3}$



$$\text{Chandrasekhar mass limit}$$

$$M_{\text{Ch}} = 1.457 M_\odot (2Y_e)^2$$

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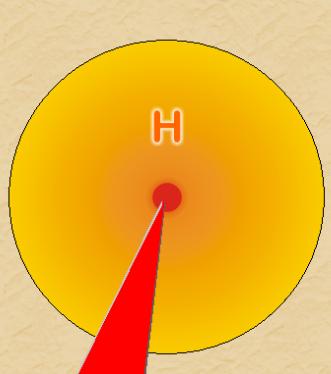
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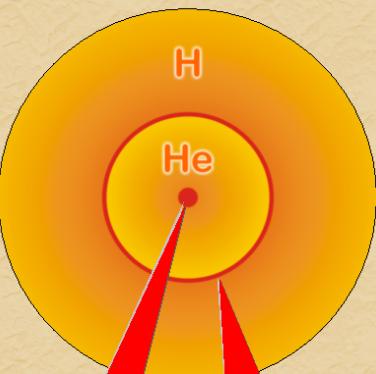
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# Stellar Collapse

## Main-sequence star

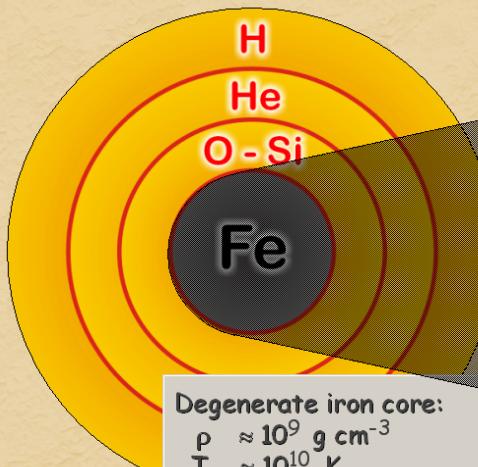


## Helium-burning star

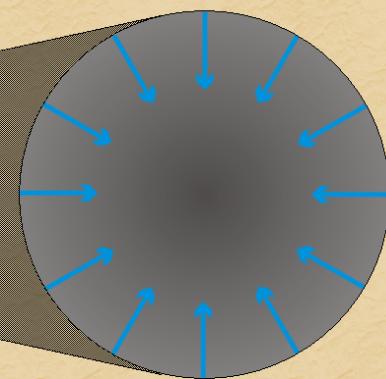


# Stellar Collapse

## Onion structure



## Collapse (implosion)

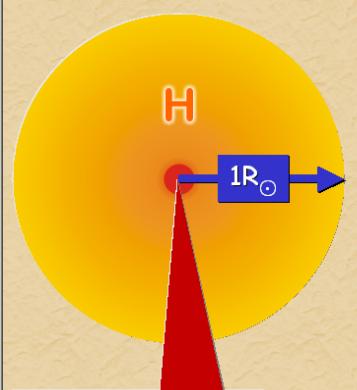


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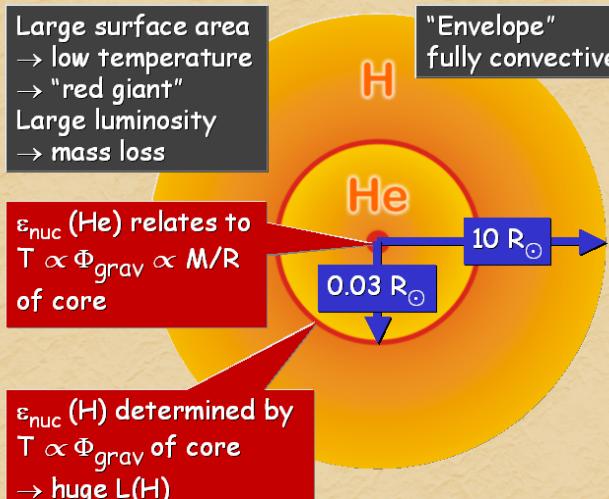
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# Giant Stars

## Main-sequence star $1M_{\odot}$ (Hydrogen burning)



## Helium-burning star $1M_{\odot}$



Large surface area  
→ low temperature  
→ "red giant"  
Large luminosity  
→ mass loss

"Envelope" fully convective

$\varepsilon_{\text{nuc}}(\text{He})$  relates to  
 $T \propto \Phi_{\text{grav}} \propto M/R$   
of core

$\varepsilon_{\text{nuc}}(\text{H})$  determined by  
 $T \propto \Phi_{\text{grav}}$  of core  
→ huge  $L(\text{H})$

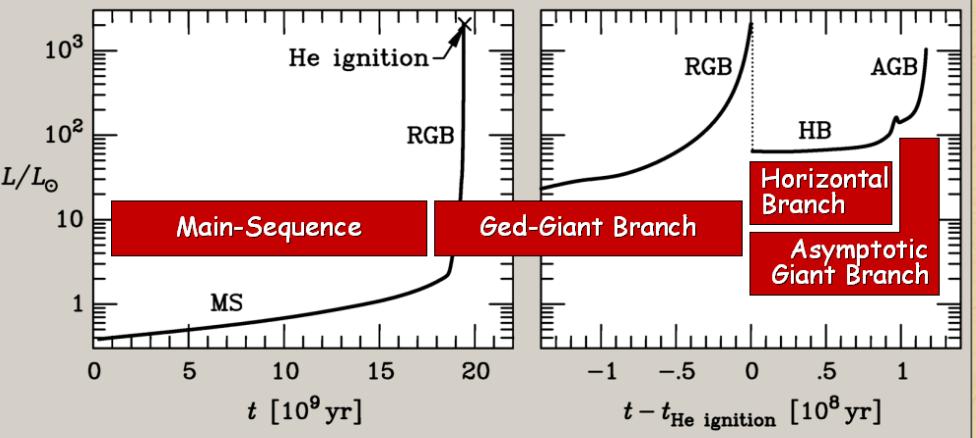
$\varepsilon_{\text{nuc}}(\text{H})$  relates to  
 $T \propto \Phi_{\text{grav}} \propto M/R$   
of full star



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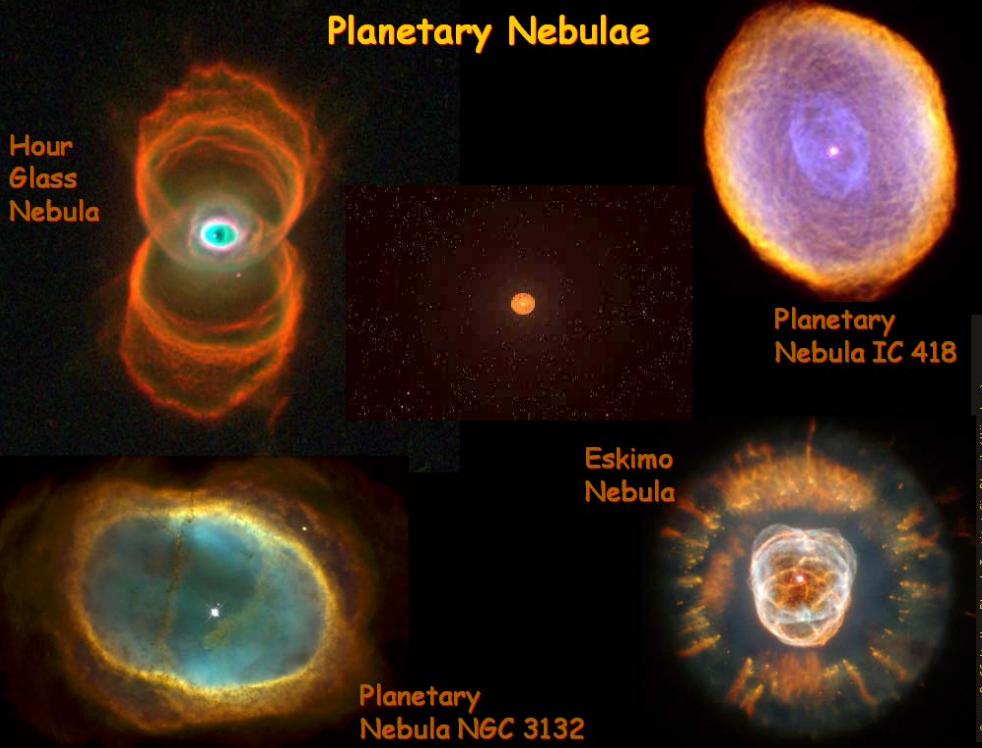
## Evolution of a Low-Mass Star



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## Planetary Nebulae



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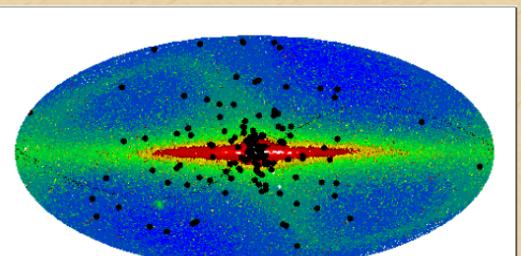
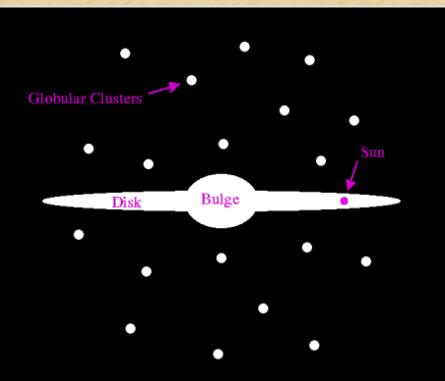
## Evolution of Stars

|  |   |  |
|--|---|--|
| $M < 0.08 M_{\odot}$                     | Never ignites hydrogen $\rightarrow$ cools ("hydrogen white dwarf")             | Brown dwarf  |
| $0.08 < M \lesssim 0.8 M_{\odot}$        | Hydrogen burning not completed in Hubble time                                   | Low-mass main-sequence star  |
| $0.8 \lesssim M \lesssim 2 M_{\odot}$    | Degenerate helium core after hydrogen exhaustion                                | <ul style="list-style-type: none"> <li>Carbon-oxygen white dwarf</li> <li>Planetary nebula</li> </ul>  |
| $2 M_{\odot} \lesssim M < 5-8 M_{\odot}$ | Helium ignition non-degenerate  |  |
| $5-8 M_{\odot} < M < ???$                | All burning cycles $\rightarrow$ Onion skin structure with degenerate iron core | <p>Core collapse supernova</p> <ul style="list-style-type: none"> <li>Neutron star (often pulsar)</li> <li>Sometimes black hole?</li> <li>Supernova remnant (SNR), e.g. crab nebula</li> </ul> |

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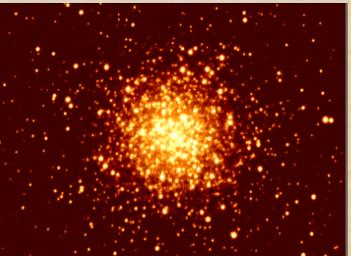
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## Globular Clusters of the Milky Way



<http://www.dartmouth.edu/~chaboyer/mwgc.html>

Globular clusters on top of the FIRAS 2.2 micron map of the Galaxy

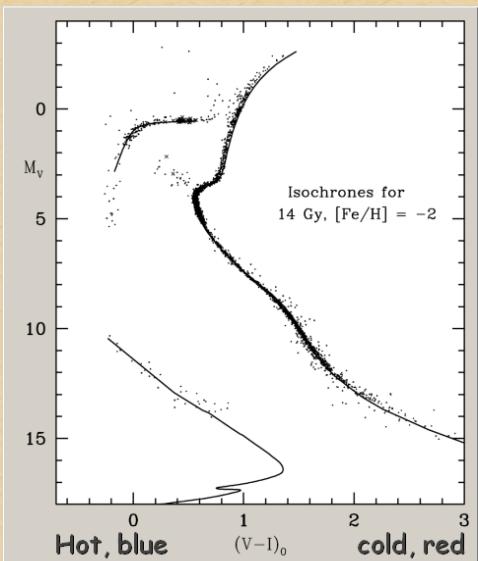


The galactic globular cluster M3

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## Color-Magnitude Diagram for Globular Clusters



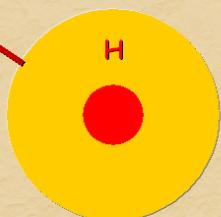
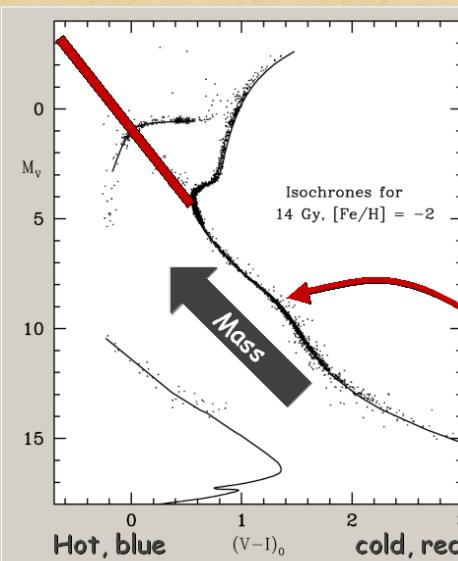
Color-magnitude diagram synthesized from several low-metallicity globular clusters and compared with theoretical isochrones (W.Harris)

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## Color-Magnitude Diagram for Globular Clusters

- Stars with  $M$  so large that they have burnt out in a Hubble time
- No new star formation in globular clusters



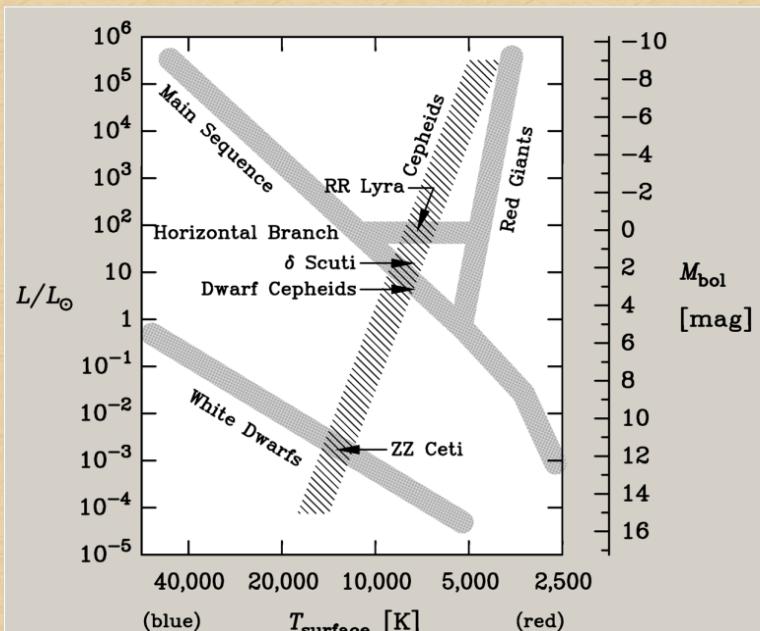
Main-Sequence

Color-magnitude diagram synthesized from several low-metallicity globular clusters and compared with theoretical isochrones (W.Harris)

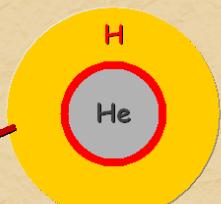
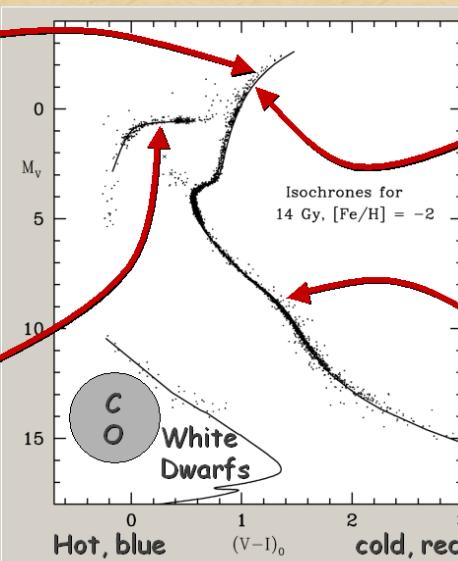
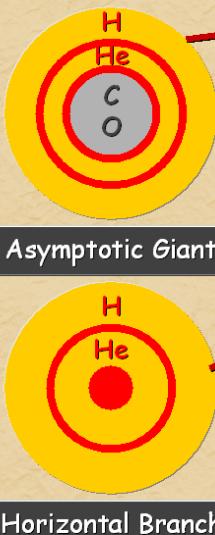
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## Schematic Hertzsprung-Russell-Diagram



## Color-Magnitude Diagram for Globular Clusters



Red Giant

Color-magnitude diagram synthesized from several low-metallicity globular clusters and compared with theoretical isochrones (W.Harris)

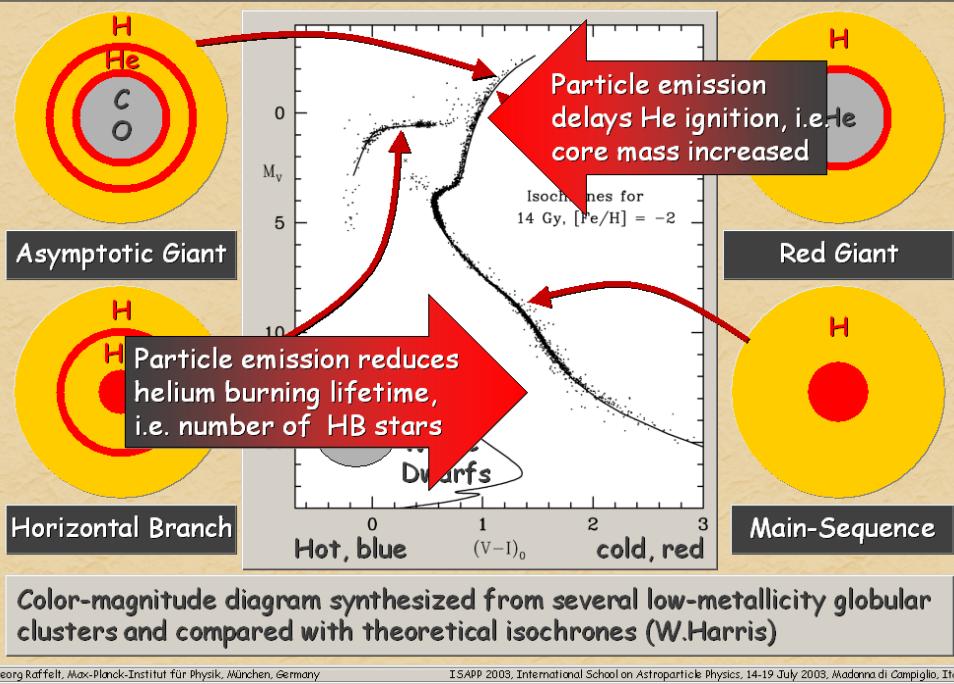
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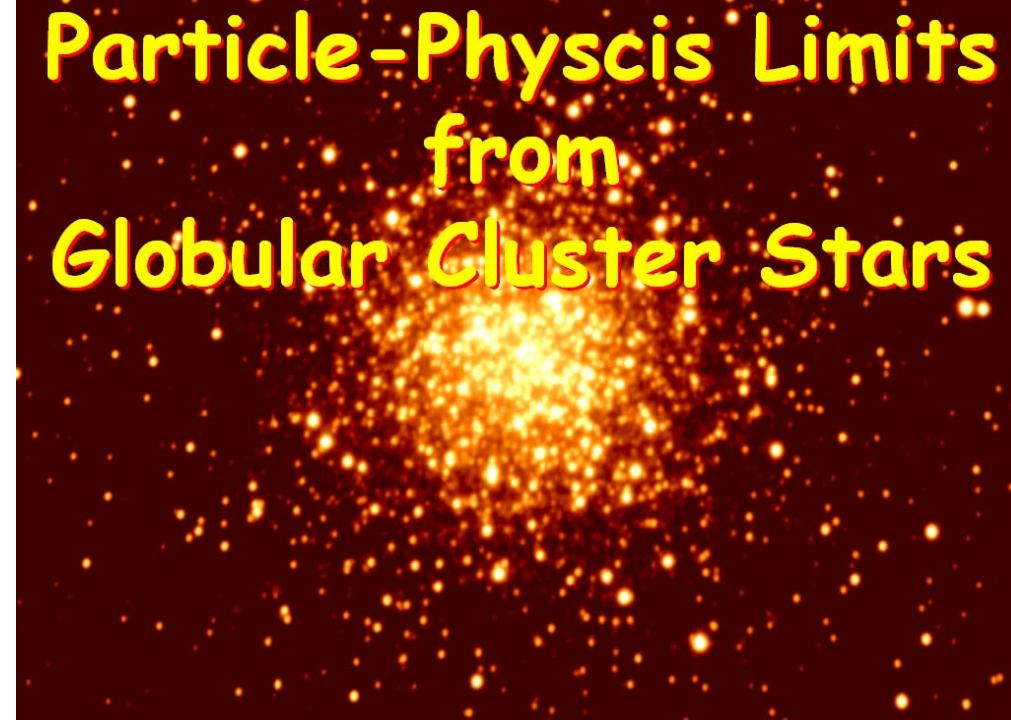
## Color-Magnitude Diagram for Globular Clusters



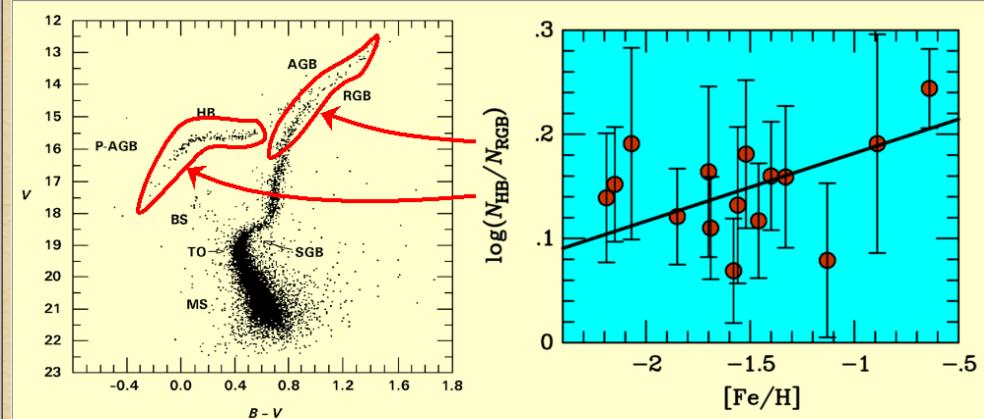
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# Particle-Physics Limits from Globular Cluster Stars



## Helium-Burning Lifetime of Globular Cluster Stars



Number ratio of HB-Stars/Red Giants in 15 galactic globular clusters  
(Buzzoni et al. 1983)

Helium-burning lifetime established within  $\pm 10\%$

## Particles with Two-Photon Coupling

### Particles with two-photon vertex:

- Neutral pions ( $\pi^0$ ), Gravitons
- Axions ( $a$ ) and similar hypothetical particles

$$L_{a\gamma} = g_{a\gamma} \vec{E} \cdot \vec{B} a$$

Two-photon decay

$$\Gamma_{a\gamma} = \frac{g_{a\gamma}^2 m_a^3}{64 \pi}$$

Photon Coalescence

Primakoff Effect

Conversion of photons into pions, gravitons or axions, or the reverse

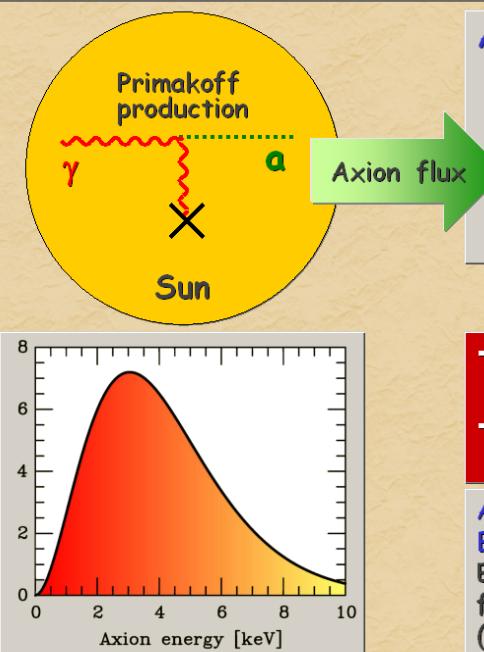
$$\gamma \sim \sim \sim a$$

Magnetically induced vacuum birefringence

In addition to QED Cotton-Mouton-effect

$$e^+ e^- \rightarrow a, \pi^0$$

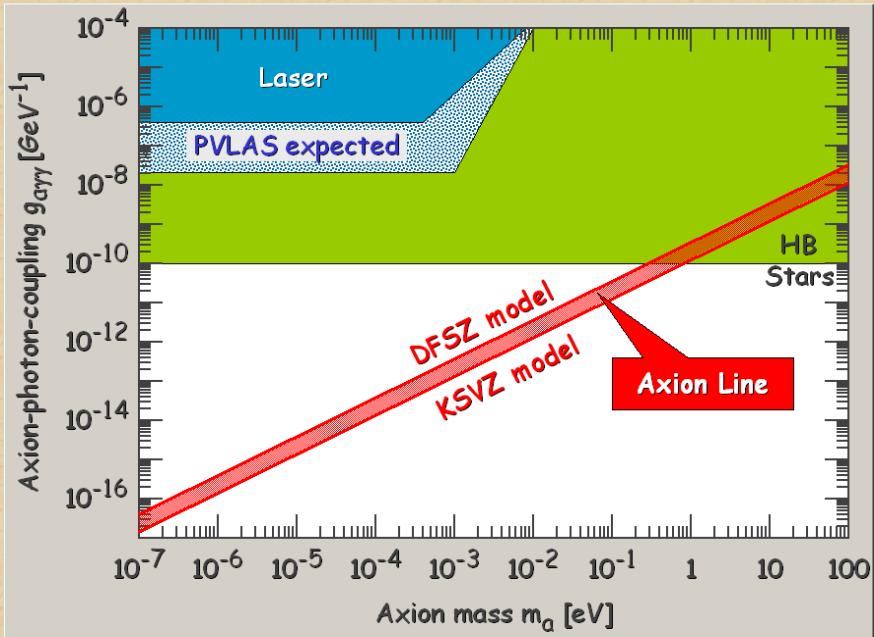
## Search for Solar Axions



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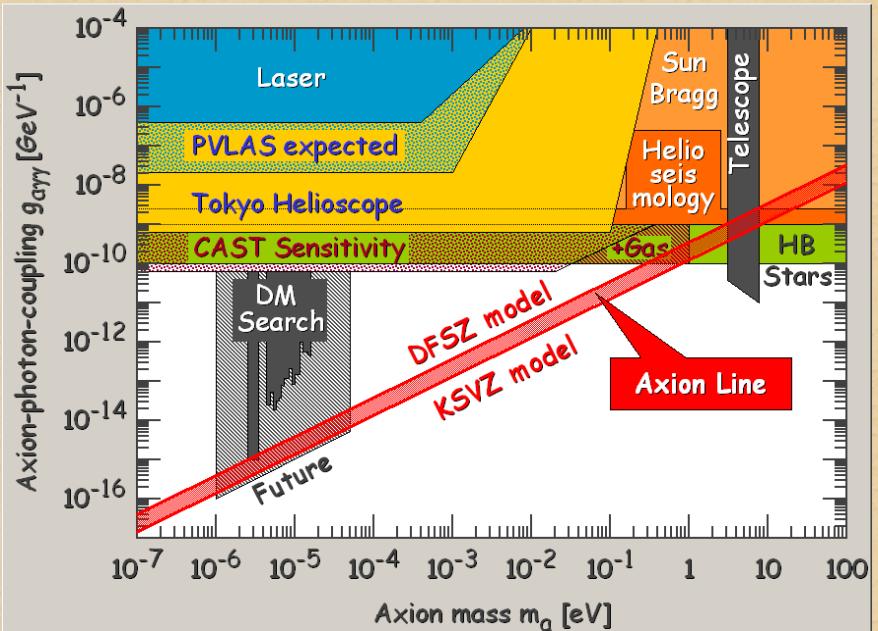
## Limits on Axion-Photon-Coupling



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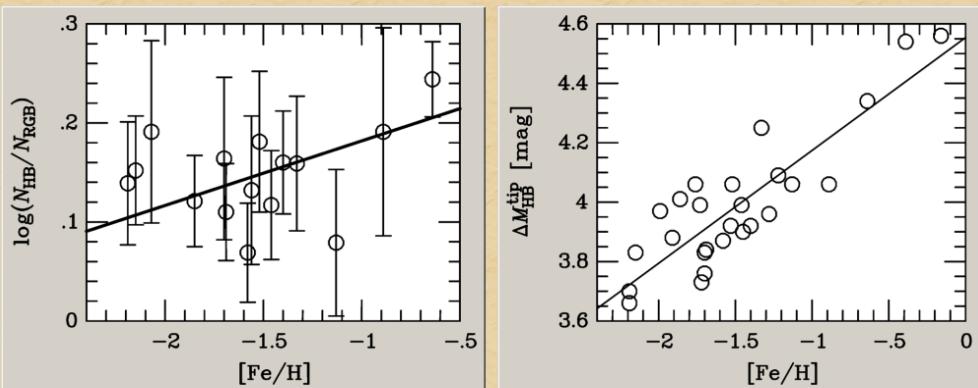
## Limits on Axion-Photon-Coupling



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## Measurements of Globular Cluster Observables



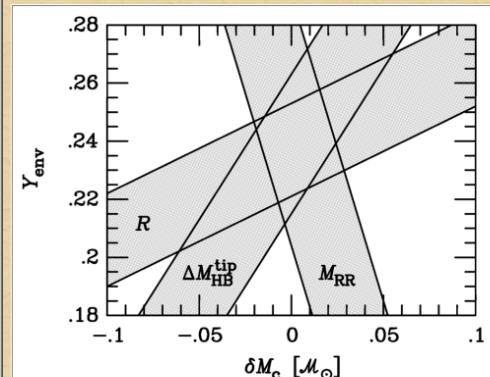
Number ratio of HB vs. RGB stars in 15 globular clusters

Brightness difference between HB (RR Lyrae stars) and brightest red giant in 26 globular clusters

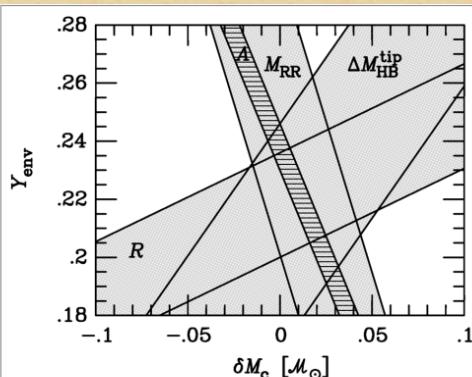
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## Core-Mass at Helium Ignition

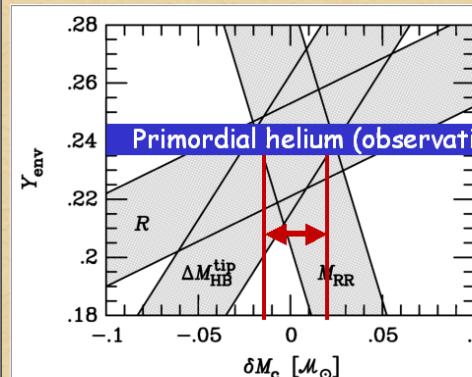


G.Raffelt, Stars as Laboratories  
for Fundamental Physics (1996)

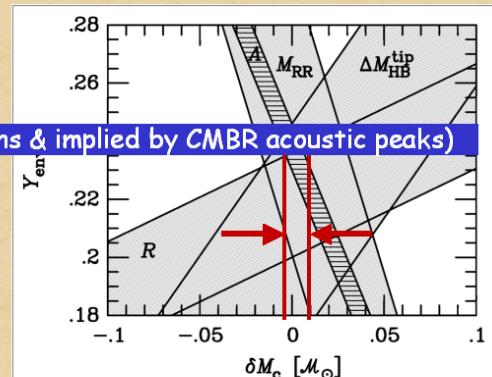


Catalan et al.,  
astro-ph/9509062

## Core-Mass at Helium Ignition



G.Raffelt, Stars as Laboratories  
for Fundamental Physics (1996)

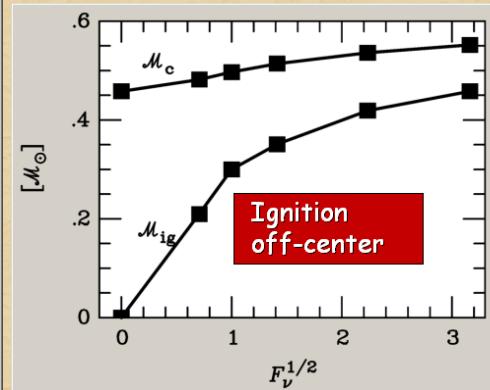


Catalan et al.,  
astro-ph/9509062

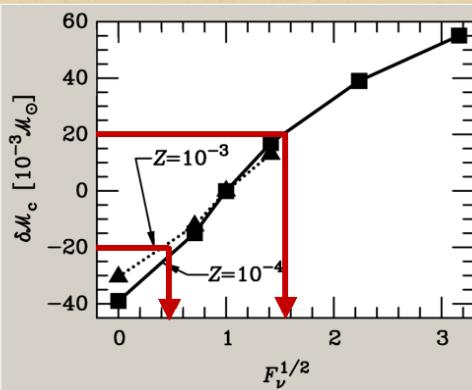
Core mass at helium ignition established to  $\pm 0.02 \text{ M}_\odot$  or  $\pm 4\%$

## Core-Mass Dependence on Neutrino Cooling

Multiply standard neutrino loss rates with a factor  $F_\nu$



- Core mass (upper curve)
- Radial coordinate of helium ignition (lower curve)



Change of core mass

## Dominant Neutrino Process in Degenerate Helium Core

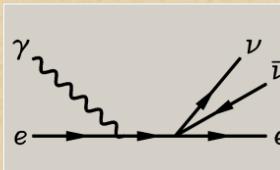
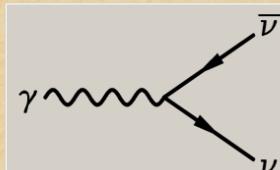
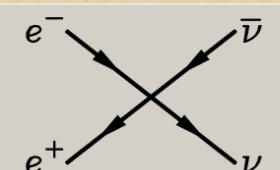


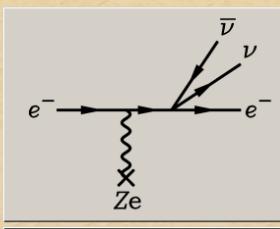
Photo (Compton)



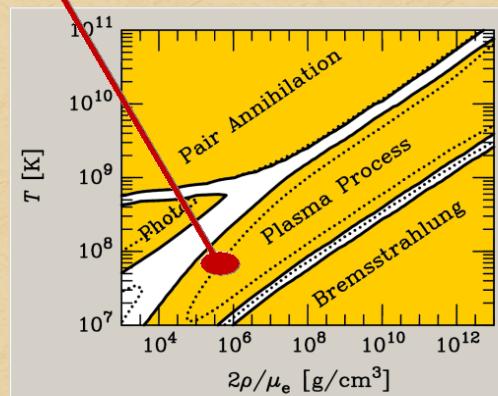
Plasmon decay



Pair annihilation



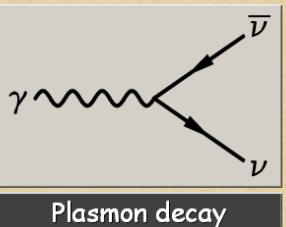
Bremsstrahlung



## Plasmon Decay in Neutrinos

Vacuum:

- Photon massless
- Can not decay into other particles, even if they themselves are massless



Vacuum:

- Massless neutrinos do not couple to photons
- May have dipole moments or even "millicharges"

Propagation in a medium:

- Photon acquires a "refractive index"
- In a non-relativistic plasma (e.g. Sun, white dwarfs, core of red giant before helium ignition, ...) behaves like massive particle:

$$\omega^2 - k^2 = \omega_{\text{pl}}^2$$

Plasma frequency (electron density  $n_e$ )  $\omega_{\text{pl}}^2 = \frac{4\pi n_e e^2}{m_e}$

$$\omega_{\text{pl}} \approx 18 \text{ keV}$$

( $\rho = 10^6 \text{ g cm}^{-3}$ ,  $T = 8.6 \text{ keV}$ )

In a medium:

- Neutrinos interact coherently with the charged particles which themselves couple to photons
- Induces an "effective charge"
- In a degenerate plasma (electron Fermi energy  $E_F$  and Fermi momentum  $p_F$ )  $e_\nu = 16\sqrt{2}C_V G_F E_F p_F$
- Degenerate helium core (and  $C_V = 1$ )  $e_\nu = 6 \times 10^{-11} e$

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# Particle Dispersion in Media

## Plasmon Decay vs. Cherenkov Effect

| Photon dispersion in a medium can be        | "Time-like"<br>$\omega^2 - k^2 > 0$   | "Space-like"<br>$\omega^2 - k^2 < 0$   |
|---|---|--|
| Refractive index $n$ ( $k = n \omega$ )     | $n < 1$   | $n > 1$  |
| Example                                     | <ul style="list-style-type: none"> <li>• Ionized plasma</li> <li>• Normal matter for large photon energies</li> </ul> | <ul style="list-style-type: none"> <li>Water (<math>n \approx 1.3</math>), air, glass for visible frequencies</li> </ul> |
| Allowed process that is forbidden in vacuum | Plasmon decay to neutrinos<br>$\gamma \rightarrow \nu \bar{\nu}$  | Cherenkov effect<br>$e \rightarrow e + \gamma$   |

## Particle Dispersion in Media

### Vacuum

Most general Lorentz-invariant dispersion relation

$$\omega^2 - k^2 = m^2$$

$\omega$  = frequency,  $k$  = wave number,  $m$  = mass

Gauge invariance implies  $m = 0$  for photons and gravitons

### Medium

Particle interaction with medium breaks Lorentz invariance so that

$$\omega^2 - k^2 = \pi(\omega, k)$$

Implies a relationship between  $\omega$  and  $k$  (dispersion relation) that is often written in the form

- Refractive index  $n$   
 $k = n \omega$
- Effective mass  $m_{\text{eff}}$  (note that  $m_{\text{eff}}^2$  can be negative)  
 $\omega^2 - k^2 = m_{\text{eff}}^2$
- Effective potential  $V$  (natural for neutrinos in a medium)  
 $(\omega - V)^2 - k^2 = m_{\text{vac}}^2$

# Refraction and Forward Scattering

Plane wave in vacuum

$$\Phi(\vec{r}, t) \propto \exp(-i\omega t + i\vec{k} \cdot \vec{r})$$



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# Refraction and Forward Scattering

Plane wave in vacuum

$$\Phi(\vec{r}, t) \propto \exp(-i\omega t + i\vec{k} \cdot \vec{r})$$

With scattering centers

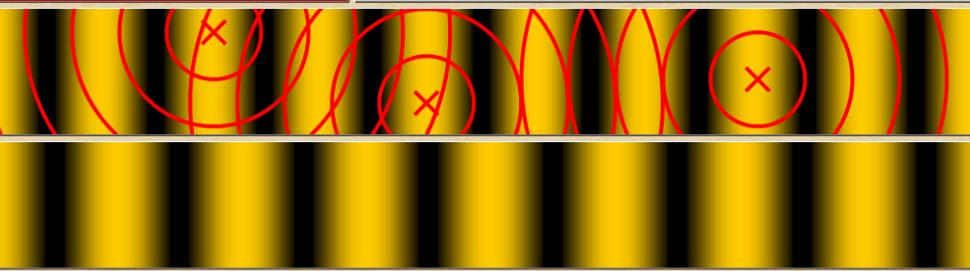
$$\Phi(\vec{r}, t) \propto \exp(-i\omega t) \left[ \exp(i\vec{k} \cdot \vec{r}) + f(\omega, \theta) \frac{\exp(ikr)}{r} \right]$$

In forward direction, adds coherently to a plane wave with modified wave number

$$k = n_{\text{refr}} \omega$$

$$n_{\text{refr}} = 1 + \frac{2\pi}{\omega^2} n f(\omega, 0)$$

$n$  density of scattering centers  
 $f(\omega, 0)$  forward scattering amplitude

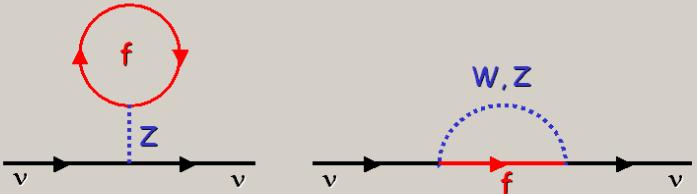


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## Neutrino Refraction in Media

Neutrinos propagating in a medium suffer refraction (Wolfenstein 1978)



Effect is usually different for different flavors

For small neutrino energies and large matter-antimatter asymmetry of the medium (not true in early universe)

$$(E - V)^2 - p^2 = m_{\text{vac}}^2$$

Normal medium, consisting of protons, neutrons (or nuclei), and electrons

$$V = \pm \sqrt{2} G_F n_B \times \begin{cases} -\frac{1}{2} \gamma_n + \gamma_e & \text{for } v_e \\ -\frac{1}{2} \gamma_n & \text{for } v_H, v_T \end{cases}$$

$$\sqrt{2} G_F n_B = 0.762 \times 10^{-13} \text{ eV} \frac{p}{g \text{ cm}^{-3}}$$

## Electromagnetic Polarization Tensor

Klein-Gordon-Equation in Fourier space

$$(-K^2 g^{\mu\nu} + K^\mu K^\nu + \Pi^{\mu\nu}) A_\nu = 0$$

Polarization tensor (self-energy of photon)

$$\epsilon_\mu \sim \sim \sim \sim \Pi^{\mu\nu} \sim \sim \sim \sim \epsilon_\nu$$

Gauge invariance and current conservation

$$\Pi^{\mu\nu} K_\mu = \Pi^{\mu\nu} K_\nu = 0$$

Vacuum:  $\Pi^{\mu\nu} = a g^{\mu\nu} + b K^\mu K^\nu$   
 $\rightarrow a = b = 0$  (photon massless)

Medium: Four-velocity U available to construct  $\Pi$

$$\Pi^{\mu\nu}(K) = 16\pi a \int \frac{d^3 \vec{p}}{2E(2\pi)^3} f_e(\vec{p}) \frac{(PK)^2 g^{\mu\nu} + K^2 p^\mu p^\nu - (PK)(P^\mu K^\nu + K^\mu P^\nu)}{(PK)^2 - \frac{1}{4}(K^2)^2}$$

QED plasma

Photon:  $K = (\omega, \vec{k})$  Electron or positron:  $P = (E, \vec{p})$   $E = \sqrt{\vec{p}^2 + m_e^2}$

Electron plus positron phase-space distribution with chemical potential  $\mu_e$

$$f_e(\vec{p}) = \left[ \exp\left(\frac{E - \mu_e}{T}\right) + 1 \right]^{-1} + \left[ \exp\left(\frac{E + \mu_e}{T}\right) + 1 \right]^{-1}$$

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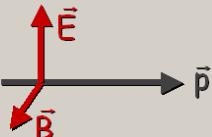
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## Transverse and Longitudinal "Plasmons"

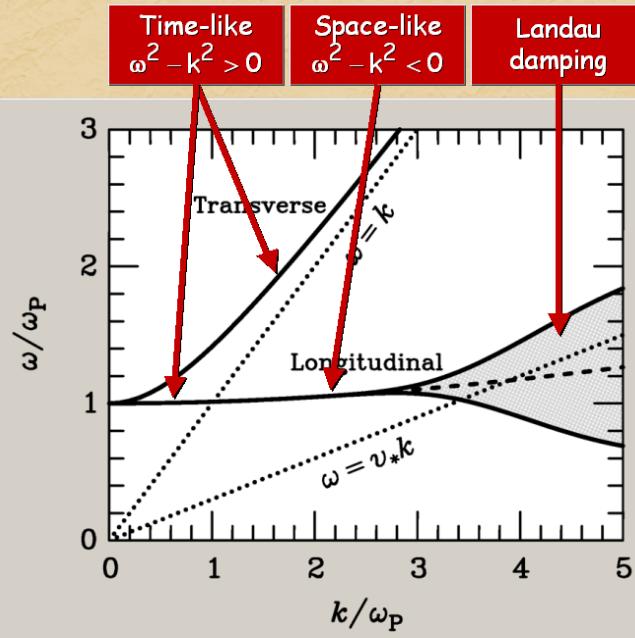
Transverse excitations



Longitudinal excitations (collective oscillations of electrons against positive charges)



Dispersion relation in a non-relativistic, non-degenerate plasma



## Electron (Positron) Dispersion Relation

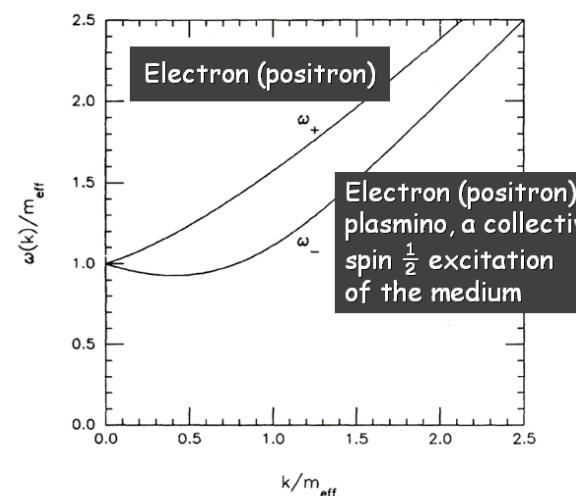


FIG. 1.—Ultrarelativistic dispersion relations for the electron or positron [ $\omega_+(k)$ ] and for the electron plasmino or positron plasmino [ $\omega_-(k)$ ].

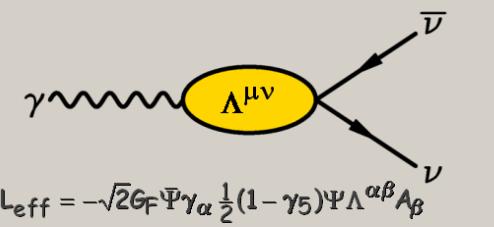
E. Braaten, Neutrino emissivity of an ultrarelativistic plasma from positron and plasmino annihilation, *Astrophys. J.* 392 (1992) 70

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## Neutrino-Photon-Coupling in a Plasma

Neutrino effective in-medium coupling



For vector-current analogous to photon polarization tensor



$$\Lambda_V^{\mu\nu}(K) = 4eC_V \int \frac{d^3\vec{p}}{2E(2\pi)^3} [f_{e^-}(\vec{p}) + f_{e^+}(\vec{p})] \frac{(PK)^2 g^{\mu\nu} + K^\mu P^\nu - (PK)(P^\mu K^\nu + K^\mu P^\nu)}{(PK)^2 - \frac{1}{4}(K^2)^2}$$

$$= \frac{C_V}{e} \Pi_V^{\mu\nu}(K)$$

$$\Lambda_A^{\mu\nu}(K) = 2ieC_A \epsilon^{\mu\nu\alpha\beta} \int \frac{d^3\vec{p}}{2E(2\pi)^3} [f_{e^-}(\vec{p}) - f_{e^+}(\vec{p})] \frac{K^\alpha P_\alpha K_\beta}{(PK)^2 - \frac{1}{4}(K^2)^2}$$

Usually negligible

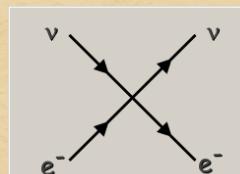
## Neutral-Current Couplings and Plasmon Decay

Standard-model plasmon decay process  $\propto C_V^2$

$$\sin^2 \Theta_W \approx \frac{1}{4}$$

Standard-model plasmon decay produces almost exclusively  $\nu_e \bar{\nu}_e$

Neutral-current process, yet was never useful for "neutrino counting" unlike big-bang nucleosynthesis (of course today  $Z^0$ -decay width fixes  $N_\nu = 3$ )



$$H_{\text{int}} = \frac{G_F}{\sqrt{2}} \bar{\Psi}_f \gamma_\mu (C_V - C_A \gamma_5) \Psi_f \bar{\Psi}_\nu \gamma^\mu (1 - \gamma_5) \Psi_\nu$$

| Neutrino                   | Fermion  | $C_V$  | $C_A$             |
|----------------------------|----------|--|-------------------|
| $\nu_e$                    | Electron | $+\frac{1}{2} + 2 \sin^2 \Theta_W \approx 1$ | $+\frac{1}{2}$    |
| $\nu_\mu, \nu_\tau$        |          | $-\frac{1}{2} + 2 \sin^2 \Theta_W \approx 0$ | $-\frac{1}{2}$    |
| $\nu_e, \nu_\mu, \nu_\tau$ | Proton   | $+\frac{1}{2} - 2 \sin^2 \Theta_W \approx 0$ | $+\frac{1.26}{2}$ |
|                            | Neutron  | $-\frac{1}{2}$                               | $-\frac{1.26}{2}$ |

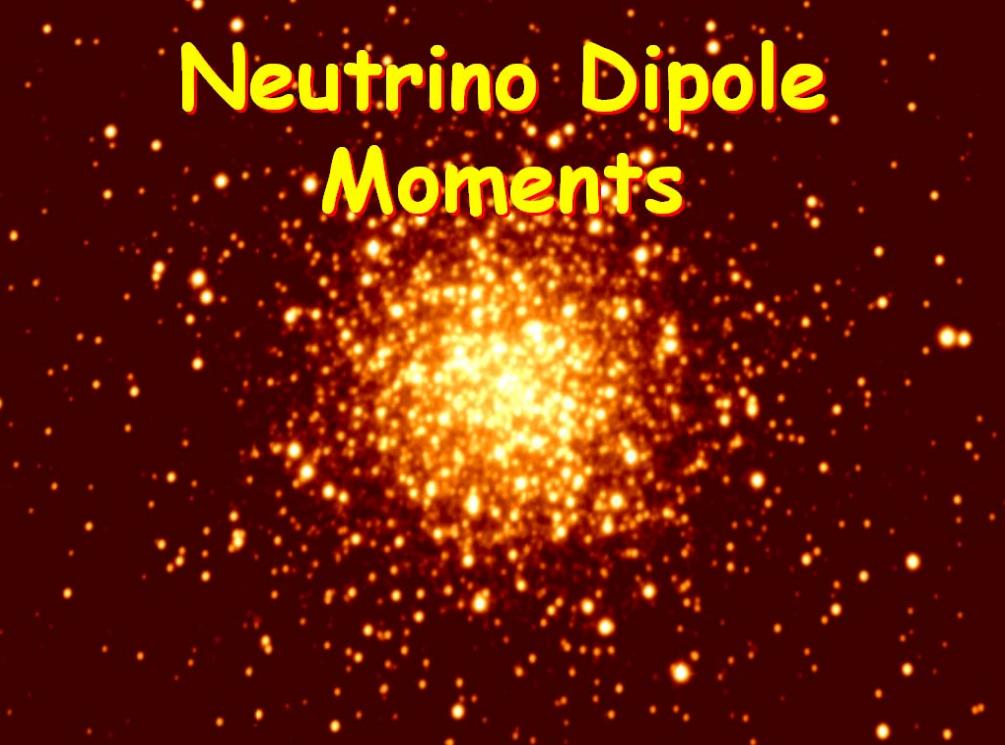
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# Neutrino Dipole Moments



## Neutrino Dipole Moments

Effective coupling of electromagnetic field to a neutral fermion

$$\begin{aligned} \mathcal{L}_{\text{eff}} = & -F_1 \bar{\Psi} \gamma_\mu \Psi A^\mu \\ & - G_1 \bar{\Psi} \gamma_\mu \gamma_5 \Psi \partial_\nu F^{\mu\nu} \\ & - \frac{1}{2} F_2 \bar{\Psi} \sigma_{\mu\nu} \Psi F^{\mu\nu} \\ & - \frac{1}{2} G_2 \bar{\Psi} \sigma_{\mu\nu} \gamma_5 \Psi F^{\mu\nu} \end{aligned}$$

Charge  $e_\nu = F_1(0) = 0$

Anapole moment  $G_1(0)$

Magnetic dipole moment  $\mu = F_2(0)$

Electric dipole moment  $\epsilon = G_2(0)$

- Charge form factor  $F_1(q^2)$  and anapole  $G_1(q^2)$  are short-range interactions if charge  $F_1(0) = 0$
- Connect states of equal chirality
- In standard model they represent radiative corrections to weak interaction

- Dipole moments connect states of opposite chirality
- Violation of individual flavor lepton numbers (neutrino mixing)
  - Magnetic or electric dipole moments can connect different flavors or different mass eigenstates ("Transition moments")
- Usually measured in "Bohr magnetons"  $\mu_B = e/(2m_e)$

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## Plasmon Decay And Stellar Energy Loss Rates

Assume photon dispersion relation like a massive particle (nonrelativistic plasma)

$$E_\gamma^2 - p_\gamma^2 = \omega_{\text{pl}}^2 = \frac{4\pi n e}{m_e}$$

Decay rate of photon (transverse plasmon) with energy  $E_\gamma$

$$\Gamma(\gamma \rightarrow v\bar{v}) = \frac{4\pi}{3} \frac{1}{E_\gamma} \times \begin{cases} \alpha_\nu \left( \omega_{\text{pl}}^2 / 4\pi \right) & \text{Millicharge} \\ \frac{\mu_\nu^2}{2} \left( \omega_{\text{pl}}^2 / 4\pi \right)^2 & \text{Dipole moment} \\ \frac{C_V^2 G_F^2}{\alpha} \left( \omega_{\text{pl}}^2 / 4\pi \right)^3 & \text{Standard model} \end{cases}$$

Energy-loss rate of stellar plasma (temperature  $T$  and plasma frequency  $\omega_{\text{pl}}$ )

$$Q(\gamma \rightarrow v\bar{v}) = \int \frac{2d^3 \vec{p}}{(2\pi)^3} \frac{E_\gamma \Gamma}{e^{E_\gamma/T} - 1} = \frac{8\zeta_3}{3\pi} T^3 \times \begin{cases} \alpha_\nu \left( \omega_{\text{pl}}^2 / 4\pi \right) \\ \frac{\mu_\nu^2}{2} \left( \omega_{\text{pl}}^2 / 4\pi \right)^2 \\ \frac{C_V^2 G_F^2}{\alpha} \left( \omega_{\text{pl}}^2 / 4\pi \right)^3 \end{cases}$$

## Globular Cluster Limits on Neutrino Dipole Moments

Compare magnetic-dipole plasma emission with standard case

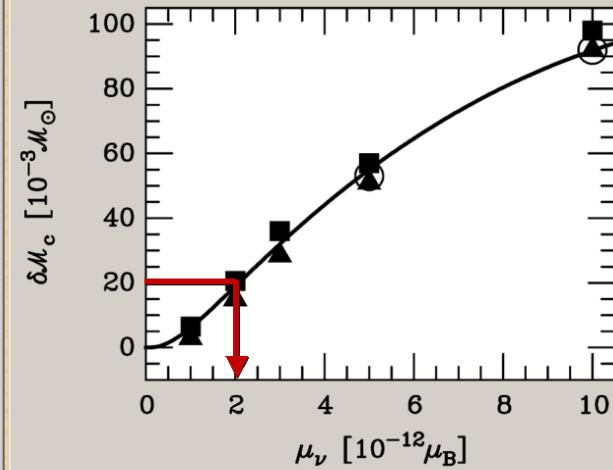
$$\frac{Q_\mu}{Q_{\text{SM}}} = \frac{2\pi \alpha \mu_\nu^2}{C_V^2 G_F^2 \omega_{\text{pl}}^2}$$

For red-giant core before helium ignition  $\omega_{\text{pl}} = 18 \text{ keV}$

$$\frac{Q_\mu}{Q_{\text{SM}}} = 9 \times 10^{22} \left( \frac{\mu_\nu}{\mu_B} \right)^2$$

Require this to be  $< 1$

$$\mu_\nu < 3 \times 10^{-12} \mu_B$$

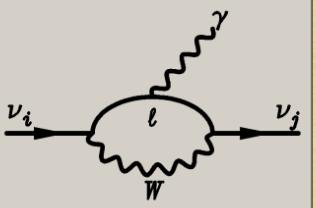


Globular-cluster limit on neutrino dipole moment

$$\mu_\nu < 2 \times 10^{-12} \mu_B$$

## Standard Dipole Moments for Massive Neutrinos

In standard electroweak model, neutrino dipole and transition moments are induced at higher order



Massive neutrinos  $\nu_i$  ( $i = 1, 2, 3$ ), mixed to form weak eigenstates

$$\nu_\ell = \sum_{i=1}^3 U_{\ell i} \nu_i$$

Explicit evaluation for Dirac neutrinos  
(Magnetic moments  $\mu_{ij}$   
electric moments  $\varepsilon_{ij}$ )

$$\mu_{ij} = \frac{e\sqrt{2}G_F}{(4\pi)^2} (m_i + m_j) \sum_{\ell=e,\mu,\tau} U_{\ell j} U_{\ell i}^* f\left(\frac{m_\ell}{m_W}\right)$$

$$\varepsilon_{ij} = \dots (m_i - m_j) \dots$$

$$f\left(\frac{m_\ell}{m_W}\right) = -\frac{3}{2} + \frac{3}{4} \left(\frac{m_\ell}{m_W}\right)^2 + O\left(\left(\frac{m_\ell}{m_W}\right)^4\right)$$

## Standard Dipole Moments for Massive Neutrinos

Diagonal case  
(Magnetic moments of Dirac neutrinos)

$$\mu_{ii} = \frac{3e\sqrt{2}G_F}{(4\pi)^2} m_i = 3.20 \times 10^{-19} \mu_B \frac{m_i}{eV} \quad \mu_B = \frac{e}{2m_e}$$

$$\varepsilon_{ii} = 0$$

Off-diagonal case  
(Transition moments)

First term in  $f(m_\ell/m_W)$  does not contribute ("GIM cancellation")

$$\mu_{ij} = \frac{3e\sqrt{2}G_F}{4(4\pi)^2} (m_i + m_j) \left(\frac{m_\tau}{m_W}\right)^2 \sum_{\ell=e,\mu,\tau} U_{\ell j} U_{\ell i}^* \left(\frac{m_\ell}{m_\tau}\right)^2$$

$$= 3.96 \times 10^{-23} \mu_B \frac{m_i + m_j}{eV} \sum_{\ell=e,\mu,\tau} U_{\ell j} U_{\ell i}^* \left(\frac{m_\ell}{m_\tau}\right)^2$$

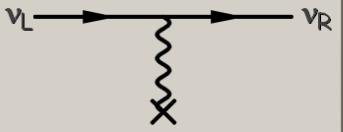
$$\varepsilon_{ij} = \dots (m_i - m_j) \dots$$

Largest neutrino mass eigenstate  $0.05 \text{ eV} < m < 0.7 \text{ eV}$   
For Dirac neutrino expect

$$1.6 \times 10^{-20} \mu_B < \mu_\nu < 2.2 \times 10^{-19} \mu_B$$

## Consequences of Neutrino Dipole Moments

Spin precession in external E or B fields



$$i \frac{\partial}{\partial t} \begin{pmatrix} \nu_L \\ \nu_R \end{pmatrix} = \begin{pmatrix} 0 & \mu_\nu B_T \\ \mu_\nu B_T & 0 \end{pmatrix} \begin{pmatrix} \nu_L \\ \nu_R \end{pmatrix}$$

Scattering

$$\frac{d\sigma}{dT} = \frac{G_F^2 m_e}{2\pi} \left[ (C_V + C_A)^2 + (C_V - C_A)^2 \left(1 - \frac{T}{E_\nu}\right)^2 + (C_V^2 - C_A^2) \frac{m_e T}{E_\nu^2} \right] + \alpha \mu_\nu^2 \left[ \frac{1}{T} - \frac{1}{E_\nu} \right]$$

T electron recoil energy

Plasmon decay in stars



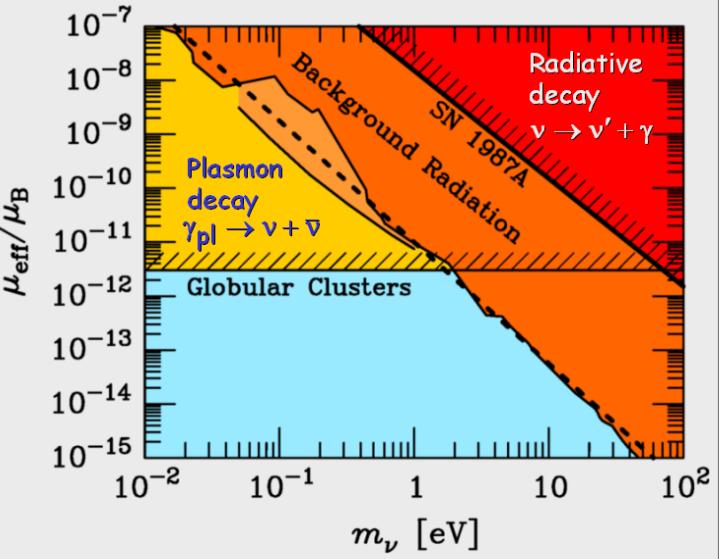
$$\Gamma = \frac{\mu_\nu^2}{24\pi} \omega_{pl}^3$$

Decay or Cherenkov effect



$$\Gamma = \frac{\mu_\nu^2}{8\pi} \left( \frac{m_2^2 - m_1^2}{m_2} \right)^3$$

## Neutrino Radiative Lifetime Limits



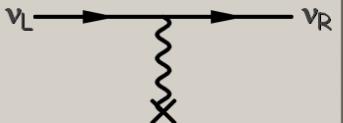
$$\Gamma_{v \rightarrow v'\gamma} = \frac{\mu_{eff}^2}{8\pi} m_v^3$$

$$\Gamma_{\gamma \rightarrow v\bar{v}} = \frac{\mu_{eff}^2}{24\pi} \omega_{pl}^3$$

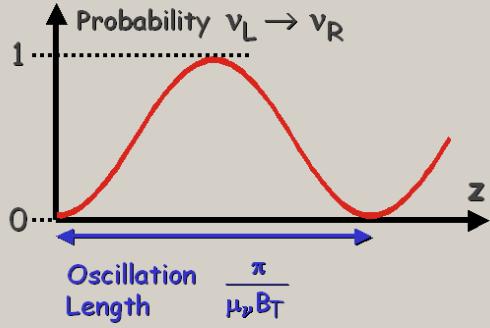
For low-mass neutrinos, plasmon decay in globular cluster stars yields most restrictive limits

## Neutrino Spin Oscillations

Spin precession in external E or B fields



$$i \frac{\partial}{\partial t} \begin{pmatrix} v_L \\ v_R \end{pmatrix} = \begin{pmatrix} 0 & \mu_\nu B_T \\ \mu_\nu B_T & 0 \end{pmatrix} \begin{pmatrix} v_L \\ v_R \end{pmatrix}$$



For relativistic neutrinos, the oscillation equation

- is independent of energy
- involves only the transverse field

Distance for helicity reversal

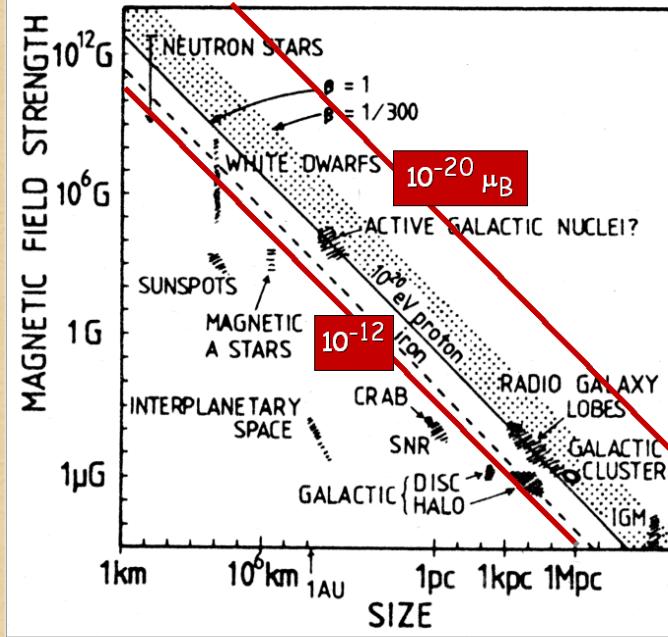
$$\frac{\pi}{2\mu_\nu B_T} = 5.36 \times 10^{13} \text{ cm} \frac{10^{-10} \mu_B}{\mu_\nu} \frac{1G}{B_T}$$

Georg Raffelt, Max-Planck-Institut für Physik, München, Germany

ISAPP 2003, International School on Astroparticle Physics, 14-19 July 2003, Madonna di Campiglio, Italy

## Astrophysical Magnetic Fields

Field strength and length scale where neutrinos with specified dipole moment would suffer complete depolarization



"Hillas Plot"  
[ARA 22, 425 (1984)]

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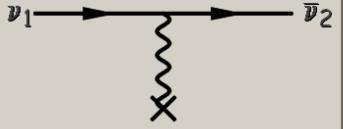
## Neutrino Spin-Flavor Oscillations

Majorana neutrinos

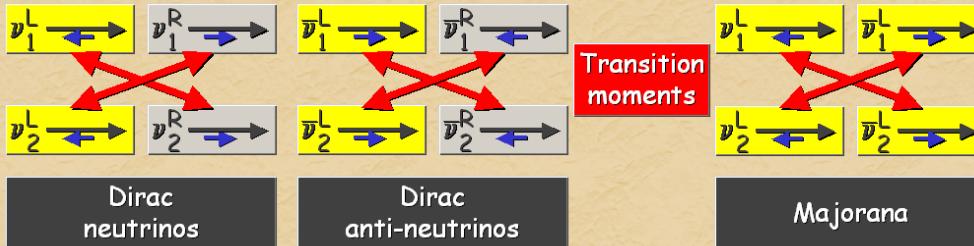
- Diagonal dipole moments vanish
- Transition moments inevitably exist
- Standard-model calculation ~ Dirac case

Transition moments couple neutrinos with anti-neutrinos

Spin-flavor precession in external E or B fields



$$i \frac{\partial}{\partial r} \begin{pmatrix} v_1 \\ \bar{v}_2 \end{pmatrix} = \begin{pmatrix} 0 & \mu_\nu B_T \\ \mu_\nu B_T & 0 \end{pmatrix} \begin{pmatrix} v_1 \\ \bar{v}_2 \end{pmatrix}$$



## Neutrino Spin-Flavor Oscillations in a Medium

Consider general case of two-flavor oscillations for Majorana neutrinos with a transition magnetic moment  $\mu$  and ordinary flavor mixing in a medium (the most general case for two-flavor oscillations in the Sun)

$$i \frac{\partial}{\partial r} \begin{pmatrix} v_e \\ v_\mu \\ \bar{v}_e \\ \bar{v}_\mu \end{pmatrix} = \begin{pmatrix} c\Delta + a_e & s\Delta & 0 & \mu B \\ s\Delta & -c\Delta + a_\mu & \mu B & 0 \\ 0 & \mu B & c\Delta - a_e & s\Delta \\ \mu B & 0 & s\Delta & -c\Delta - a_\mu \end{pmatrix} \begin{pmatrix} v_e \\ v_\mu \\ \bar{v}_e \\ \bar{v}_\mu \end{pmatrix}$$

$$c = \cos(2\theta)$$

$$s = \sin(2\theta)$$

$$\Delta = \frac{m_2^2 - m_1^2}{4E}$$

$$a_e = \sqrt{2} G_F (n_e - \frac{1}{2} n_h)$$

$$a_\mu = \sqrt{2} G_F (-\frac{1}{2} n_h)$$

Resonant spin-flavor precession (RSFP) obtains an excellent fit to solar neutrino data

- But requires very large B-fields in view of globular-cluster bound on  $\mu$
- Requires large non-standard transition moment of order  $(10^{-12} - 10^{-10}) \mu_B$
- After KamLAND not the dominant effect
- May still produce some solar anti-neutrinos
- May play some role for supernova neutrinos

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