

Stellar Evolution

- Role of neutrinos in normal stars
- Limits on “exotic” neutrino properties
- Neutrino interactions in a medium

Equations of Stellar Structure

Assume spherical symmetry and static structure (neglect kinetic energy)
Excludes: Rotation, convection, magnetic fields, supernova-dynamics, ...

Hydrostatic equilibrium

$$\frac{dP}{dr} = -\frac{G_N M_r \rho}{r^2}$$

Energy conservation

$$\frac{dL_r}{dr} = 4\pi r^2 \epsilon_p$$

Energy transfer

$$L_r = \frac{4\pi r^2}{3\kappa\rho} \frac{d(aT^4)}{dr}$$

r Radius from center
 P Pressure
 G_N Newton's constant
 ρ Mass density
 M_r Integrated mass up to r
 L_r Luminosity (energy flux)
 ϵ Local rate of energy generation [erg/g/s]

$$\epsilon = \epsilon_{\text{nuc}} + \epsilon_{\text{grav}} - \epsilon_{\nu}$$

κ Opacity
 $\kappa^{-1} = \kappa_{\gamma}^{-1} + \kappa_c^{-1}$

κ_{γ} Radiative opacity
 $\kappa_{\gamma}\rho = \langle \lambda_{\gamma} \rangle_{\text{Rosseland}}^{-1}$

κ_c Electron conduction

Literature

- Clayton: Principles of stellar evolution and nucleosynthesis (Univ. Chicago Press 1968)
- Kippenhahn & Weigert: Stellar structure and evolution (Springer 1990)

Convection in Main-Sequence Stars

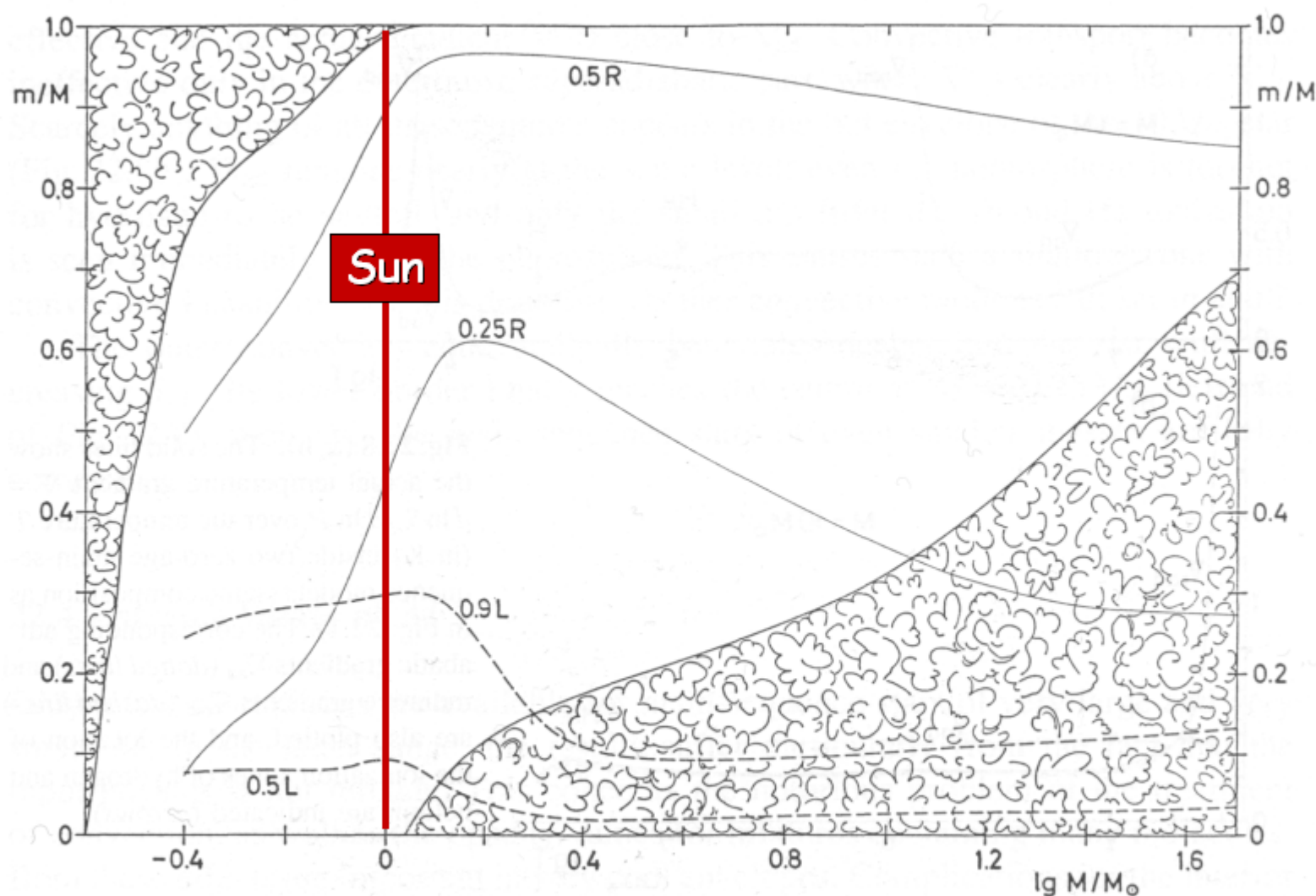


Fig.22.7. The mass values m from centre to surface are plotted against the stellar mass M for the same zero-age main-sequence models as in Fig.22.1. “Cloudy” areas indicate the extension of convective zones inside the models. Two solid lines give the m values at which r is $1/4$ and $1/2$ of the total radius R . The dashed lines show the mass elements inside which 50% and 90% of the total luminosity L are produced

Kippenhahn
& Weigert,
Stellar
Structure
and Evolution
(Springer
1990)

Virial Theorem and Hydrostatic Equilibrium

Hydrostatic equilibrium

$$\frac{dP}{dr} = -\frac{G_N M_r \rho}{r^2}$$

Integrate both sides

$$\int_0^R dr 4\pi r^3 P' = - \int_0^R dr 4\pi r^3 \frac{G_N M_r \rho}{r^2}$$

L.h.s. partial integration
with $P = 0$ at surface R

$$-3 \int_0^R dr 4\pi r^2 P = E_{\text{grav}}^{\text{tot}}$$

Classical monatomic gas: $P = \frac{2}{3}U$
(U density of internal energy)

$$U^{\text{tot}} = -\frac{1}{2} E_{\text{grav}}^{\text{tot}}$$

Average energy of single
"atoms" of the gas

$$\langle E_{\text{kin}} \rangle = -\frac{1}{2} \langle E_{\text{grav}} \rangle$$

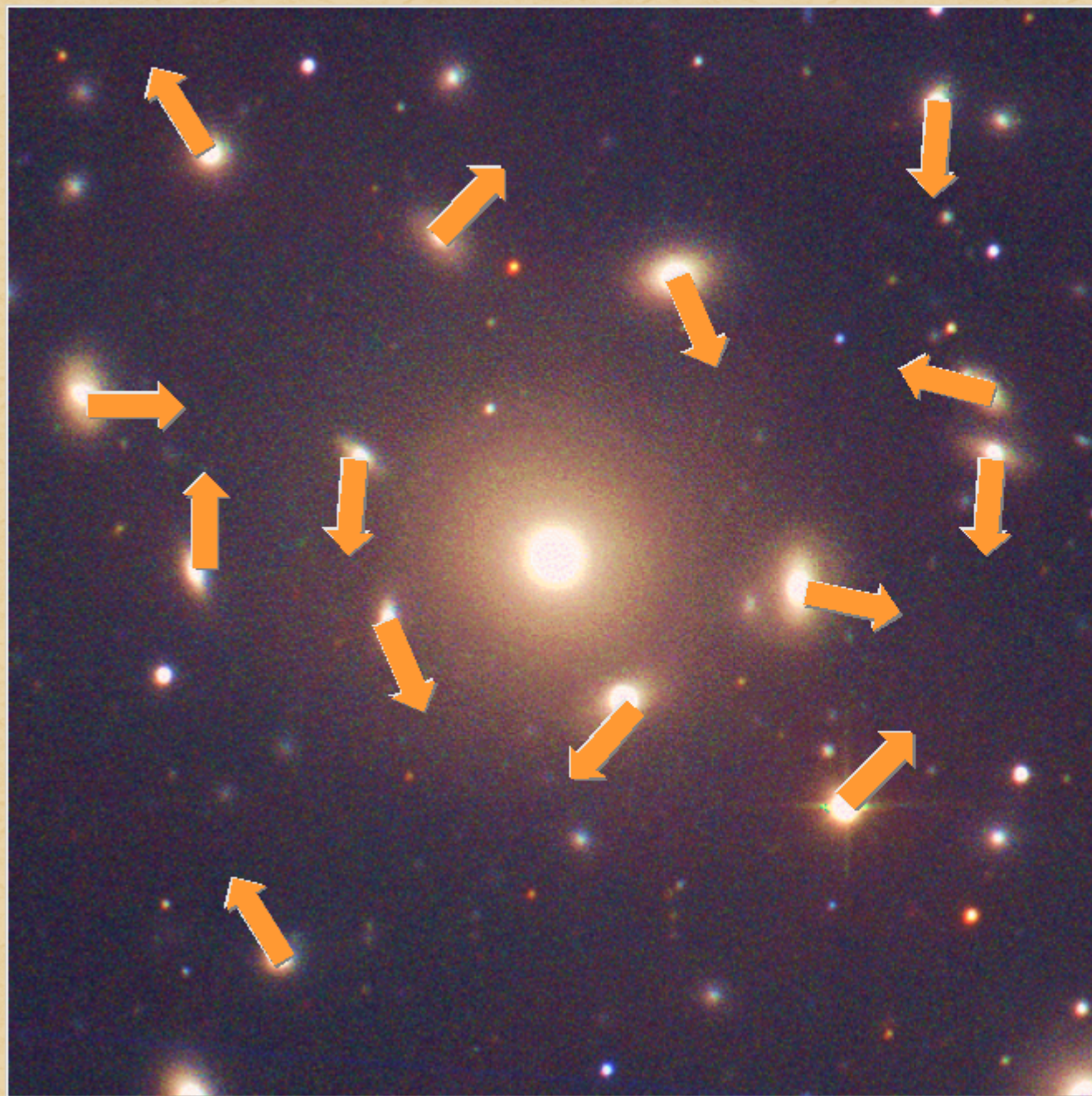
Virial Theorem

Most important tool to understand
self-gravitating systems

Coma Cluster of Galaxies



Coma Cluster of Galaxies



Velocities from
Doppler shifts of
spectral lines



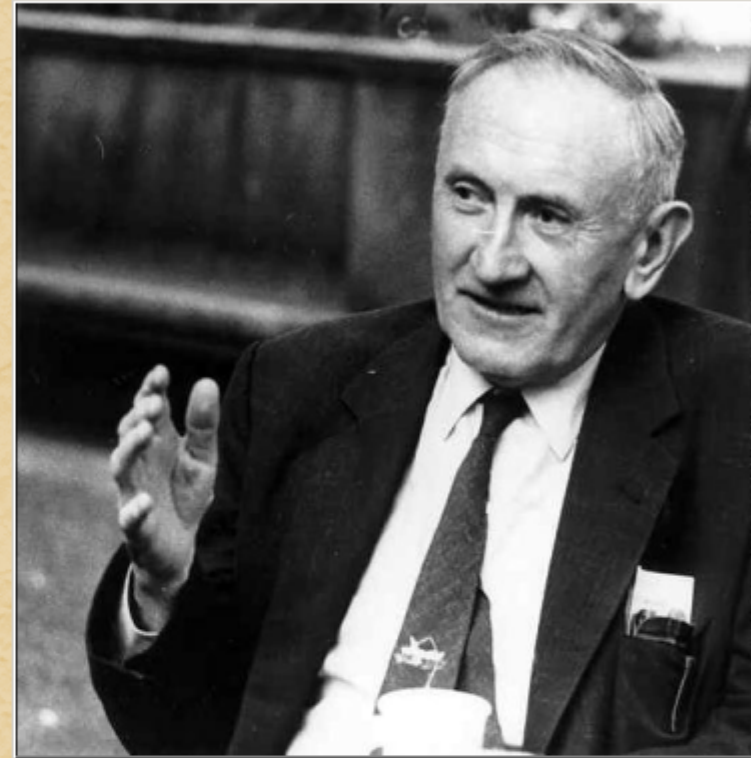
Estimate mass
of galaxy cluster

Dark Matter in Galaxy Clusters

Fritz Zwicky

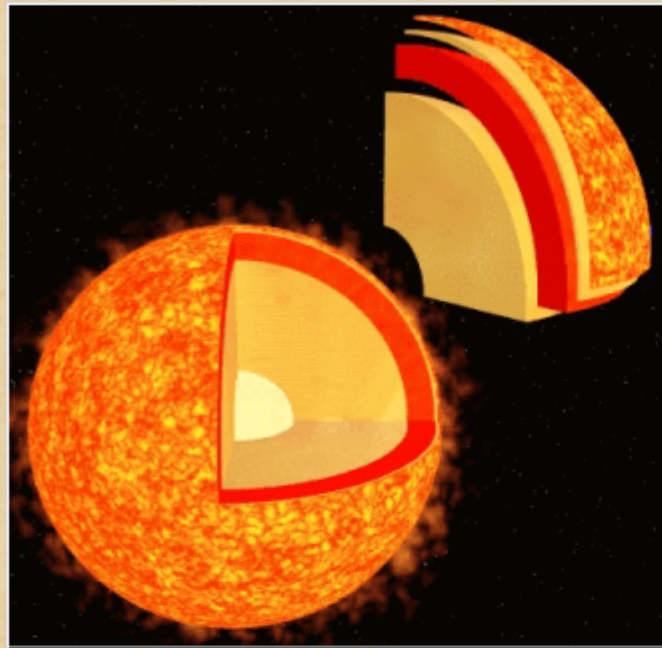
"Die Rotverschiebung von
Extragalaktischen Nebeln"

[Helv. Phys. Acta 6 (1933) 110]



[...] In order to obtain the observed average Doppler effect of 1000 km/s or more, the average density of the Coma cluster would have to be at least 400 times larger than what is found from observations of the luminous matter. Should this be confirmed one would find the surprising result that **dark matter** is far more abundant than luminous matter. [...]

Virial Theorem Applied to the Sun



Central temperature
from standard solar
models

$$T_c = 1.56 \times 10^7 \text{ K} \\ = 1.34 \text{ keV}$$

$$\langle E_{\text{kin}} \rangle = -\frac{1}{2} \langle E_{\text{grav}} \rangle$$

Virial Theorem

Approximate Sun as a homogeneous
sphere with

$$\text{Mass } M_{\odot} = 1.99 \times 10^{33} \text{ g}$$

$$\text{Radius } R_{\odot} = 6.96 \times 10^{10} \text{ cm}$$

Gravitational potential energy of a
proton near center of the sphere

$$\langle E_{\text{grav}} \rangle = -\frac{3}{2} \frac{G_N M_{\odot} m_p}{R_{\odot}} = -3.2 \text{ keV}$$

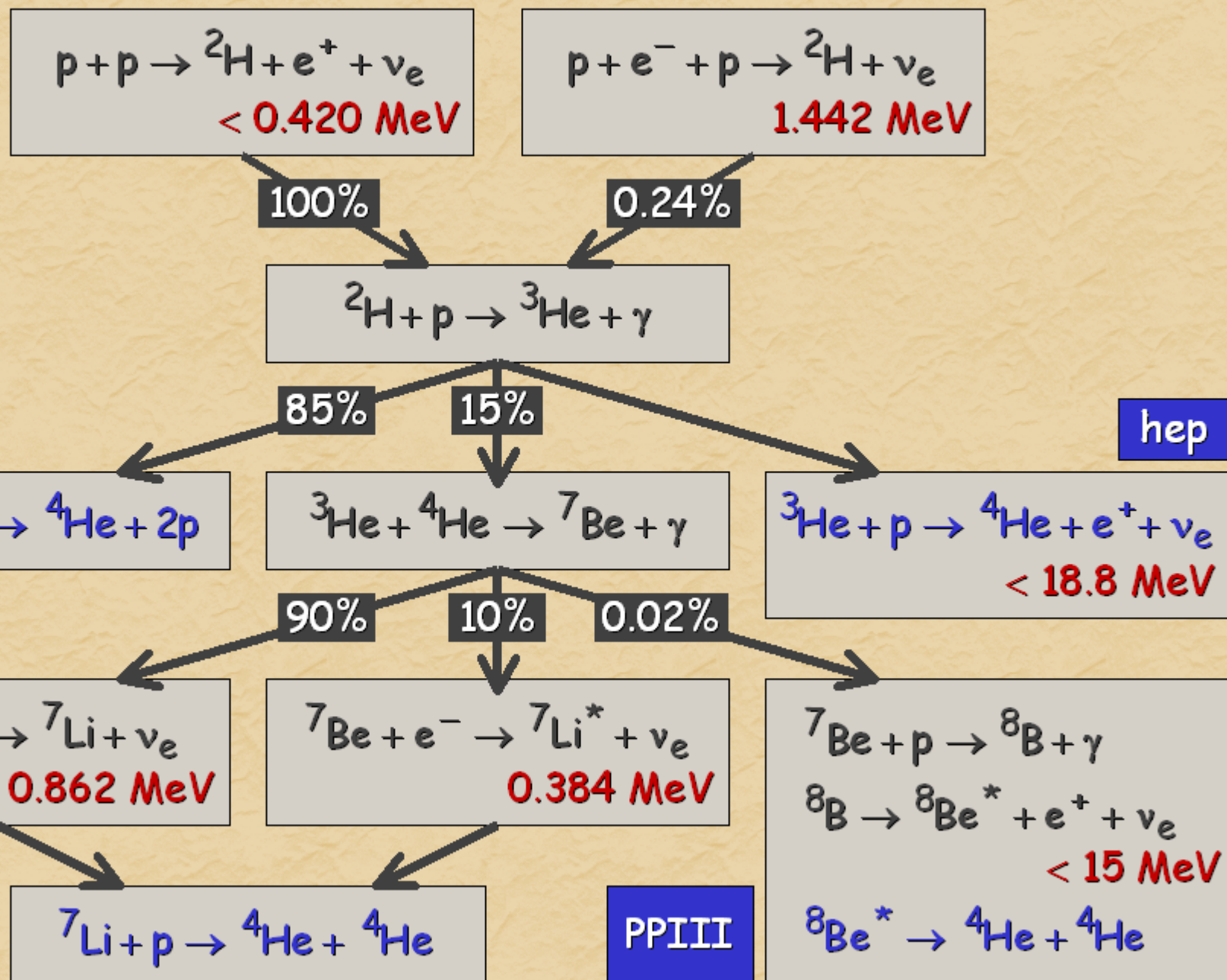
Thermal velocity distribution

$$\langle E_{\text{kin}} \rangle = \frac{3}{2} k_B T = -\frac{1}{2} \langle E_{\text{grav}} \rangle$$

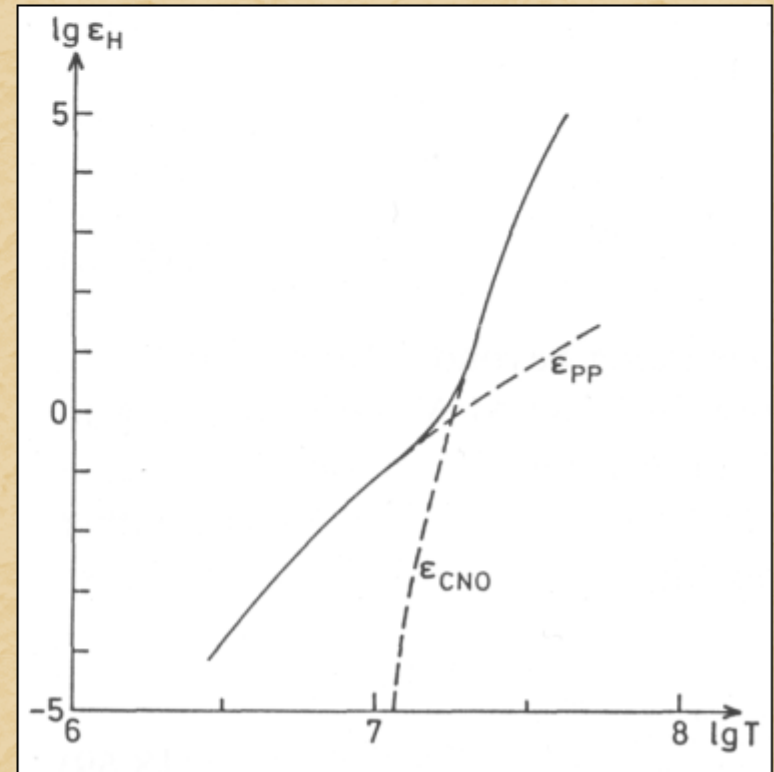
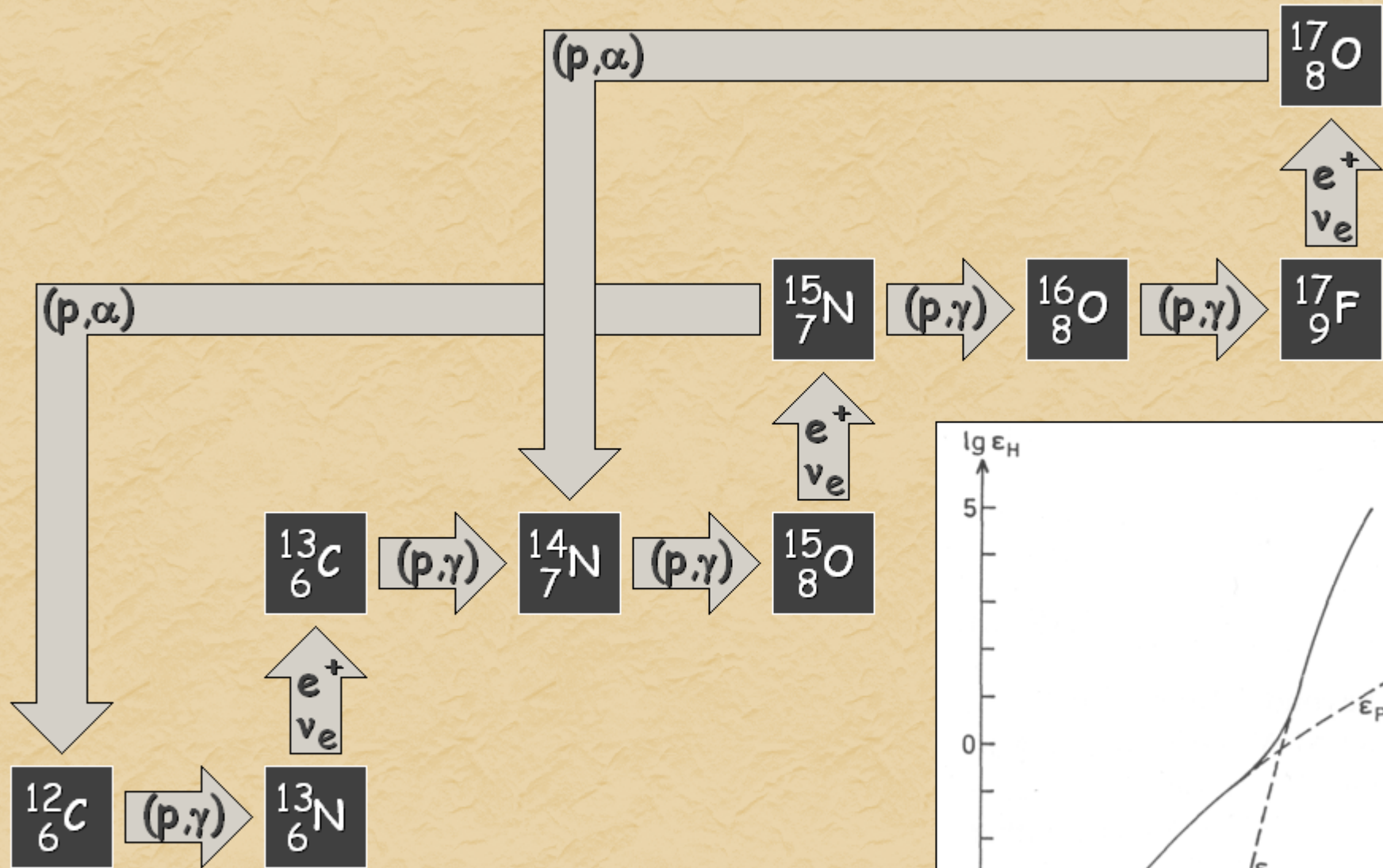
Estimated temperature

$$T = 1.1 \text{ keV}$$

Hydrogen burning: Proton-Proton Chains



Hydrogen Burning: CNO Cycle



Thermonuclear Reactions and Gamow Peak

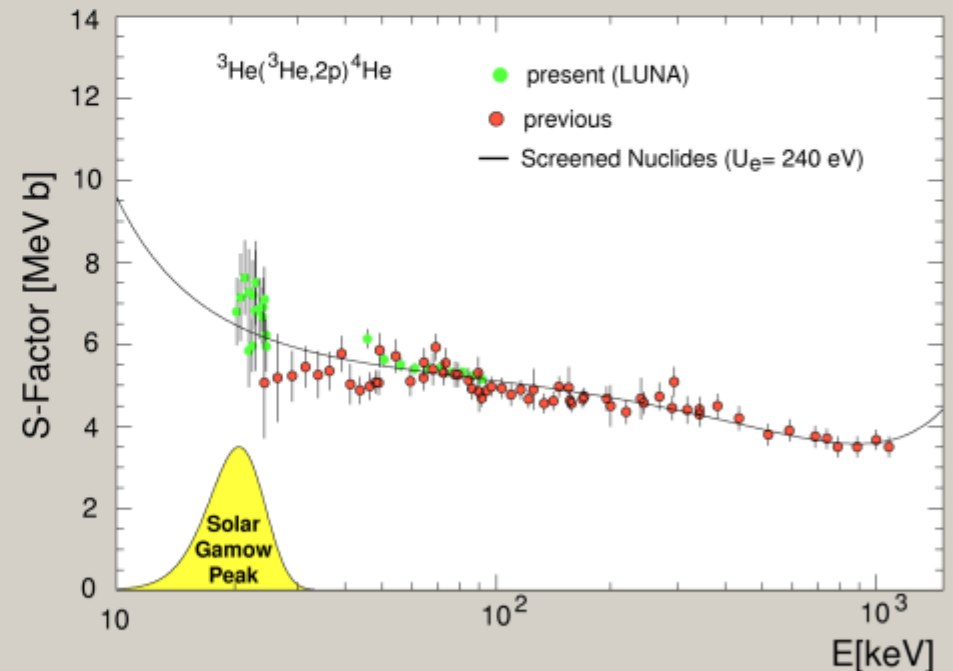
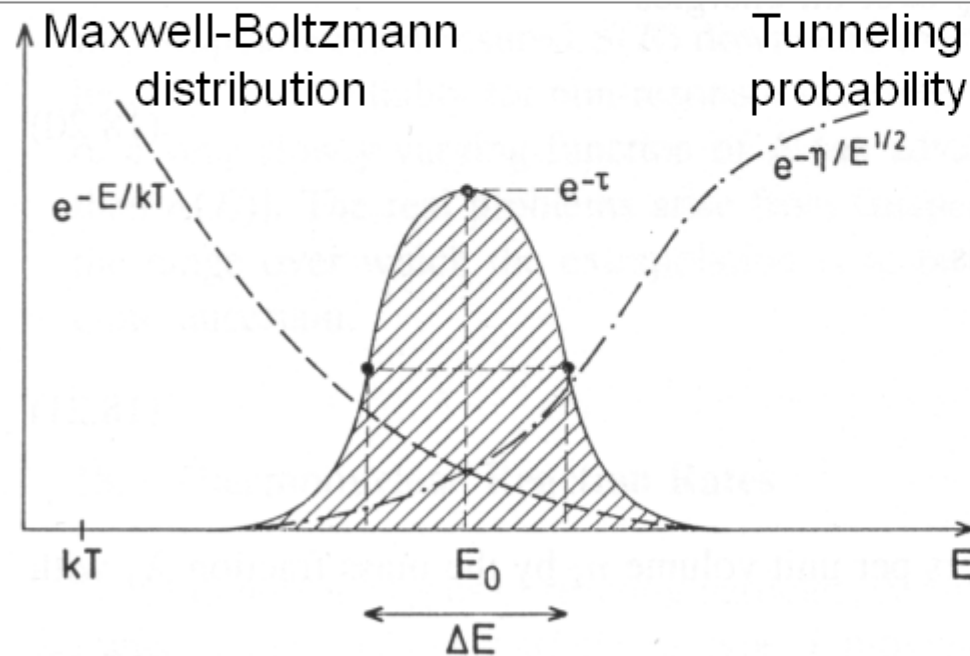
Coulomb repulsion prevents nuclear reactions, except for Gamow tunneling

Tunneling probability $\propto E^{-1/2} e^{-2\pi\eta}$
with Sommerfeld parameter

$$\eta = \left(\frac{m}{2}\right)^{1/2} \frac{Z_1 Z_2 e^2}{E^{1/2}}$$

Parameterize cross section with astrophysical S-factor

$$S(E) = \sigma(E) E e^{2\pi\eta(E)}$$



Main Nuclear Burnings

Hydrogen burning $4p + 2e^- \rightarrow {}^4\text{He} + 2\nu_e$

- Proceeds by pp chains and CNO cycle
- No higher elements are formed because no stable isotope with mass number 8
- Neutrinos from $p \rightarrow n$ conversion
- Typical temperatures: 10^7 K (~ 1 keV)

Helium burning



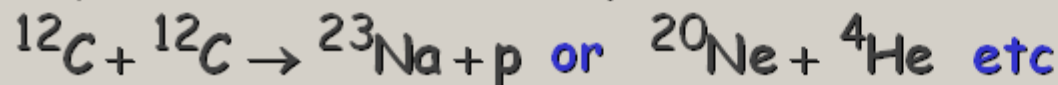
"Triple alpha reaction" because ${}^8\text{Be}$ unstable, builds up with concentration $\sim 10^{-9}$



Typical temperatures: 10^8 K (~ 10 keV)

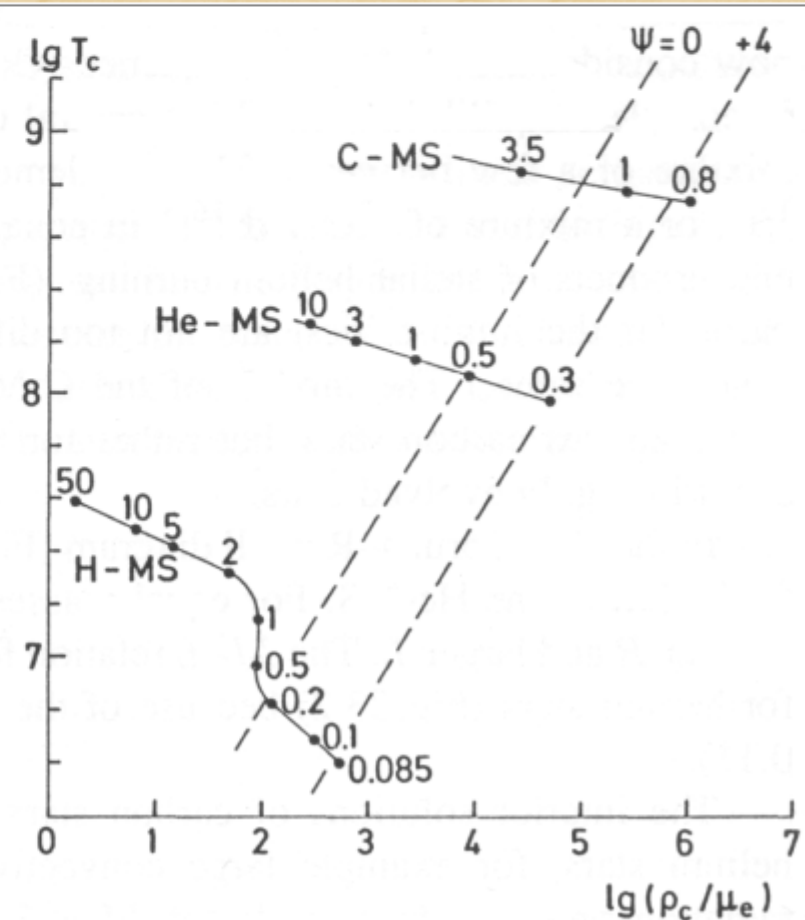
Carbon burning

Many reactions, for example



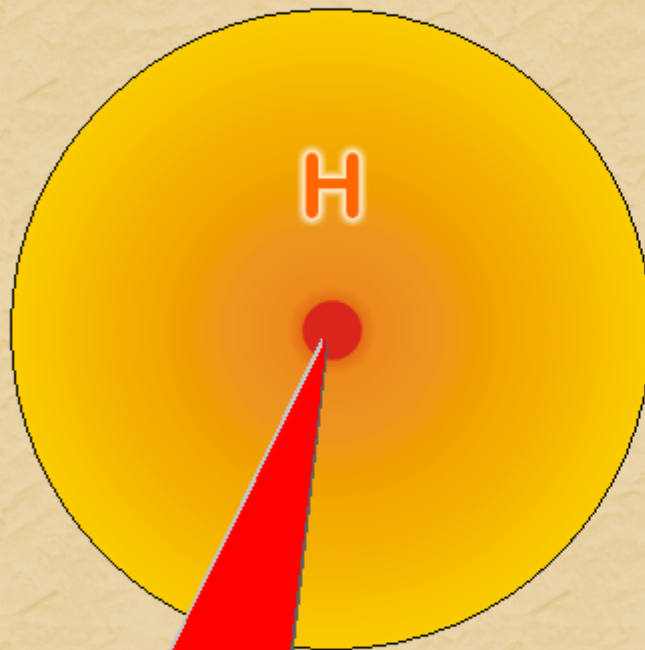
Typical temperatures: 10^9 K (~ 100 keV)

- Each type of burning occurs at very different T but broad range of density
- Never co-exist in same location



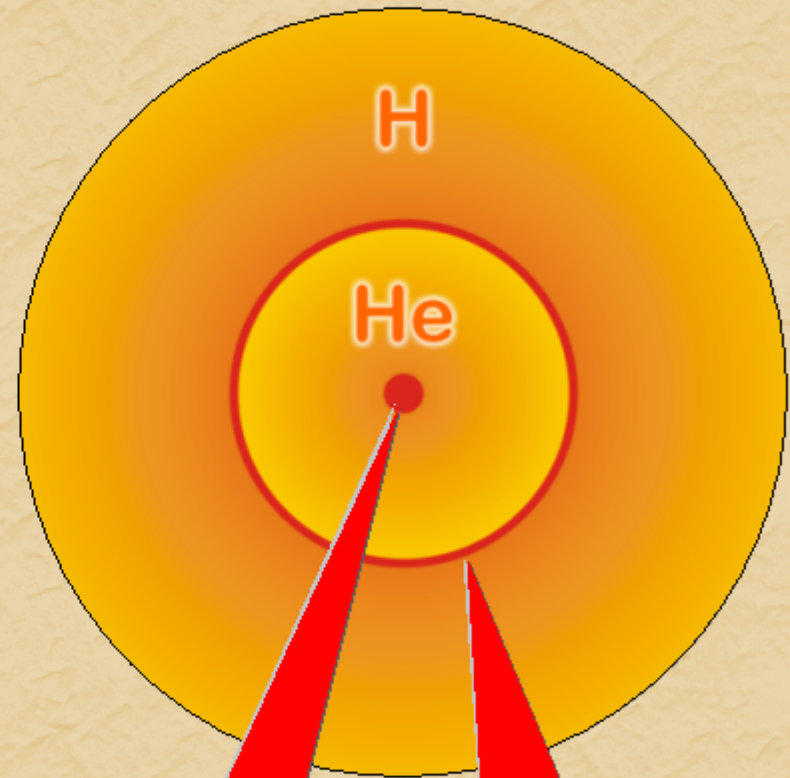
Hydrogen Exhaustion

Main-sequence star



Hydrogen Burning

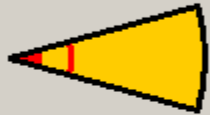
Helium-burning star



Helium
Burning

Hydrogen
Burning

Burning Phases of a 15 Solar-Mass Star

Burning Phase		Dominant Process	T_c [keV]	ρ_c [g/cm ³]	L_γ [10 ⁴ L _{sun}]		Duration [years]
						L_v/L_γ	
	Hydrogen	H → He	3	5.9	2.1	-	1.2×10 ⁷
	Helium	He → C, O	14	1.3×10 ³	6.0	1.7×10 ⁻⁵	1.3×10 ⁶
	Carbon	C → Ne, Mg	53	1.7×10 ⁵	8.6	1.0	6.3×10 ³
	Neon	Ne → O, Mg	110	1.6×10 ⁷	9.6	1.8×10 ³	7.0
	Oxygen	O → Si	160	9.7×10 ⁷	9.6	2.1×10 ⁴	1.7
	Silicon	Si → Fe, Ni	270	2.3×10 ⁸	9.6	9.2×10 ⁵	6 days

Neutrinos from Thermal Plasma Processes

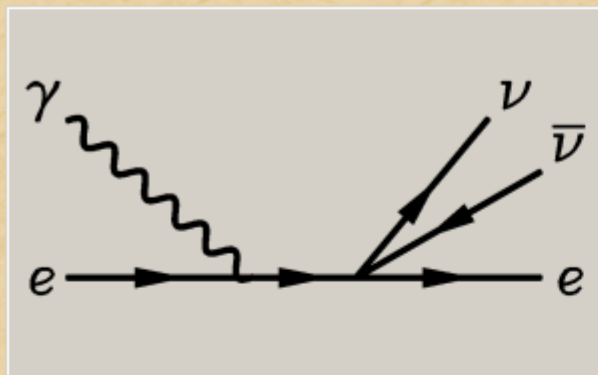
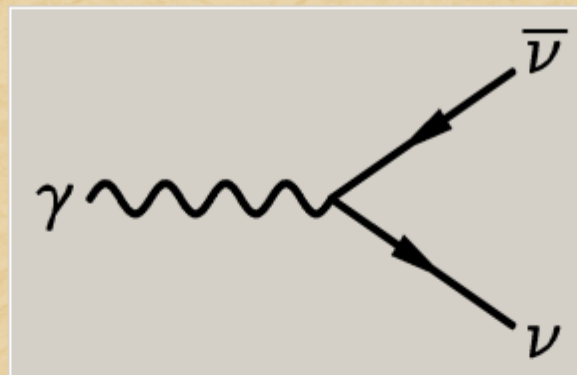
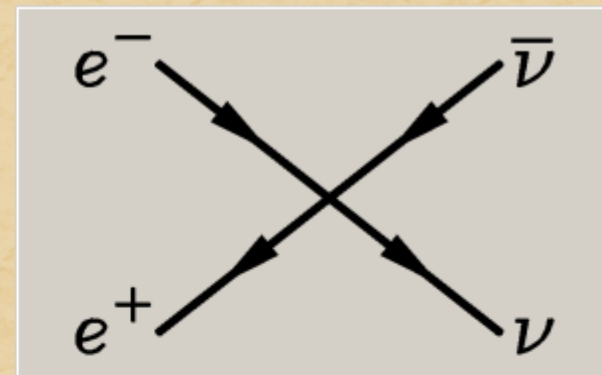


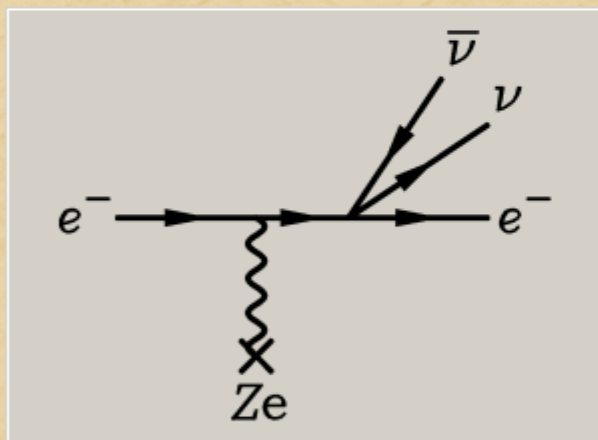
Photo (Compton)



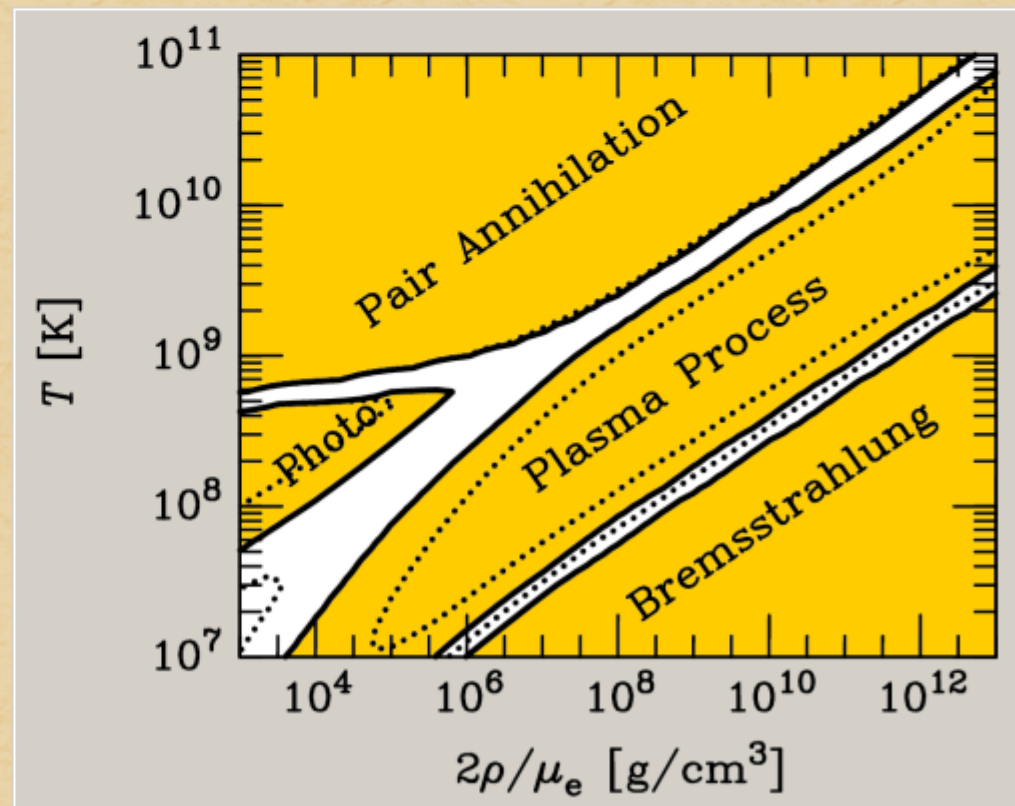
Plasmon decay



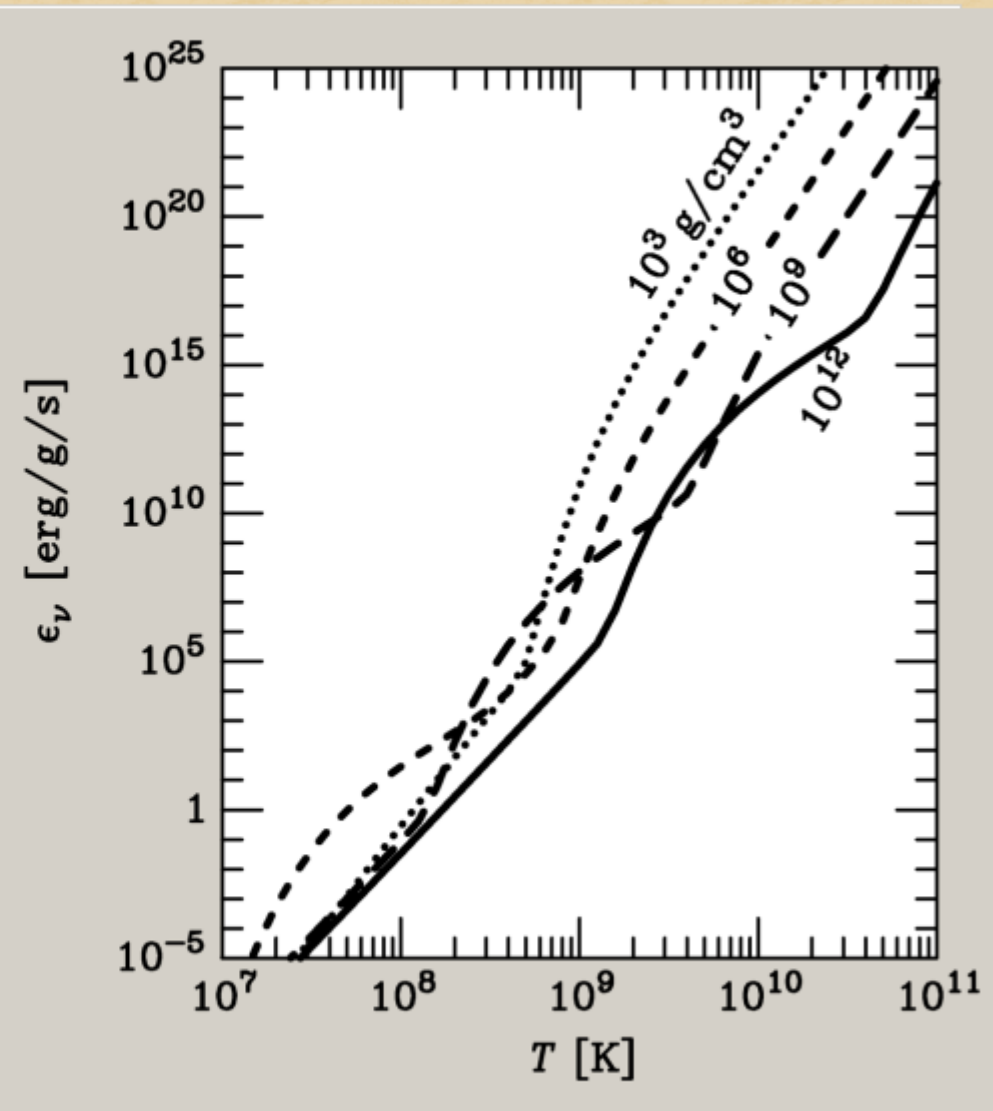
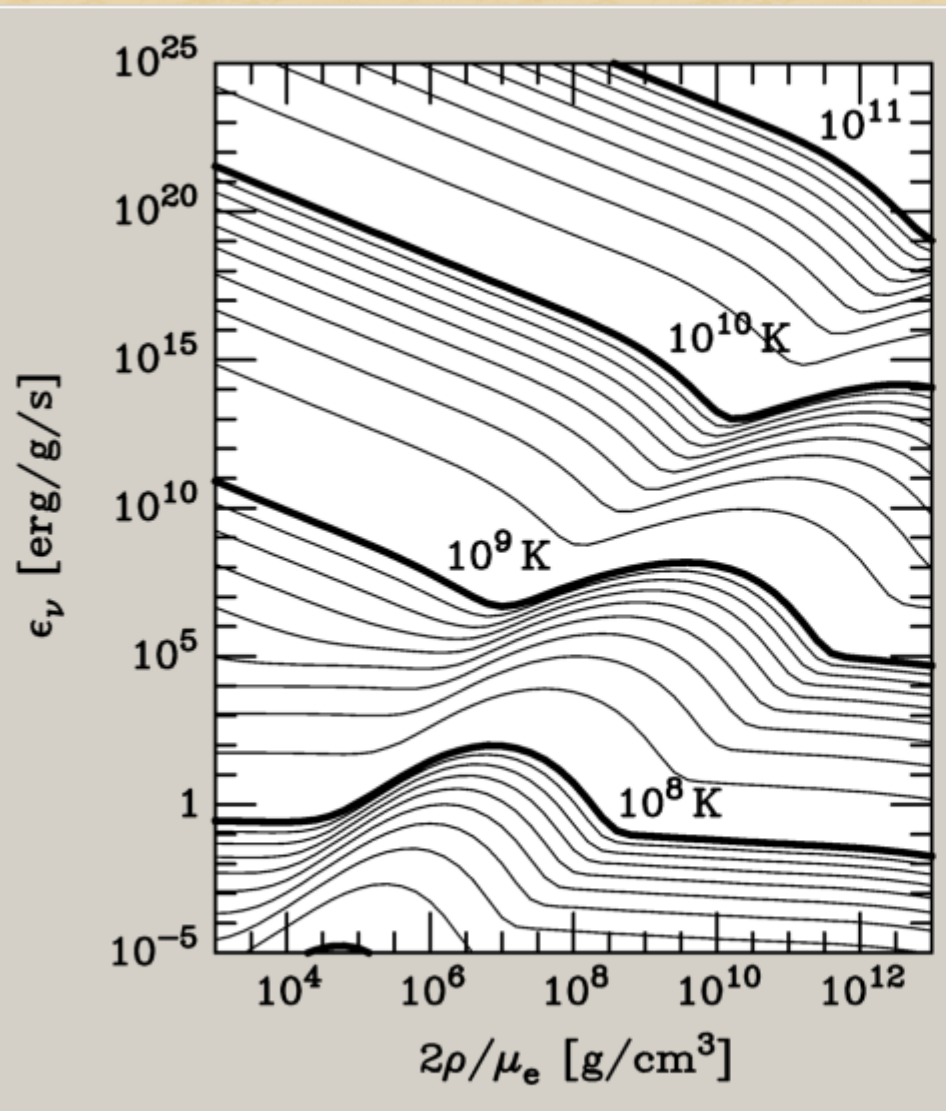
Pair annihilation



Bremsstrahlung



Neutrino Energy Loss Rates



Existence of Direct Neutrino-Electron Coupling

VOLUME 24, NUMBER 10

PHYSICAL REVIEW LETTERS

9 MARCH 1970

ASTROPHYSICAL DETERMINATION OF THE COUPLING CONSTANT FOR THE ELECTRON-NEUTRINO WEAK INTERACTION

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(Received 22 December 1969)

The existence of the $(\bar{\nu}_e)(\bar{\nu}_e e)$ weak interaction is confirmed by the results of nine astrophysical tests. The value of the coupling constant is equal to, or close to, the coupling constant of beta decay, namely, $g^2 = 10^{0 \pm 2} g_\beta^2$.

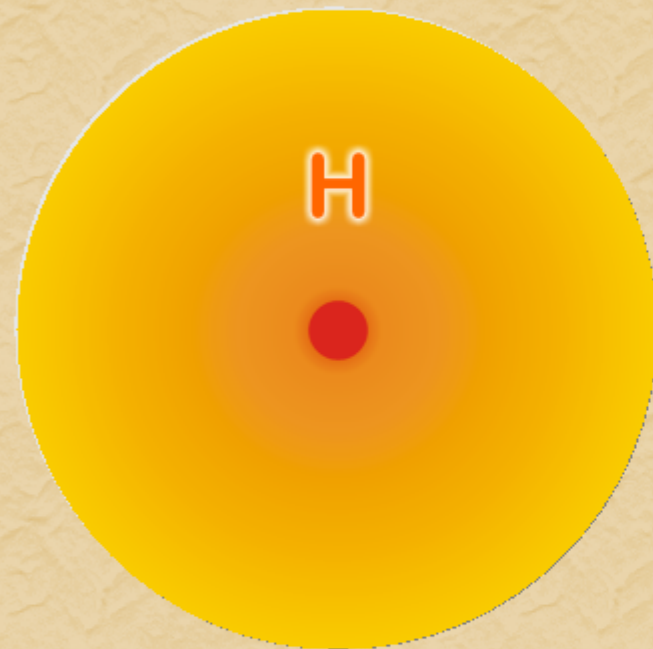
Of all the astrophysical tests applied so far for the inference of a direct electron-neutrino interaction in nature, none has unambiguously provided a useful upper limit on the coupling constant, which in the $V-A$ theory of Feynman and Gell-Mann¹ is taken to be equal to the "universal" weak-interaction coupling constant measured from beta decays (called g_β hereafter). However, it is important to point out that these tests, made by the author and his colleagues during the past eight years, do provide a nonzero lower limit, and therefore establish at least the existence of the $(\bar{\nu}_e)(\bar{\nu}_e e)$ interaction. It should be emphasized, nonetheless, that all of these tests rely on the validity of various stellar model calculations. These models, while not subject to scrutiny in the same sense as a laboratory ex-

relative theoretical lifetimes, calculated with and without the inclusion of neutrino emission. In this Letter, the unmodified term "luminosity" will mean the photon luminosity L radiated by the star. The "neutrino luminosity" will be designated L_ν . Quantities referring to the sun are subscripted with an encircled dot.

The most accurate available data on white dwarfs are those collected by Eggen⁷ for the two clusters Hyades and Pleiades and for the nearby general field. Of chief interest here are the hot white dwarfs, for which the observational data^{7,8} have been reduced following the procedure of Van Horn.⁹ The resulting luminosities are estimated to have a statistical accuracy of ± 0.1 in $\log(L/L_\odot)$, which is adequate here.

Models of cooling white dwarfs have been con-

Self-Regulated Nuclear Burning



Main-Sequence Star

Virial Theorem

$$\langle E_{\text{kin}} \rangle = -\frac{1}{2} \langle E_{\text{grav}} \rangle$$

Small Contraction

- Heating
- Increased nuclear burning
- Increased pressure
- Expansion

Additional energy loss ("cooling")

- Loss of pressure
- Contraction
- Heating
- Increased nuclear burning

Hydrogen burning at a nearly fixed T

- Gravitational potential nearly fixed:
 $G_N M/R \sim \text{constant}$
- $R \propto M$ (More massive stars bigger)

Degenerate Stars ("White Dwarfs")

Assume T very small

→ No thermal pressure

→ Electron degeneracy for pressure

Pressure $\sim$ Momentum density $\times$ Velocity

- Electron density $n_e = p_F^3 / (3\pi^2)$

- Momentum p_F (Fermi momentum)

- Velocity $v \sim p_F / m_e$

- Pressure $P \propto p_F^5 \propto \rho^{5/3} \propto M^{5/3} R^{-5}$

- Density $\rho \propto M R^{-3}$
(Stellar mass M and radius R)

Hydrostatic equilibrium

$$\frac{dP}{dr} = -\frac{G_N M_r \rho}{r^2}$$

With $dP/dr \sim -P/R$ we have approximately

$$P \sim G_N M \rho R^{-1} \sim G_N M^2 R^{-4}$$

Inverse mass-radius relationship
for degenerate stars $R \propto M^{-1/3}$

$$R = 10,500 \text{ km} \left(\frac{0.6 M_\odot}{M} \right)^{1/3} (2Y_e)^{5/3}$$

(Y_e electrons per nucleon)

For sufficiently large mass
electrons become relativistic

- Velocity = speed of light

- Pressure

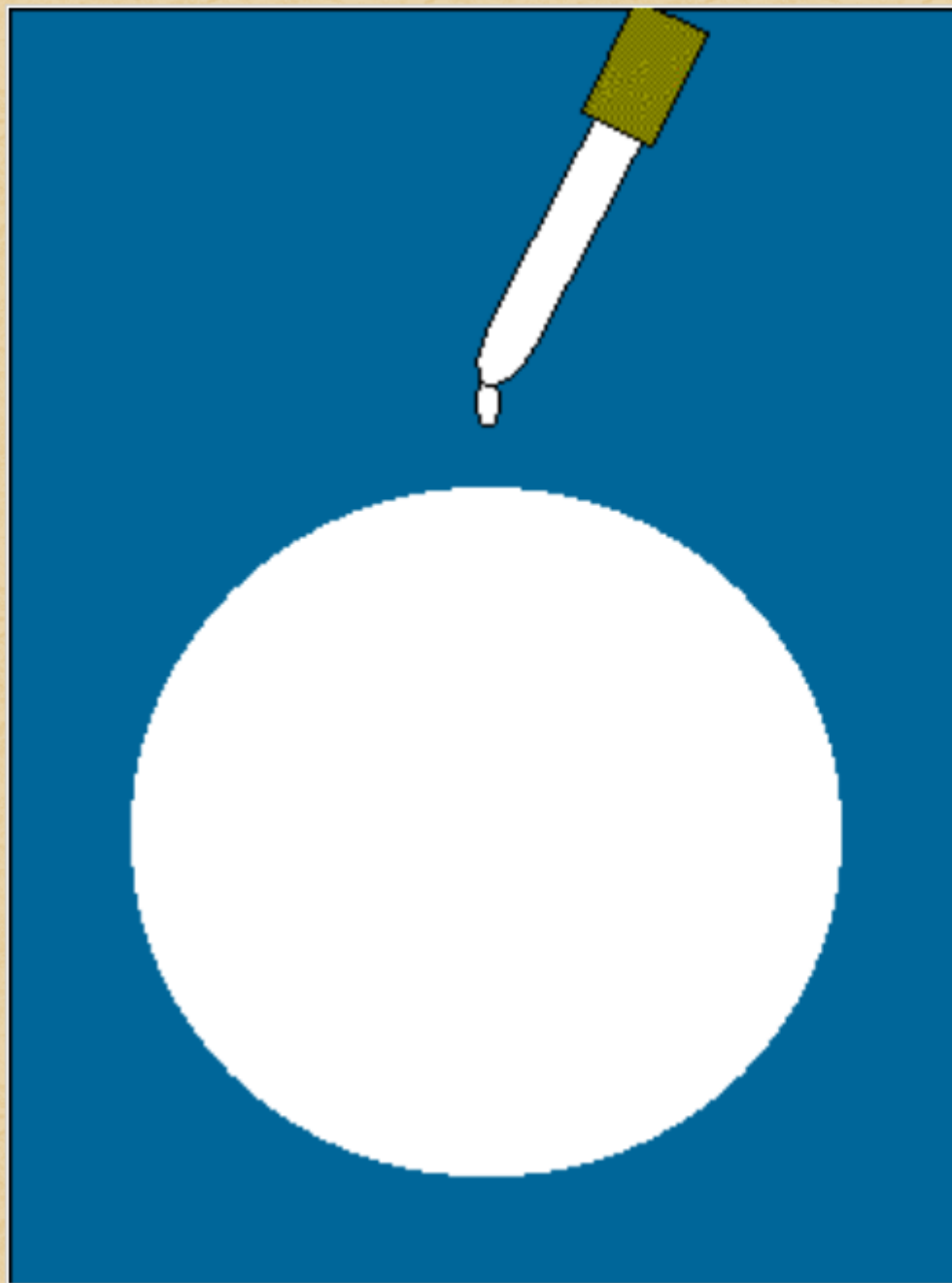
$$P \propto p_F^4 \propto \rho^{4/3} \propto M^{4/3} R^{-4}$$

No stable configuration

Chandrasekhar mass limit

$$M_{Ch} = 1.457 M_\odot (2Y_e)^2$$

Degenerate Stars



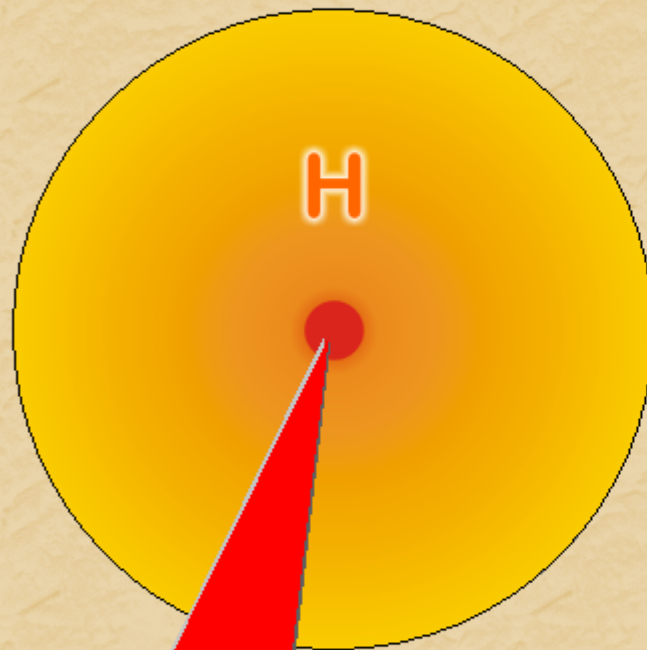
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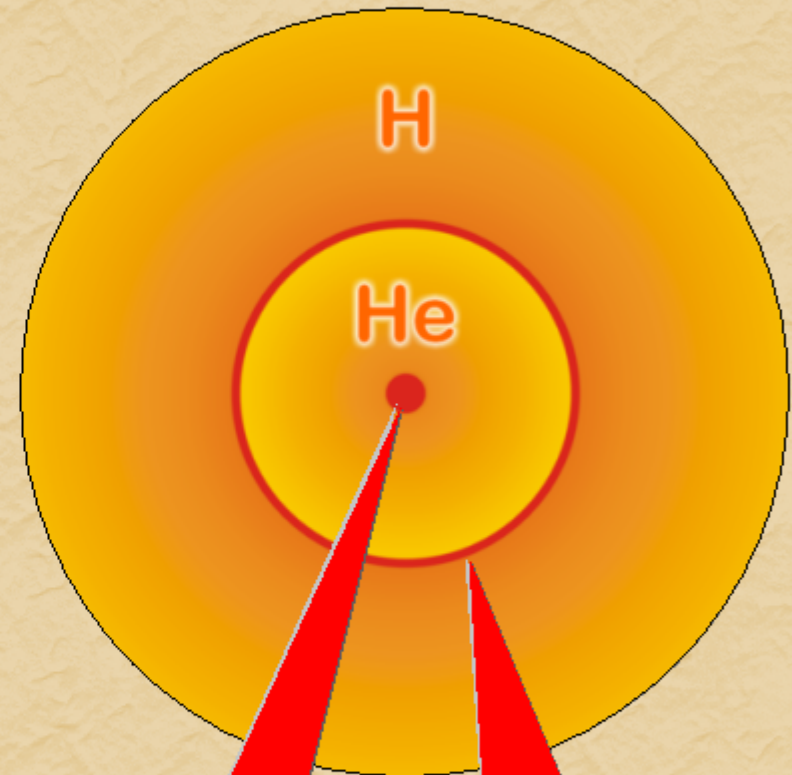
Stellar Collapse

Main-sequence star



Hydrogen Burning

Helium-burning star



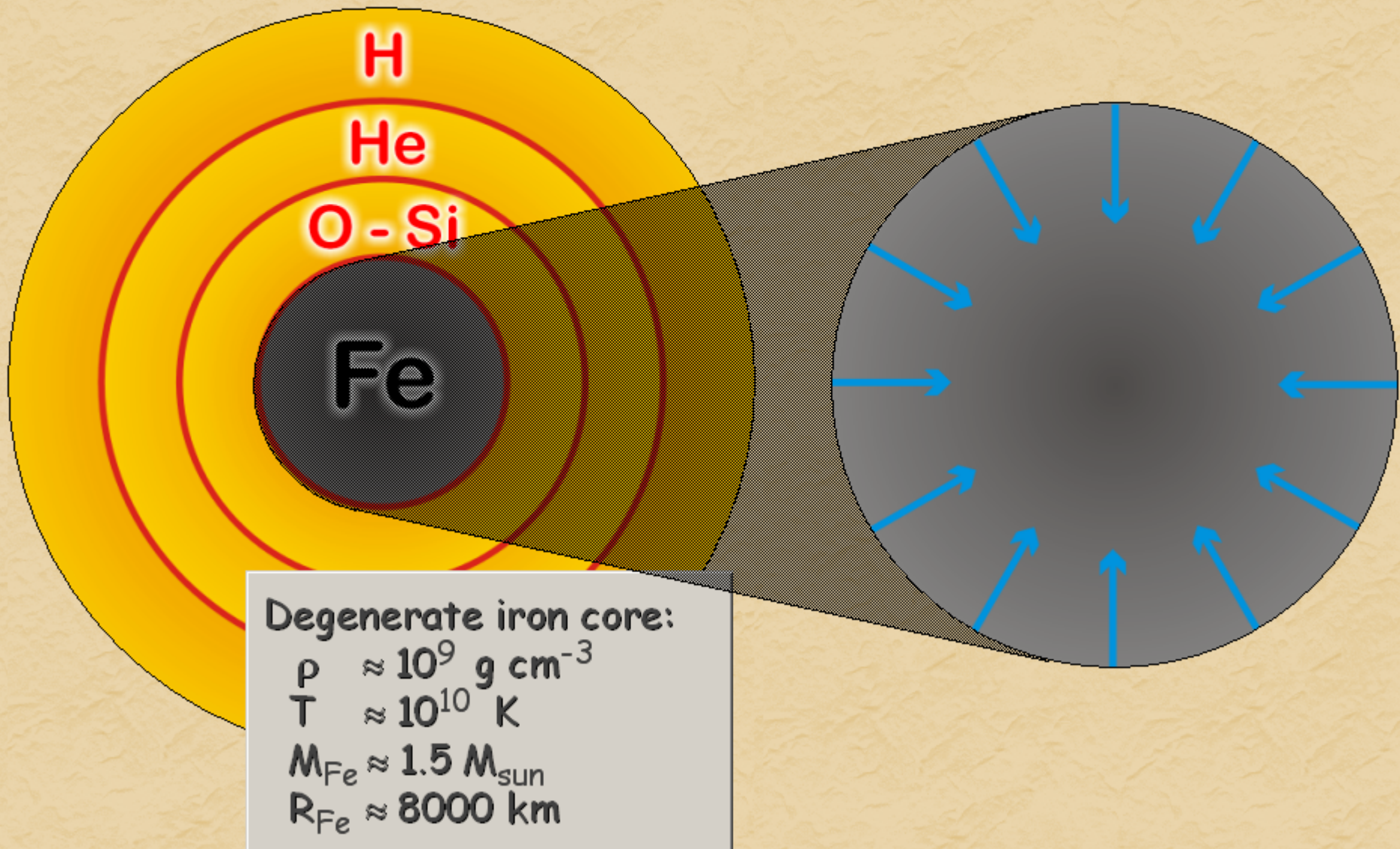
Helium
Burning

Hydrogen
Burning

Stellar Collapse

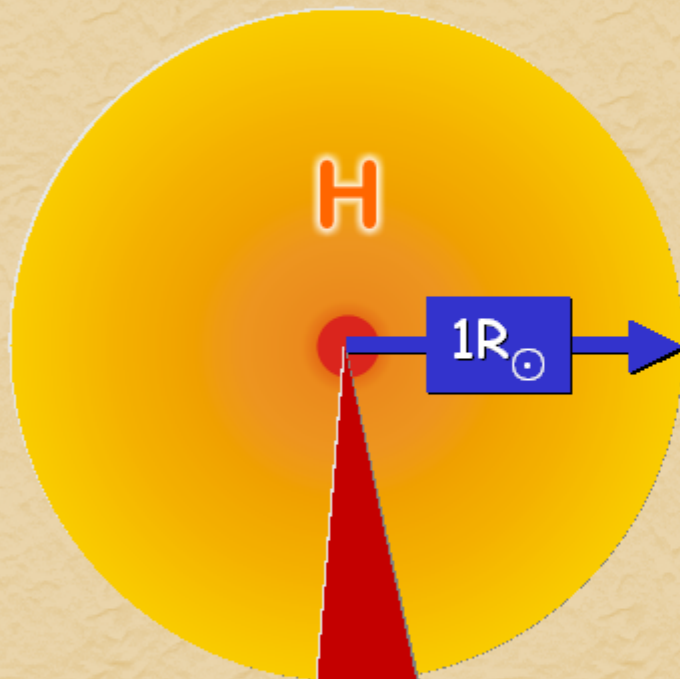
Onion structure

Collapse (implosion)



Giant Stars

Main-sequence star $1M_{\odot}$
(Hydrogen burning)

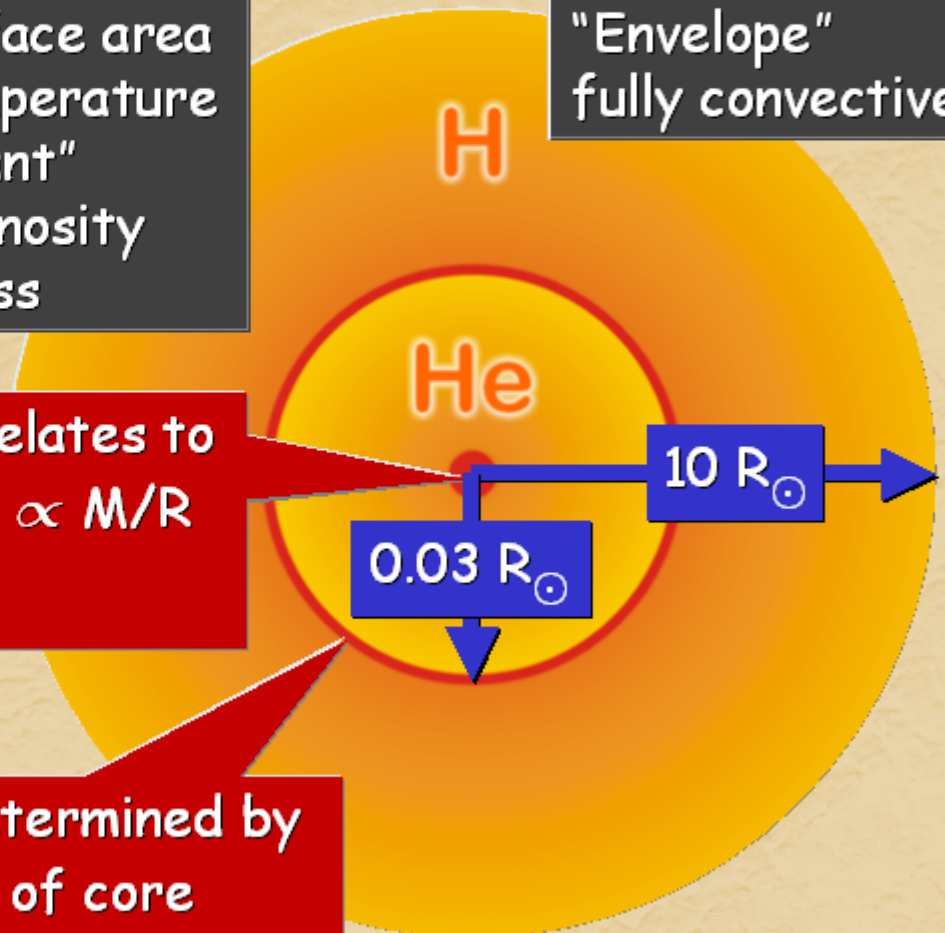


$\epsilon_{\text{nuc}}(\text{H})$ relates to
 $T \propto \Phi_{\text{grav}} \propto M/R$
of full star

Helium-burning star $1M_{\odot}$

Large surface area
→ low temperature
→ "red giant"
Large luminosity
→ mass loss

"Envelope"
fully convective

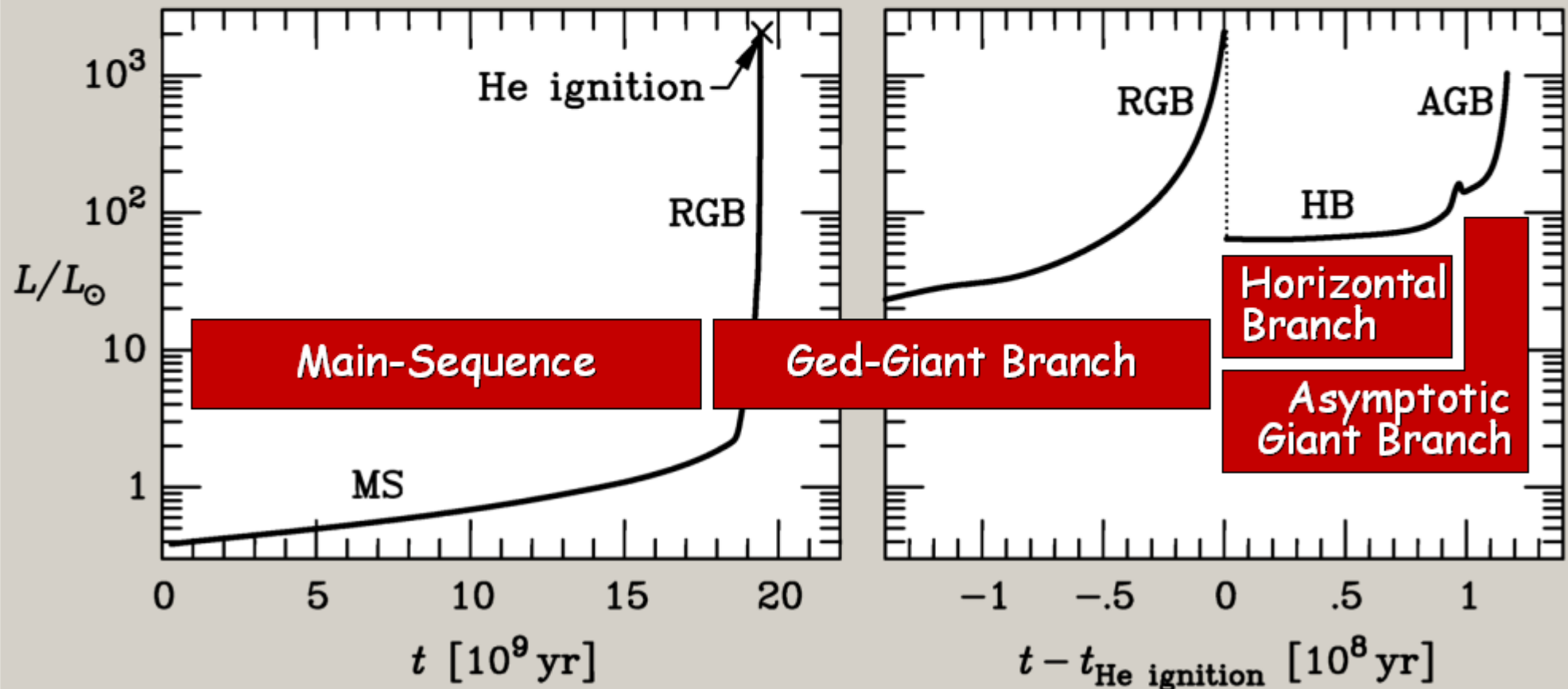
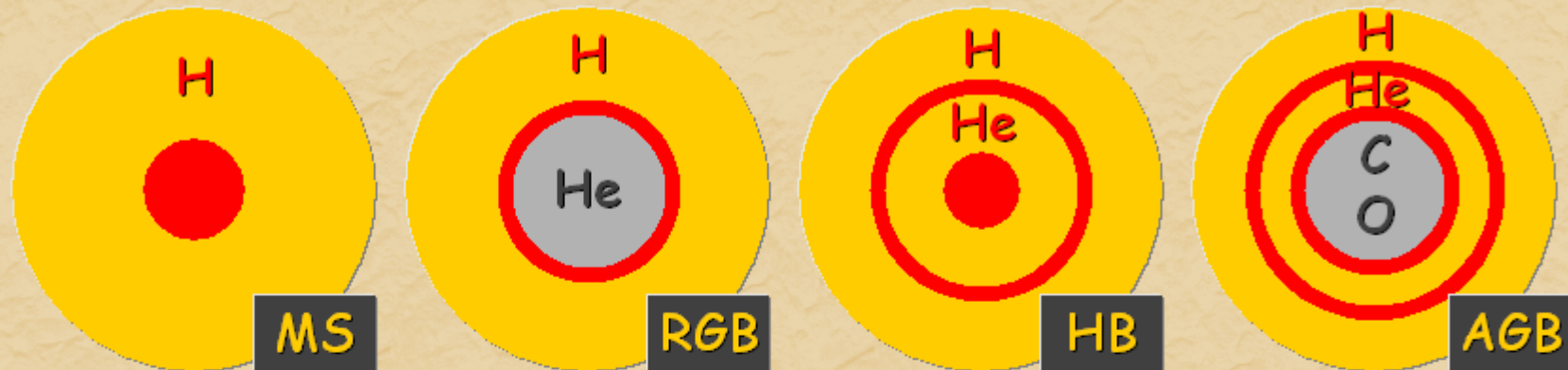


$\epsilon_{\text{nuc}}(\text{He})$ relates to
 $T \propto \Phi_{\text{grav}} \propto M/R$
of core

$\epsilon_{\text{nuc}}(\text{H})$ determined by
 $T \propto \Phi_{\text{grav}}$ of core
→ huge $L(\text{H})$

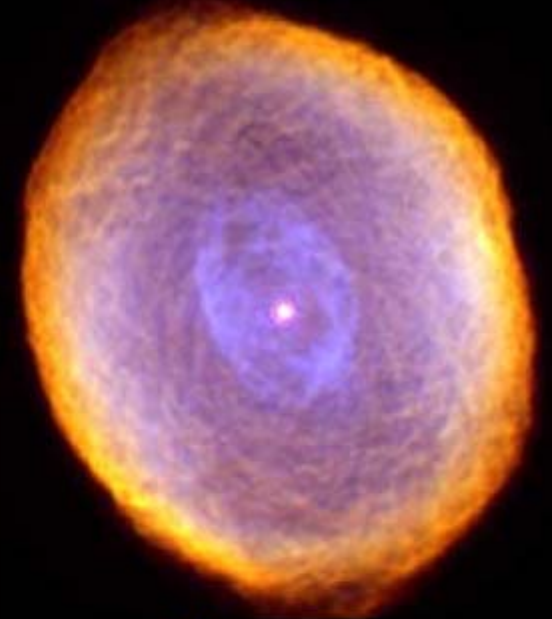


Evolution of a Low-Mass Star



Planetary Nebulae

Hour
Glass
Nebula



Planetary
Nebula IC 418



Planetary
Nebula NGC 3132

Eskimo
Nebula



Evolution of Stars

$$M < 0.08 M_{\odot}$$

Never ignites hydrogen → cools
("hydrogen white dwarf")

Brown dwarf

$$0.08 < M \lesssim 0.8 M_{\odot}$$

Hydrogen burning not completed
in Hubble time

Low-mass
main-sequence star

$$0.8 \lesssim M \lesssim 2 M_{\odot}$$

Degenerate helium core
after hydrogen exhaustion

- Carbon-oxygen
white dwarf
- Planetary nebula

$$2 M_{\odot} \lesssim M < 5-8 M_{\odot}$$

Helium ignition non-degenerate

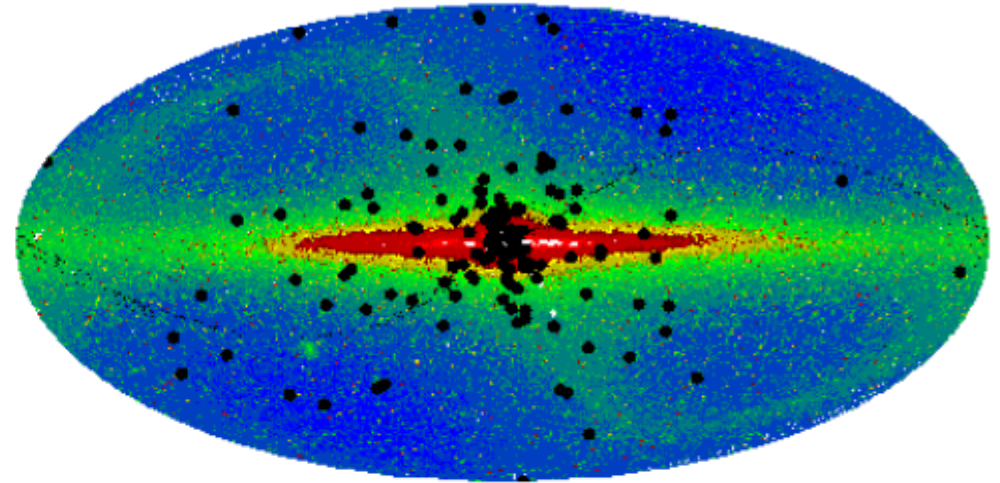
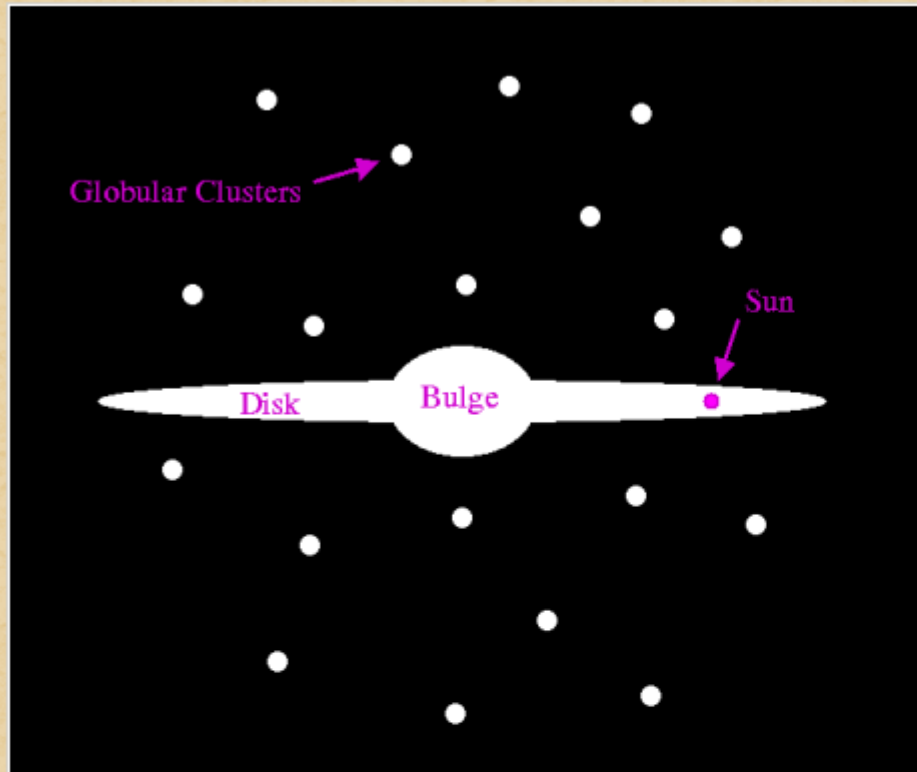
$$5-8 M_{\odot} < M < ???$$

All burning cycles
→ Onion skin
structure with
degenerate iron
core

Core
collapse
supernova

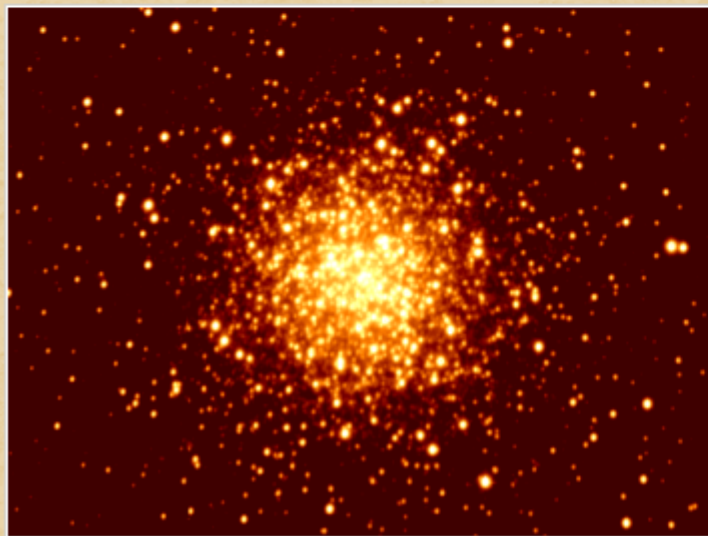
- Neutron star
(often pulsar)
- Sometimes
black hole?
- Supernova
remnant (SNR),
e.g. crab nebula

Globular Clusters of the Milky Way



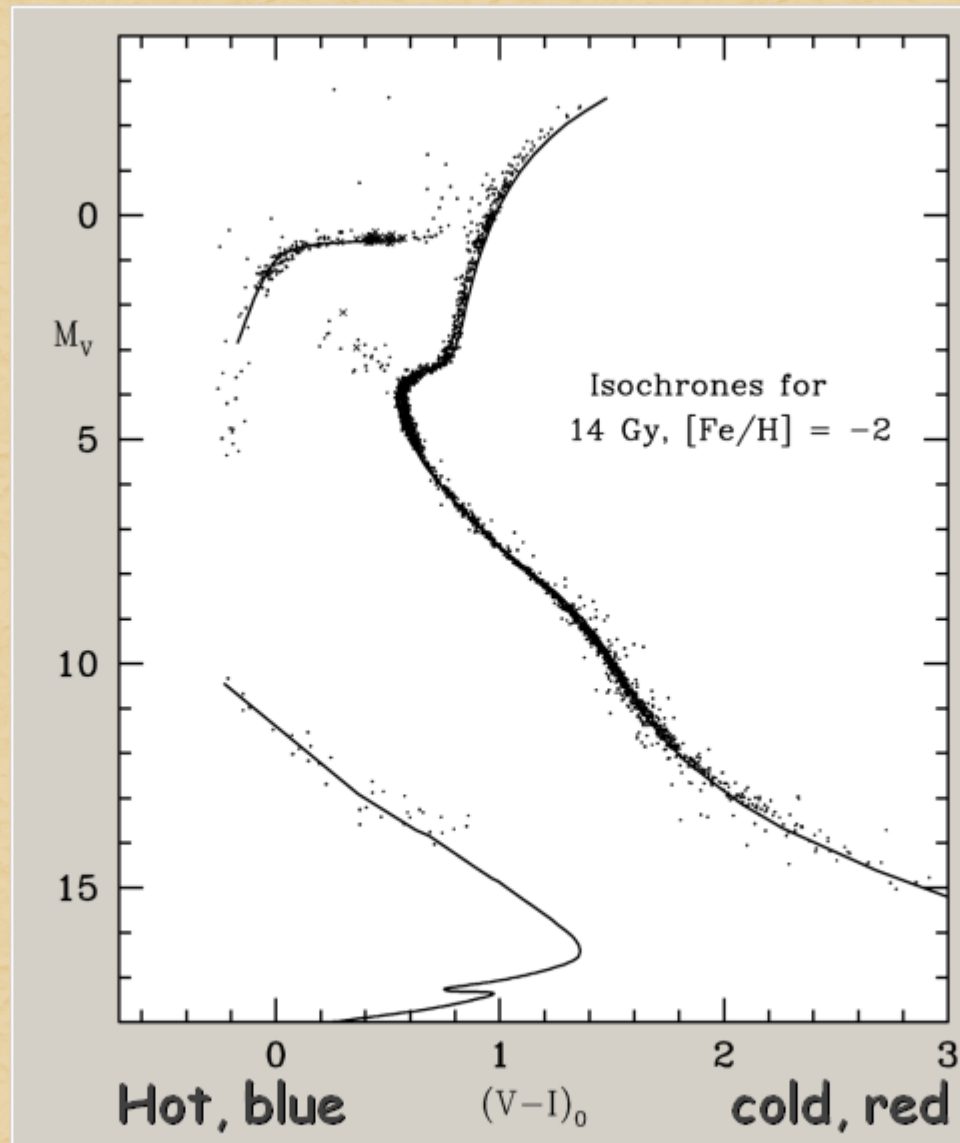
<http://www.dartmouth.edu/~chaboyer/mwgc.html>

Globular clusters on top of the
FIRAS 2.2 micron map of the Galaxy



The galactic globular cluster M3

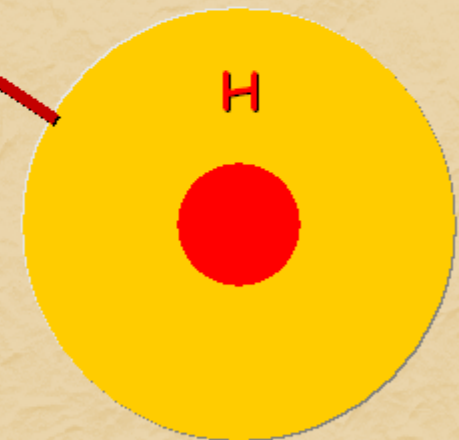
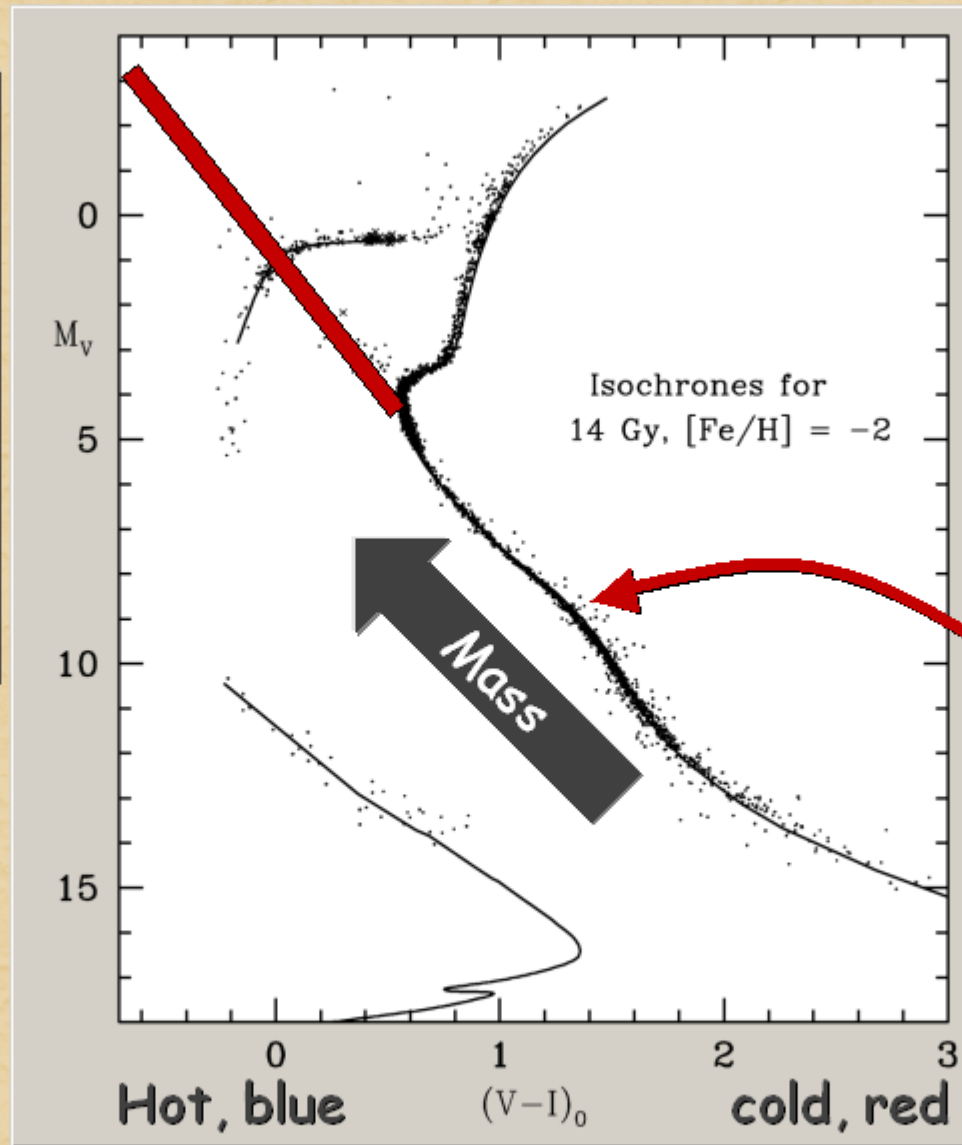
Color-Magnitude Diagram for Globular Clusters



Color-magnitude diagram synthesized from several low-metallicity globular clusters and compared with theoretical isochrones (W.Harris)

Color-Magnitude Diagram for Globular Clusters

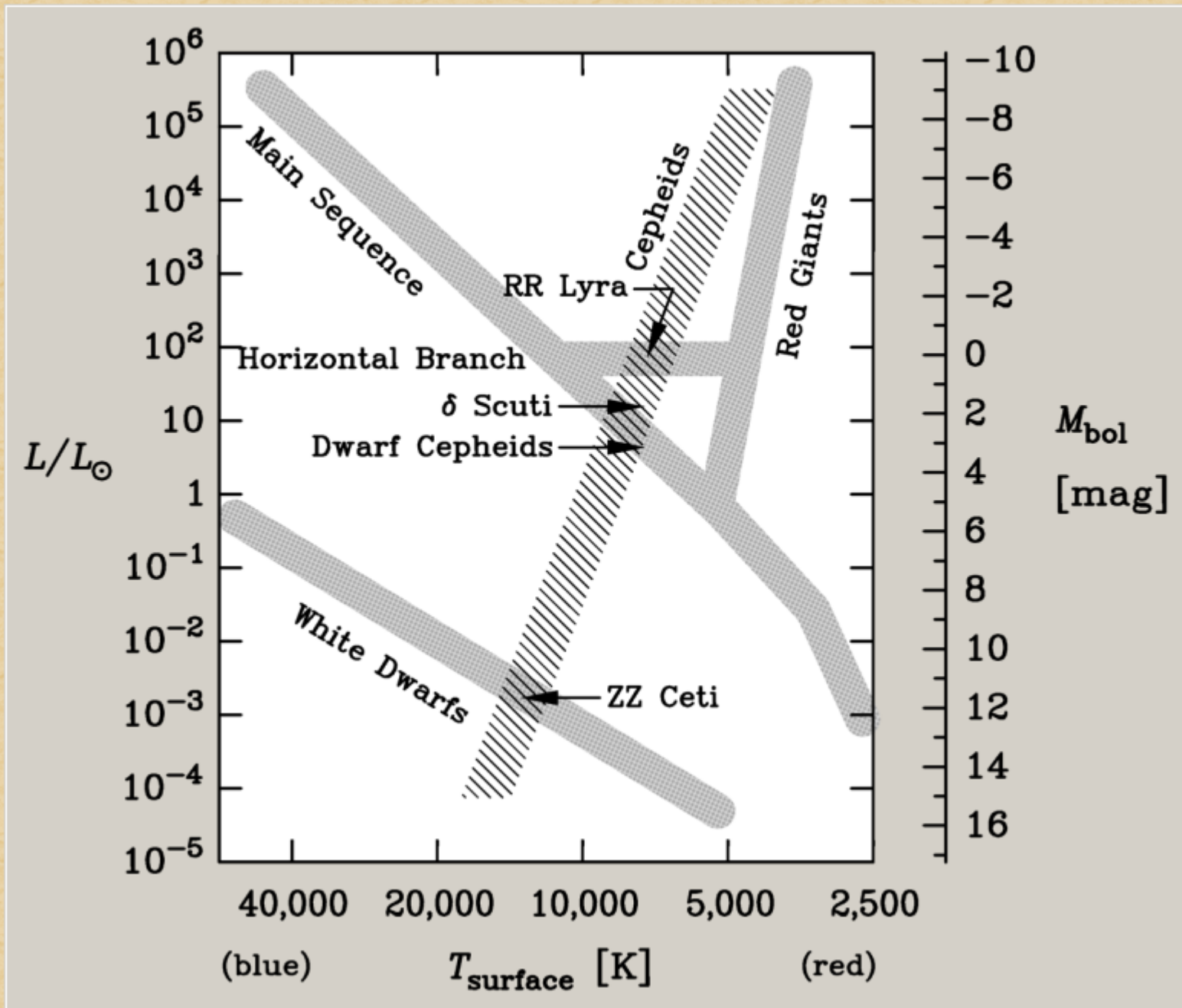
- Stars with M so large that they have burnt out in a Hubble time
- No new star formation in globular clusters



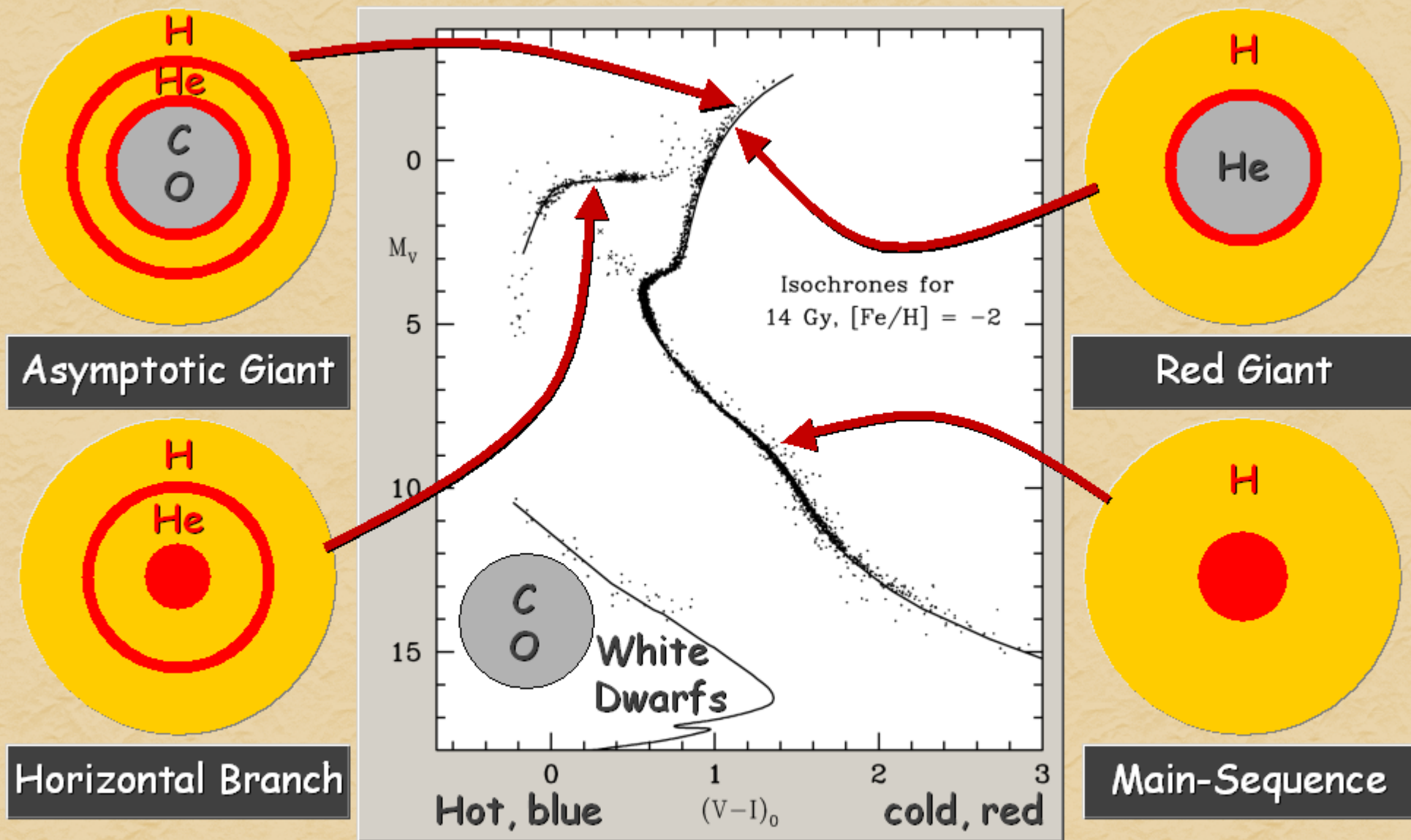
Main-Sequence

Color-magnitude diagram synthesized from several low-metallicity globular clusters and compared with theoretical isochrones (W.Harris)

Schematic Hertzsprung-Russell-Diagram

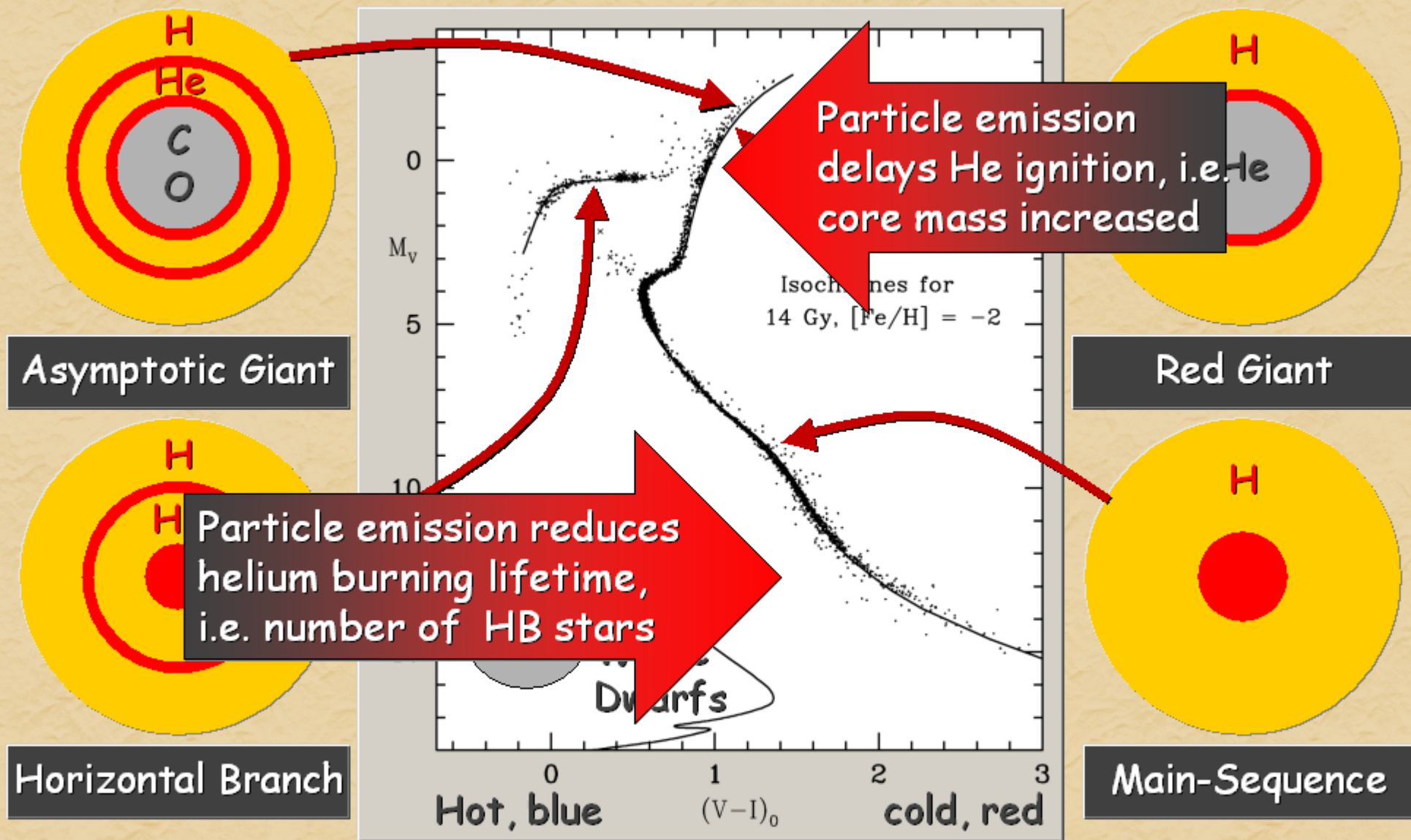


Color-Magnitude Diagram for Globular Clusters



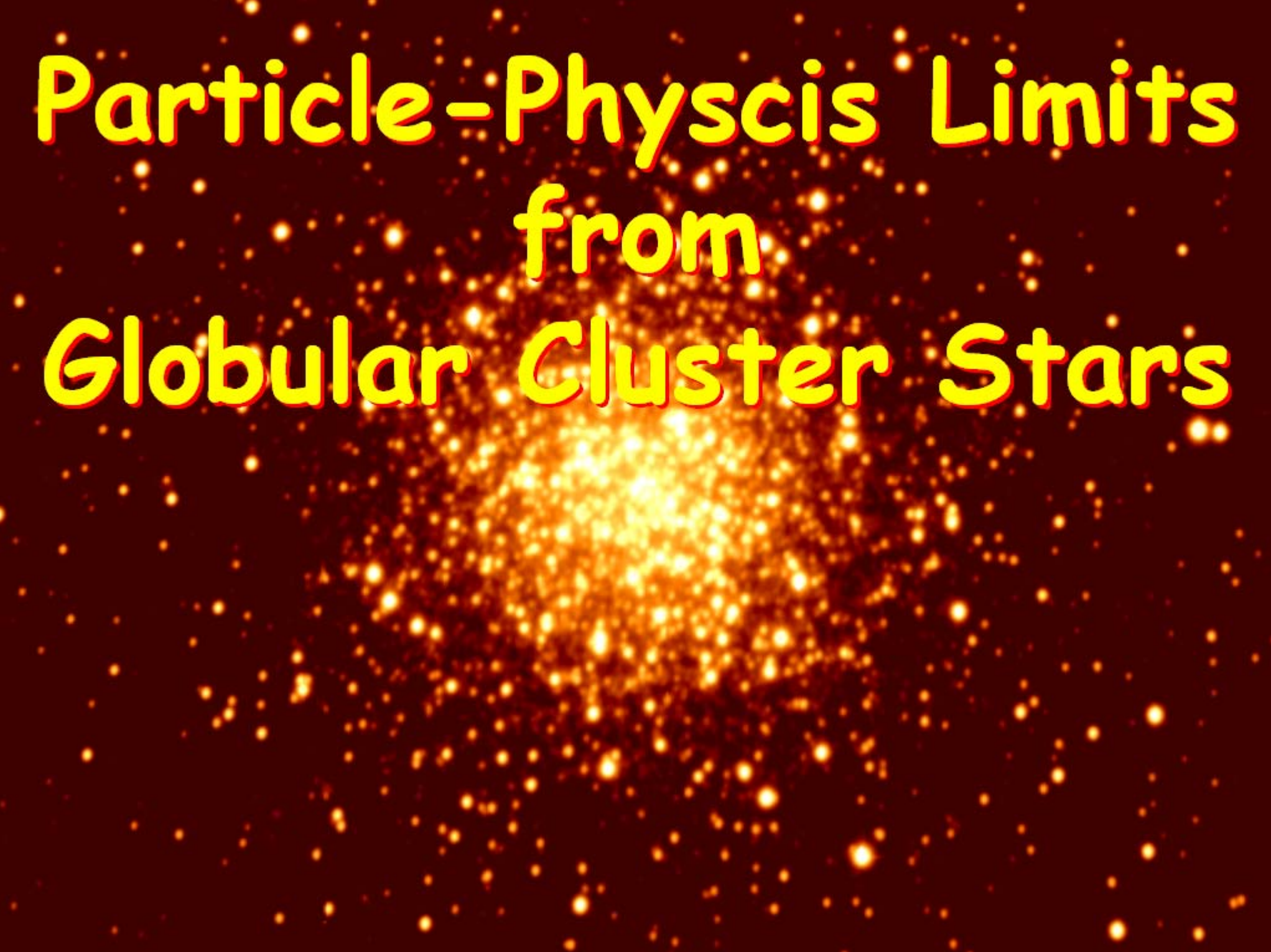
Color-magnitude diagram synthesized from several low-metallicity globular clusters and compared with theoretical isochrones (W.Harris)

Color-Magnitude Diagram for Globular Clusters

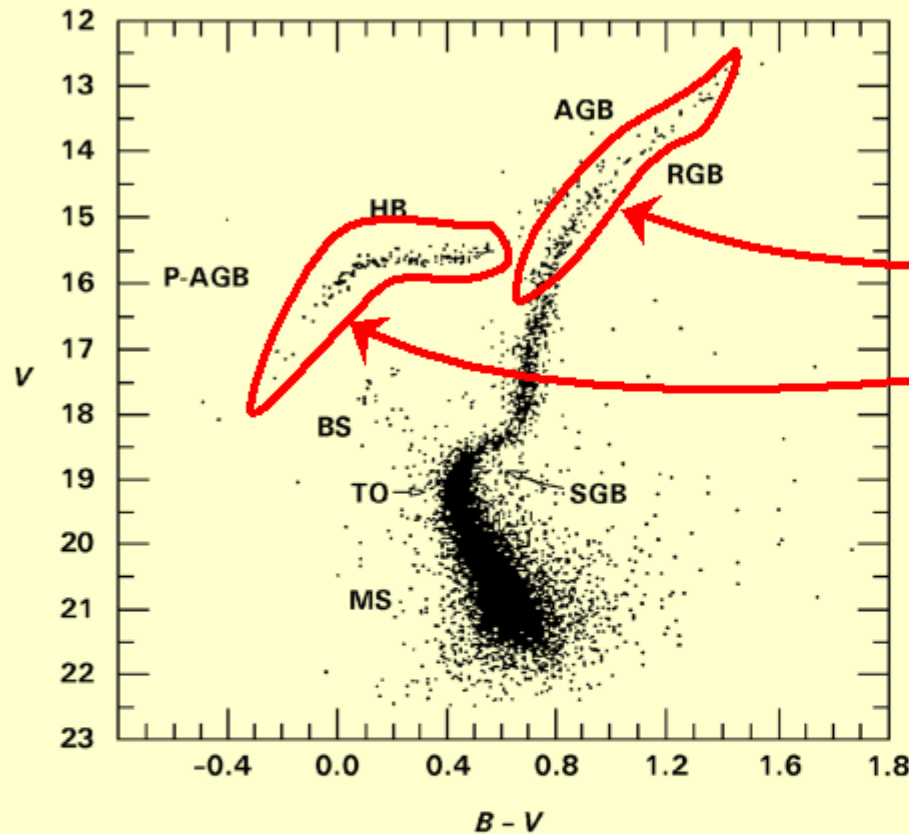


Color-magnitude diagram synthesized from several low-metallicity globular clusters and compared with theoretical isochrones (W.Harris)

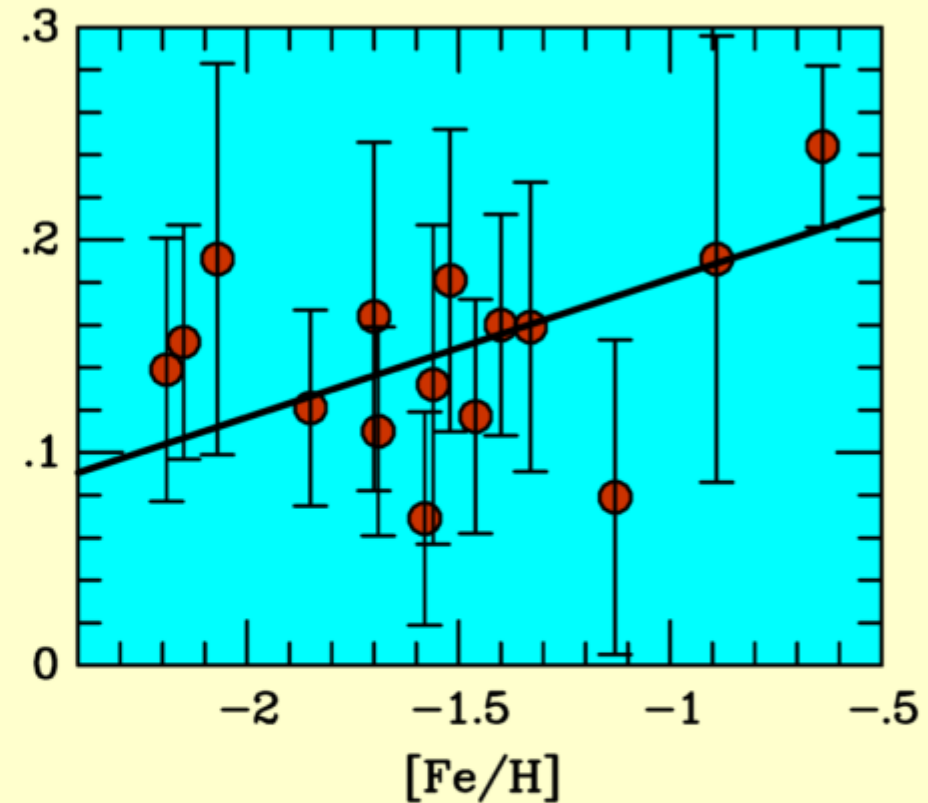
Particle-Physics Limits from Globular Cluster Stars



Helium-Burning Lifetime of Globular Cluster Stars



$\log(N_{\text{HB}}/N_{\text{RGB}})$



Number ratio of HB-Stars/Red Giants in 15 galactic globular clusters
(Buzzoni et al. 1983)

Helium-burning lifetime established within $\pm 10\%$

Particles with Two-Photon Coupling

Particles with two-photon vertex:

- Neutral pions (π^0), Gravitons
- Axions (a) and similar hypothetical particles

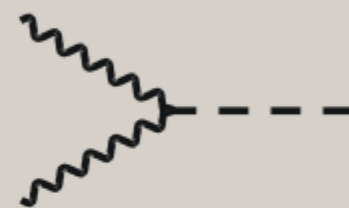
$$L_{a\gamma} = g_{a\gamma} \vec{E} \cdot \vec{B} a$$



Two-photon
decay

$$\Gamma_{a\gamma} = \frac{g_{a\gamma}^2 m_{a\gamma}^3}{64 \pi}$$

Photon
Coalescence



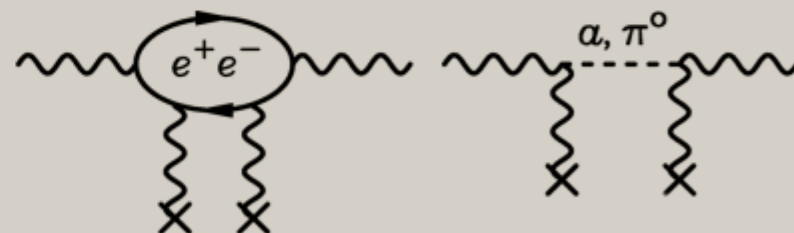
Primakoff
Effect

Conversion of photons into
pions, gravitons or axions,
or the reverse

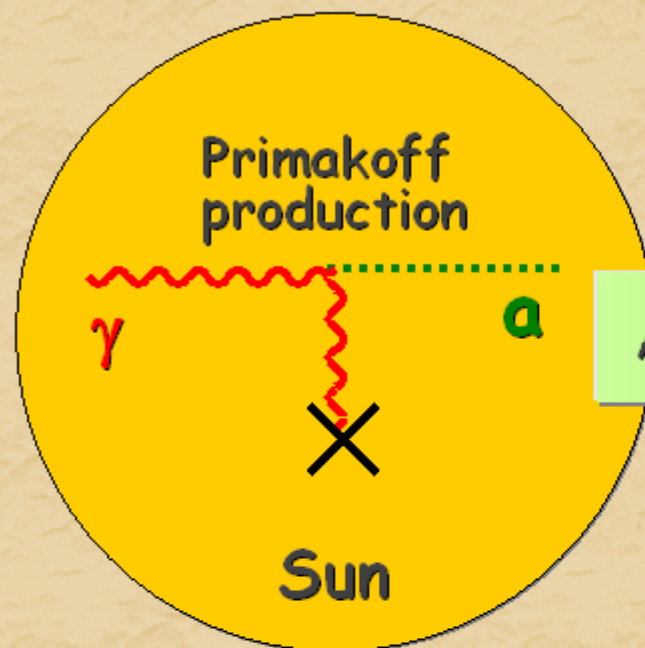


Magnetically
induced vacuum
birefringence

In addition to QED
Cotton-Mouton-effect



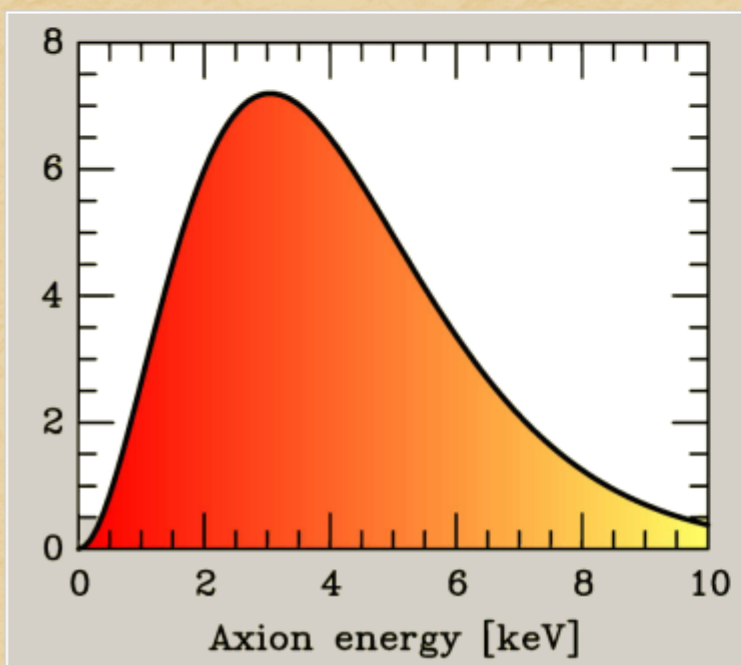
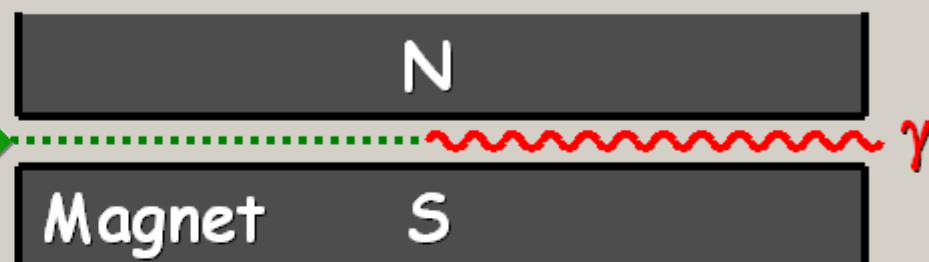
Search for Solar Axions



Axion flux

Axion Helioscope (Sikivie 1983)

Axion-Photon-Oscillation



→ Tokyo Axion Helioscope
(Results since 1998)

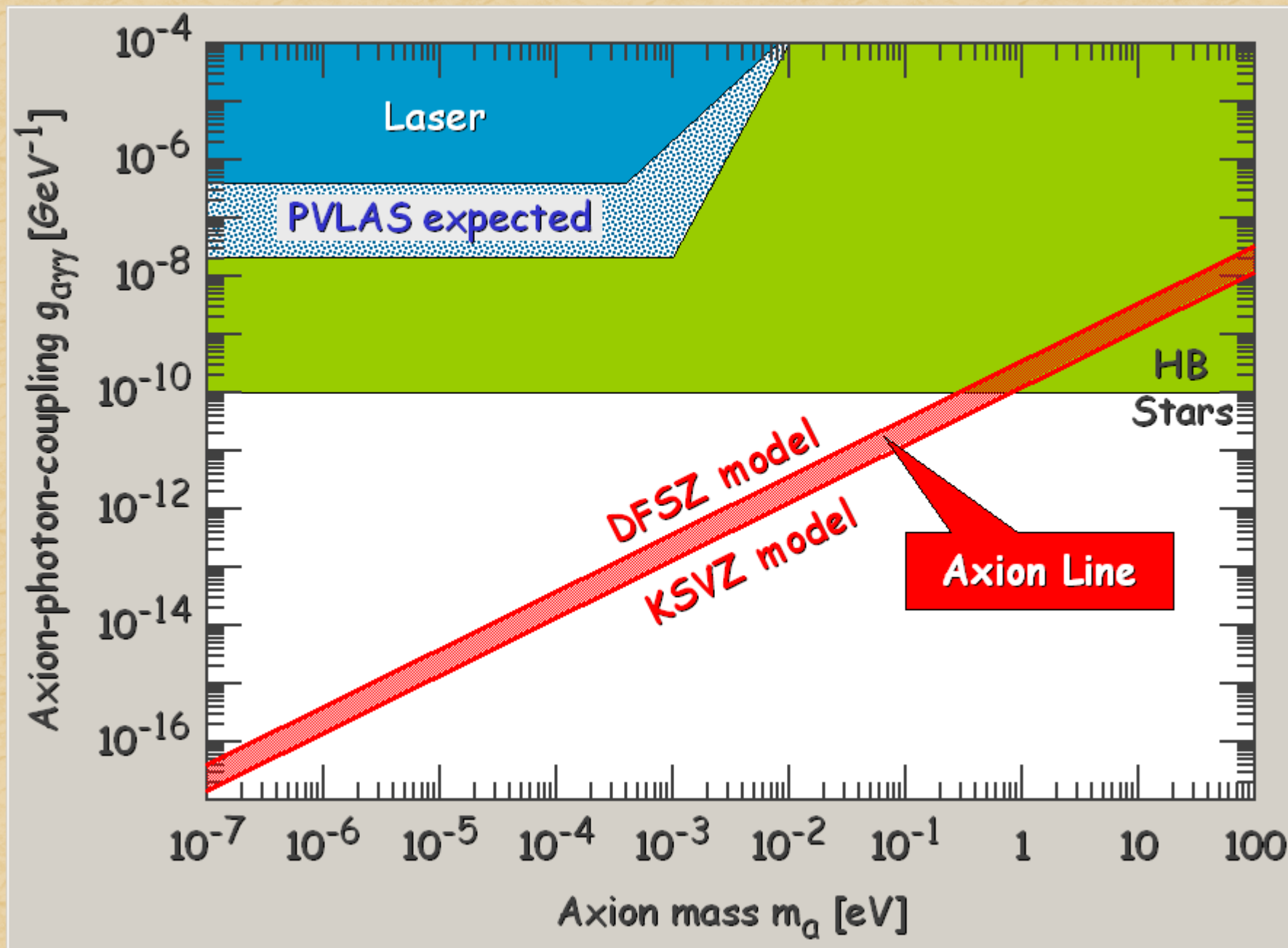
→ CERN Axion Solar Telescope (CAST)
(in preparation)

Alternative Technique:

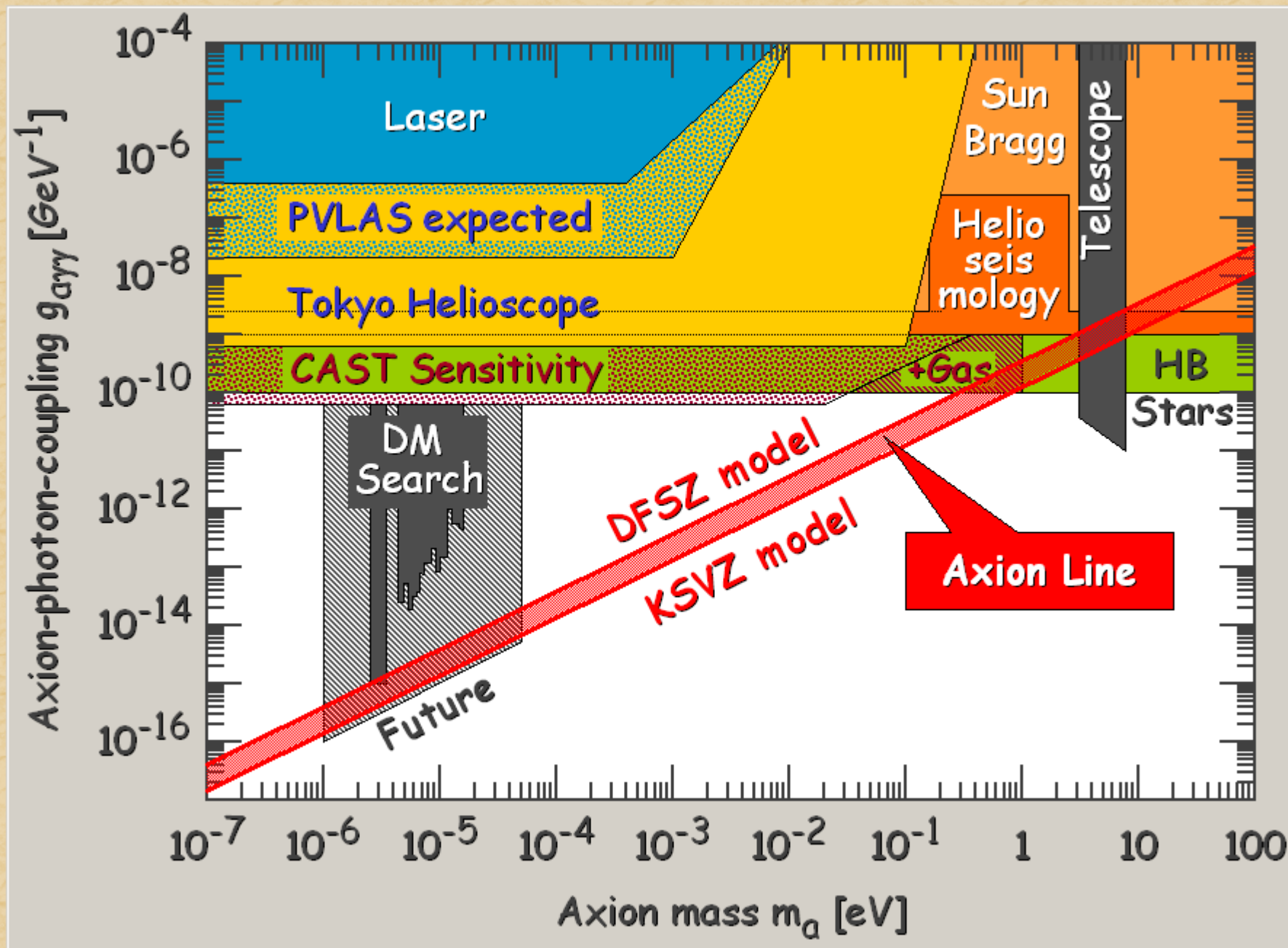
Bragg conversion in crystal

Experimental limits on solar axion flux
from dark-matter experiments
(SOLAX, COSME, DAMA, ...)

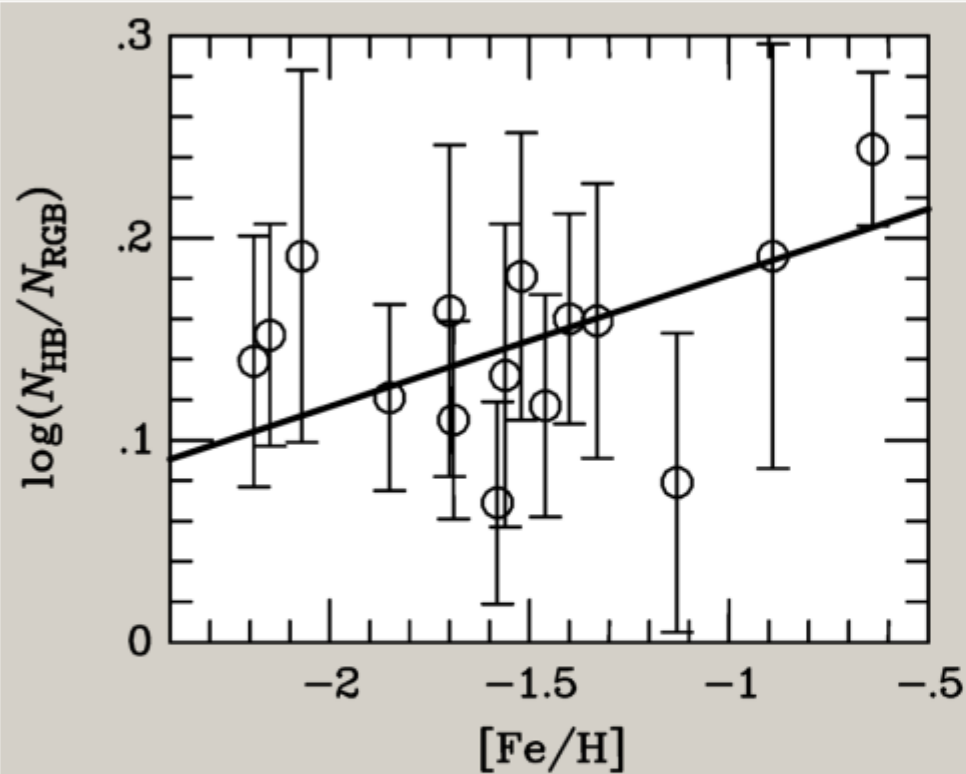
Limits on Axion-Photon-Coupling



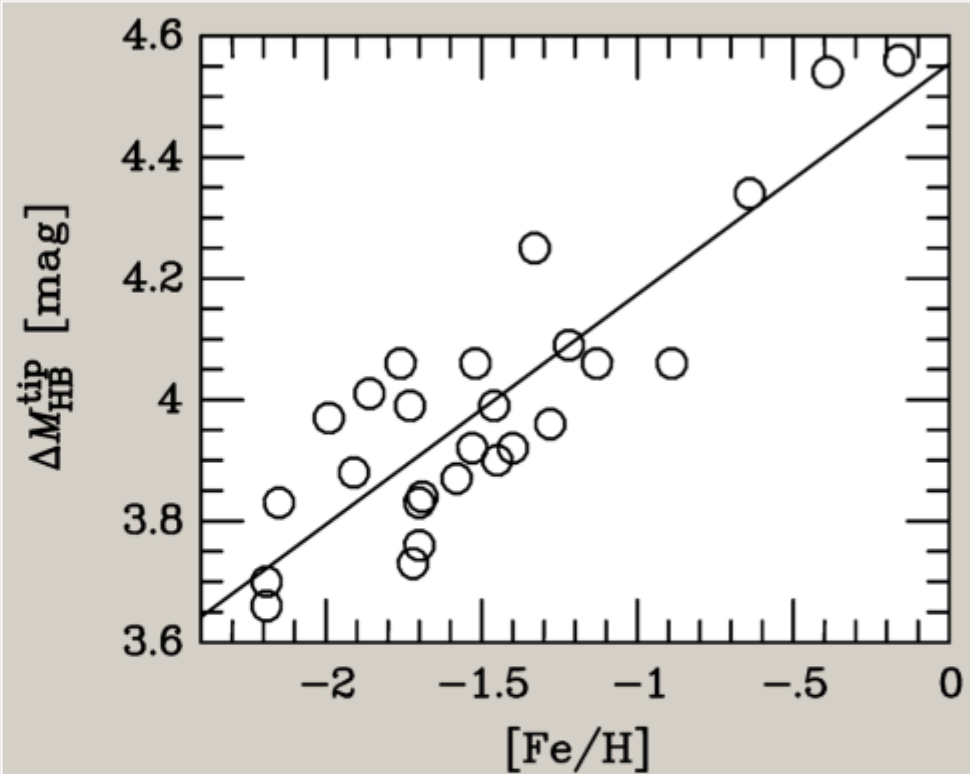
Limits on Axion-Photon-Coupling



Measurements of Globular Cluster Observables

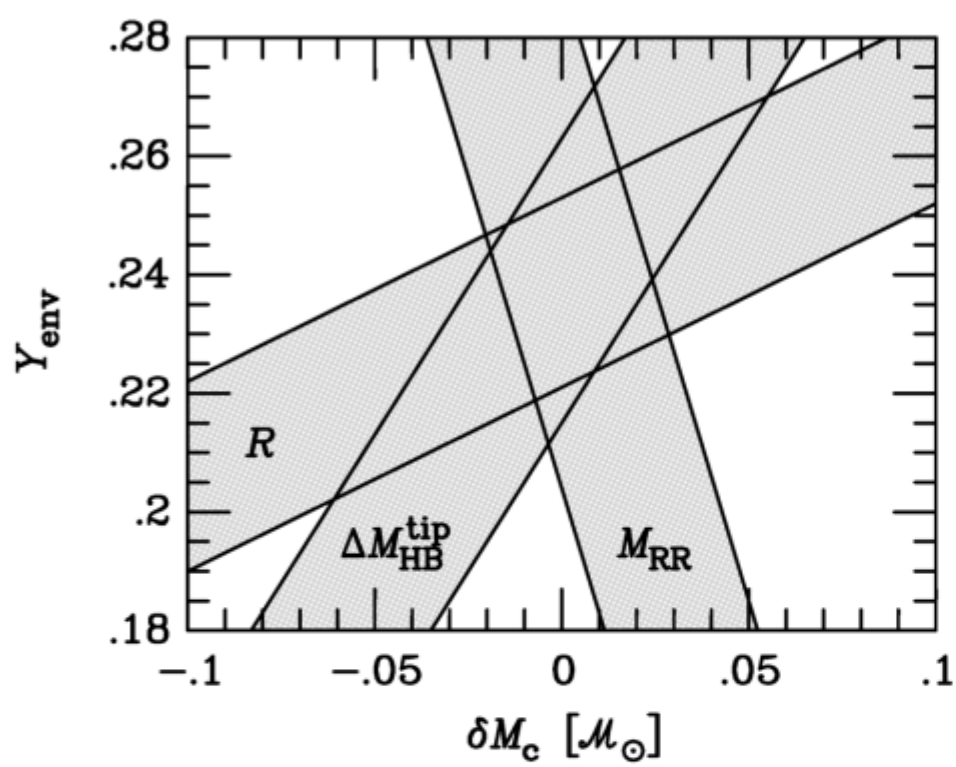


Number ratio of HB vs. RGB stars
in 15 globular clusters

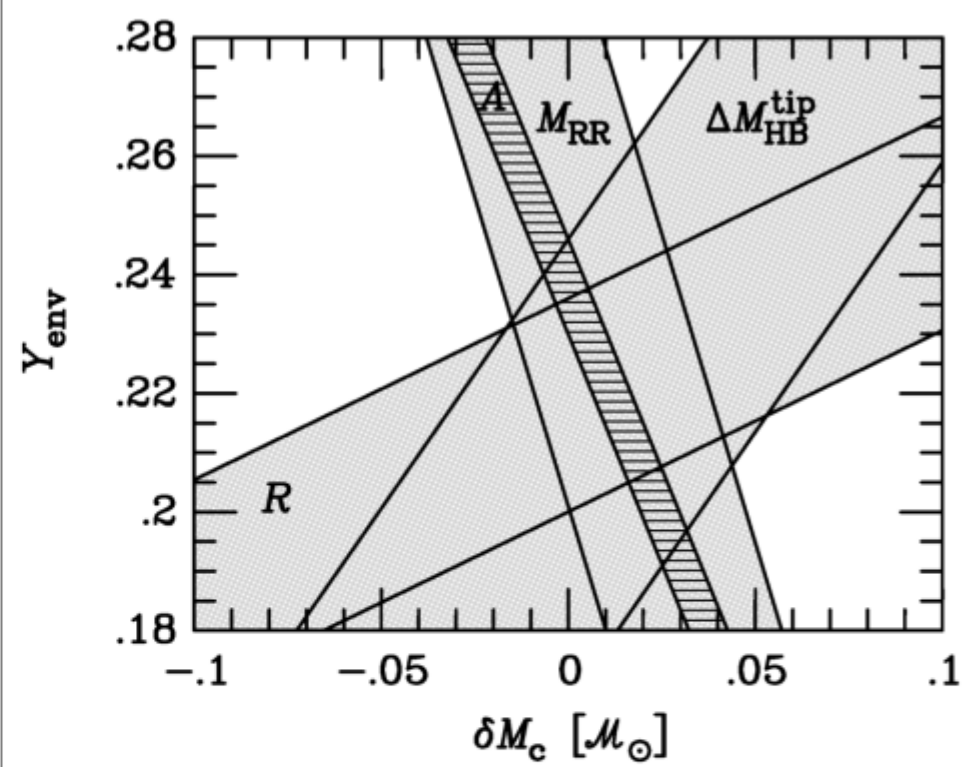


Brightness difference between HB
(RR Lyrae stars) and brightest red
giant in 26 globular clusters

Core-Mass at Helium Ignition



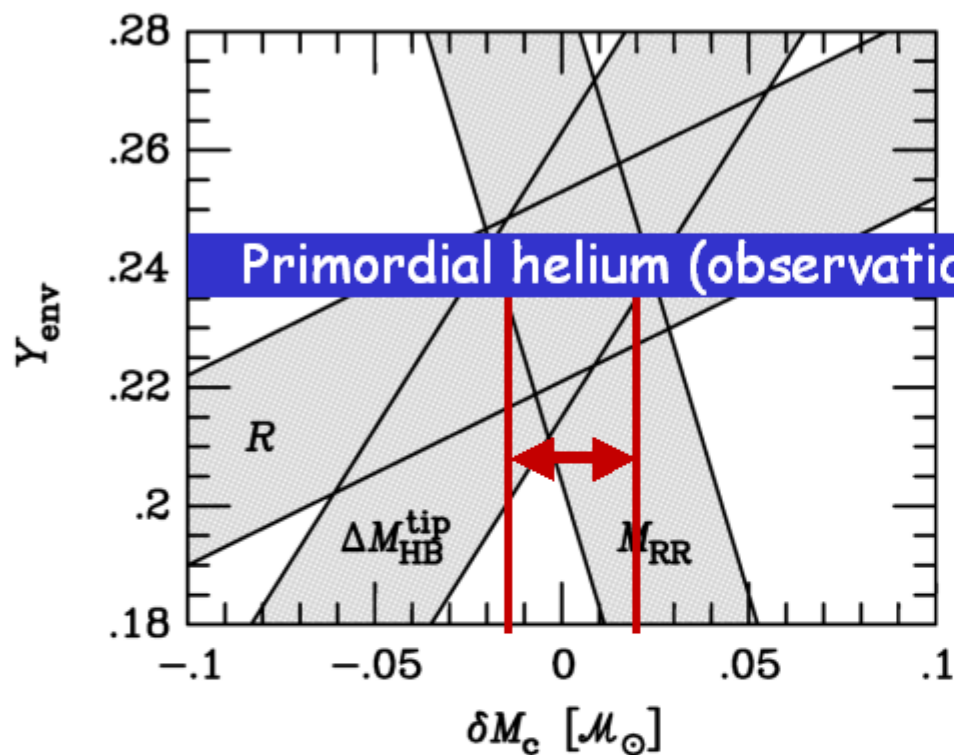
G. Raffelt, Stars as Laboratories
for Fundamental Physics (1996)



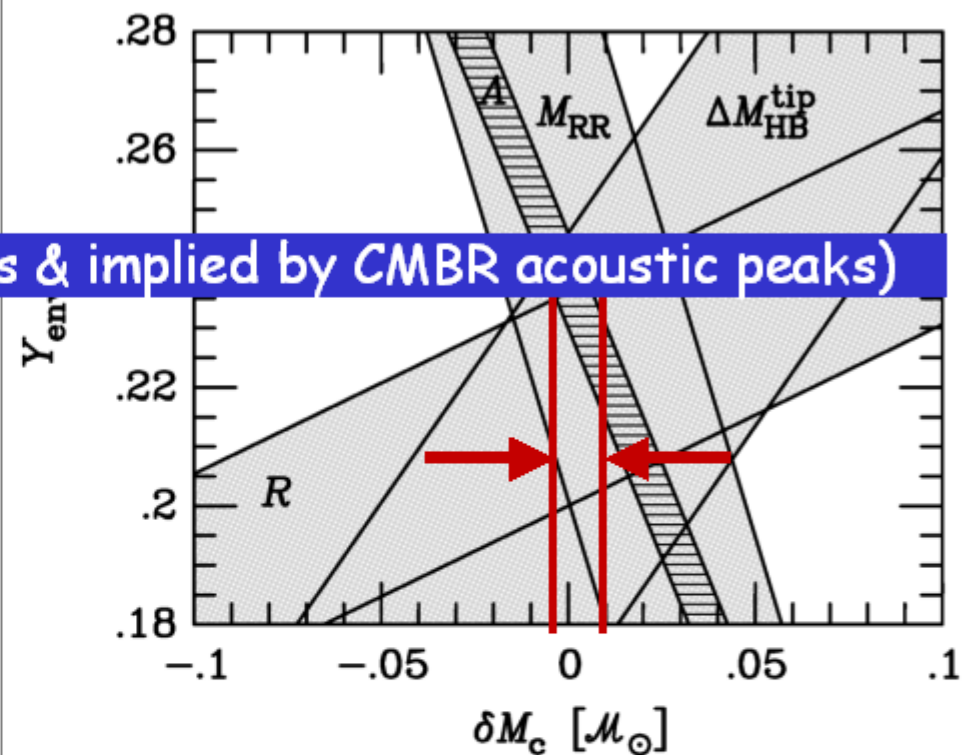
Catalan et al.,
astro-ph/9509062

Core-Mass at Helium Ignition

Primordial helium (observations & implied by CMBR acoustic peaks)



G. Raffelt, Stars as Laboratories
for Fundamental Physics (1996)

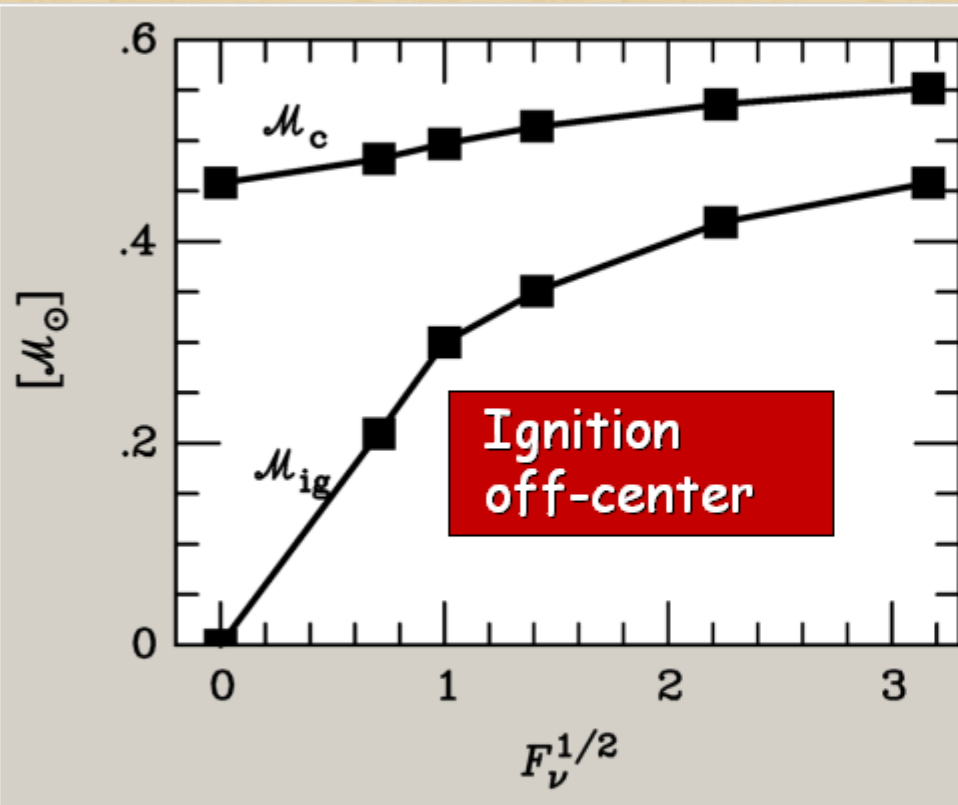


Catalan et al.,
astro-ph/9509062

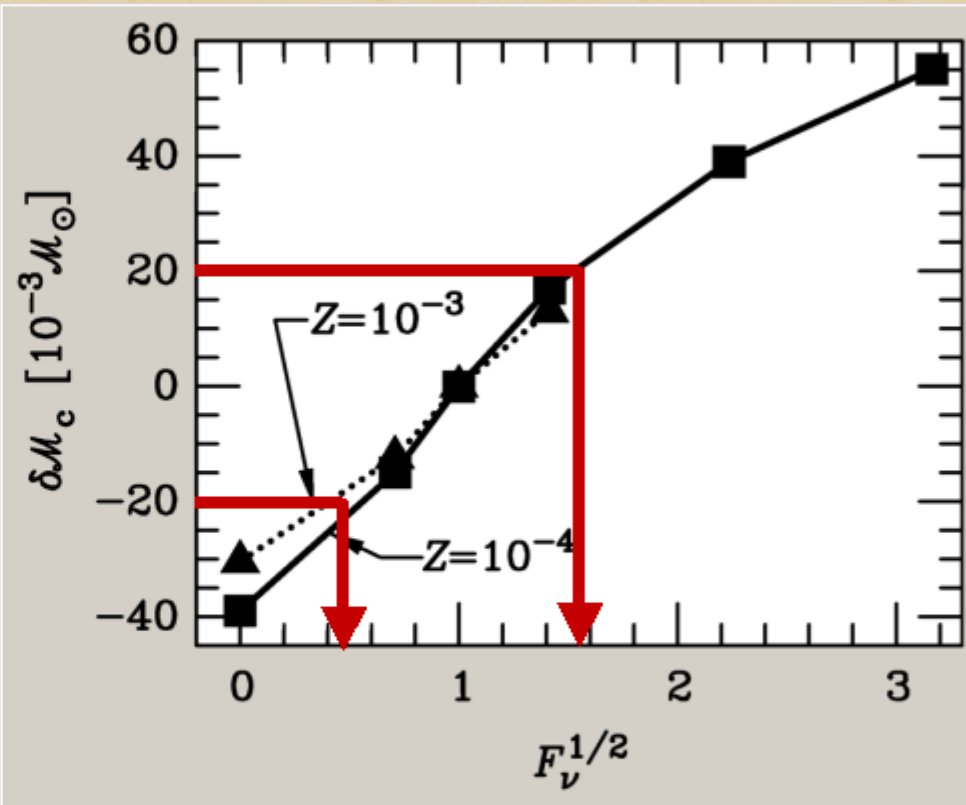
Core mass at helium ignition established to $\pm 0.02 M_{\text{sun}}$ or $\pm 4\%$

Core-Mass Dependence on Neutrino Cooling

Multiply standard neutrino loss rates with a factor F_ν



- Core mass (upper curve)
- Radial coordinate of helium ignition (lower curve)



Change of core mass

Dominant Neutrino Process in Degenerate Helium Core

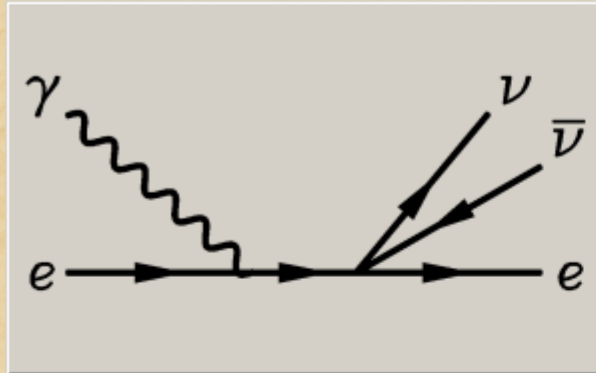
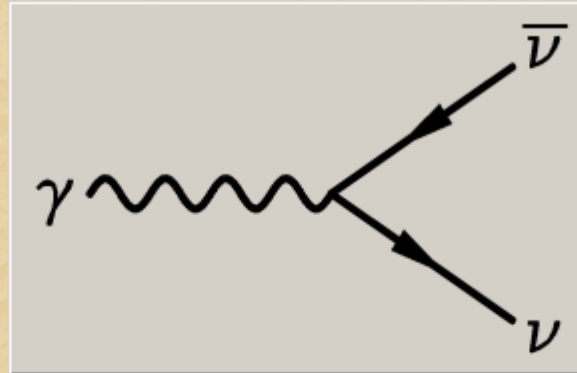
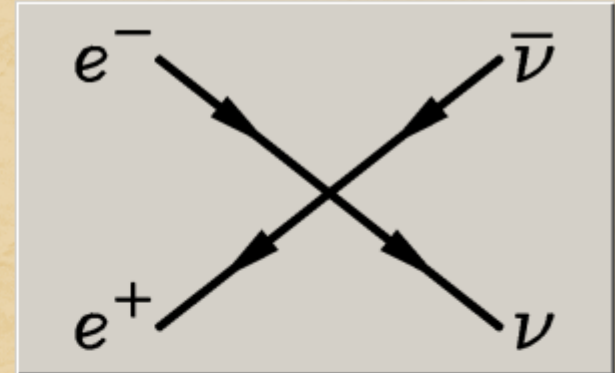


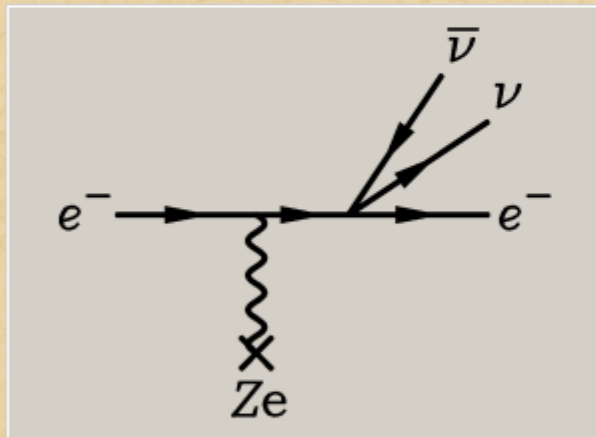
Photo (Compton)



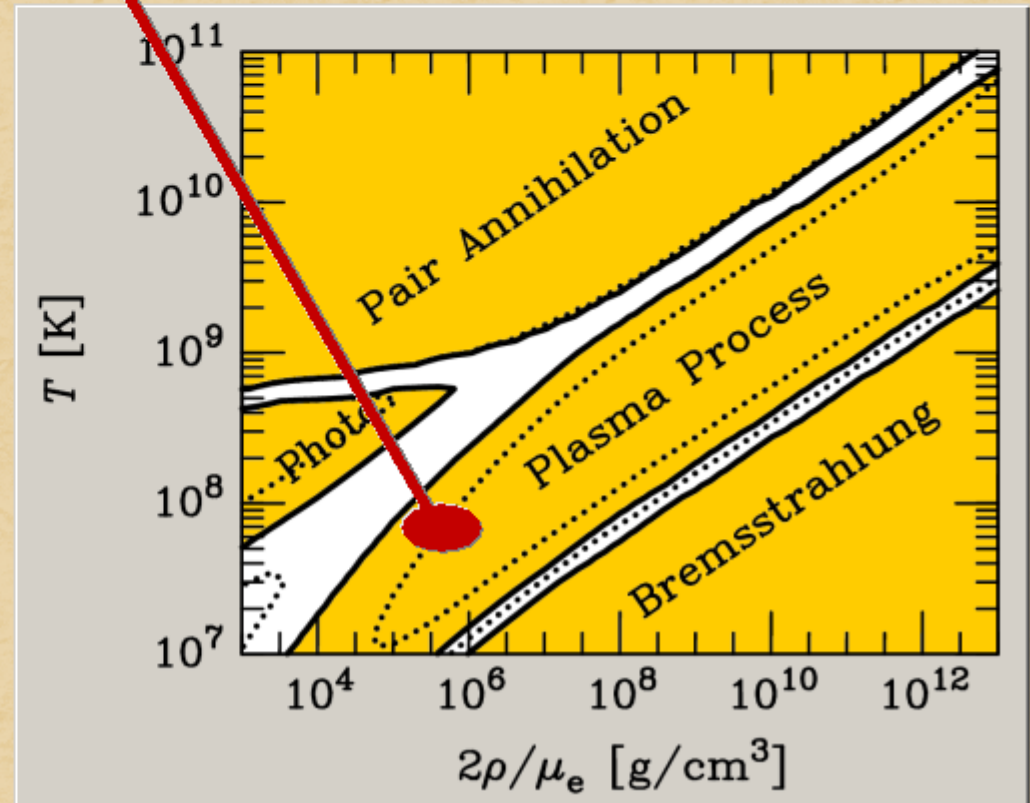
Plasmon decay



Pair annihilation



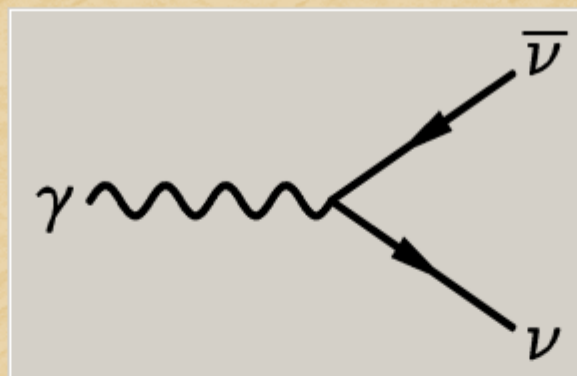
Bremsstrahlung



Plasmon Decay in Neutrinos

Vacuum:

- Photon massless
- Can not decay into other particles, even if they themselves are massless



Plasmon decay

Vacuum:

- Massless neutrinos do not couple to photons
- May have dipole moments or even "millicharges"

Propagation in a medium:

- Photon acquires a "refractive index"
- In a non-relativistic plasma (e.g. Sun, white dwarfs, core of red giant before helium ignition, ...) behaves like massive particle:

$$\omega^2 - k^2 = \omega_{pl}^2$$

Plasma frequency
(electron density n_e)

$$\omega_{pl}^2 = \frac{4\pi\alpha n_e}{m_e}$$

- Degenerate helium core ($\rho = 10^6 \text{ g cm}^{-3}$, $T = 8.6 \text{ keV}$) $\omega_{pl} \approx 18 \text{ keV}$

In a medium:

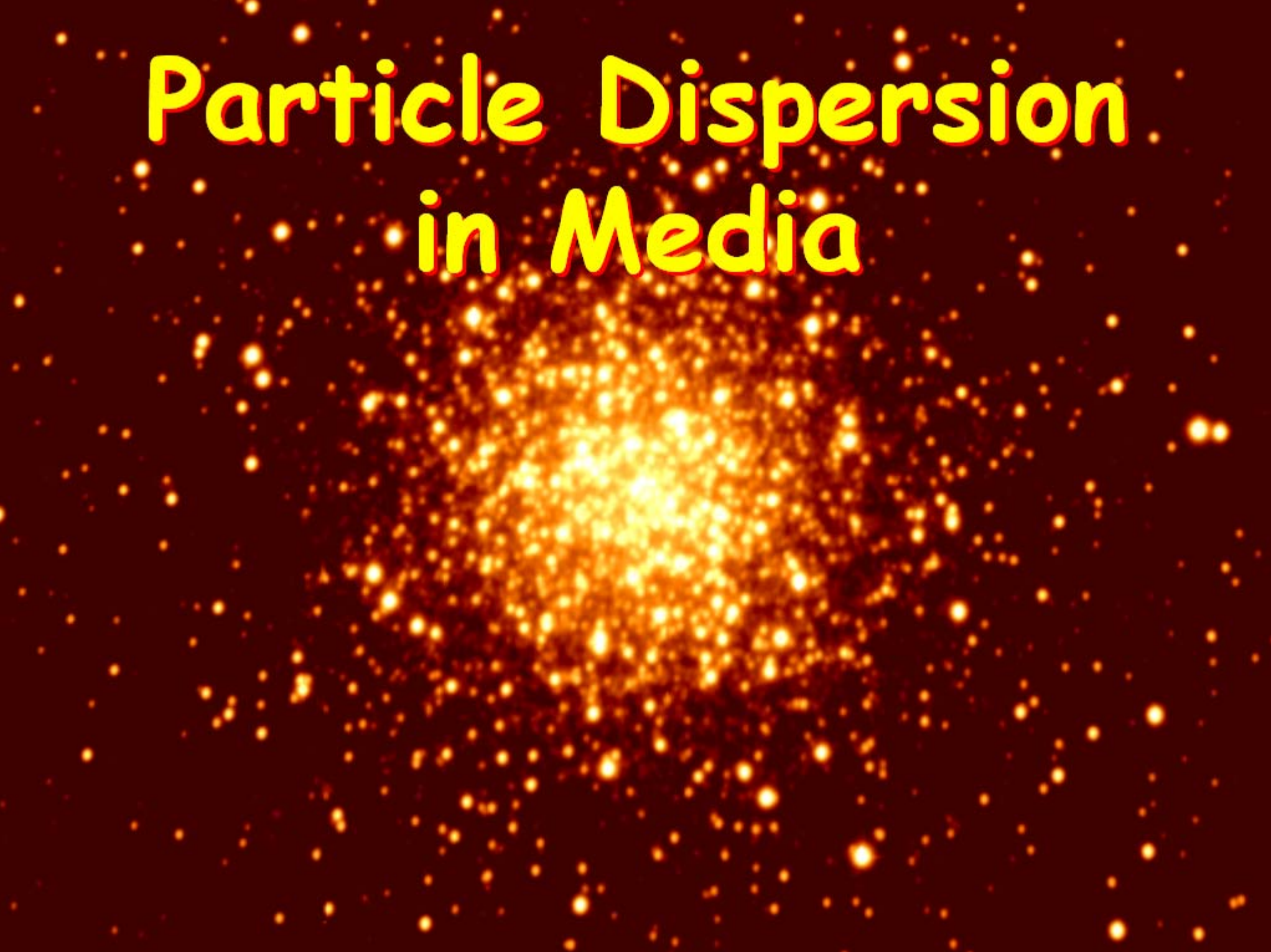
- Neutrinos interact coherently with the charged particles which themselves couple to photons
- Induces an "effective charge"
- In a degenerate plasma (electron Fermi energy E_F and Fermi momentum p_F)

$$\frac{e_\nu}{e} = 16\sqrt{2}C_V G_F E_F p_F$$

- Degenerate helium core (and $C_V = 1$)

$$e_\nu = 6 \times 10^{-11} e$$

Particle Dispersion in Media



Plasmon Decay vs. Cherenkov Effect

Photon dispersion in a medium can be	"Time-like" $\omega^2 - k^2 > 0$	"Space-like" $\omega^2 - k^2 < 0$
Refractive index n ($k = n \omega$)	$n < 1$	$n > 1$
Example	• Ionized plasma • Normal matter for large photon energies	Water ($n \approx 1.3$), air, glass for visible frequencies
Allowed process that is forbidden in vacuum	Plasmon decay to neutrinos $\gamma \rightarrow \nu \bar{\nu}$ 	Cherenkov effect $e \rightarrow e + \gamma$ 

Particle Dispersion in Media

Vacuum

Most general Lorentz-invariant dispersion relation

$$\omega^2 - k^2 = m^2$$

ω = frequency, k = wave number, m = mass

Gauge invariance implies $m = 0$ for photons and gravitons

Medium

Particle interaction with medium breaks Lorentz invariance so that

$$\omega^2 - k^2 = \pi(\omega, k)$$

Implies a relationship between ω and k (dispersion relation) that is often written in the form

- Refractive index n

$$k = n \omega$$

- Effective mass m_{eff} (note that m_{eff}^2 can be negative)

$$\omega^2 - k^2 = m_{\text{eff}}^2$$

- Effective potential V (natural for neutrinos in a medium)

$$(\omega - V)^2 - k^2 = m_{\text{vac}}^2$$

Refraction and Forward Scattering

Plane wave in vacuum

$$\Phi(\vec{r}, t) \propto \exp(-i\omega t + i\vec{k} \cdot \vec{r})$$



Refraction and Forward Scattering

Plane wave in vacuum

$$\Phi(\vec{r}, t) \propto \exp(-i\omega t + i\vec{k} \cdot \vec{r})$$

With scattering centers

$$\Phi(\vec{r}, t) \propto \exp(-i\omega t) \left[\exp(i\vec{k} \cdot \vec{r}) + f(\omega, \theta) \frac{\exp(ikr)}{r} \right]$$

In forward direction, adds coherently to a plane wave with modified wave number

$$k = n_{\text{refr}} \omega$$

$$n_{\text{refr}} = 1 + \frac{2\pi}{\omega^2} n f(\omega, 0)$$

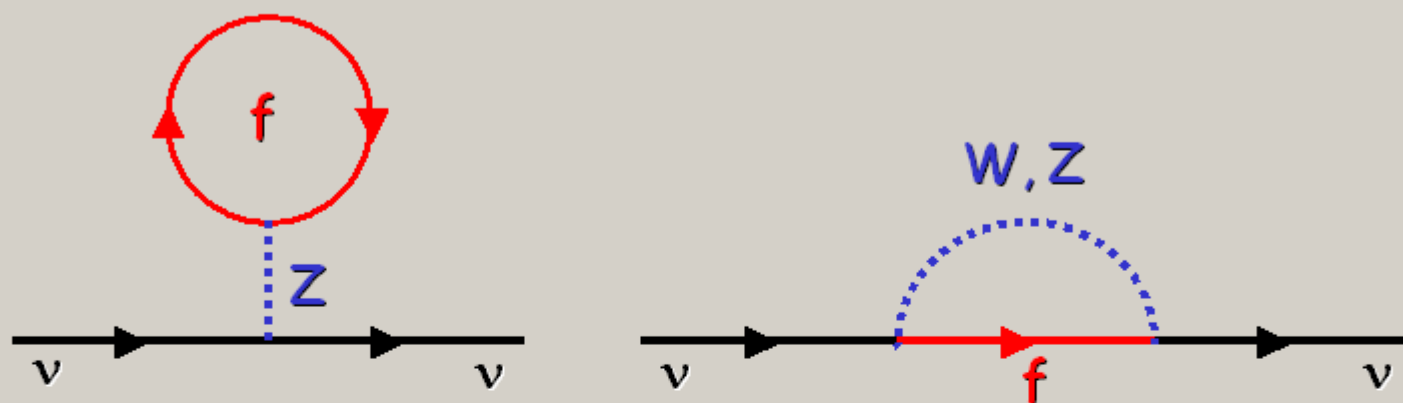
n density of scattering centers

$f(\omega, 0)$ forward scattering amplitude



Neutrino Refraction in Media

Neutrinos propagating in a medium suffer refraction (Wolfenstein 1978)



Effect is usually different for different flavors

For small neutrino energies and large matter-antimatter asymmetry of the medium (not true in early universe)

$$(E - V)^2 - p^2 = m_{\text{vac}}^2$$

Normal medium, consisting of protons, neutrons (or nuclei), and electrons

$$V = \pm \sqrt{2} G_F n_B \times \begin{cases} -\frac{1}{2} Y_n + Y_e & \text{for } \nu_e \\ -\frac{1}{2} Y_n & \text{for } \nu_\mu, \nu_\tau \end{cases}$$

$$\sqrt{2} G_F n_B = 0.762 \times 10^{-13} \text{ eV} \frac{\rho}{\text{g cm}^{-3}}$$

Electromagnetic Polarization Tensor

Klein-Gordon-Equation
in Fourier space

$$\left(-K^2 g^{\mu\nu} + K^\mu K^\nu + \Pi^{\mu\nu}\right) A_\nu = 0$$

Polarization tensor
(self-energy of photon)



Gauge invariance and
current conservation

$$\Pi^{\mu\nu} K_\mu = \Pi^{\mu\nu} K_\nu = 0$$

Vacuum: $\Pi^{\mu\nu} = a g^{\mu\nu} + b K^\mu K^\nu$
 $\rightarrow a = b = 0$ (photon massless)

Medium: Four-velocity U available to construct Π

QED
plasma

$$\Pi^{\mu\nu}(K) = 16\pi\alpha \int \frac{d^3\vec{p}}{2E(2\pi)^3} f_e(\vec{p}) \frac{(PK)^2 g^{\mu\nu} + K^2 P^\mu P^\nu - (PK)(P^\mu K^\nu + K^\mu P^\nu)}{(PK)^2 - \frac{1}{4}(K^2)^2}$$

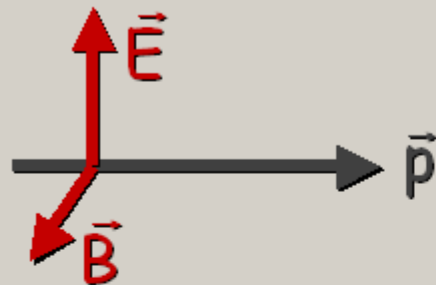
Photon: $K = (\omega, \vec{k})$ Electron or positron: $P = (E, \vec{p})$ $E = \sqrt{\vec{p}^2 + m_e^2}$

Electron plus positron phase-space distribution with chemical potential μ_e

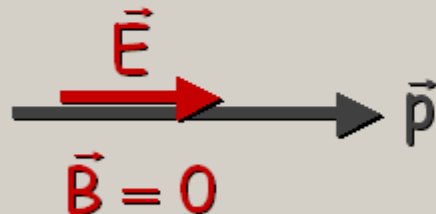
$$f_e(\vec{p}) = \left[\exp\left(\frac{E - \mu_e}{T}\right) + 1 \right]^{-1} + \left[\exp\left(\frac{E + \mu_e}{T}\right) + 1 \right]^{-1}$$

Transverse and Longitudinal "Plasmons"

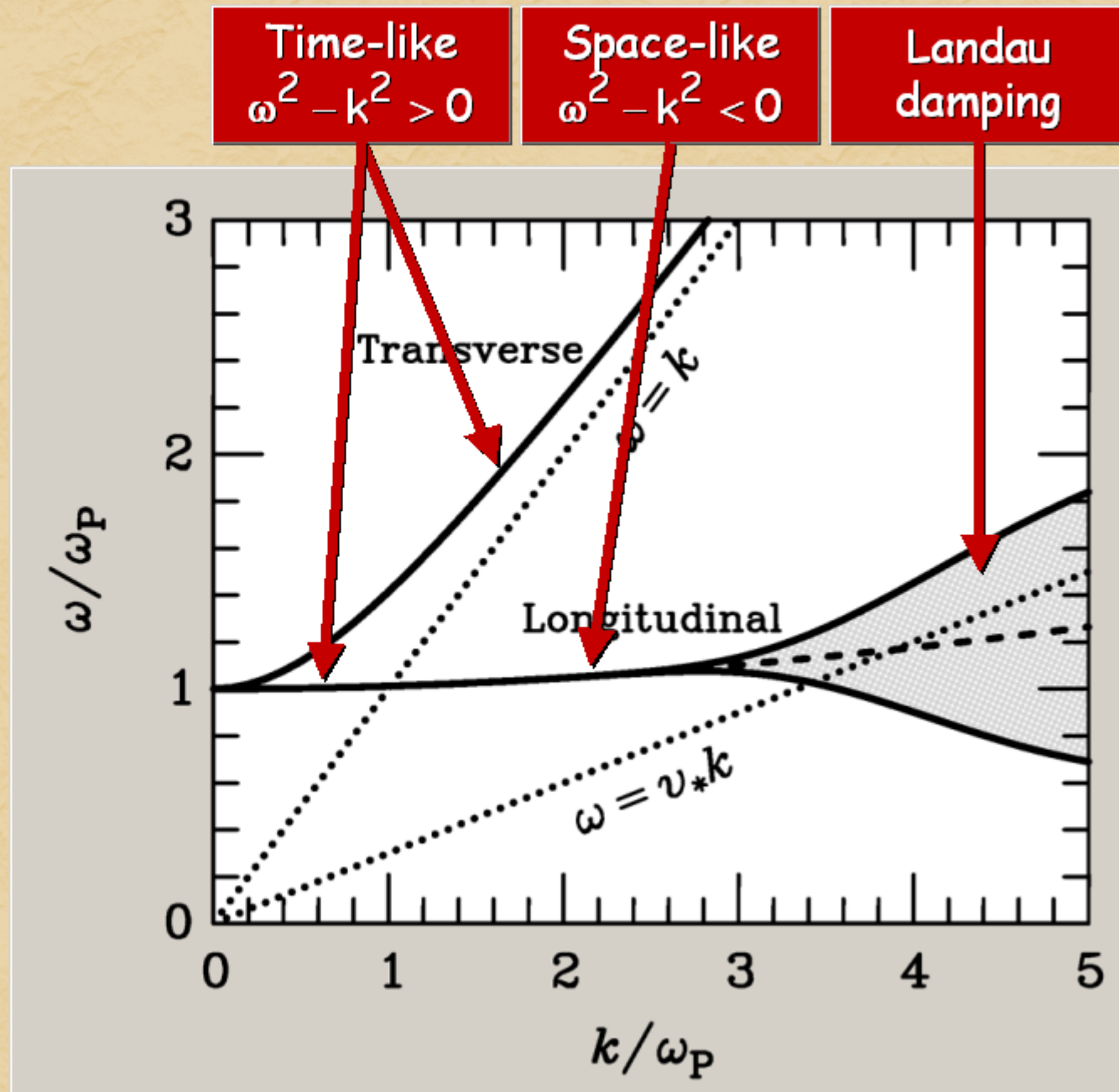
Transverse excitations



Longitudinal excitations (collective oscillations of electrons against positive charges)



Dispersion relation in a non-relativistic, non-degenerate plasma



Electron (Positron) Dispersion Relation

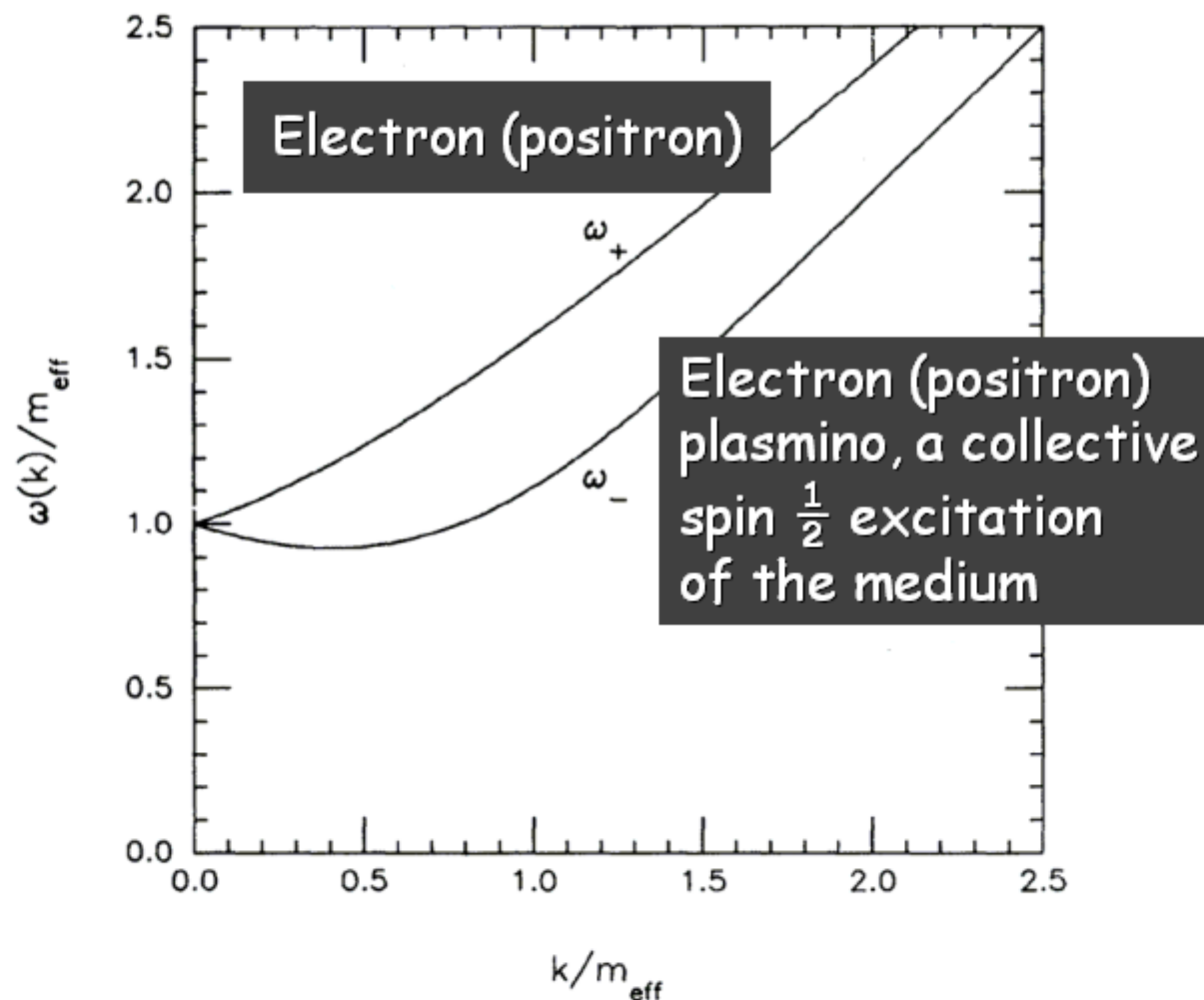
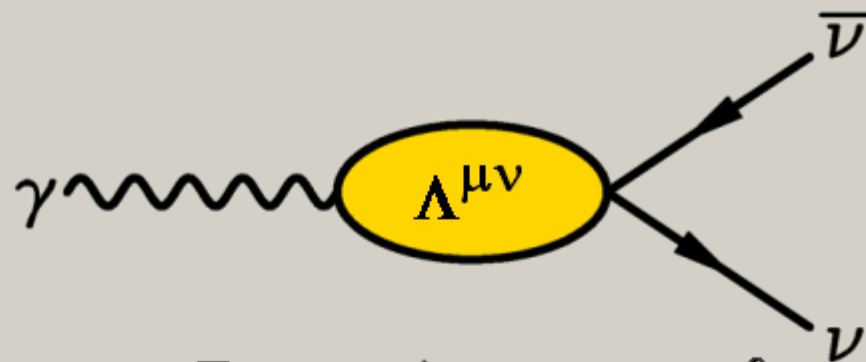


FIG. 1.—Ultrarelativistic dispersion relations for the electron or positron [$\omega_+(k)$] and for the electron plasmino or positron plasmino [$\omega_-(k)$].

E. Braaten, Neutrino emissivity of an ultrarelativistic plasma from positron and plasmino annihilation, *Astrophys. J.* 392 (1992) 70

Neutrino-Photon-Coupling in a Plasma

Neutrino effective
in-medium coupling



$$L_{\text{eff}} = -\sqrt{2}G_F \bar{\Psi} \gamma_\alpha \frac{1}{2}(1-\gamma_5) \Psi \Lambda^{\alpha\beta} A_\beta$$

For vector-current
analogous to photon
polarization tensor



$$\begin{aligned} \Lambda_V^{\mu\nu}(K) &= 4eC_V \int \frac{d^3\vec{p}}{2E(2\pi)^3} [f_{e^-}(\vec{p}) + f_{e^+}(\vec{p})] \frac{(PK)^2 g^{\mu\nu} + K^2 P^\mu P^\nu - (PK)(P^\mu K^\nu + K^\mu P^\nu)}{(PK)^2 - \frac{1}{4}(K^2)^2} \\ &= \frac{C_V}{e} \Pi_V^{\mu\nu}(K) \end{aligned}$$

$$\Lambda_A^{\mu\nu}(K) = 2ieC_A \epsilon^{\mu\nu\alpha\beta} \int \frac{d^3\vec{p}}{2E(2\pi)^3} [f_{e^-}(\vec{p}) - f_{e^+}(\vec{p})] \frac{K^2 P_\alpha K_\beta}{(PK)^2 - \frac{1}{4}(K^2)^2}$$

Usually
negligible

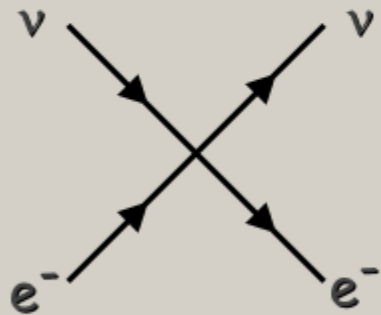
Neutral-Current Couplings and Plasmon Decay

Standard-model
plasmon decay
process $\propto C_V^2$

$$\sin^2 \Theta_W \approx \frac{1}{4}$$

Standard-model
plasmon decay
produces almost
exclusively $\nu_e \bar{\nu}_e$

Neutral-current process, yet was
never useful for "neutrino counting"
unlike big-bang nucleosynthesis
(of course today Z^0 -decay width
fixes $N_\nu = 3$)



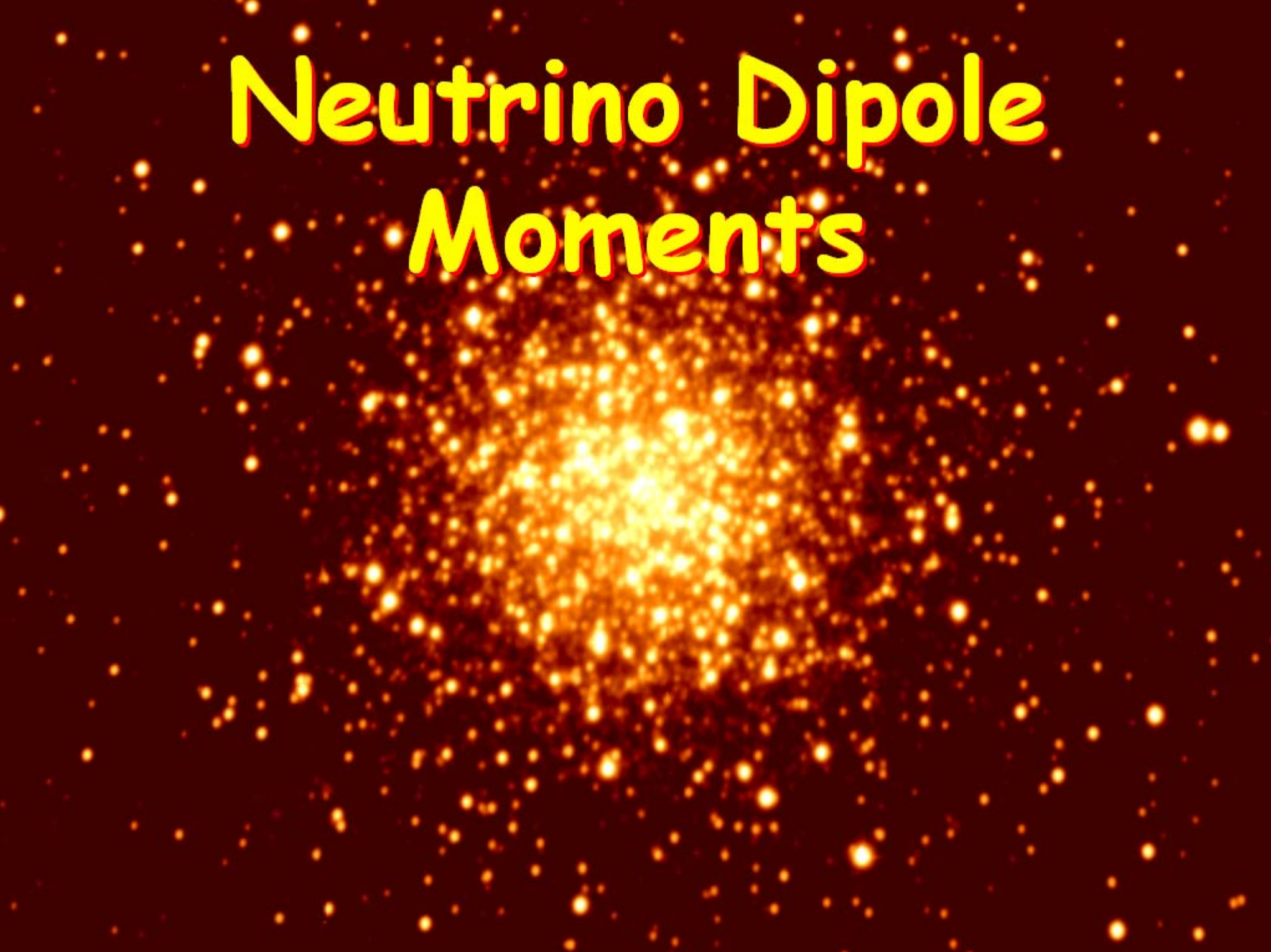
$$H_{\text{int}} = \frac{G_F}{\sqrt{2}} \bar{\Psi}_f \gamma_\mu (C_V - C_A \gamma_5) \Psi_f \bar{\Psi}_\nu \gamma^\mu (1 - \gamma_5) \Psi_\nu$$

$$G_F = 1.166 \times 10^{-5} \text{GeV}^{-2}$$

$$\sin^2 \Theta_W = 0.231$$

Neutrino	Fermion	C_V	C_A
ν_e	Electron	$+\frac{1}{2} + 2 \sin^2 \Theta_W \approx 1$	$+\frac{1}{2}$
ν_μ, ν_τ		$-\frac{1}{2} + 2 \sin^2 \Theta_W \approx 0$	$-\frac{1}{2}$
ν_e, ν_μ, ν_τ	Proton	$+\frac{1}{2} - 2 \sin^2 \Theta_W \approx 0$	$+\frac{1.26}{2}$
	Neutron	$-\frac{1}{2}$	$-\frac{1.26}{2}$

Neutrino Dipole Moments



Neutrino Dipole Moments

Effective coupling of electromagnetic field to a neutral fermion

$$\mathcal{L}_{\text{eff}} = -F_1 \bar{\Psi} \gamma_\mu \Psi A^\mu$$

$$-G_1 \bar{\Psi} \gamma_\mu \gamma_5 \Psi \partial_\nu F^{\mu\nu}$$

$$-\frac{1}{2} F_2 \bar{\Psi} \sigma_{\mu\nu} \Psi F^{\mu\nu}$$

$$-\frac{1}{2} G_2 \bar{\Psi} \sigma_{\mu\nu} \gamma_5 \Psi F^{\mu\nu}$$

Charge $e_\nu = F_1(0) = 0$

Anapole moment $G_1(0)$

Magnetic dipole moment $\mu = F_2(0)$

Electric dipole moment $\varepsilon = G_2(0)$

- Charge form factor $F_1(q^2)$ and anapole $G_1(q^2)$ are short-range interactions if charge $F_1(0) = 0$
- Connect states of equal chirality
- In standard model they represent radiative corrections to weak interaction

- **Dipole moments connect states of opposite chirality**
- Violation of individual flavor lepton numbers (neutrino mixing)
 - Magnetic or electric dipole moments can connect different flavors or different mass eigenstates ("**Transition moments**")
- Usually measured in "Bohr magnetons" $\mu_B = e/(2m_e)$

Plasmon Decay And Stellar Energy Loss Rates

Assume photon dispersion relation like a massive particle (nonrelativistic plasma)

$$E_\gamma^2 - p_\gamma^2 = \omega_{pl}^2 = \frac{4\pi\alpha n_e}{m_e}$$

Decay rate of photon (transverse plasmon) with energy E_γ

$$\Gamma(\gamma \rightarrow \nu\bar{\nu}) = \frac{4\pi}{3} \frac{1}{E_\gamma} \times \begin{cases} \alpha_\nu \left(\omega_{pl}^2 / 4\pi \right) & \text{Millicharge} \\ \frac{\mu_\nu^2}{2} \left(\omega_{pl}^2 / 4\pi \right)^2 & \text{Dipole moment} \\ \frac{C_V^2 G_F^2}{\alpha} \left(\omega_{pl}^2 / 4\pi \right)^3 & \text{Standard model} \end{cases}$$

Energy-loss rate of stellar plasma (temperature T and plasma frequency ω_{pl})

$$Q(\gamma \rightarrow \nu\bar{\nu}) = \int \frac{2d^3\vec{p}}{(2\pi)^3} \frac{E_\gamma \Gamma}{e^{E_\gamma/T} - 1} = \frac{8\zeta_3}{3\pi} T^3 \times \begin{cases} \alpha_\nu \left(\omega_{pl}^2 / 4\pi \right) \\ \frac{\mu_\nu^2}{2} \left(\omega_{pl}^2 / 4\pi \right)^2 \\ \frac{C_V^2 G_F^2}{\alpha} \left(\omega_{pl}^2 / 4\pi \right)^3 \end{cases}$$

Globular Cluster Limits on Neutrino Dipole Moments

Compare magnetic-dipole plasma emission with standard case

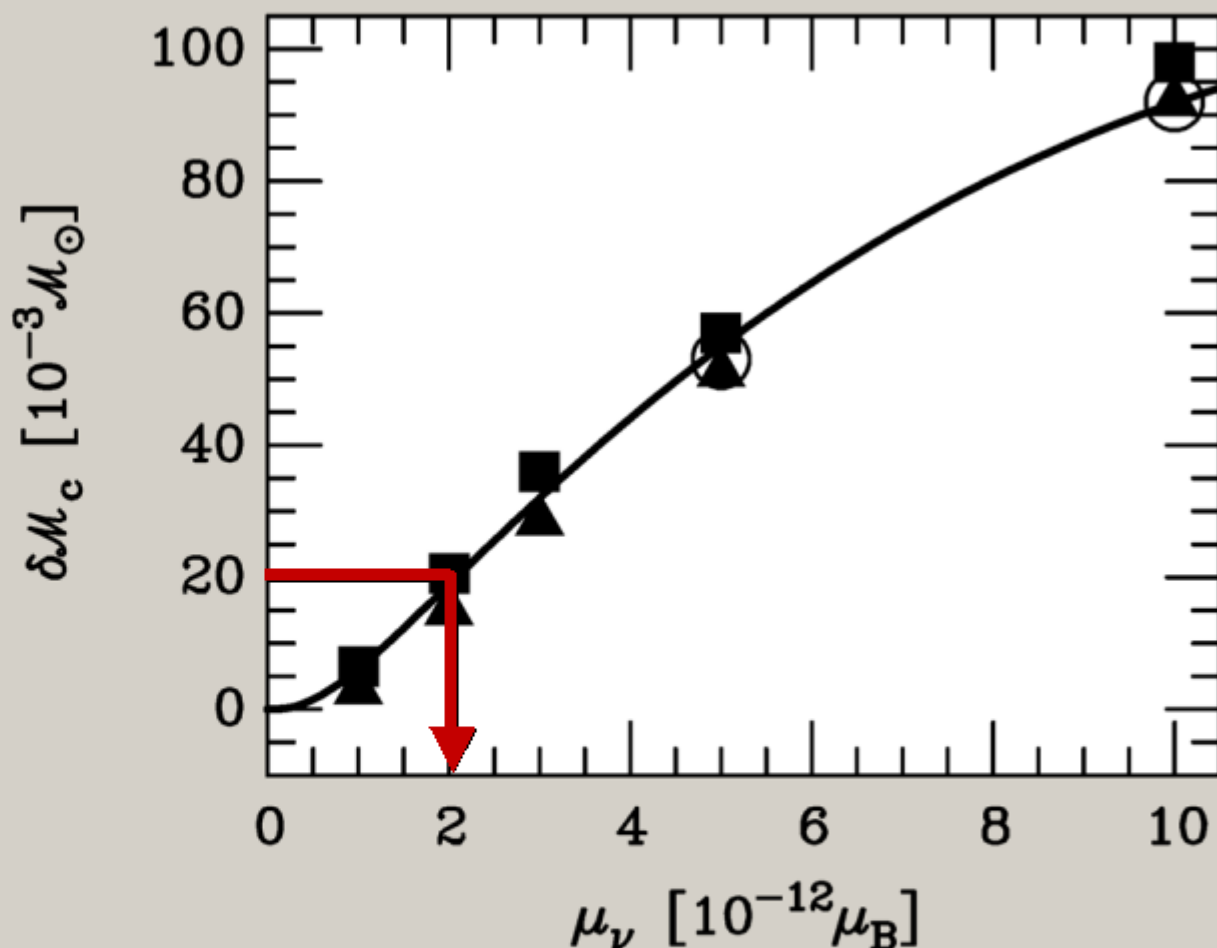
$$\frac{Q_\mu}{Q_{SM}} = \frac{2\pi\alpha\mu_\nu^2}{c_V^2 G_F^2 \omega_{pl}^2}$$

For red-giant core before helium ignition $\omega_{pl} = 18$ keV

$$\frac{Q_\mu}{Q_{SM}} = 9 \times 10^{22} \left(\frac{\mu_\nu}{\mu_B} \right)^2$$

Require this to be < 1

$$\mu_\nu < 3 \times 10^{-12} \mu_B$$

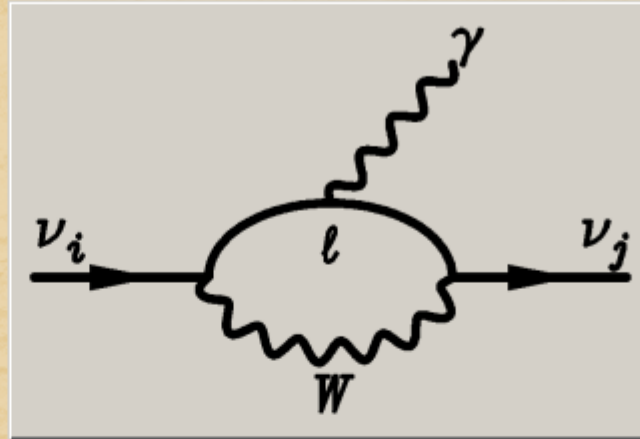


Globular-cluster limit on neutrino dipole moment

$$\mu_\nu < 2 \times 10^{-12} \mu_B$$

Standard Dipole Moments for Massive Neutrinos

In standard electroweak model,
neutrino dipole and
transition moments
are induced at higher order



Massive neutrinos ν_i ($i = 1, 2, 3$),
mixed to form weak eigenstates

$$\nu_\ell = \sum_{i=1}^3 U_{\ell i} \nu_i$$

Explicit evaluation for Dirac
neutrinos
(Magnetic moments μ_{ij}
electric moments ε_{ij})

$$\mu_{ij} = \frac{e\sqrt{2}G_F}{(4\pi)^2} (m_i + m_j) \sum_{\ell=e,\mu,\tau} U_{\ell j} U_{\ell i}^* f\left(\frac{m_\ell}{m_W}\right)$$

$$\varepsilon_{ij} = \dots (m_i - m_j) \dots$$

$$f\left(\frac{m_\ell}{m_W}\right) = -\frac{3}{2} + \frac{3}{4}\left(\frac{m_\ell}{m_W}\right)^2 + \mathcal{O}\left(\left(\frac{m_\ell}{m_W}\right)^4\right)$$

Standard Dipole Moments for Massive Neutrinos

Diagonal case
(Magnetic moments
of Dirac neutrinos)

$$\mu_{ii} = \frac{3e\sqrt{2}G_F}{(4\pi)^2} m_i = 3.20 \times 10^{-19} \mu_B \frac{m_i}{\text{eV}} \quad \mu_B = \frac{e}{2m_e}$$

$$\epsilon_{ij} = 0$$

Off-diagonal case
(Transition moments)

First term in
 $f(m_\ell/m_W)$ does not
contribute
("GIM cancellation")

$$\mu_{ij} = \frac{3e\sqrt{2}G_F}{4(4\pi)^2} (m_i + m_j) \left(\frac{m_\tau}{m_W} \right)^2 \sum_{\ell=e,\mu,\tau} U_{\ell j} U_{\ell i}^* \left(\frac{m_\ell}{m_\tau} \right)^2$$

$$= 3.96 \times 10^{-23} \mu_B \frac{m_i + m_j}{\text{eV}} \sum_{\ell=e,\mu,\tau} U_{\ell j} U_{\ell i}^* \left(\frac{m_\ell}{m_\tau} \right)^2$$

$$\epsilon_{ij} = \dots (m_i - m_j) \dots$$

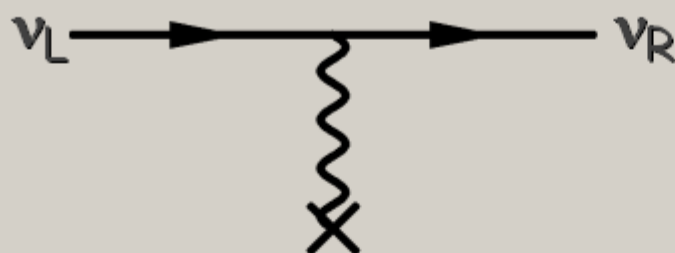
Largest neutrino mass eigenstate $0.05 \text{ eV} < m < 0.7 \text{ eV}$

For Dirac neutrino expect

$$1.6 \times 10^{-20} \mu_B < \mu_\nu < 2.2 \times 10^{-19} \mu_B$$

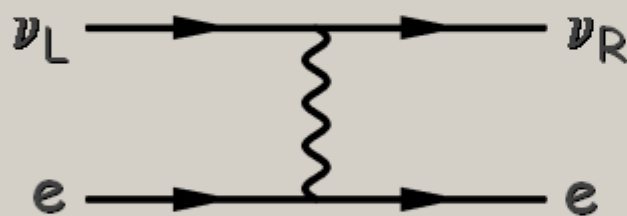
Consequences of Neutrino Dipole Moments

Spin
precession
in external
E or B fields



$$i \frac{\partial}{\partial t} \begin{pmatrix} \nu_L \\ \nu_R \end{pmatrix} = \begin{pmatrix} 0 & \mu_\nu B_T \\ \mu_\nu B_T & 0 \end{pmatrix} \begin{pmatrix} \nu_L \\ \nu_R \end{pmatrix}$$

Scattering



$$\frac{d\sigma}{dT} = \frac{G_F^2 m_e}{2\pi} \left[(C_V + C_A)^2 + (C_V - C_A)^2 \left(1 - \frac{T}{E_\nu}\right)^2 + (C_V^2 - C_A^2) \frac{m_e T}{E_\nu^2} \right] + \alpha \mu_\nu^2 \left[\frac{1}{T} - \frac{1}{E_\nu} \right]$$

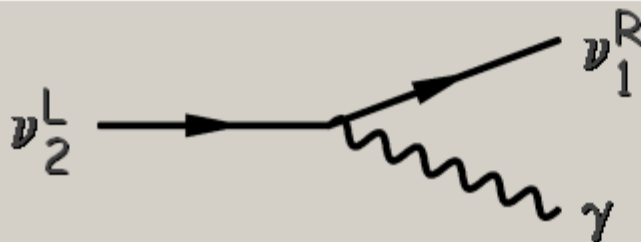
T electron recoil energy

Plasmon
decay in
stars



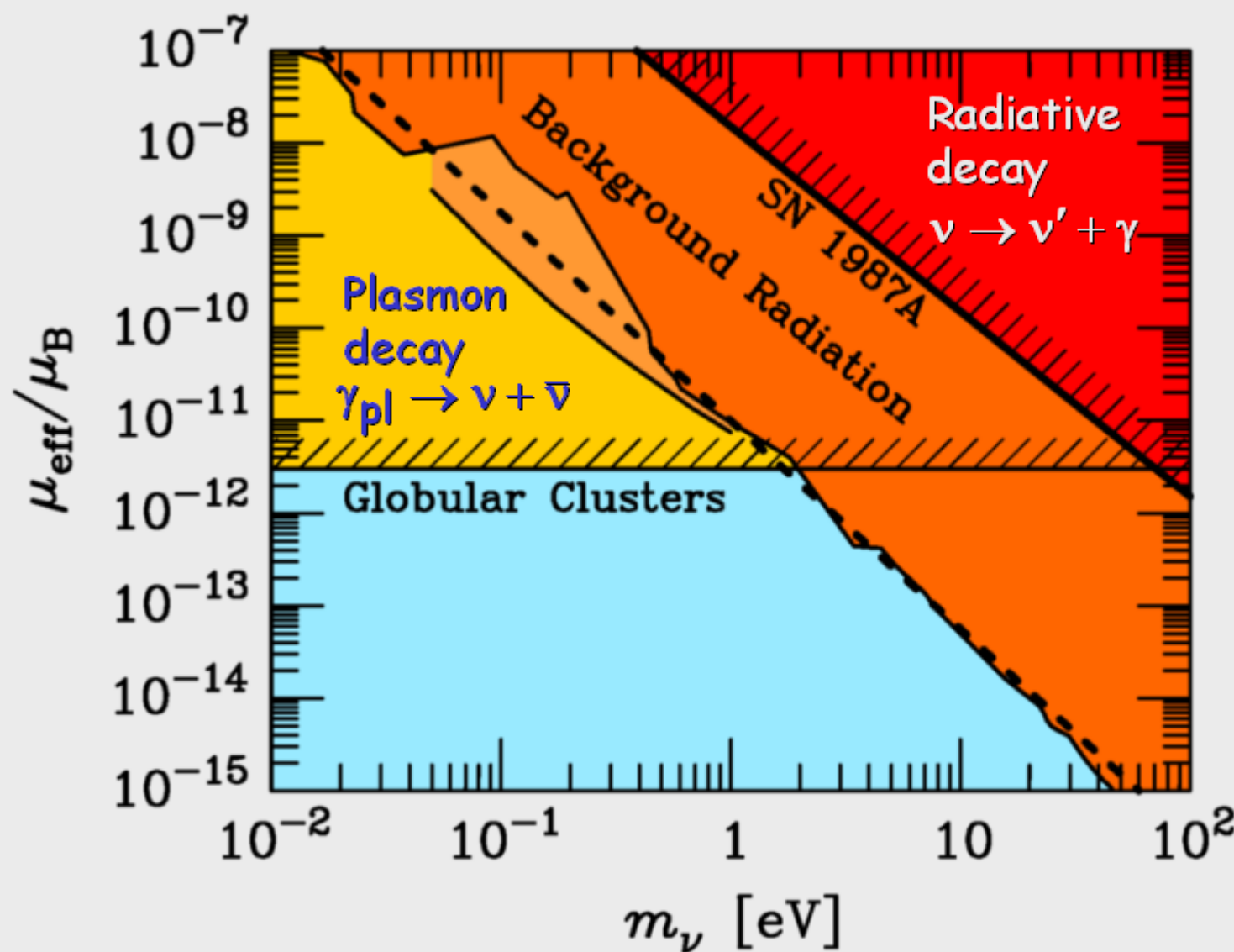
$$\Gamma = \frac{\mu_\nu^2}{24\pi} \omega_{pl}^3$$

Decay or
Cherenkov
effect



$$\Gamma = \frac{\mu_\nu^2}{8\pi} \left(\frac{m_2^2 - m_1^2}{m_2} \right)^3$$

Neutrino Radiative Lifetime Limits



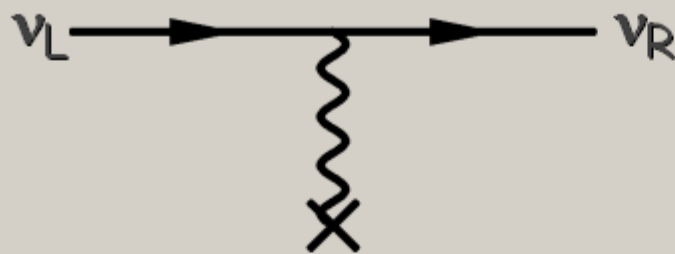
$$\Gamma_{\nu \rightarrow \nu' \gamma} = \frac{\mu_{\text{eff}}^2}{8\pi} m_\nu^3$$

$$\Gamma_{\gamma \rightarrow \nu \bar{\nu}} = \frac{\mu_{\text{eff}}^2}{24\pi} \omega_{\text{pl}}^3$$

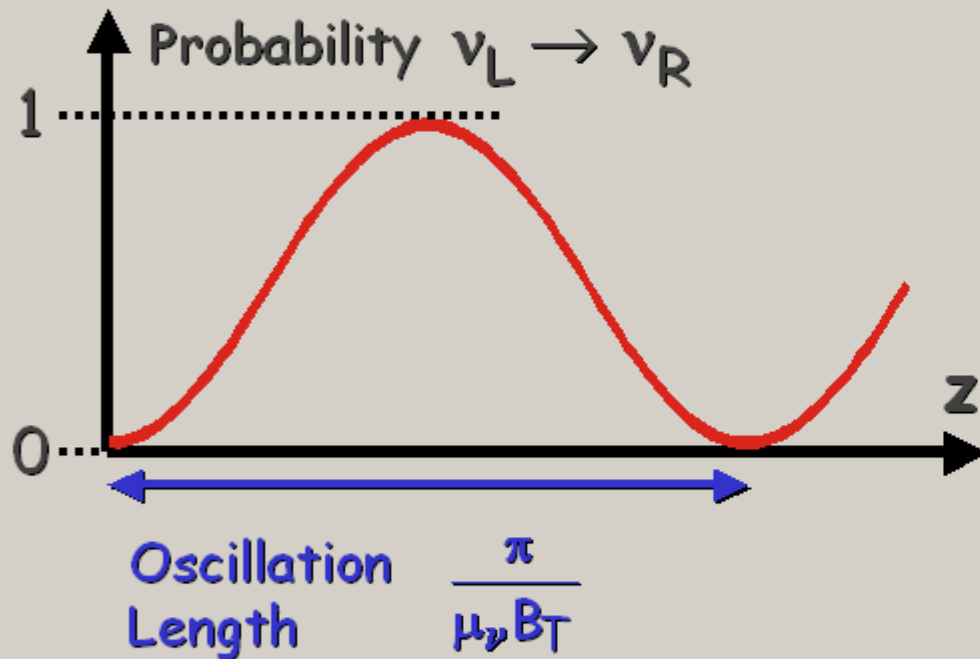
For low-mass neutrinos, plasmon decay in globular cluster stars yields most restrictive limits

Neutrino Spin Oscillations

Spin
precession
in external
E or B fields



$$i \frac{\partial}{\partial t} \begin{pmatrix} \nu_L \\ \nu_R \end{pmatrix} = \begin{pmatrix} 0 & \mu_\nu B_T \\ \mu_\nu B_T & 0 \end{pmatrix} \begin{pmatrix} \nu_L \\ \nu_R \end{pmatrix}$$



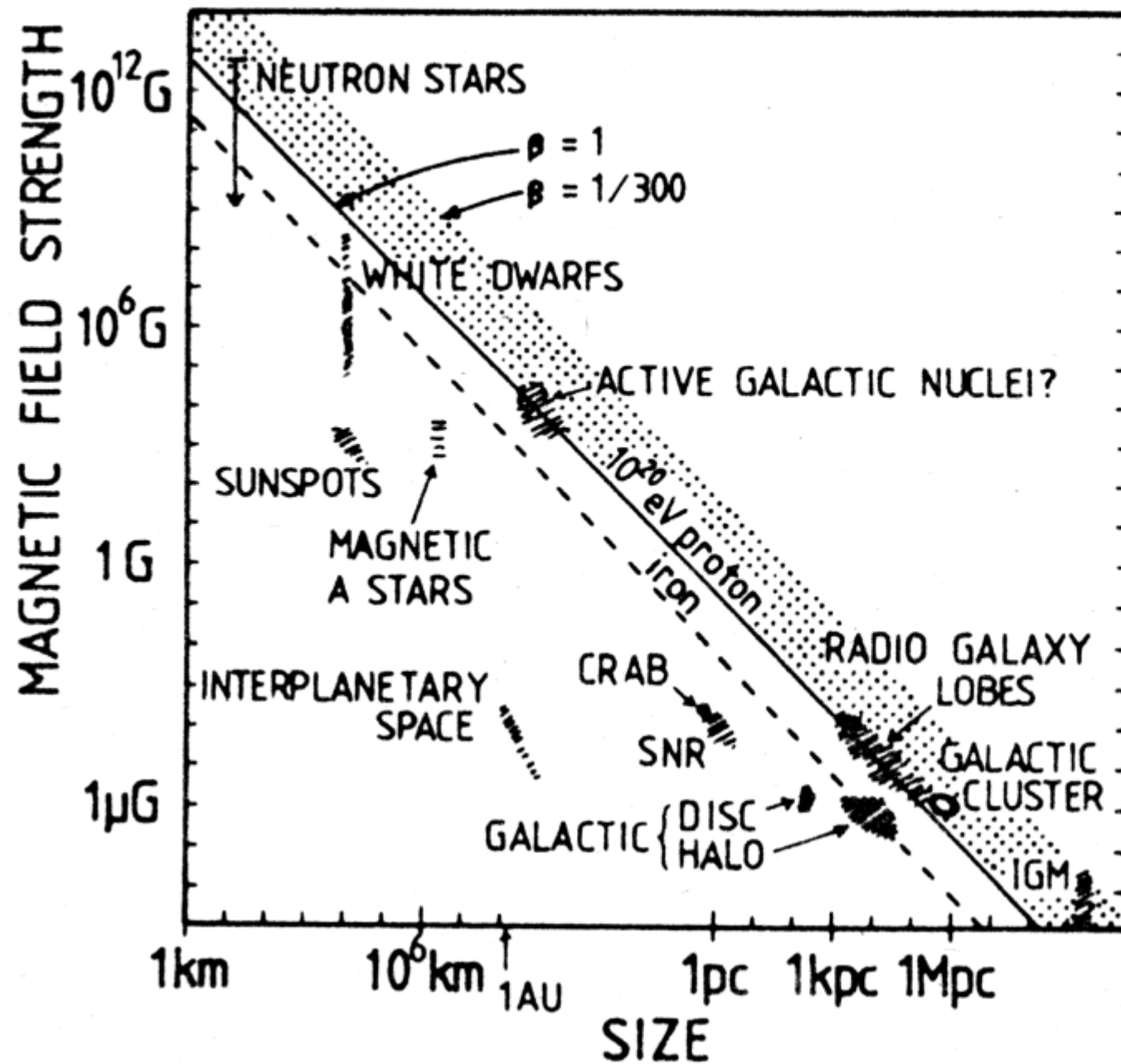
For relativistic neutrinos,
the oscillation equation

- is independent of energy
- involves only the transverse field

Distance for helicity reversal

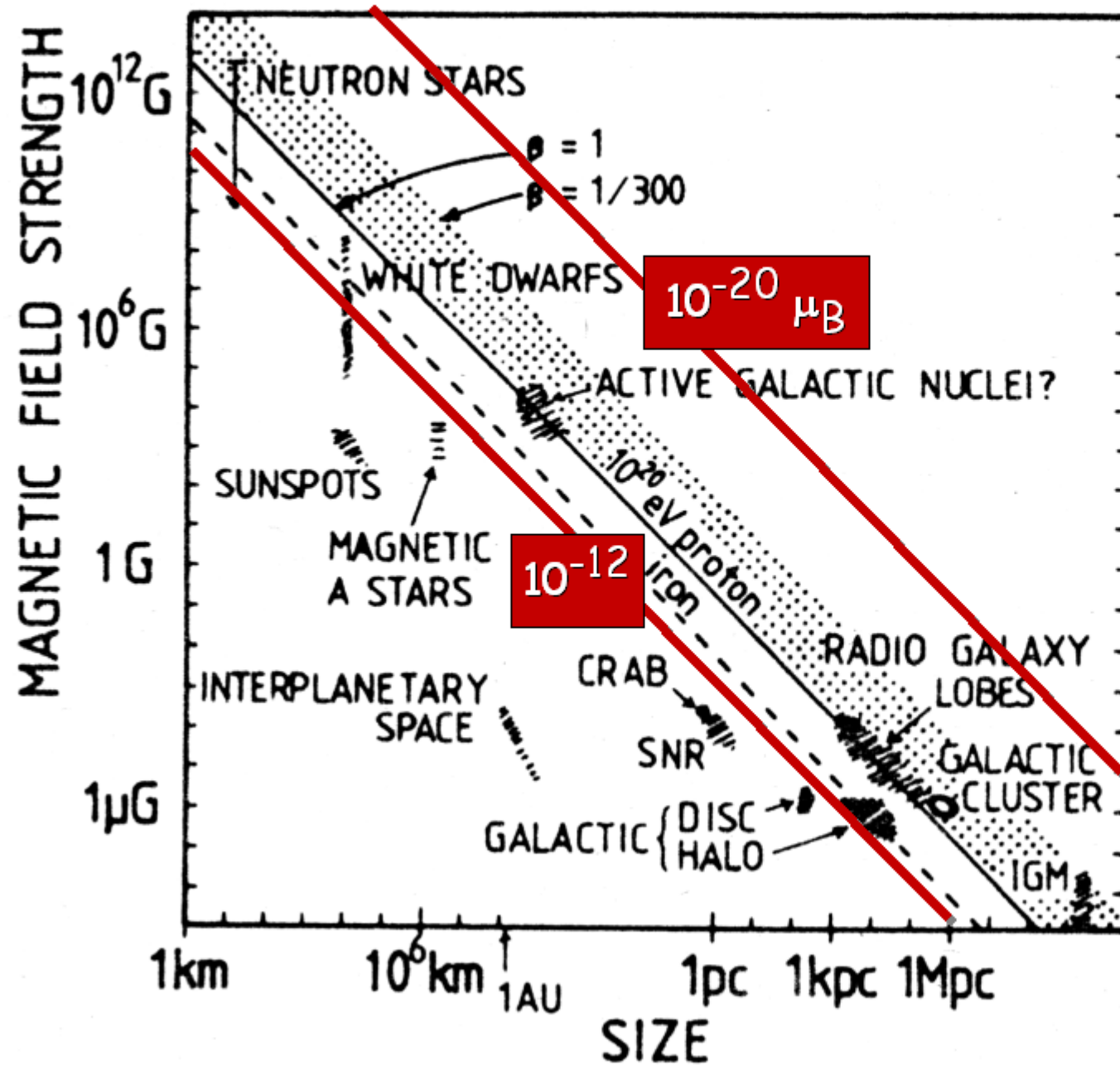
$$\frac{\pi}{2\mu_\nu B_T} = 5.36 \times 10^{13} \text{ cm} \frac{10^{-10} \mu_B}{\mu_\nu} \frac{1 \text{ G}}{B_T}$$

Astrophysical Magnetic Fields



"Hillas Plot"
[ARAA 22, 425
(1984)]

Astrophysical Magnetic Fields



Field strength and length scale where neutrinos with specified dipole moment would suffer complete depolarization

"Hillas Plot"
[ARAA 22, 425
(1984)]

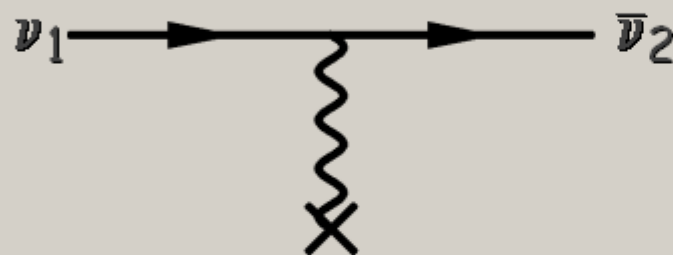
Neutrino Spin-Flavor Oscillations

Majorana neutrinos

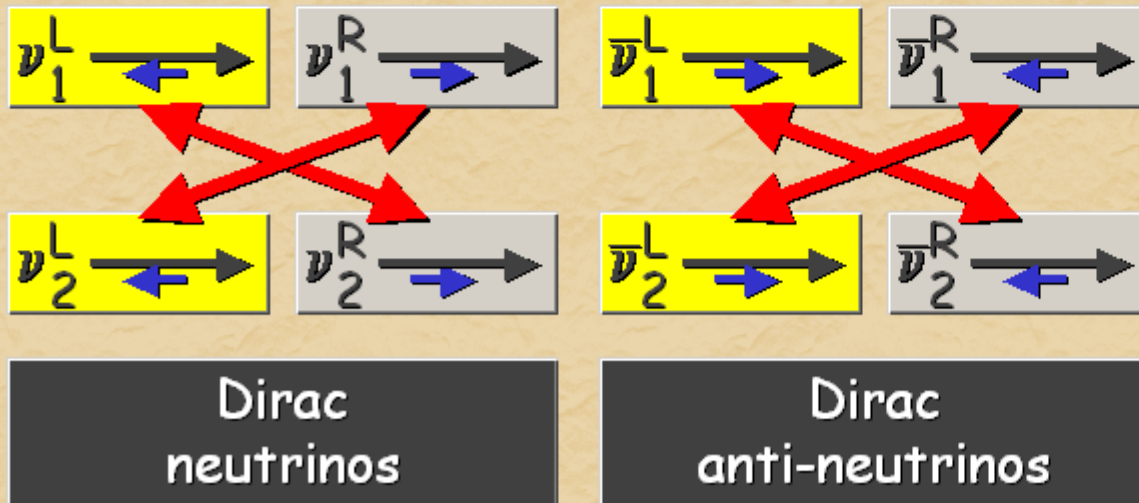
- Diagonal dipole moments vanish
- Transition moments inevitably exist
- Standard-model calculation $\sim$ Dirac case

Transition moments couple neutrinos with anti-neutrinos

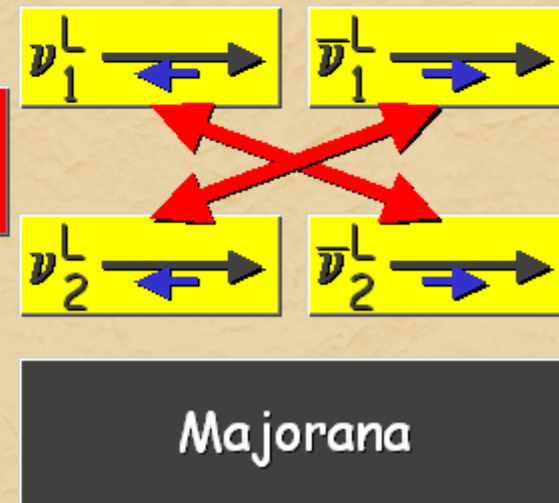
Spin-flavor precession in external E or B fields



$$i \frac{\partial}{\partial t} \begin{pmatrix} \nu_1 \\ \bar{\nu}_2 \end{pmatrix} = \begin{pmatrix} 0 & \mu_{\nu} B_T \\ \mu_{\nu} B_T & 0 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \bar{\nu}_2 \end{pmatrix}$$



Transition moments



Neutrino Spin-Flavor Oscillations in a Medium

Consider general case of two-flavor oscillations for Majorana neutrinos with a transition magnetic moment μ and ordinary flavor mixing in a medium (the most general case for two-flavor oscillations in the Sun)

$$i \frac{\partial}{\partial r} \begin{pmatrix} \nu_e \\ \nu_\mu \\ \bar{\nu}_e \\ \bar{\nu}_\mu \end{pmatrix} = \begin{pmatrix} c\Delta + a_e & s\Delta & 0 & \mu B \\ s\Delta & -c\Delta + a_\mu & \mu B & 0 \\ 0 & \mu B & c\Delta - a_e & s\Delta \\ \mu B & 0 & s\Delta & -c\Delta - a_\mu \end{pmatrix} \begin{pmatrix} \nu_e \\ \nu_\mu \\ \bar{\nu}_e \\ \bar{\nu}_\mu \end{pmatrix}$$

$$c = \cos(2\theta)$$

$$s = \sin(2\theta)$$

$$\Delta = \frac{m_2^2 - m_1^2}{4E}$$

$$a_e = \sqrt{2}G_F \left(n_e - \frac{1}{2}n_n \right)$$

$$a_\mu = \sqrt{2}G_F \left(-\frac{1}{2}n_n \right)$$

Resonant spin-flavor precession (RSFP) obtains an excellent fit to solar neutrino data

- But requires very large B-fields in view of globular-cluster bound on μ
- Requires large non-standard transition moment of order $(10^{-12} - 10^{-10})\mu_B$
- After KamLAND not the dominant effect
- May still produce some solar anti-neutrinos
- May play some role for supernova neutrinos