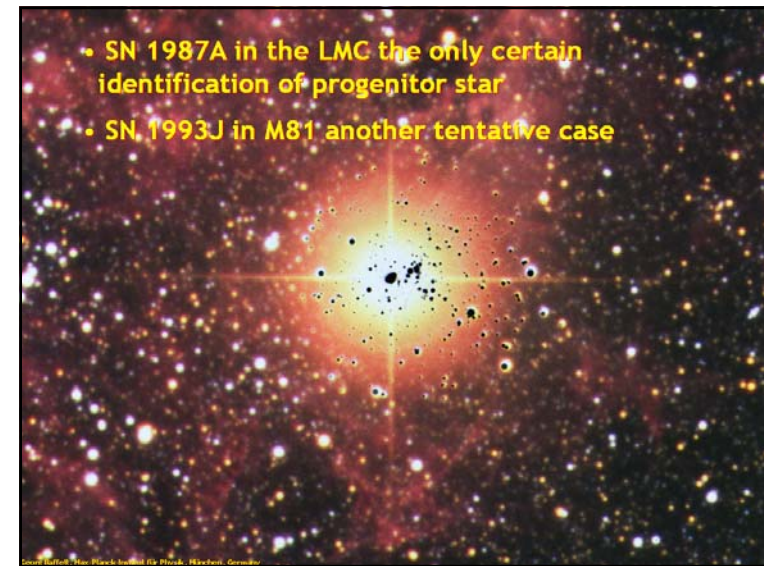
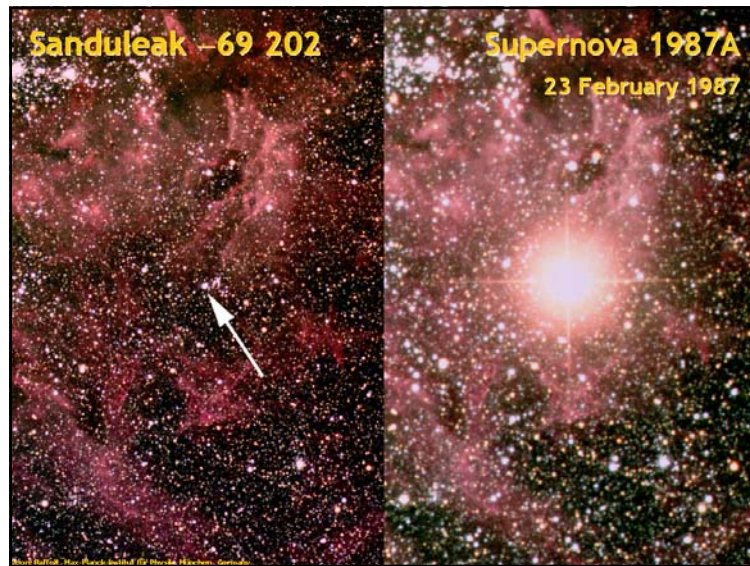
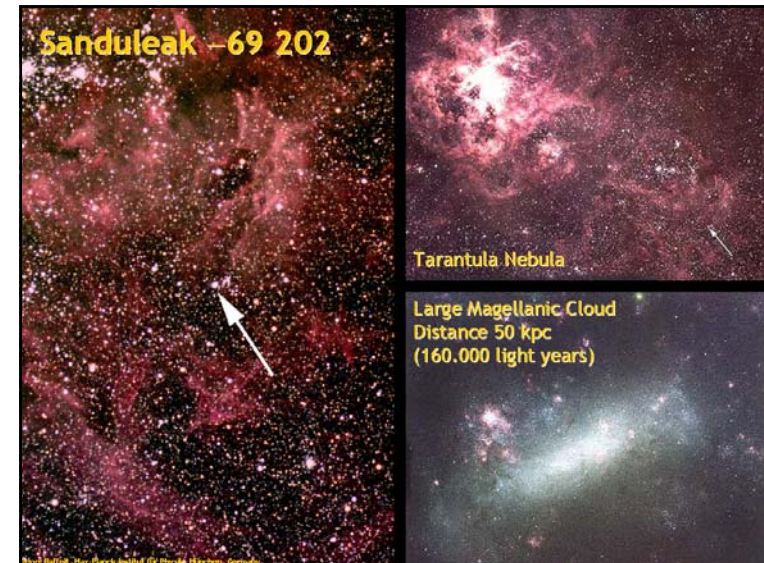
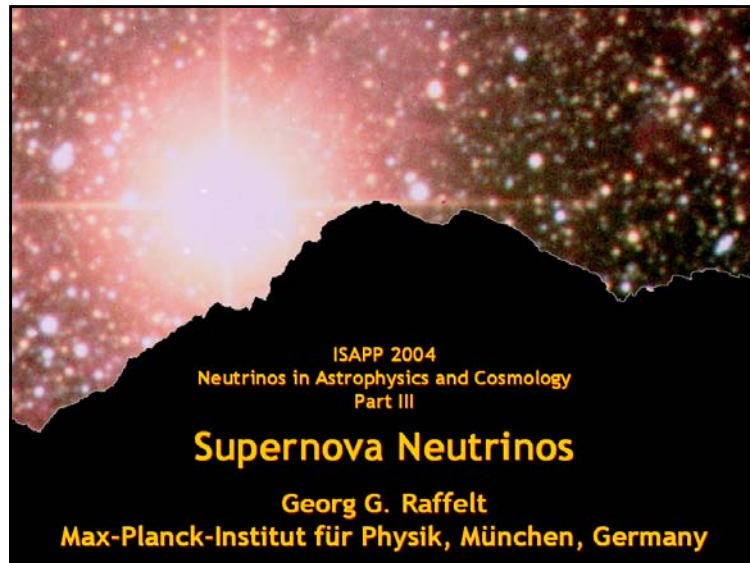
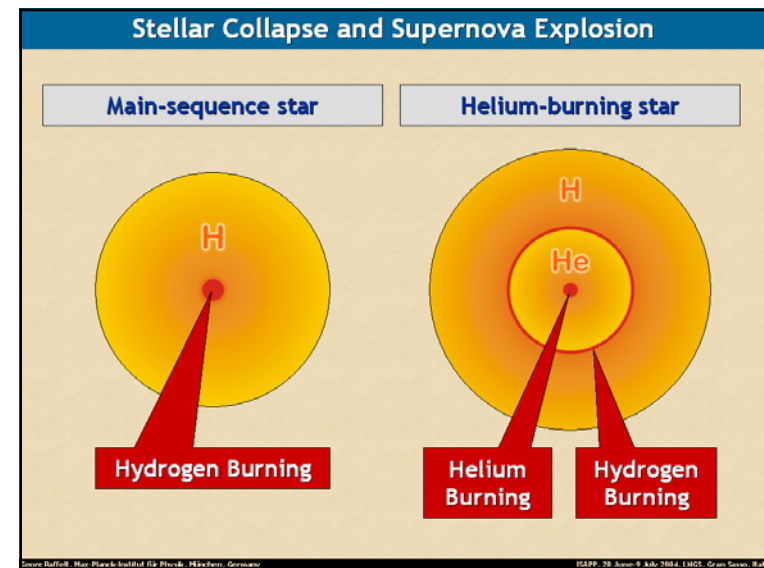
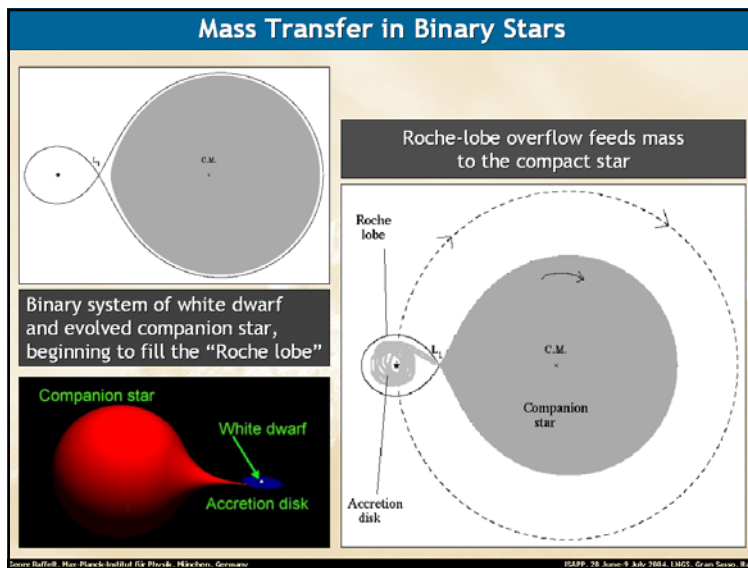
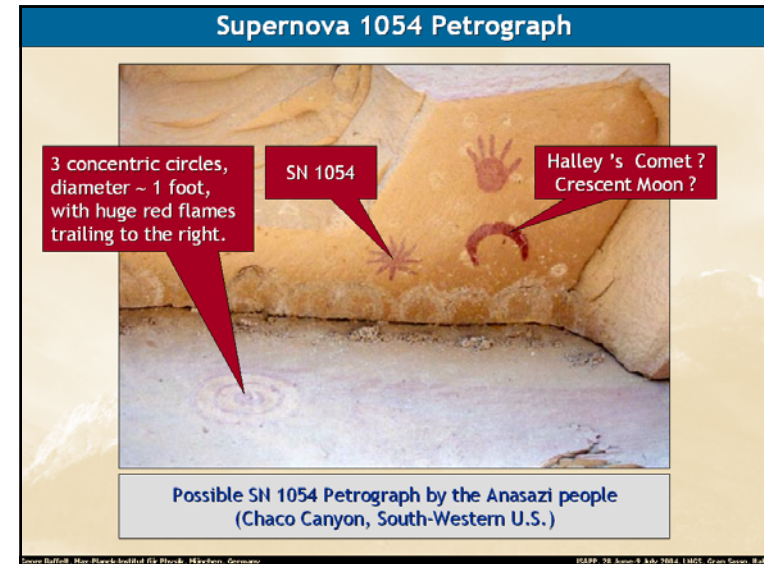
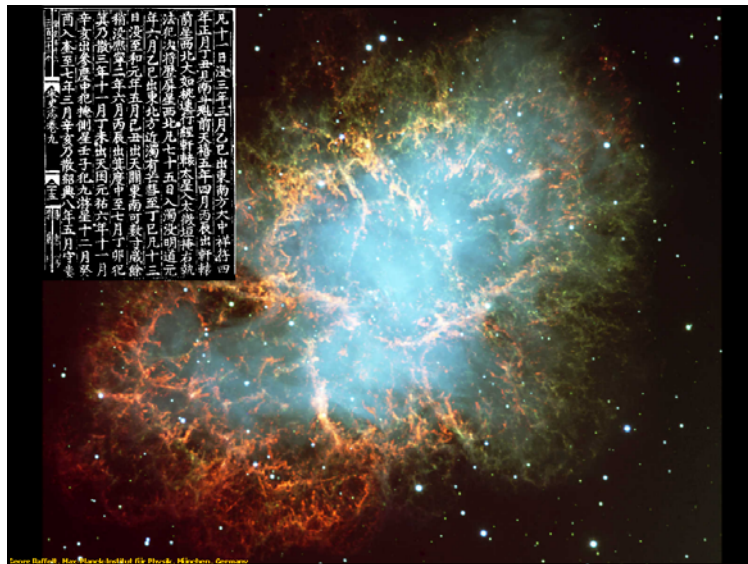
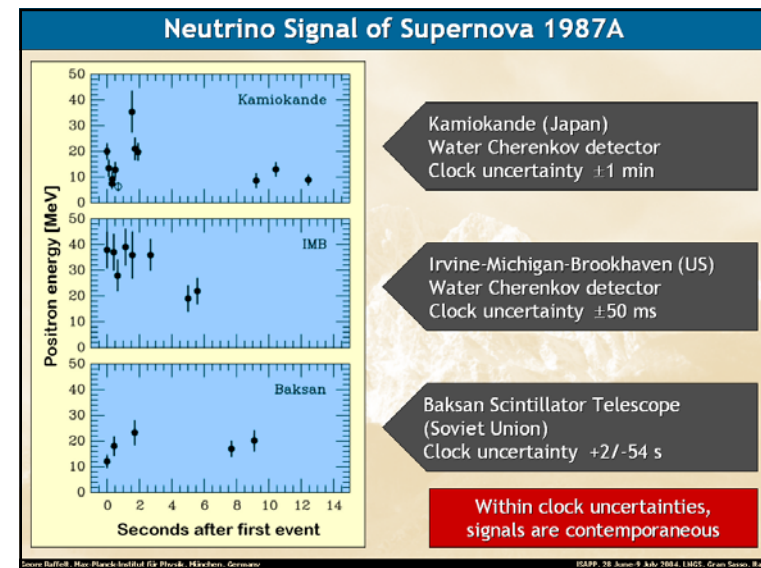
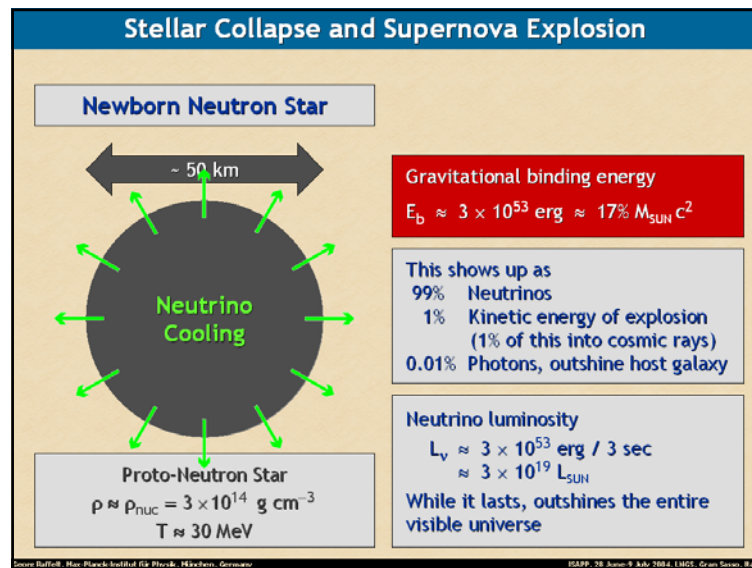
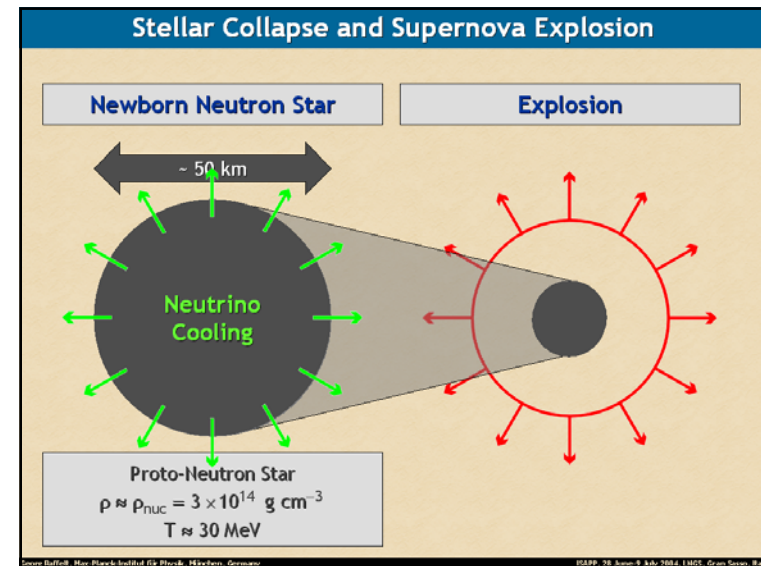
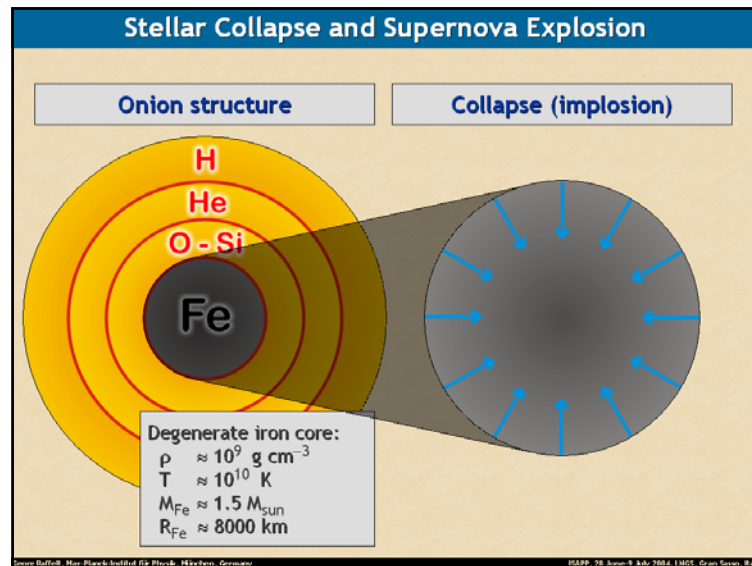


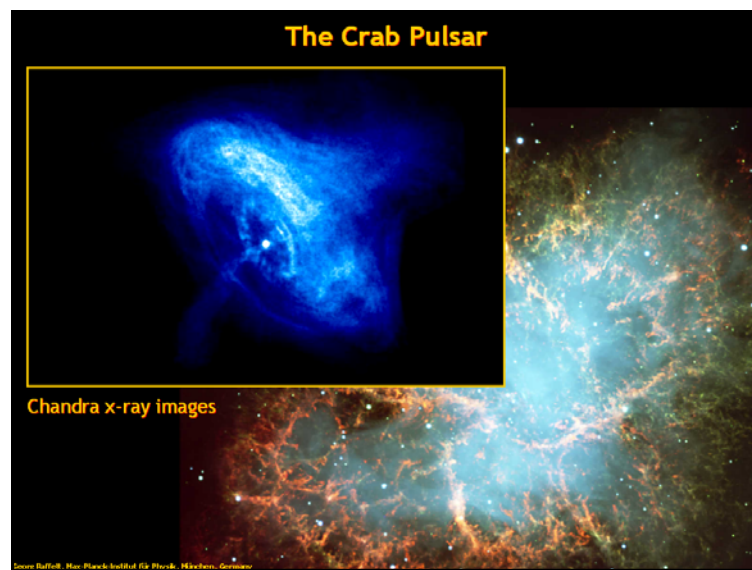
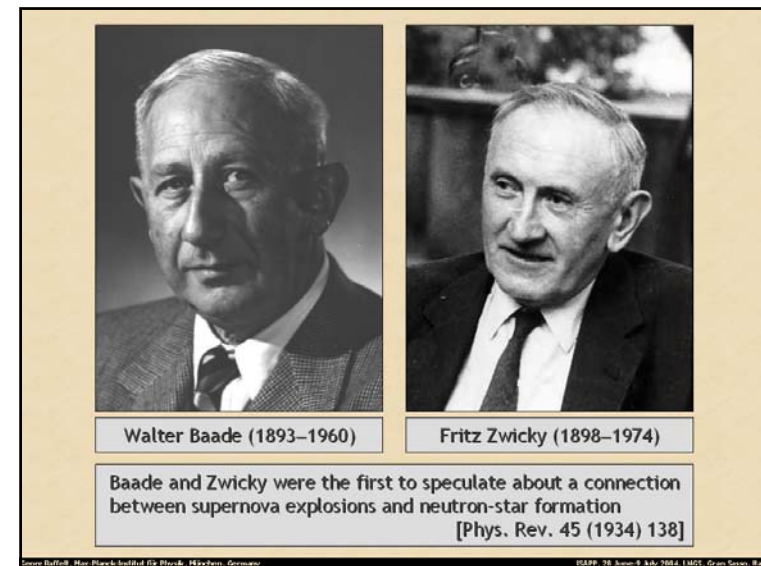
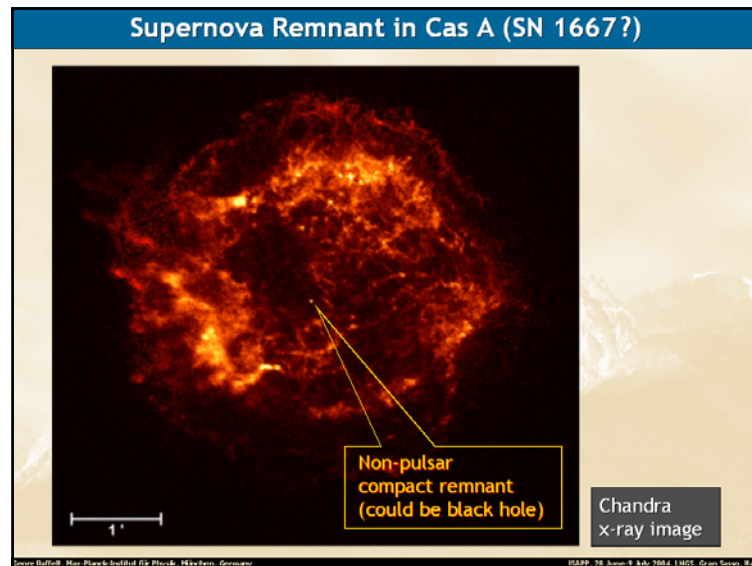


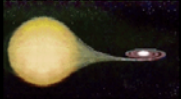










| Type Ia vs. Core-Collapse Supernovae                                                                                                                                                                                                |                                                                                                                                                                                                                                                |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Type Ia                                                                                                                                                                                                                             | Core collapse (Type II, Ib/c)                                                                                                                                                                                                                  |
| <ul style="list-style-type: none"> <li>Carbon-oxygen white dwarf (remnant of low-mass star)</li> <li>Accretes matter from companion</li> </ul>  | <ul style="list-style-type: none"> <li>Degenerate iron core of evolved massive star</li> <li>Accretes matter by nuclear burning at its surface</li> </ul>  |
| Chandrasekhar limit is reached – $M_{\text{Ch}} \approx 1.5 M_{\text{sun}} (2Y_e)^2$                                                                                                                                                |                                                                                                                                                                                                                                                |
| COLLAPSE SETS IN                                                                                                                                                                                                                    |                                                                                                                                                                                                                                                |
| Nuclear burning of C and O ignites<br>→ Nuclear deflagration<br>("Fusion bomb" triggered by collapse)                                                                                                                               | Collapse to nuclear density<br>Bounce & shock<br>Implosion → Explosion                                                                                                                                                                         |
| Powered by nuclear binding energy                                                                                                                                                                                                   | Powered by gravity                                                                                                                                                                                                                             |
| Gain of nuclear binding energy<br>~ 1 MeV per nucleon                                                                                                                                                                               | Gain of gravitational binding energy<br>~ 100 MeV per nucleon<br>99% into neutrinos                                                                                                                                                            |
| Comparable "visible" energy release of ~ $3 \times 10^{51}$ erg                                                                                                                                                                     |                                                                                                                                                                                                                                                |

Source: Baffert, Max-Planck-Institut für Physik, München, Germany. ESA/EPN 70 June 9 July 2004, 1405, Gran Sasso, Ital.



## Supernovae the Power Supply for Cosmic Rays?

**Required power supply**  $L_{CR} = V_D \rho_{CR} / \tau_{res}$   
 $\approx 5 \times 10^{40} \text{ erg/s} \approx 10^7 L_{\text{Sun}}$

**Disk volume**  $V_D = \pi R^2 d \approx \pi (15 \text{ kpc})^2 200 \text{ pc}$   
 $\approx 4 \times 10^{66} \text{ cm}^3$

**Energy density in CRs**  $\rho_{CR} \approx 1 \text{ eV / cm}^3$

**Residence time in galaxy**  $\tau_{res} \approx 6 \times 10^6 \text{ yrs}$

### Suggestive of supernovae:

- One SN explosion deposits  $\sim 3 \times 10^{51} \text{ erg}$  in kinetic energy of ejecta into the interstellar medium (ISM)
- Rate approx. 1 SN / 30 years / galaxy

**Total average energy deposition:**  $L_{SN} \approx 3 \times 10^{42} \text{ erg/s} \approx 50 L_{CR}$

**Efficiency of a few percent required**

Source: Boffelli, Max-Planck-Institut für Physik, München, Germany

ISAPP, 28. Juni - 9. Juli 2024, LMU, Garmisch-Partenkirchen

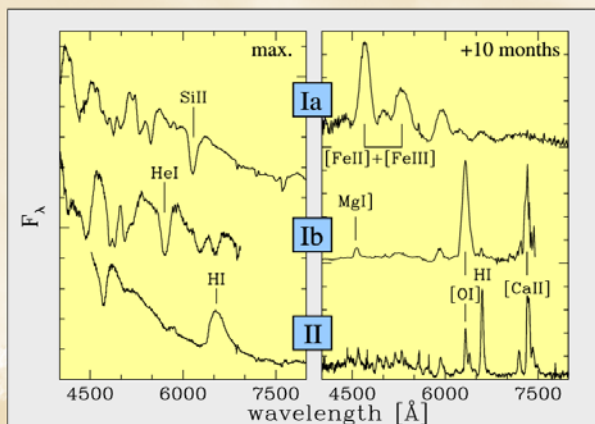
## Classification of Supernovae

| Spectral Type             | Ia                                            | Ib                                                                                                                    | Ic        | II          |
|---------------------------|-----------------------------------------------|-----------------------------------------------------------------------------------------------------------------------|-----------|-------------|
| Spectrum                  | No Hydrogen                                   |                                                                                                                       |           | Hydrogen    |
|                           | Silicon                                       | No Silicon                                                                                                            |           |             |
|                           |                                               | Helium                                                                                                                | No Helium |             |
| Physical Mechanism        | Nuclear explosion of low-mass star            | Core collapse of evolved massive star (may have lost its hydrogen or even helium envelope during red-giant evolution) |           |             |
| Light Curve               | Reproducible                                  | Large variations                                                                                                      |           |             |
| Neutrinos                 | Insignificant                                 | ~ 100 × Visible energy                                                                                                |           |             |
| Compact Remnant           | None                                          | Neutron star (typically appears as pulsar)<br>Sometimes black hole ?                                                  |           |             |
| Rate / h <sup>2</sup> SNU | 0.36 ± 0.11                                   | 0.14 ± 0.07                                                                                                           |           | 0.71 ± 0.34 |
| Observed                  | Total ~ 2000 as of today (nowadays ~200/year) |                                                                                                                       |           |             |

Source: Boffelli, Max-Planck-Institut für Physik, München, Germany

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## Typical Spectra of Different Supernova Types

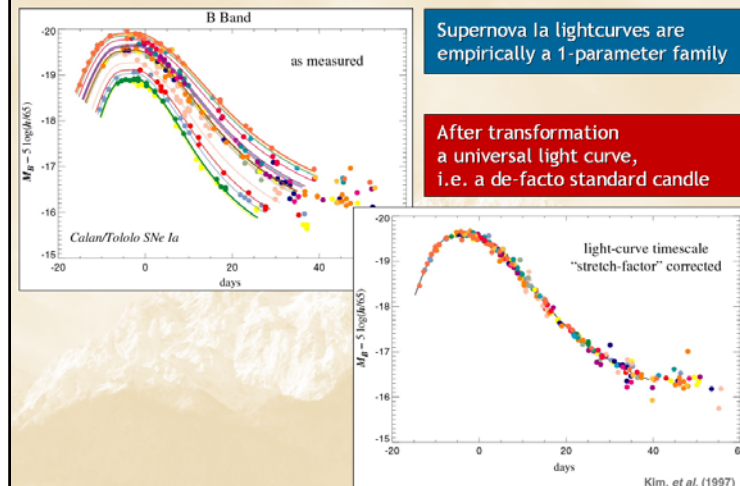


Cappellaro and Turatto, astro-ph/0012455

Source: Boffelli, Max-Planck-Institut für Physik, München, Germany

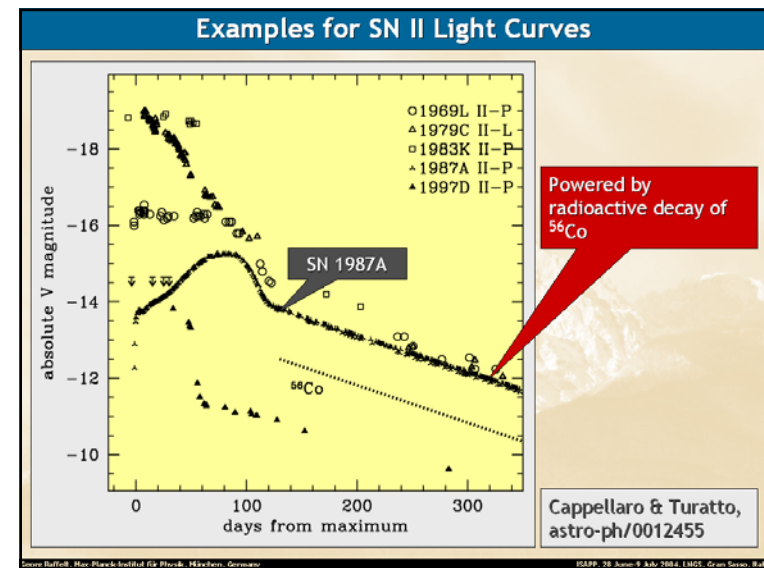
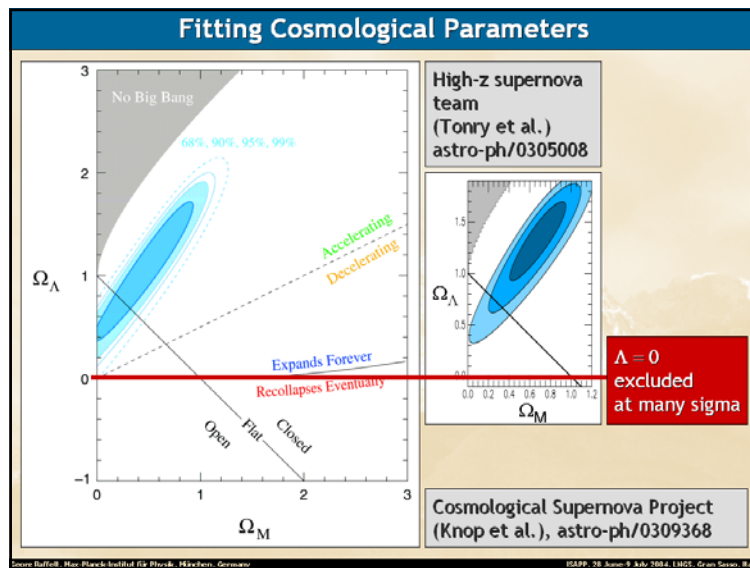
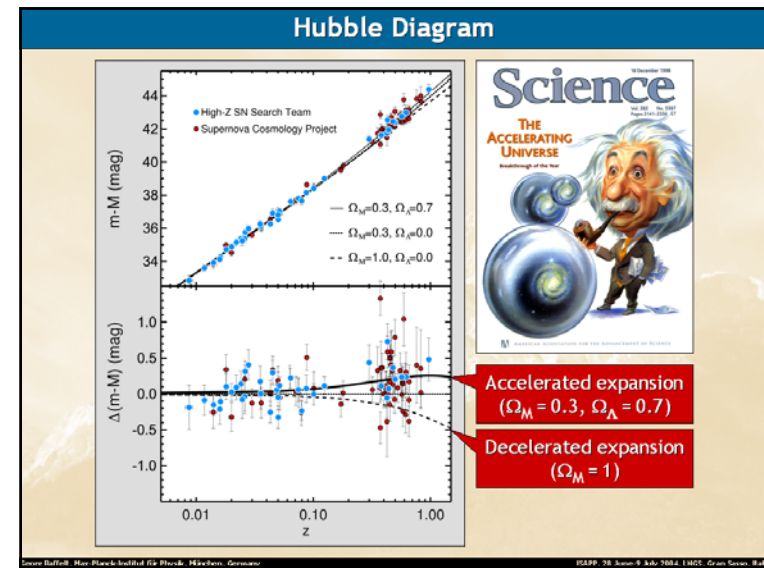
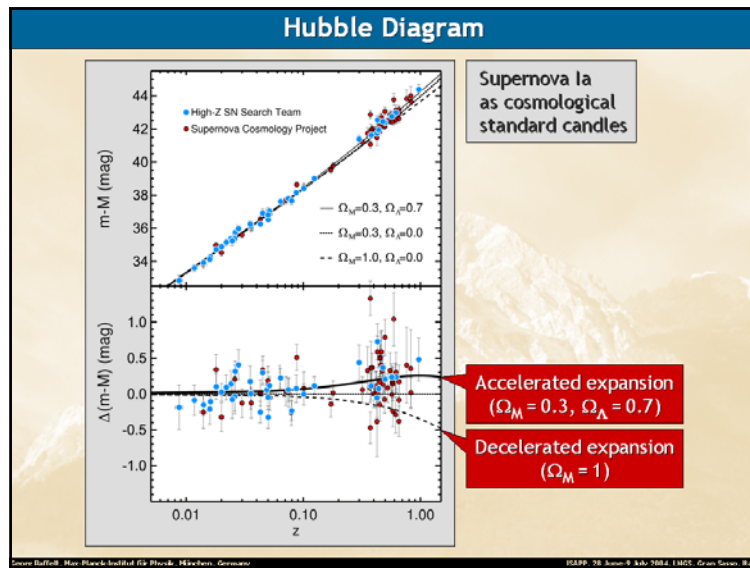
ISAPP, 28. Juni - 9. Juli 2024, LMU, Garmisch-Partenkirchen

## Universal Supernova Ia Light Curve

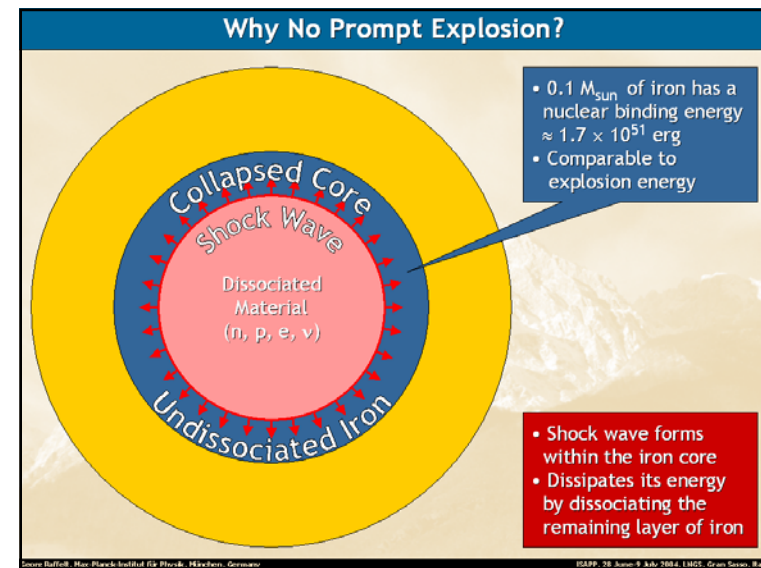
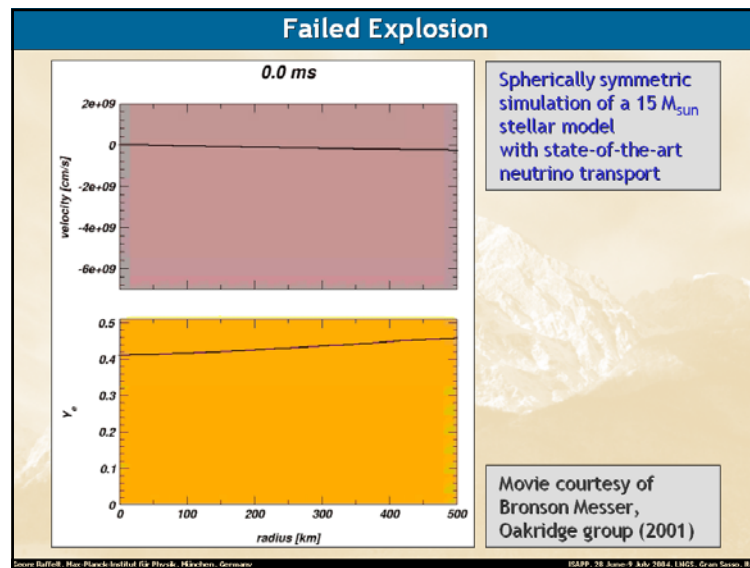
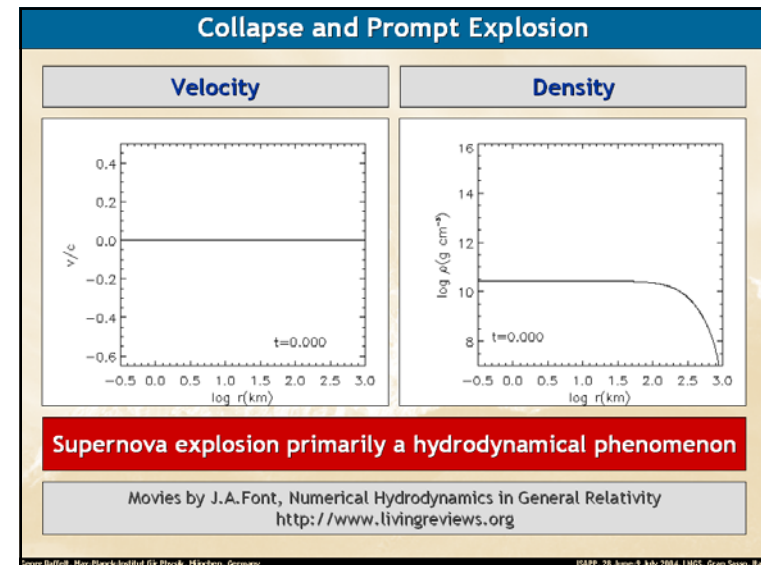


Source: Boffelli, Max-Planck-Institut für Physik, München, Germany

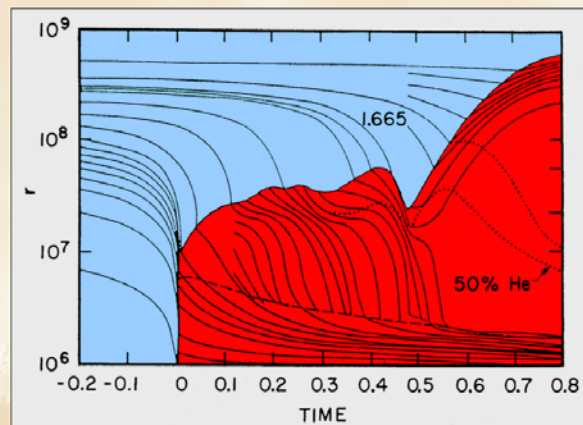
ISAPP, 28. Juni - 9. Juli 2024, LMU, Garmisch-Partenkirchen







### Delayed Explosion

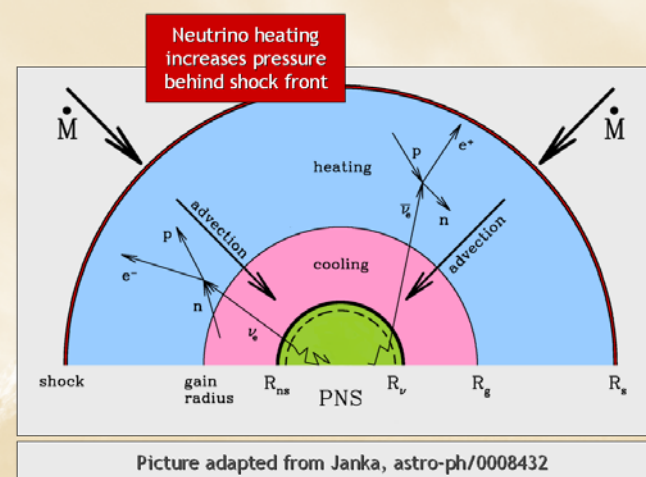


Wilson, Proc. Univ. Illinois Meeting on Num. Astrophys. (1982)  
Bethe & Wilson, ApJ 295 (1985) 14

Source: Boffin, Max-Planck-Institut für Physik, München, Germany

ISAPP 78, June 9-14, 1984, LMU, Garmisch, Bad

### Neutrinos to the Rescue

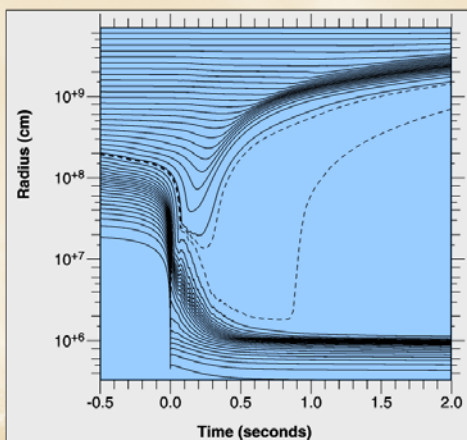


Picture adapted from Janka, astro-ph/0008432

Source: Boffin, Max-Planck-Institut für Physik, München, Germany

ISAPP 78, June 9-14, 1984, LMU, Garmisch, Bad

### Explosions in Livermore Simulations



Livermore group obtains robust delayed explosions with 1-D code of Mayle & Wilson

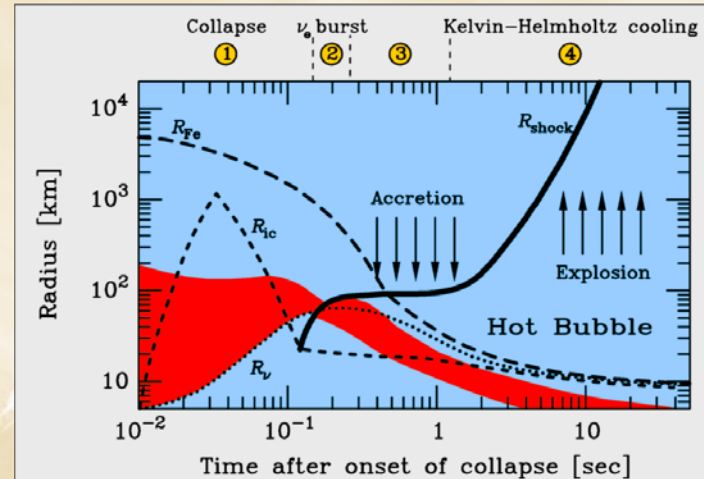
Neutrino luminosity is enhanced by neutron finger convection in proto neutron star

Totani, Sato, Dalhed & Wilson, ApJ 496 (1998) 216

Source: Boffin, Max-Planck-Institut für Physik, München, Germany

ISAPP 78, June 9-14, 1984, LMU, Garmisch, Bad

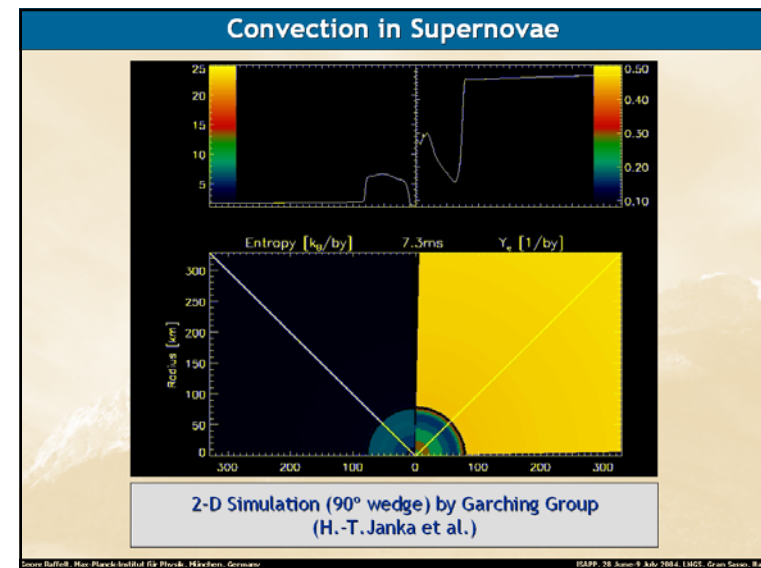
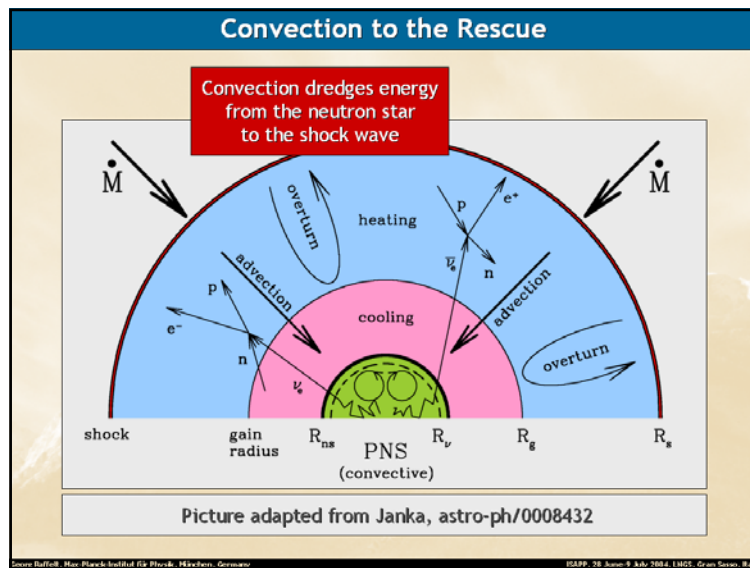
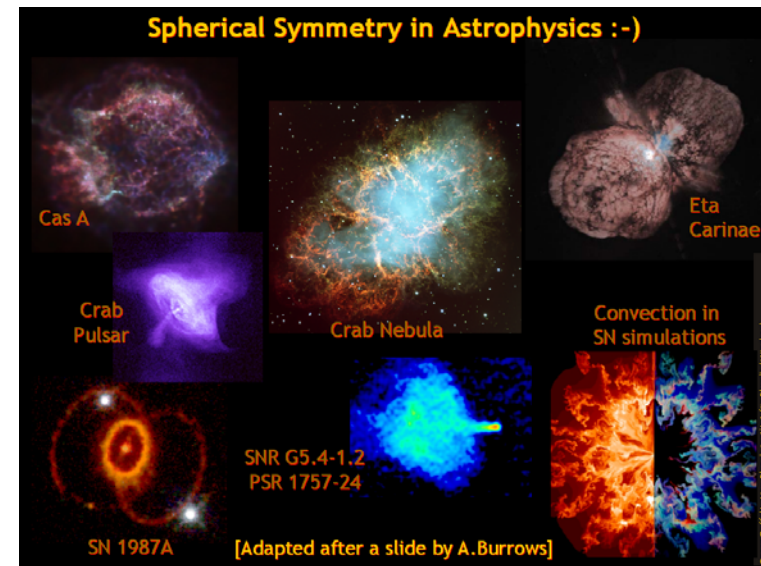
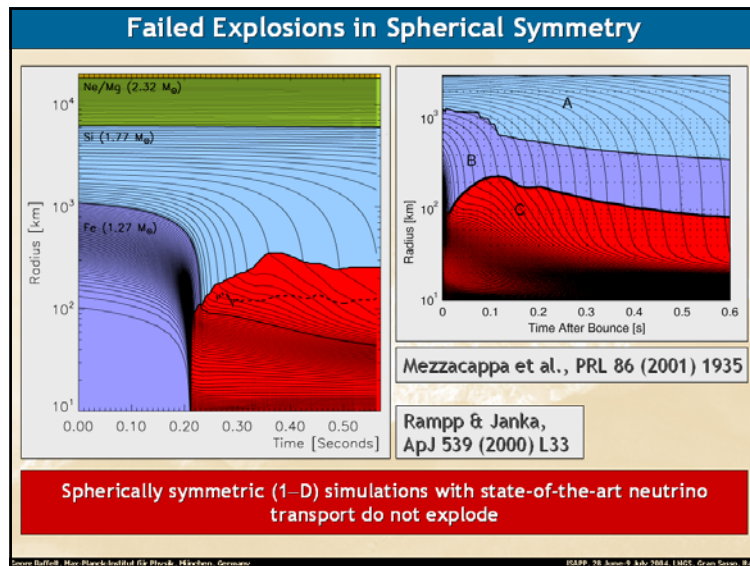
### Supernova Delayed Explosion Scenario

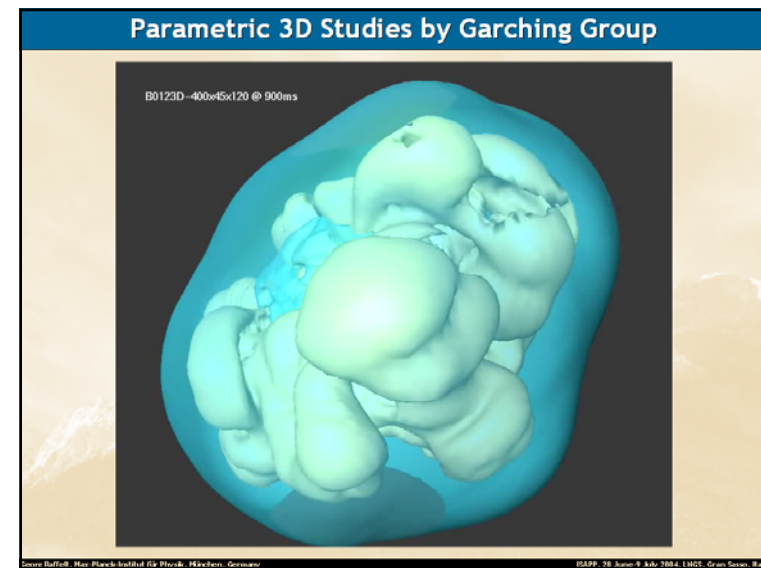
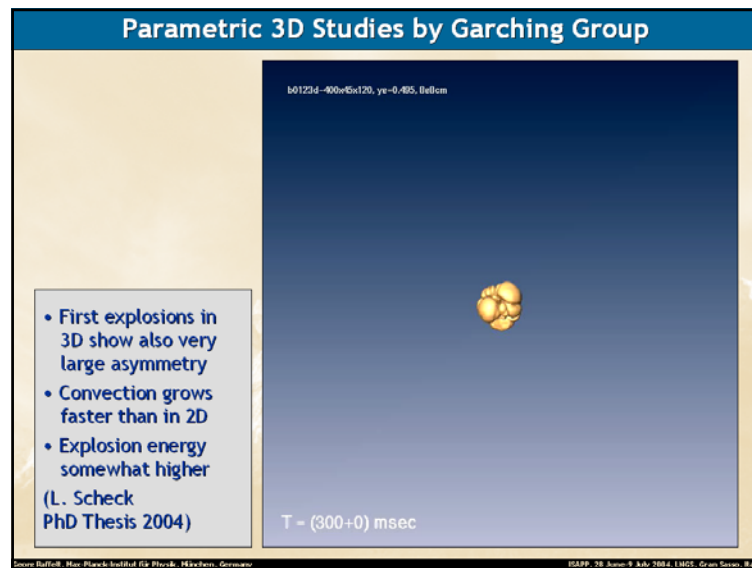
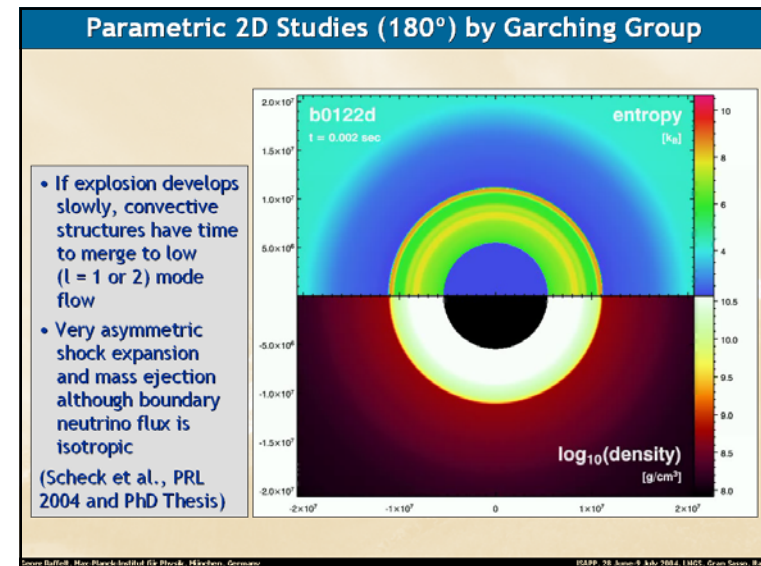
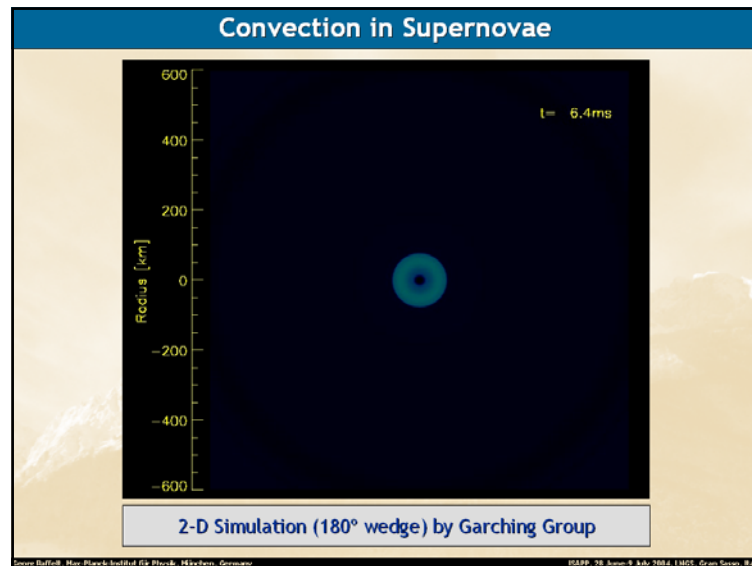


Source: Boffin, Max-Planck-Institut für Physik, München, Germany

ISAPP 78, June 9-14, 1984, LMU, Garmisch, Bad

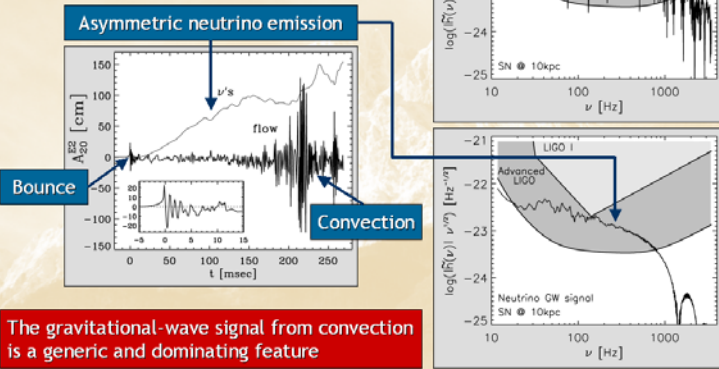




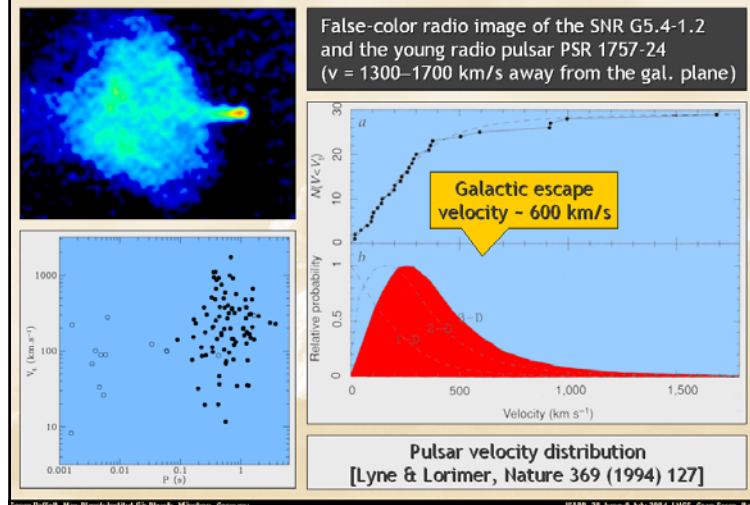


## Gravitational Waves from Core-Collapse Supernovae

Müller, Rampp, Buras, Janka, & Shoemaker,  
"Towards gravitational wave signals from realistic core collapse supernova models,"  
astro-ph/0309833



## High-Velocity Pulsars



## What Accelerates the Pulsars?

|                 |                                                                                                                                                                                                                                                                                                                                                                                                                                   |
|-----------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Neutrino Rocket | <p>Required neutrino asymmetry <math>2.8\% \left( \frac{3 \times 10^{53} \text{ erg}}{E_{\text{tot}}} \right) \left( \frac{v_{\text{kick}}}{1000 \text{ km/s}} \right)</math></p> <p>Caused by B-field induced asymmetry of neutrino transport coefficients (parity violation, dispersion effects &amp; oscillations, asymmetric field distribution, ...)?<br/>Typically requires huge fields <math>&gt; 10^{15}</math> Gauss</p> |
| Matter Rocket   | <p>Hydrodynamically driven kicks</p> <ul style="list-style-type: none"> <li>Asymmetric matter ejection, but convection not enough (?)</li> <li>Pre-collapse asymmetry, perhaps caused by overstable oscillations of pre-supernova core?</li> </ul>                                                                                                                                                                                |
| Photon Rocket   | <p>Electromagnetically driven acceleration:<br/>Off-center global magnetic dipole moment &amp; rotation accelerates star in one direction.<br/>(Slow process - not a "kick")</p>                                                                                                                                                                                                                                                  |

Dong Lai, Neutron Star Kicks and Asymmetric Supernovae, astro-ph/0012049

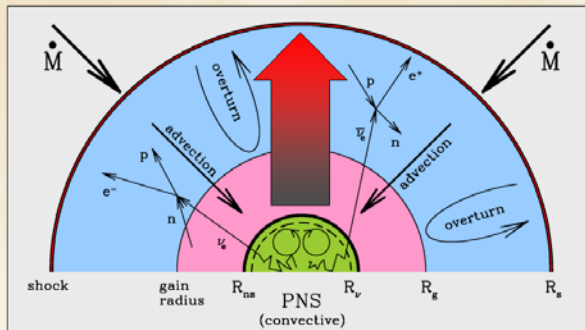
## Theoretical Status of Supernova Explosions

- Spherically symmetric models do not explode, even with state-of-the-art Boltzmann solvers for neutrino transport
- Delayed explosion scenario requires enhanced neutrino luminosity at early times ( $\sim$  factor 2)
- Convection between proto neutron star (PNS) and shock wave and perhaps within PNS helps
- But 2-D simulations self-consistently coupled with state-of-the-art neutrino transport do not explode either
- New physical ingredients required?
- Explosion a magneto-hydrodynamical effect? (Strong B-fields and fast rotation possible)
- Nuclear equation of state very different?

Buras, Rampp, Janka & Kifonidis (MPA Garching),  
Improved Models of Stellar Core Collapse and Still no Explosions:  
What is Missing?  
[astro-ph/0303171, Phys. Rev. Lett. 90, 241101 (2003)]



## Novel Forms of Energy Transfer?



New particles or neutrinos with novel properties could provide a channel of energy transfer from proto neutron star to shock wave

Must not transfer too much energy: Limits on decaying neutrinos  
[Falk & Schramm, PLB 79 (1978) 511]

Source: Boffelli, Max-Planck-Institut für Physik, München, Germany

ISAPP, 7th June 9, July 2004, LMU, Garmisch, Bad

## Shock Revival by Novel Particles?

THE ASTROPHYSICAL JOURNAL, 260:868-874, 1982 September 15  
© 1982. The American Astronomical Society. All rights reserved. Printed in U.S.A.

### SUPERNOVAE INDUCED BY AXION-LIKE PARTICLES

DAVID N. SCHRAMM  
The University of Chicago

AND  
JAMES R. WILSON  
Lawrence Livermore Laboratory

Received 1981 December 22; accepted 1982 April 1

#### ABSTRACT

It is shown that a new type of particle which may have been seen in a recent accelerator experiment may, if truly present, provide a mechanism whereby gravitationally collapsing massive stars may eject their outer mantles and envelopes in supernova explosions of  $\sim 10^{51}$  ergs while leaving the cores to form neutron star remnants. These particles are "axion-like," which means they interact semiweakly, decay to two photons with lifetimes  $\sim 10^{-3}$  s, and have masses  $0.15 \leq M_a \lesssim 1$  MeV. It is hoped that future accelerator searches will be able to confirm or deny the existence of these particles, the presence of which would cause a dramatic solution to the long-standing gravitational-collapse supernova problem.

Subject headings: elementary particles — nuclear reactions — stars: collapsed — stars: supernovae

Source: Boffelli, Max-Planck-Institut für Physik, München, Germany

ISAPP, 7th June 9, July 2004, LMU, Garmisch, Bad

## Viable Scenario with Axion-Like Particles

Coupled to nuclear medium  
by bremsstrahlung  
 $N + N \rightarrow N + N + a$



Thermal flux of axion-like  
particles from "axion sphere"

Decay  
 $a \rightarrow e^+ + e^-$

$$L = \frac{1}{f} \bar{\Psi}_N \gamma_5 \gamma^\mu \Psi_N \partial_\mu a$$

$$L = \frac{1}{f} \bar{\Psi}_e \gamma_5 \gamma^\mu \Psi_e \partial_\mu a$$

Stalling  
shock  
wave

Apparently consistent with  $f = \text{few } 10^5 \text{ GeV}$  and  $m = \text{few MeV}$   
Not excluded by other arguments, but also not independently motivated

Bereziani & Drago, PLB 473 (2000) 281

Source: Boffelli, Max-Planck-Institut für Physik, München, Germany

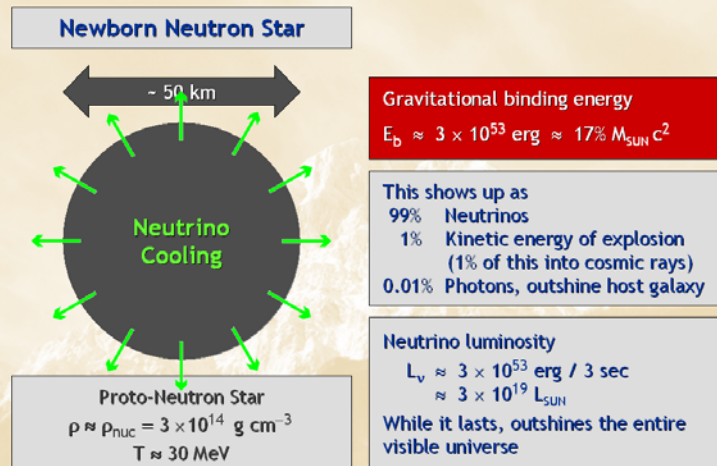
ISAPP, 7th June 9, July 2004, LMU, Garmisch, Bad

## Neutrinos from Core-Collapse Supernovae



Source: Boffelli, Max-Planck-Institut für Physik, München, Germany

## Stellar Collapse and Supernova Explosion



Source: Boffelli, Max-Planck-Institut für Physik, München, Germany

ICAPP, 28 June 9 July 2004, LMU, Garmisch, Bad

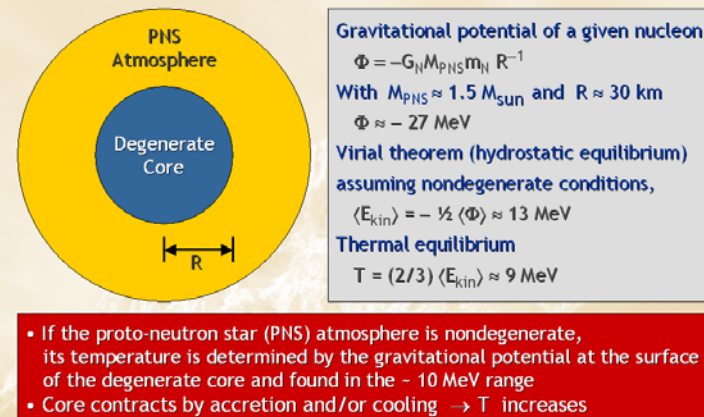
## What determines the size of the PNS?

|                      |                                                                                                                                                                                                                              |
|----------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Mass                 | Chandrasekhar mass of iron core of progenitor star<br>Dimensional analysis:<br>$M_{\text{Ch}} \approx G_N^{-3/2} m_N^{-2} = m_{\text{pl}}^3 m_N^{-2} \approx 1.5 M_{\text{SUN}}$<br>Same number from zero-T polytropic model |
| Density              | Supported by nucleon degeneracy pressure<br>Nuclear density $\rho \approx \rho_{\text{nuc}} = 3 \times 10^{14} \text{ g cm}^{-3}$                                                                                            |
| Radius               | $\frac{4\pi}{3} \rho_{\text{nuc}} R^3 = M_{\text{Ch}}$<br>$R \approx 10.6 \text{ km}$                                                                                                                                        |
| Schwarzschild Radius | General $R_S = 2G_N M$<br>Here $R_S = 2G_N M_{\text{Ch}} = 2m_{\text{pl}} m_N^{-2} = 4.6 \text{ km}$                                                                                                                         |

Source: Boffelli, Max-Planck-Institut für Physik, München, Germany

ICAPP, 28 June 9 July 2004, LMU, Garmisch, Bad

## What determines the neutrino energies?



Source: Boffelli, Max-Planck-Institut für Physik, München, Germany

ICAPP, 28 June 9 July 2004, LMU, Garmisch, Bad

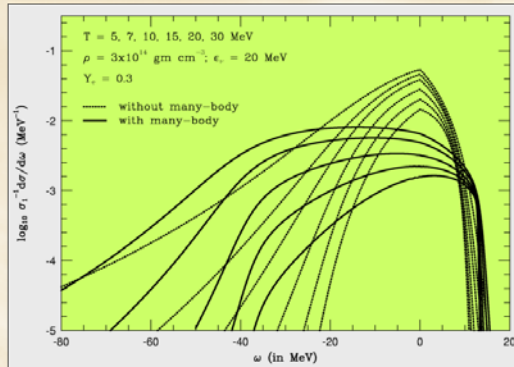
## What determines the time scale?

|                                          |                                                                                                                                                                    |
|------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Main neutrino reactions                  | Electron flavor $\nu_e + n \rightarrow p + e^-$<br>$\bar{\nu}_e + p \rightarrow n + e^+$<br>Other flavors $\nu_e + N \rightarrow N + \nu$                          |
| Neutral-current scattering cross section | $\sigma(\nu N \rightarrow N \nu) = \frac{C_V^2 + 3C_A^2}{\pi} G_F^2 E_\nu^2 \approx 2 \times 10^{-40} \text{ cm}^2 \left( \frac{E_\nu}{100 \text{ MeV}} \right)^2$ |
| Nucleon density                          | $n_B = \frac{\rho_{\text{nuc}}}{m_N} \approx 1.8 \times 10^{38} \text{ cm}^{-3}$                                                                                   |
| Scattering rate                          | $\Gamma = \sigma n_B \approx 1.1 \times 10^9 \text{ s}^{-1} \left( \frac{E_\nu}{100 \text{ MeV}} \right)^2$                                                        |
| Mean free path                           | $\lambda = (\sigma n_B)^{-1} \approx 28 \text{ cm} \left( \frac{100 \text{ MeV}}{E_\nu} \right)^2$                                                                 |
| Diffusion time                           | $t_{\text{diff}} \approx \frac{R^2}{\lambda} \approx 1.2 \text{ sec} \left( \frac{R}{10 \text{ km}} \right)^2 \left( \frac{E_\nu}{100 \text{ MeV}} \right)^2$      |

Source: Boffelli, Max-Planck-Institut für Physik, München, Germany

ICAPP, 28 June 9 July 2004, LMU, Garmisch, Bad

## Many-Body Effects on Neutrino Opacities



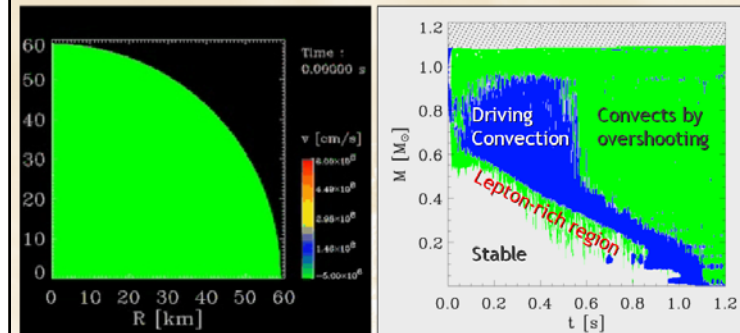
Burrows & Sawyer,  
 astro-ph/9804264  
 See also Reddy,  
 Prakash & Lattimer,  
 PRD 58 (1998) 013009

Opacities with realistic many-body treatment not yet included in all numerical simulations

Source: Burrows, Max Planck Institut für Physik, München, Germany

ICAPP 78, June 9 July 1984, LMU, Garmisch, Bad

## Convection in Proto Neutron Star



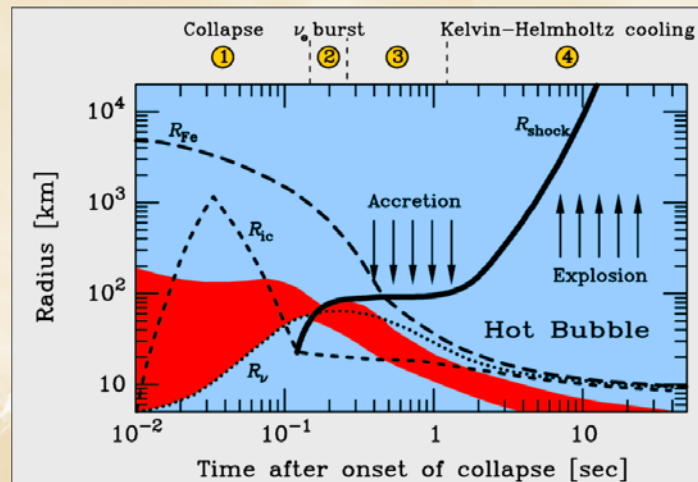
Time scale of deleptonization increased by ~ factor 2, i.e. same general magnitude as diffusive transport

Keil, Janka & Müller, ApJ 473 (1996) L111

Source: Burrows, Max Planck Institut für Physik, München, Germany

ICAPP 78, June 9 July 1984, LMU, Garmisch, Bad

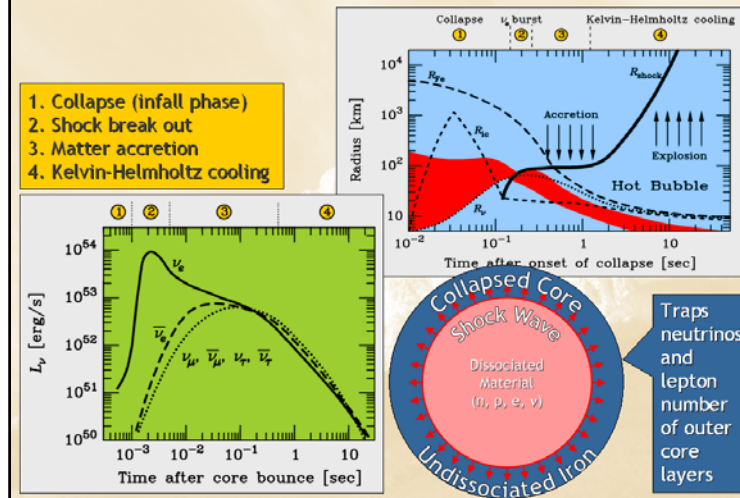
## Supernova Delayed Explosion Scenario



Source: Burrows, Max Planck Institut für Physik, München, Germany

ICAPP 78, June 9 July 1984, LMU, Garmisch, Bad

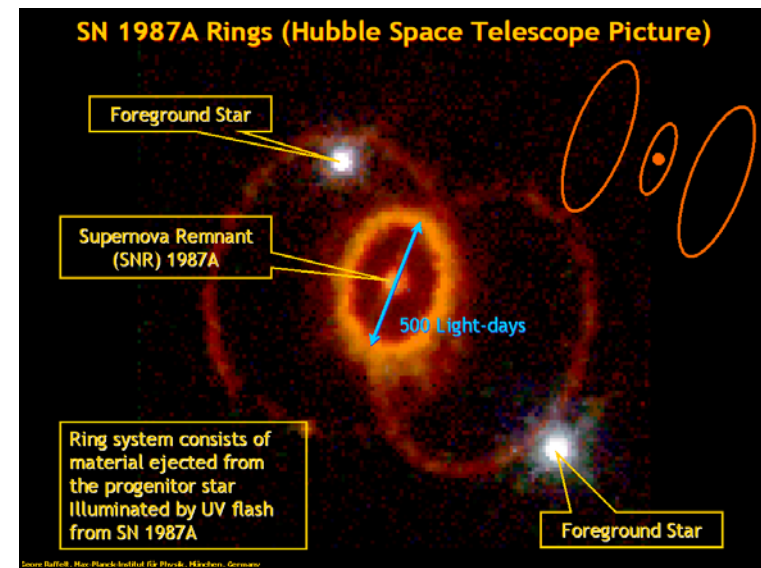
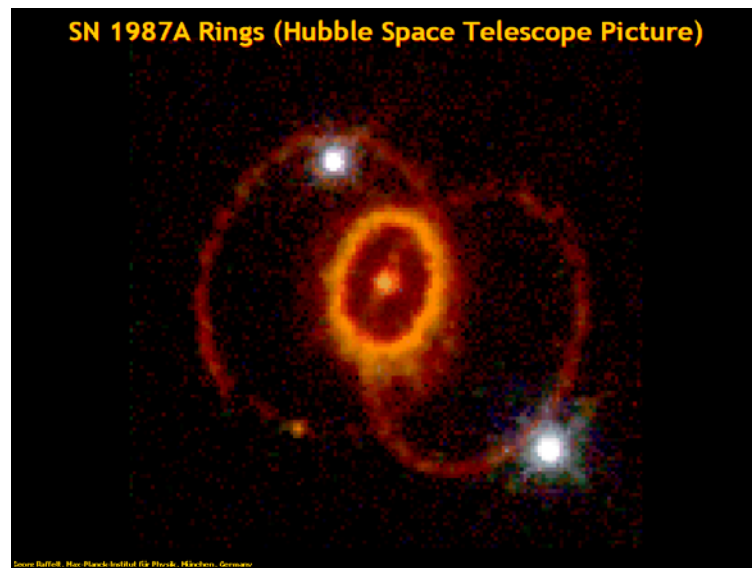
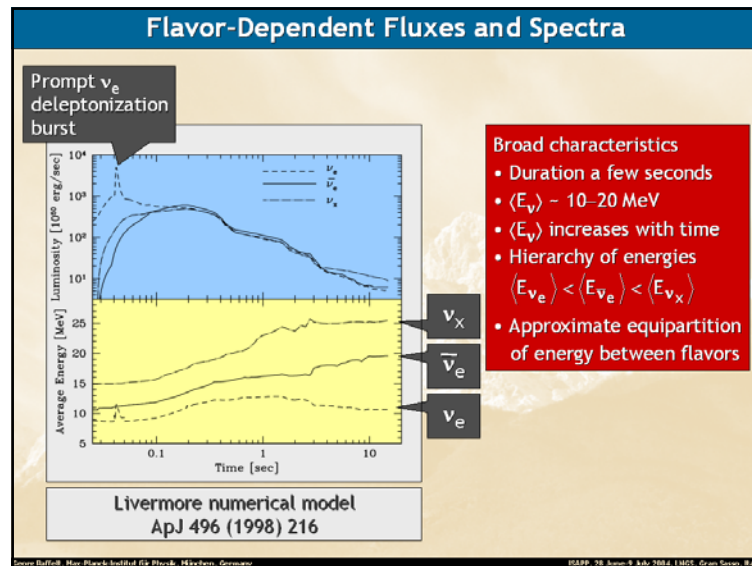
## Structure of Supernova Neutrino Signal

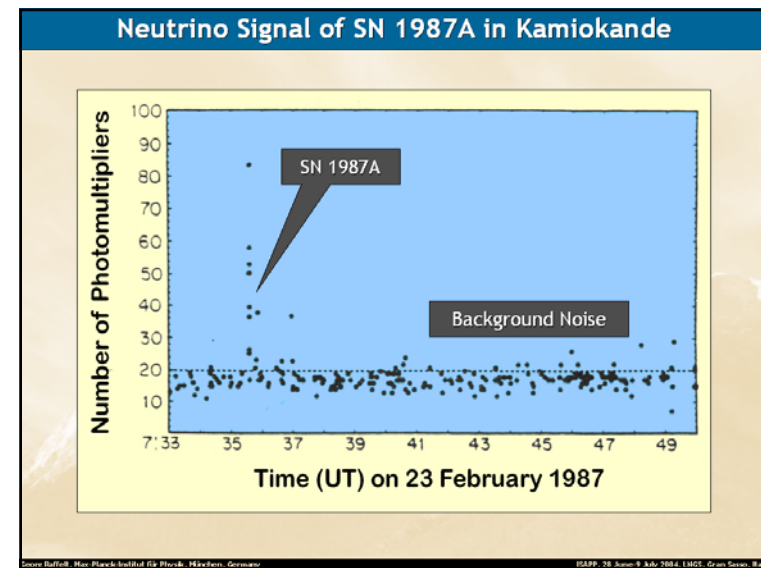
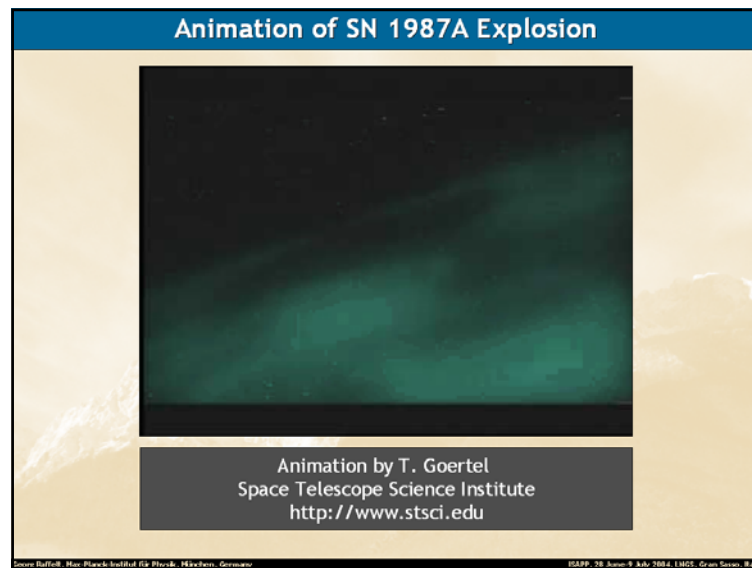
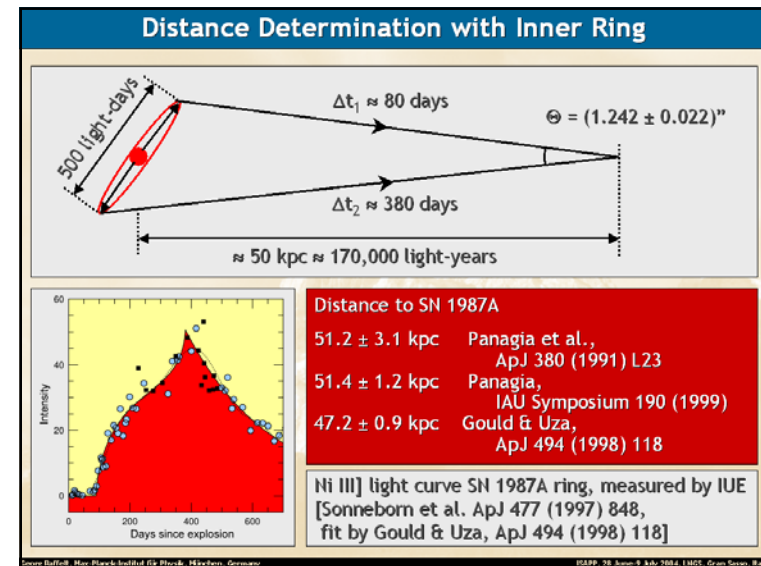
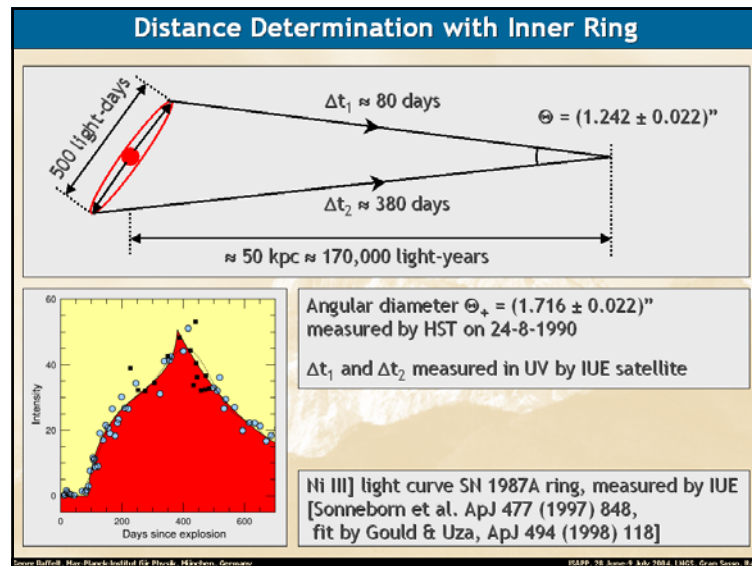


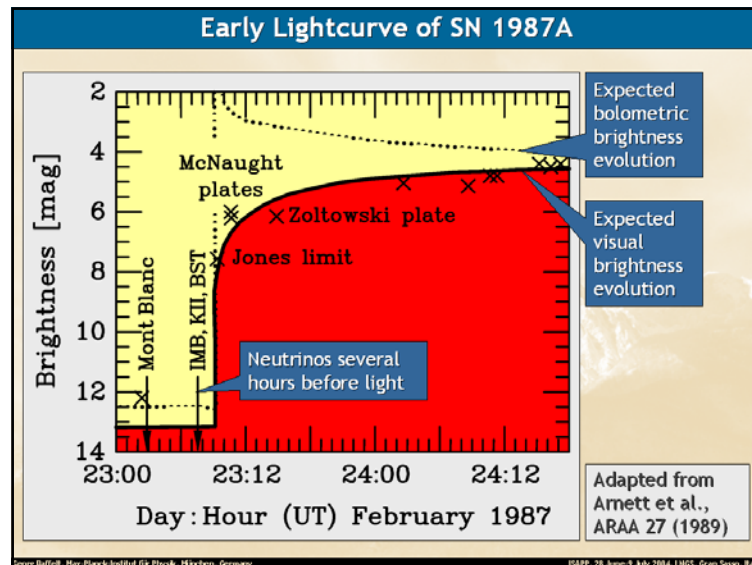
Source: Burrows, Max Planck Institut für Physik, München, Germany

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### Dispersion between Neutrinos and Photons

Transit time for photons and neutrinos are equal to within  $\sim 3h$

Total transit time  $\sim 5 \times 10^{12}$  sec  
 $\rightarrow$  Equal for photons and neutrinos within  $\sim 2 \times 10^{-9}$

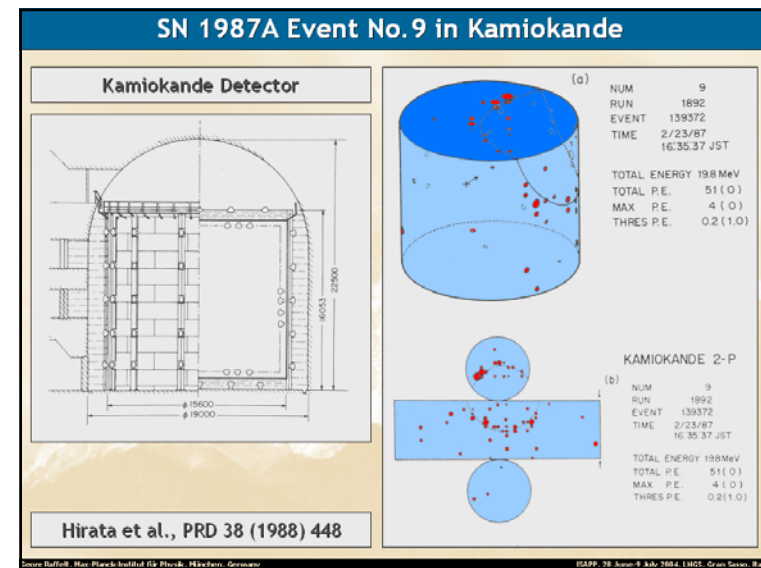
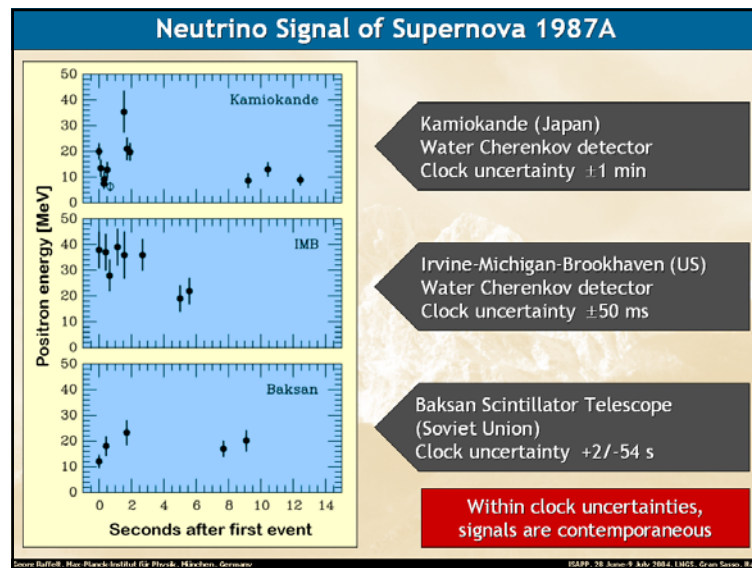
(Longo 1987, Stodolsky 1988)

Shapiro time delay for particles moving through a gravitational potential  
 $\Delta t_{\text{Shapiro}} = -2 \int_A^B U(r(t)) dt \approx 2 - 6 \times 10^6$  sec

(Krauss & Tremaine 1988)

Equal within  $\sim 1 - 4 \times 10^{-3}$

- Provides limits on parameters of certain non-GR theories of gravitation
- Could be extended to neutrinos vs. anti-neutrinos or different flavors from signal of a future galactic SN





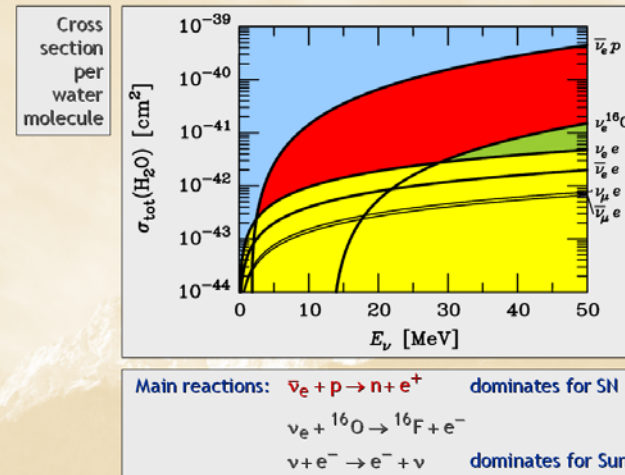
## 2002 Physics Nobel Prize for Neutrino Astronomy



Source: Baffert, Max-Planck-Institut für Physik, München, Germany

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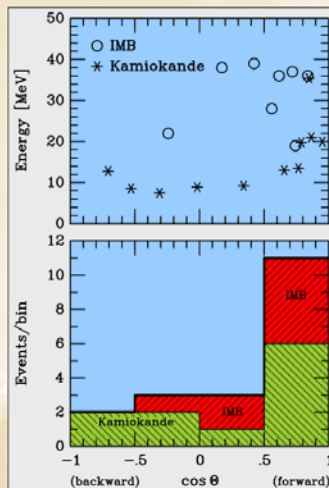
## Neutrino Cross Section in a Water Target



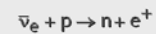
Source: Baffert, Max-Planck-Institut für Physik, München, Germany

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## Angular Distribution of SN 1987A Neutrinos

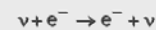


### Main detection reaction



is essentially isotropic for the relevant energies.

Expect only a fraction of an event from forward-peaked reaction

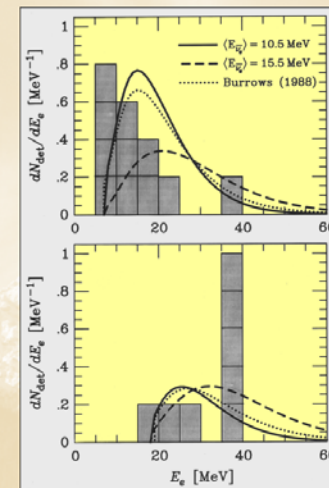


Observed signal compatible with isotropy only at approx. 0.1% CL, but no alternative known

Source: Baffert, Max-Planck-Institut für Physik, München, Germany

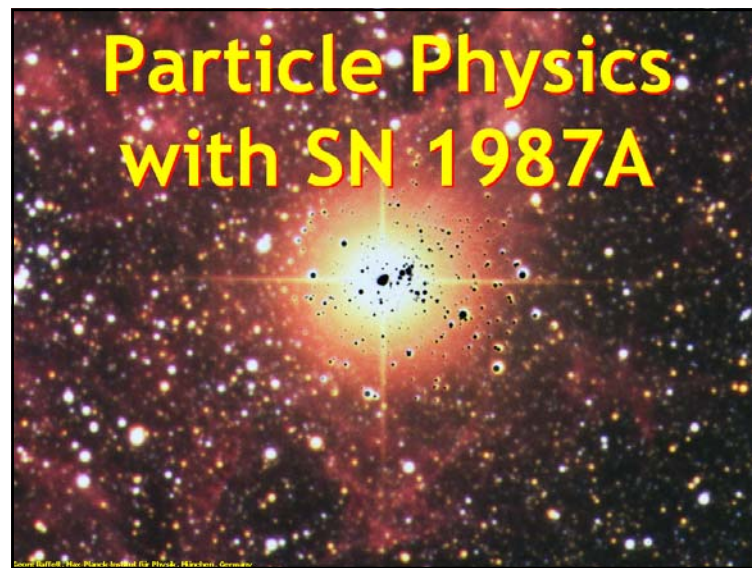
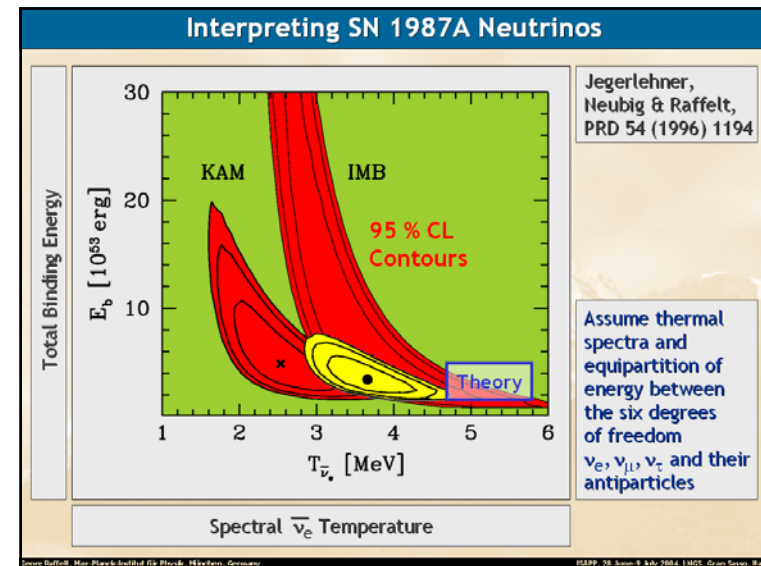
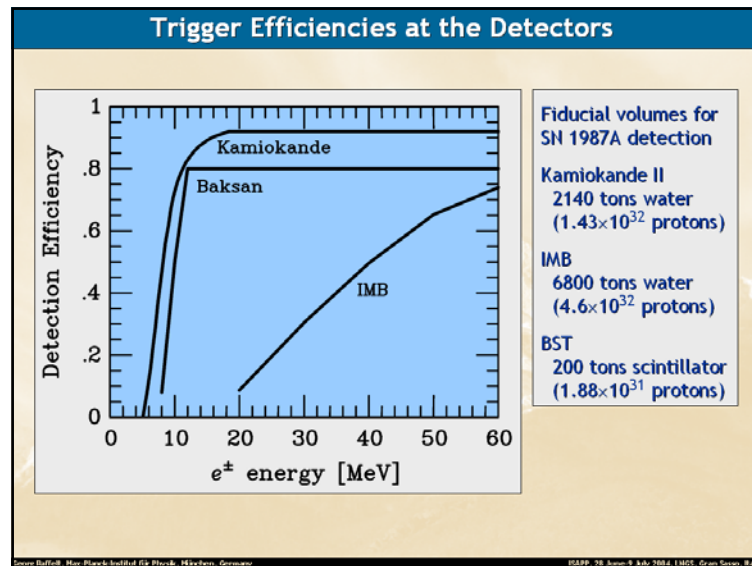
ICAPP, 78. June 9 July 2004, LMU, Garmisch, Bad

## Energy Distribution of SN 1987A Neutrinos



Source: Baffert, Max-Planck-Institut für Physik, München, Germany

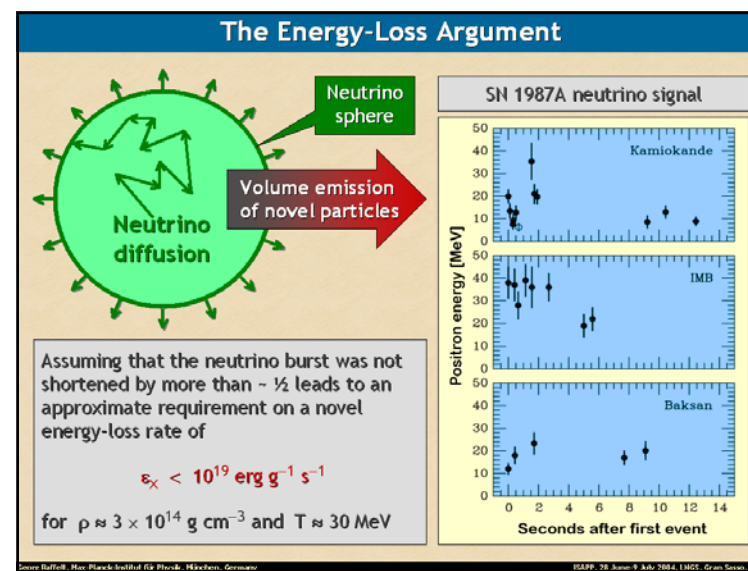
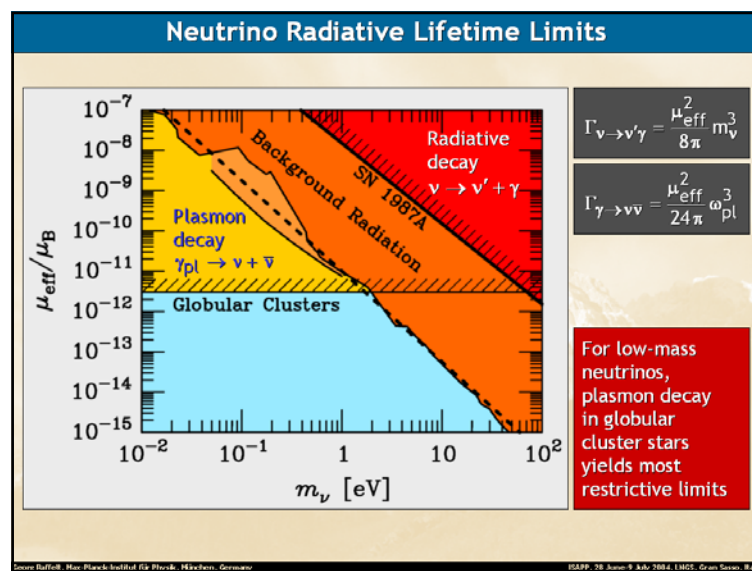
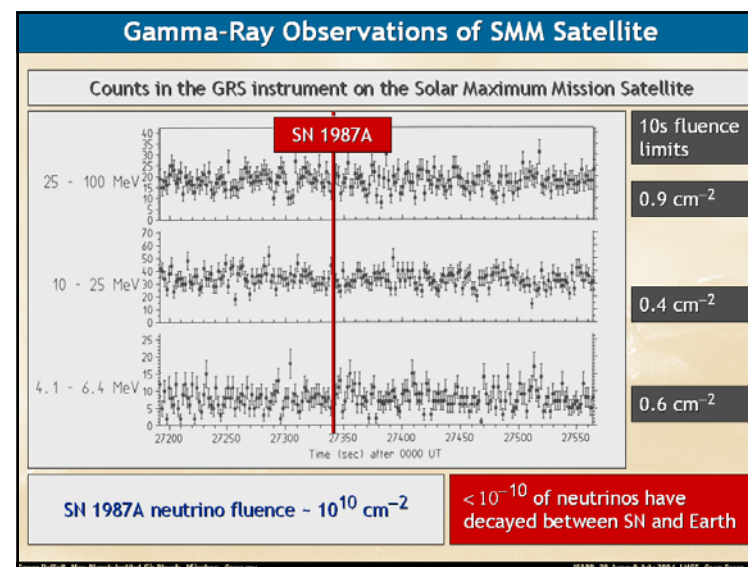
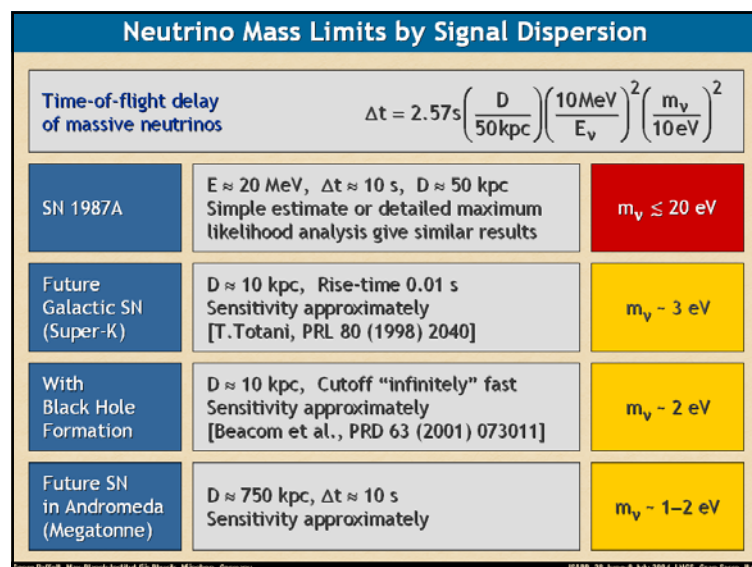
ICAPP, 78. June 9 July 2004, LMU, Garmisch, Bad



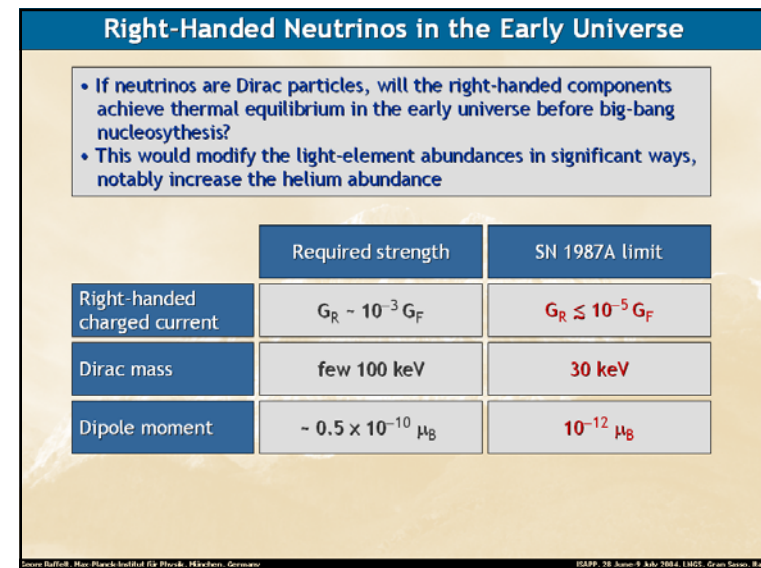
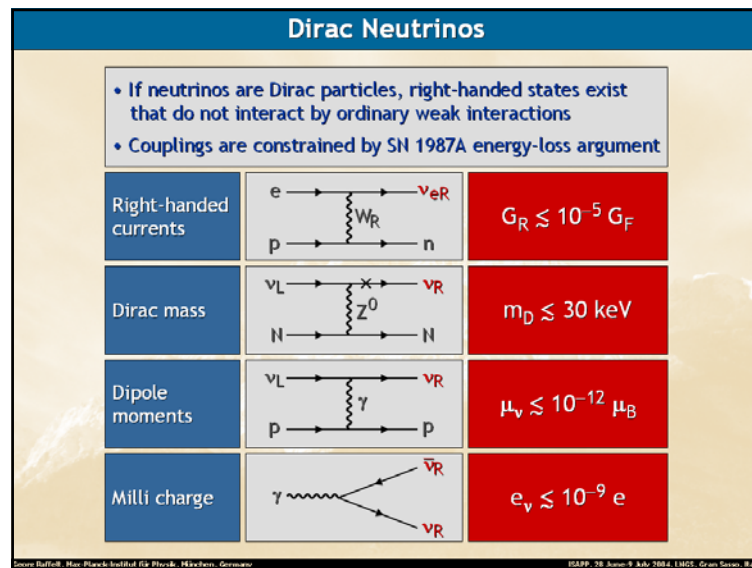
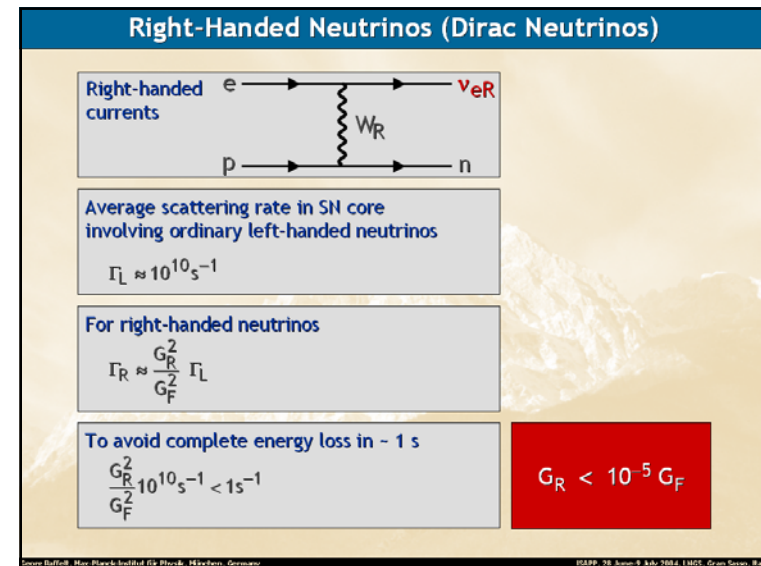
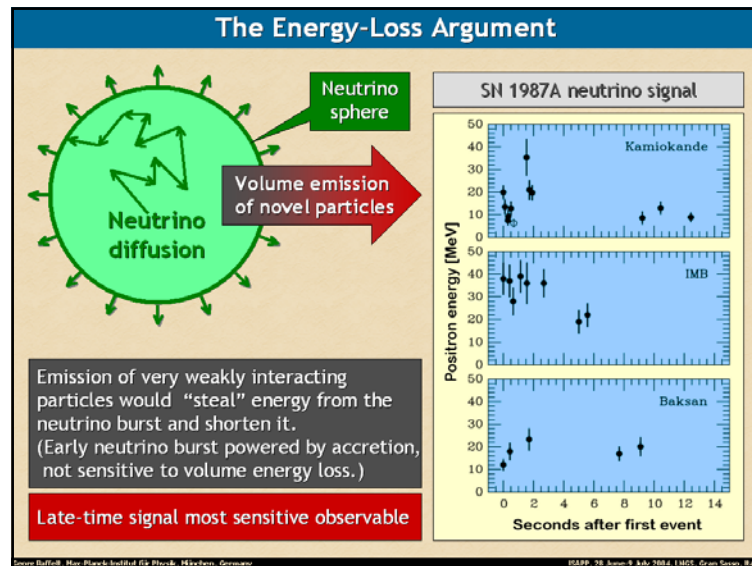
### Neutrino Limits by Intrinsic Signal Dispersion

|                                                                                                                                                                                                                                                                                                                   |                                                                                                                         |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|
| Time of flight delay by neutrino mass                                                                                                                                                                                                                                                                             | For "milli charged" neutrinos, path bent by galactic magnetic field, inducing a time delay                              |
| $\Delta t = 2.57s \left( \frac{D}{50 \text{ kpc}} \right) \left( \frac{10 \text{ MeV}}{E_\nu} \right)^2 \left( \frac{m_\nu}{10 \text{ eV}} \right)^2$                                                                                                                                                             | $\frac{\Delta t}{t} = \frac{e_\nu^2 (B_L d_B)^2}{6E_\nu^2} < 3 \times 10^{-12}$                                         |
| $m_{\nu_e} \leq 20 \text{ eV}$                                                                                                                                                                                                                                                                                    | $\frac{e_\nu}{e} < 3 \times 10^{-17} \left( \frac{1 \mu\text{G}}{B_L} \right) \left( \frac{1 \text{ kpc}}{d_B} \right)$ |
| <ul style="list-style-type: none"> <li>Detailed maximum-likelihood analysis yields similar limit</li> <li>At the time of SN 1987A competitive with tritium end-point limits, today <math>m_{\nu_e} &lt; 2.2 \text{ eV}</math></li> <li>Cosmological limit today <math>m_\nu \leq 0.4 \text{ eV}</math></li> </ul> | Assuming charge conservation in neutron decay yields a more restrictive limit of about $3 \times 10^{-21} e$            |

Source: Raffelt, Max-Planck-Institut für Physik, München, Germany. IJGPP, 78, June 9 July 1984, 1405; Gran Sasso, Ital.







## Sterile Neutrinos

Sterile (right-handed) neutrinos may exist that are not Dirac partners to ordinary neutrinos

- Unknown mass  $m_s$
- Unknown mixing angles with ordinary neutrinos  $\theta_{es}$ ,  $\theta_{\mu s}$ ,  $\theta_{\tau s}$

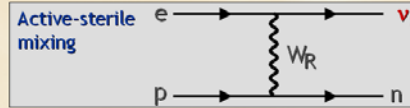
Consequences and applications

- May (partially) account for some experimental oscillation results (LSND)
- Hot, warm, or cold dark matter contribution
- May affect big-bang nucleosynthesis
- Emission from supernova cores
- Affects r-process nucleosynthesis in the SN hot bubble
- Radiative decays  $\rightarrow$  potentially detectable

Source: Raffelt, Max-Planck-Institut für Physik, München, Germany

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## Sterile Neutrinos



Electron neutrino appears as sterile neutrino in  $\frac{1}{2} \sin^2(2\theta_{es})$  of all cases

$$\Gamma_s \approx \frac{1}{2} \sin^2(2\theta_{es}) \Gamma_L$$

Average scattering rate in SN core involving ordinary left-handed neutrinos

$$\Gamma_L \approx 10^{10} \text{ s}^{-1}$$

To avoid complete energy loss in  $\sim 1 \text{ s}$

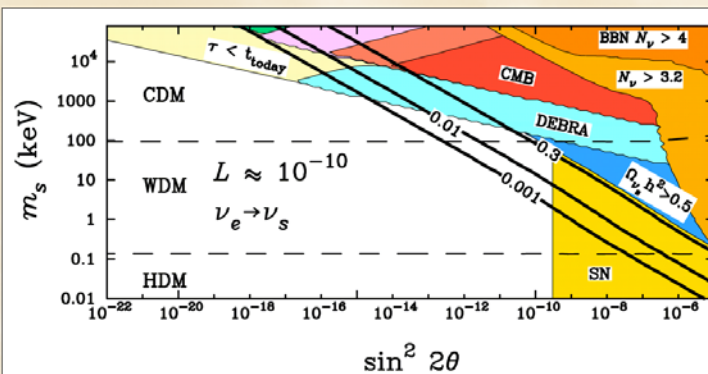
$$\frac{1}{2} \sin^2(2\theta_{es}) 10^{10} \text{ s}^{-1} < 1 \text{ s}^{-1}$$

$$\sin^2(2\theta_{es}) \lesssim 3 \times 10^{-10}$$

Source: Raffelt, Max-Planck-Institut für Physik, München, Germany

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## Sterile Neutrinos as Dark Matter

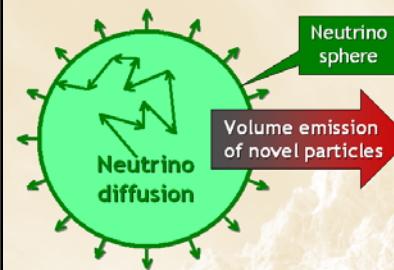


Abazajian, Fuller & Patel, Sterile neutrino hot, warm, and cold dark matter astro-ph/0101524

Source: Raffelt, Max-Planck-Institut für Physik, München, Germany

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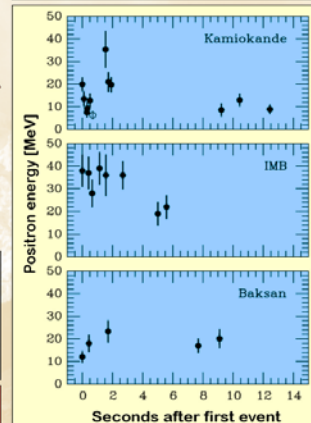
## The Energy-Loss Argument



Emission of very weakly interacting particles would "steal" energy from the neutrino burst and shorten it.  
(Early neutrino burst powered by accretion, not sensitive to volume energy loss.)

Late-time signal most sensitive observable

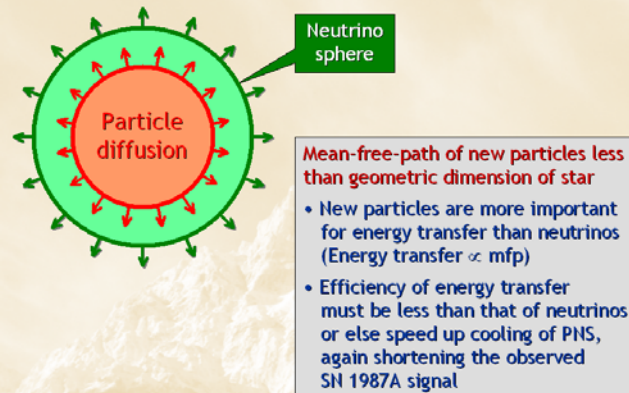
### SN 1987A neutrino signal



Source: Raffelt, Max-Planck-Institut für Physik, München, Germany

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## The Energy-Loss Argument in the Trapping Limit



Source: Raffelt, Max-Planck-Institut für Physik, München, Germany

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## Axion Physics in a Nut Shell

| Particle-Physics Motivation                                                                                                                                                                                                   | Solar and Stellar Axions                                                                                                                                                                                                                                                       |
|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <p>CP conservation in QCD by Peccei-Quinn mechanism</p> <p>→ Axions <math>a \sim \pi^0</math></p> <p><math>m_\pi f_\pi \approx m_a f_a</math></p> <p>For <math>f_a \gg f_\pi</math> axions are “invisible” and very light</p> | <p>Axions thermally produced in stars, e.g. by Primakoff production</p> <p><math>\gamma \sim \pi^0 \sim a</math></p> <ul style="list-style-type: none"> <li>No excessive energy drain: <math>m_a &lt; 10 \text{ meV}</math></li> <li>Search for solar axions (CAST)</li> </ul> |
| Cosmology                                                                                                                                                                                                                     | Search for Axion Dark Matter                                                                                                                                                                                                                                                   |
| <p>In spite of small mass, axions are born non-relativistically (“non-thermal relics”)</p> <p>→ “Cold dark matter” candidate</p> <p><math>m_a \sim 1\text{-}1000 \text{ } \mu\text{eV}</math></p> <p>Cosmic String</p>        | <p>Microwave resonator (1 GHz = 4 <math>\mu\text{eV}</math>)</p> <p>Primakoff conversion <math>a \sim \pi^0 \sim \gamma</math></p> <p><math>B_{\text{ext}}</math></p>                                                                                                          |

Source: Raffelt, Max-Planck-Institut für Physik, München, Germany

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## Axion or Graviton Emission Processes in Stars

|           |                                                                                                                                                 |                                |  |
|-----------|-------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------|--|
| Nucleons  | $\frac{G_N}{2f_a} \Psi_N \gamma_\mu \gamma_5 \Psi_N \partial^\mu a$                                                                             | Nucleon Bremsstrahlung         |  |
| Photons   | $\frac{C_e}{2f_a} \Psi_e \gamma_\mu \gamma_5 \Psi_e \partial^\mu a$                                                                             | Primakoff                      |  |
| Electrons | $C_\gamma \frac{\alpha}{2\pi f_a} \frac{1}{4} F_{\mu\nu} \tilde{F}^{\mu\nu} a$<br>$= -C_\gamma \frac{\alpha}{2\pi f_a} \vec{E} \cdot \vec{B} a$ | Compton                        |  |
|           |                                                                                                                                                 | Pair Annihilation              |  |
|           |                                                                                                                                                 | Electromagnetic Bremsstrahlung |  |

Source: Raffelt, Max-Planck-Institut für Physik, München, Germany

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## Axion Emission from Nuclear Medium

**Axion-nucleon interaction of current-current form:**

$$L_{\text{int}} = \frac{G_N}{2f_a} \Psi_N \gamma_\mu \gamma_5 \Psi_N \partial^\mu a = \frac{G_N}{2f_a} J_\mu^A \partial^\mu a$$

**Energy loss rate (erg cm<sup>-3</sup> s<sup>-1</sup>)**

$$Q = \int d\Gamma_a \int d\Gamma_{\text{Nucleons}} |\mathcal{M}|^2 \omega$$

**axion energy**

$$= \left( \frac{G_N}{2f_a} \right)^2 \frac{n_B}{4\pi^2} \int d\omega \omega^4 S(-\omega)$$

**dynamical structure function**

**Difficulties include:**

- Realistic nucleon-nucleon interaction potential (even in vacuum)
- Many-body effects (effective mass, spin-spin correlations ...)
- Axion couplings in the nuclear medium
- Multiple-scattering effects: Frequency of NN collisions exceeds typical axion energy

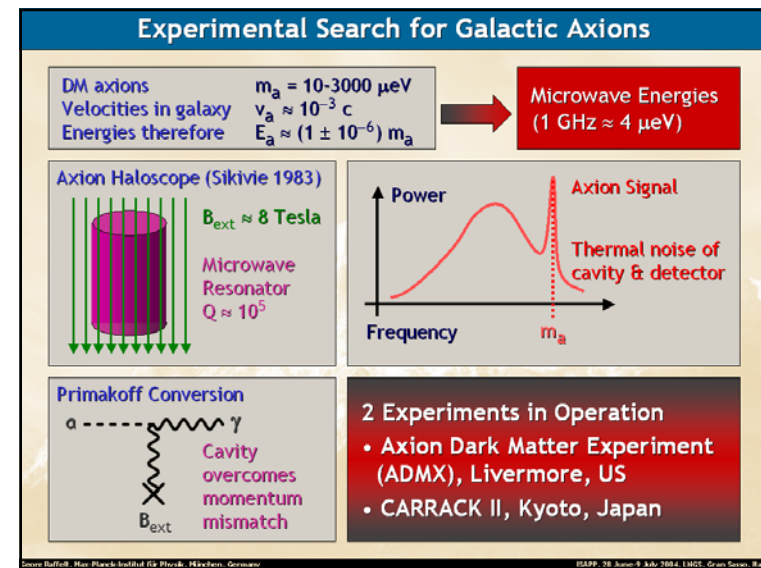
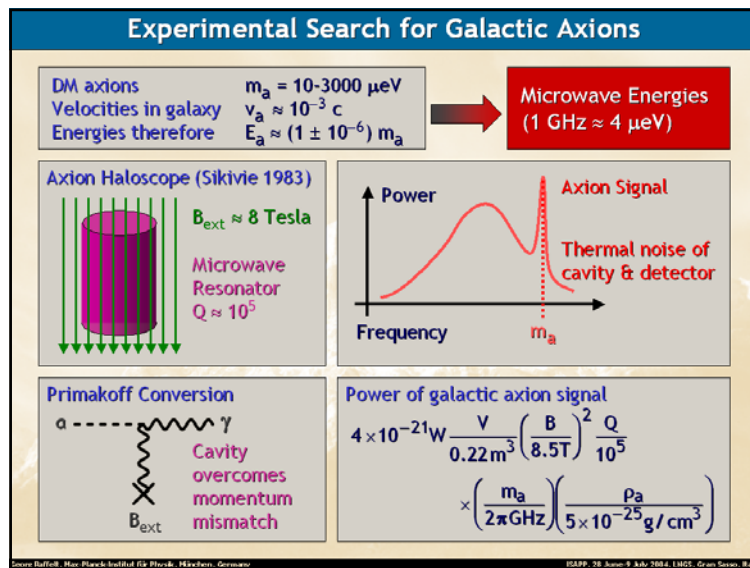
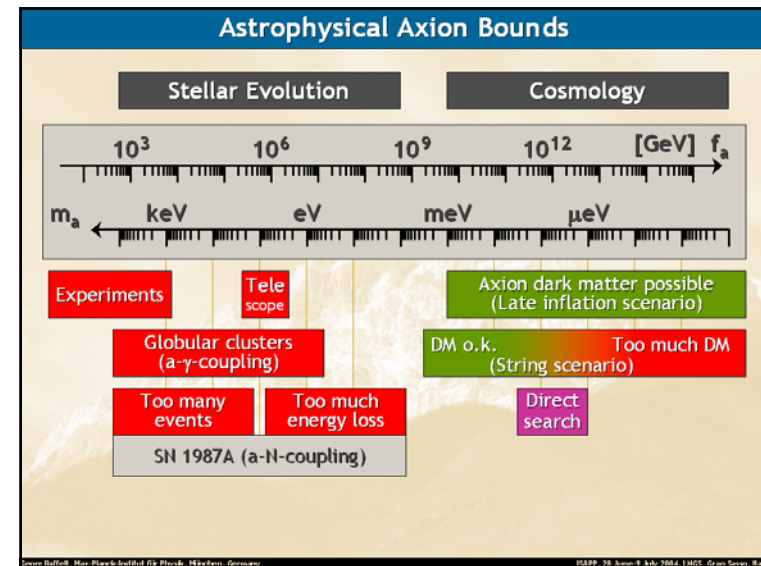
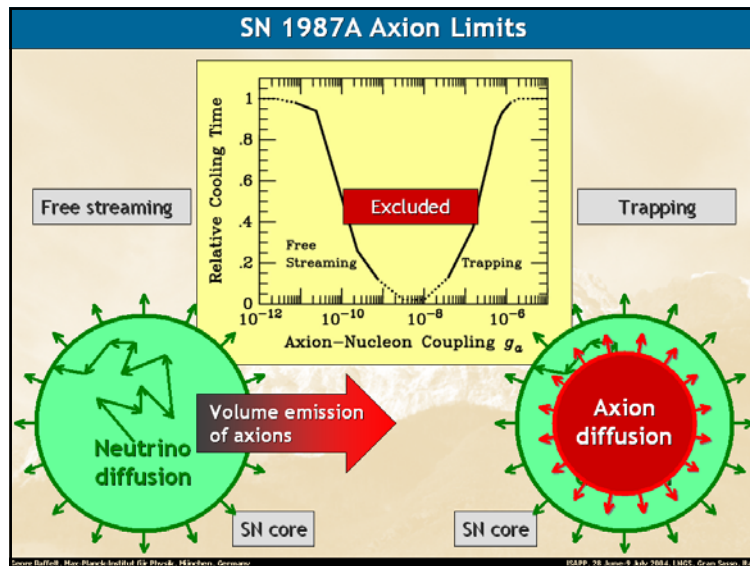
$\tau_{\text{coll}} < \omega^{-1}$

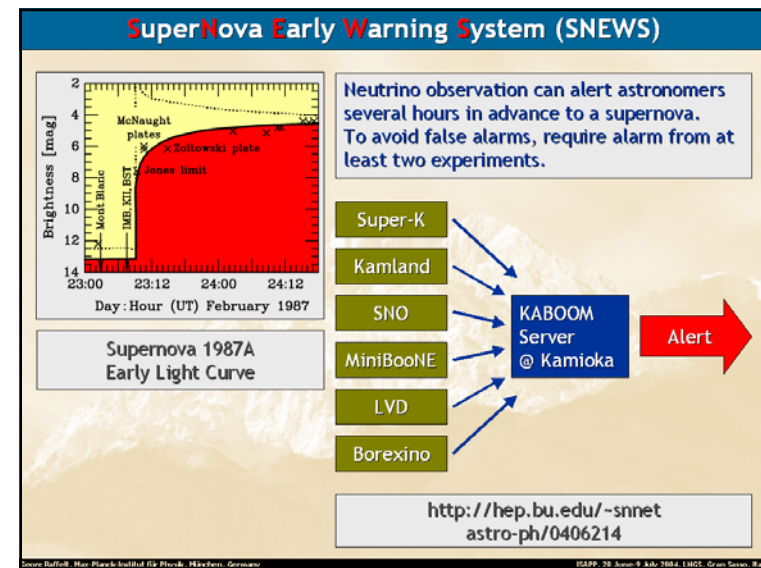
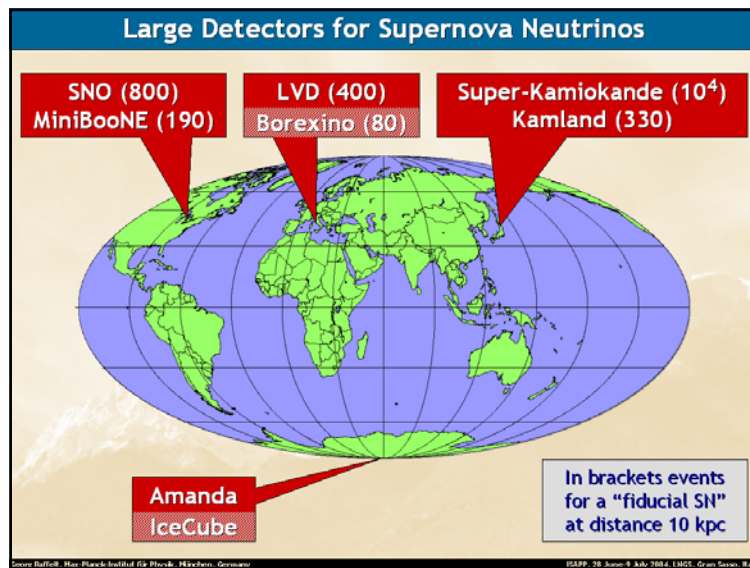
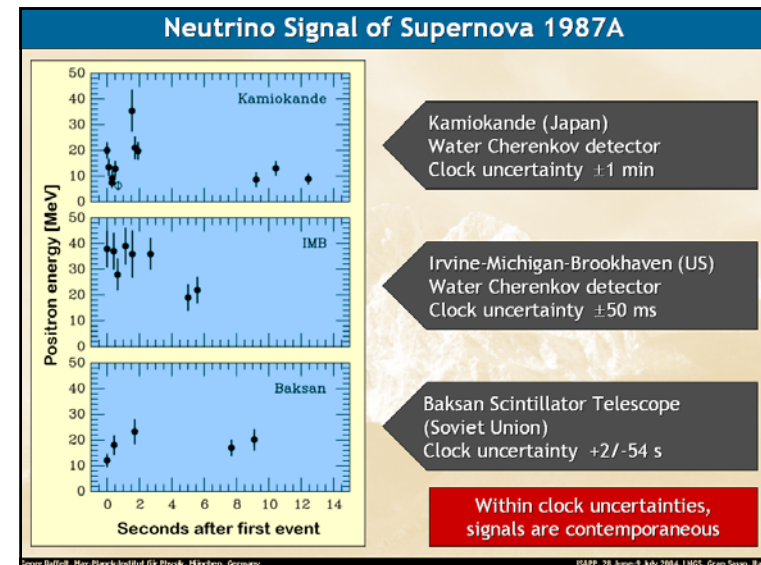
Expect LPM-type destructive interference effects

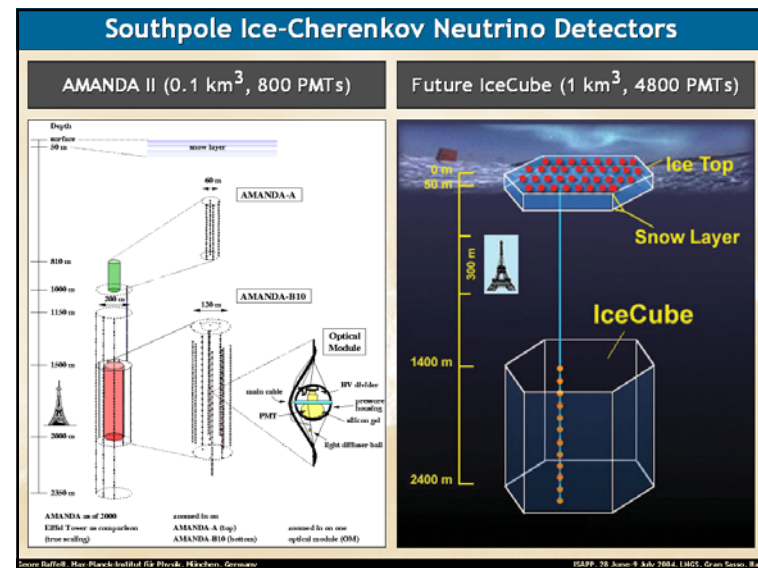
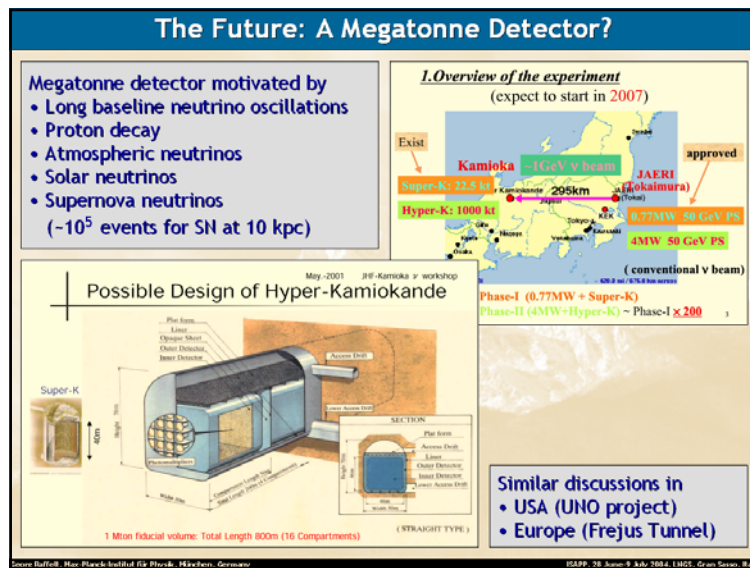
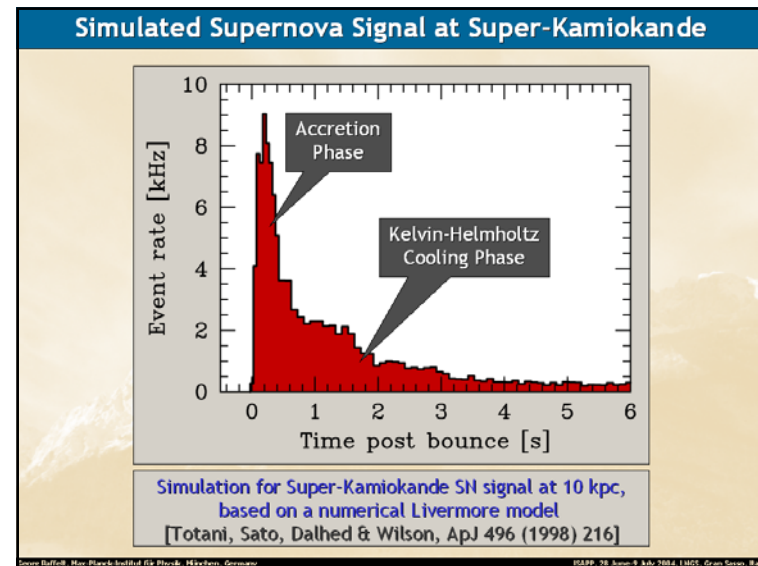
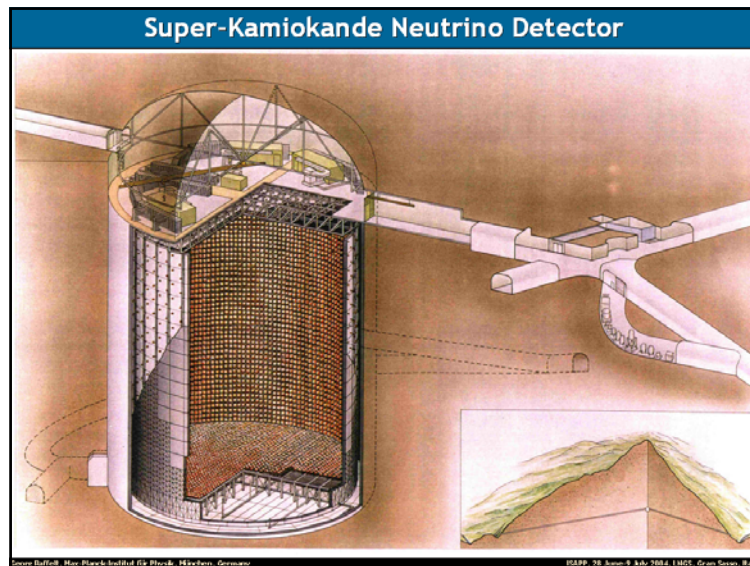
Source: Raffelt, Max-Planck-Institut für Physik, München, Germany

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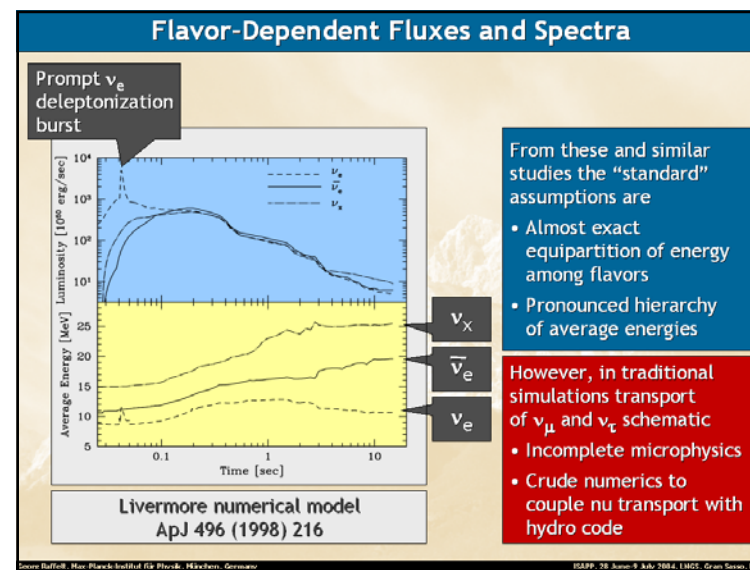
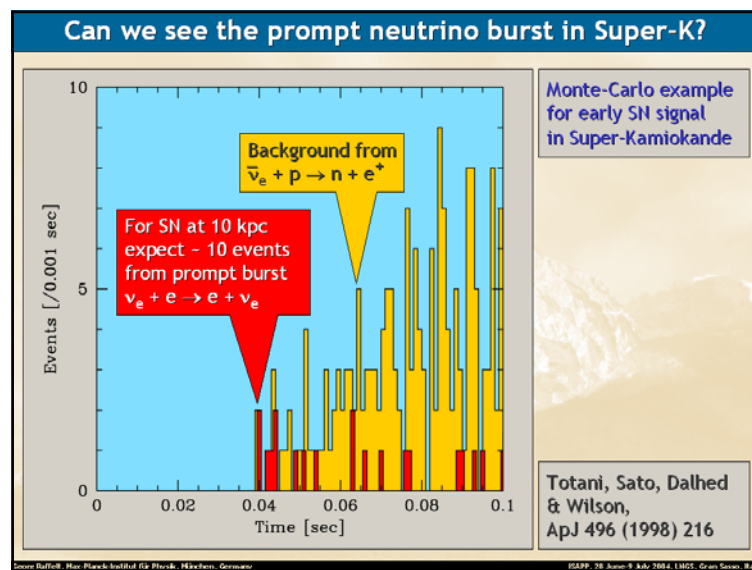
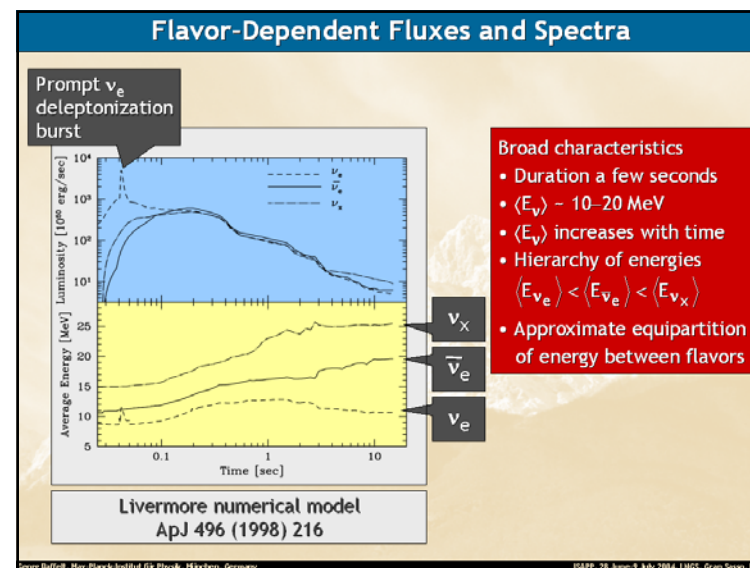
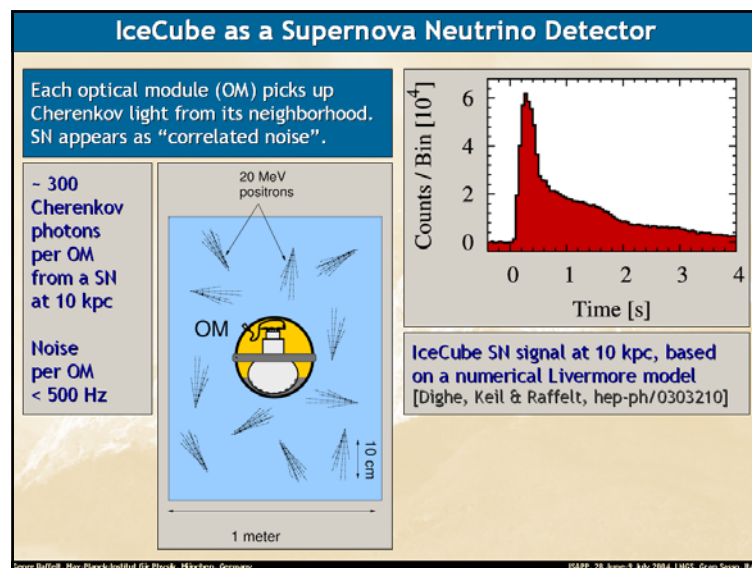


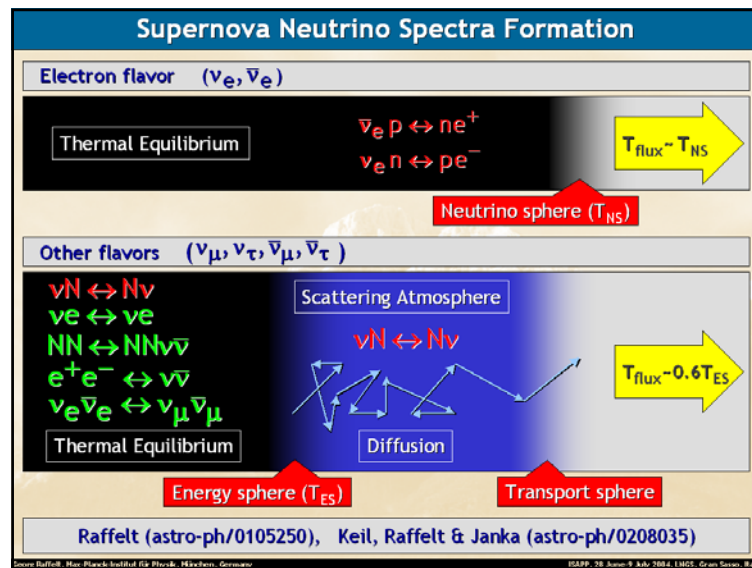
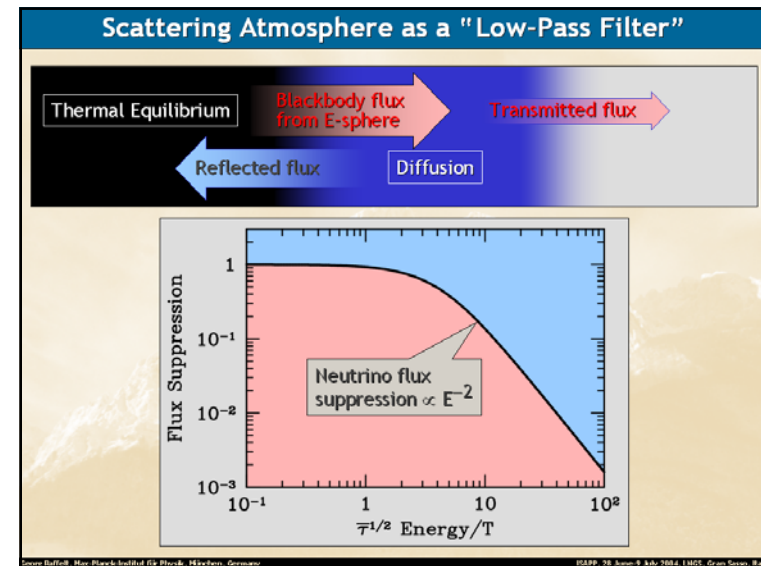
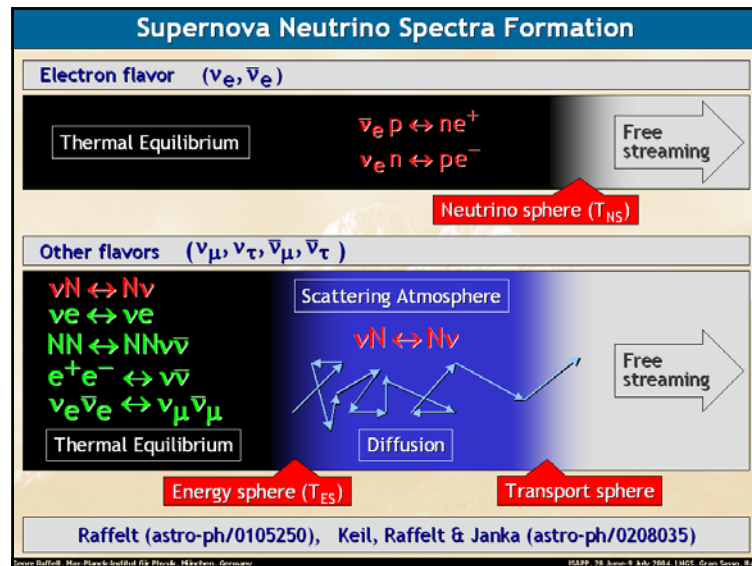










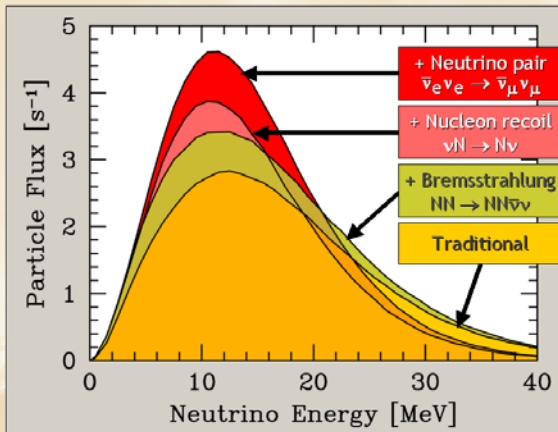


### Microphysics for Mu- and Tau-Neutrino Transport

|                 | Traditional treatment                   | Dominant processes                                                                                     |
|-----------------|-----------------------------------------|--------------------------------------------------------------------------------------------------------|
| Main opacity    | $\nu + N \rightarrow N + \nu$           | $\nu + N \rightarrow N + \nu$                                                                          |
| Energy exchange | $\nu + e \rightarrow e + \nu$           | $\nu + e \rightarrow e + \nu$<br>Recoil $\nu + N \rightarrow N + \nu$ [2,6,7]                          |
| Pair production | $e^+ + e^- \rightarrow \nu + \bar{\nu}$ | $N + N \rightarrow N + N + \nu + \bar{\nu}$ [1-4]<br>$\nu_e + \nu_e \rightarrow \nu + \bar{\nu}$ [6,7] |

[1] Suzuki, Num. Astrophys. Japan 2 (1991) 267  
 [2] Janka, W. Keil, Raffelt & Seckel, PRL 76 (1996) 2621 [astro-ph/9507023]  
 [3] Hannestad & Raffelt, ApJ 507 (1998) 339 [astro-ph/9711132]  
 [4] Thompson, Burrows & Horvath, PRC 62 (2000) 035802 [astro-ph/0003054]  
 [6] Raffelt, ApJ 561 (2001) 890 [astro-ph/0105250]  
 [6] Buras, Janka, M. Keil, Raffelt & Rampp, ApJ (2003) [astro-ph/0205006]  
 [7] M. Keil, Raffelt & Janka, ApJ (2003) [astro-ph/0208035]

## Flux and Spectra Modification by New Processes

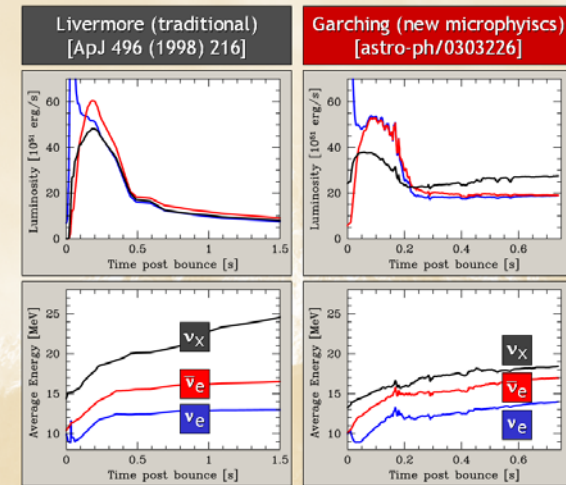


Keil, Raffelt & Janka, ApJ (2003) [astro-ph/0208035]

Source: Raffelt, Max-Planck-Institut für Physik, München, Germany

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## Fluxes and Spectra from Numerical Simulations

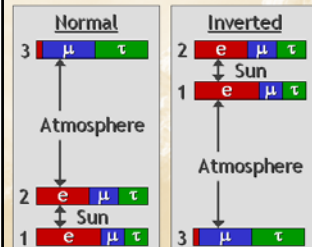


Source: Raffelt, Max-Planck-Institut für Physik, München, Germany

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## Three-Flavor Neutrino Parameters

| Atmospheric/K2K<br>$37^\circ < \theta_{23} < 54^\circ$                                                                                                                                                                                                                                                                      | CHOOZ<br>$\theta_{13} < 11^\circ$ | Solar/KamLAND<br>$30^\circ < \theta_{12} < 36^\circ$ | $2\sigma$ ranges<br>hep-ph/0405172 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------|------------------------------------------------------|------------------------------------|
| $\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & C_{13} & e^{-i\delta} S_{13} \\ C_{23} & S_{23} & 1 \\ -S_{23} & C_{23} & -e^{i\delta} S_{13} \end{pmatrix} \begin{pmatrix} C_{12} & S_{12} \\ -S_{12} & C_{12} \\ 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$ |                                   |                                                      |                                    |
| $C_{12} = \cos \theta_{12}$ etc., $\delta$ CP-violating phase                                                                                                                                                                                                                                                               |                                   |                                                      |                                    |
| Solar 75–92<br>Atmospheric 1400–3000<br>$\Delta m^2/\text{meV}^2$                                                                                                                                                                                                                                                           |                                   |                                                      |                                    |



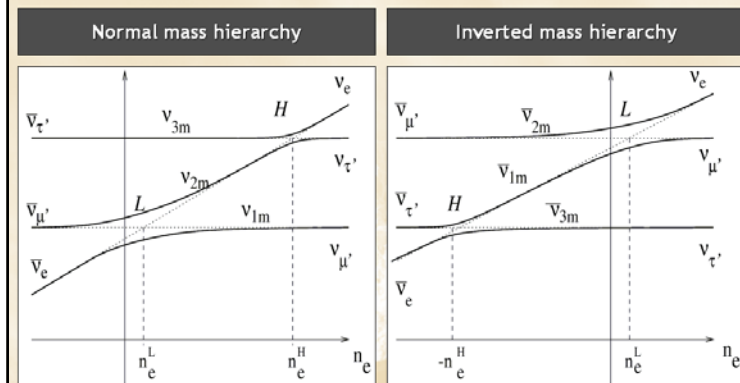
### Tasks and Open Questions

- Precision for  $\theta_{12}$  and  $\theta_{23}$
- How large is  $\theta_{13}$ ?
- CP-violating phase  $\delta$ ?
- Mass ordering? (normal vs inverted)
- Absolute masses? (hierarchical vs degenerate)
- Dirac or Majorana?

Source: Raffelt, Max-Planck-Institut für Physik, München, Germany

ICAPP, 7th June 9 July 2004, LMU, Garmisch, Bad

## Level-Crossing Diagram in a SN Envelope



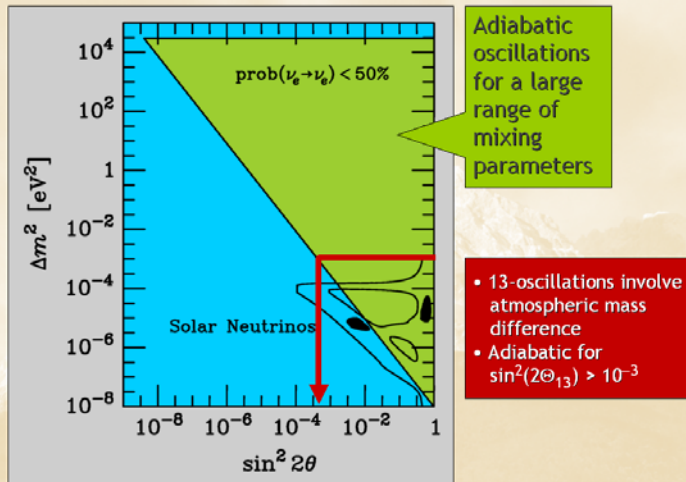
Dighe & Smirnov, Identifying the neutrino mass spectrum from a supernova neutrino burst, astro-ph/9907423

Source: Raffelt, Max-Planck-Institut für Physik, München, Germany

ICAPP, 7th June 9 July 2004, LMU, Garmisch, Bad



## Resonant Oscillations in a Supernova Envelope



Source: Raffelt, Max-Planck-Institut für Physik, München, Germany

ICAPP, 28 June 9 July 2004, LMU, Gran Sasso, Ital

## Spectra Emerging from Supernova

Assumed primary fluxes

$F_e^0$  for  $\nu_e$   
 $F_{\bar{e}}^0$  for  $\bar{\nu}_e$   
 $F_x^0$  for  $\nu_\mu, \bar{\nu}_\mu, \nu_\tau, \bar{\nu}_\tau$

After leaving the supernova envelope, the fluxes are partially swapped

$F_e = p F_e^0 + (1-p) F_x^0$   
 $F_{\bar{e}} = \bar{p} F_{\bar{e}}^0 + (1-\bar{p}) F_x^0$   
 $\frac{1}{4} \sum F_x = \frac{2+p+\bar{p}}{4} F_x^0 + \frac{1-p}{4} F_e^0 + \frac{1-\bar{p}}{4} F_{\bar{e}}^0$

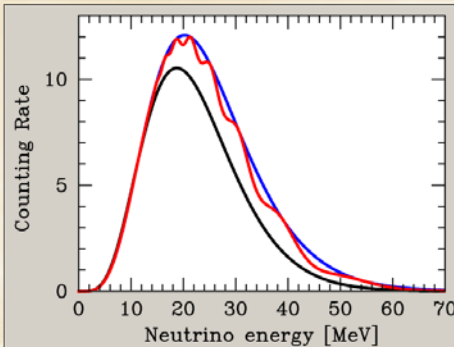
| $\sin^2(2\theta_{13})$ | Mass hierarchy | p                     | $\bar{p}$             |
|------------------------|----------------|-----------------------|-----------------------|
| $> 10^{-3}$            | Normal         | 0                     | $\cos^2(\theta_{12})$ |
|                        | Inverted       | $\sin^2(\theta_{12})$ | 0                     |
| $< 10^{-3}$            | Either         | $\sin^2(\theta_{12})$ | $\cos^2(\theta_{12})$ |

Source: Raffelt, Max-Planck-Institut für Physik, München, Germany

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## Oscillation of Supernova Anti-Neutrinos

Measured  $\bar{\nu}_e$  spectrum at a detector like Super-Kamiokande



Assumed flux parameters

Flux ratio  $\nu_e : \nu_\mu = 0.8 : 1$

$\langle E(\nu_e) \rangle = 15 \text{ MeV}$

$\langle E(\nu_x) \rangle = 18 \text{ MeV}$

Mixing parameters

$\Delta m_{\text{sun}}^2 = 60 \text{ meV}^2$

$\sin^2(2\theta) = 0.9$

No oscillations

Oscillations in SN envelope

Earth effects included

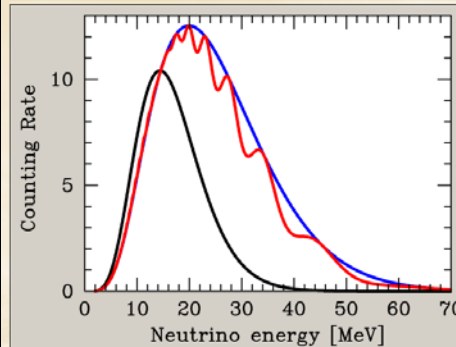
II(Dighe, Kachelriess, Keil, Raffelt, Semikoz, Tomás),  
arXiv:hep-ph/0303210, hep-ph/0304150, hep-ph/0307050, hep-ph/0311172

Source: Raffelt, Max-Planck-Institut für Physik, München, Germany

ICAPP, 28 June 9 July 2004, LMU, Gran Sasso, Ital

## Oscillation of Supernova Neutrinos

Measured  $\nu_e$  spectrum at a detector like SNO, considering only CC reactions



Assumed flux parameters

Flux ratio  $\nu_e : \nu_\mu = 0.9 : 1$

$\langle E(\nu_e) \rangle = 12 \text{ MeV}$

$\langle E(\nu_x) \rangle = 18 \text{ MeV}$

Mixing parameters

$\Delta m_{\text{sun}}^2 = 60 \text{ meV}^2$

$\sin^2(2\theta) = 0.9$

No oscillations

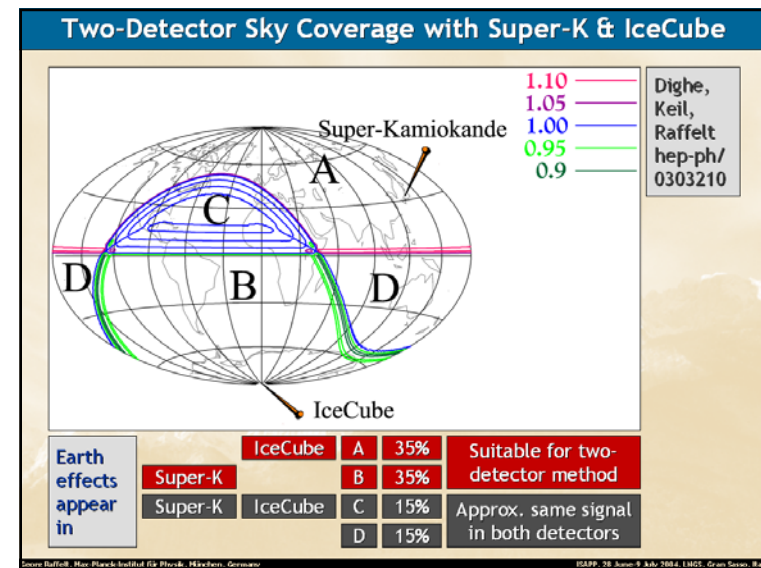
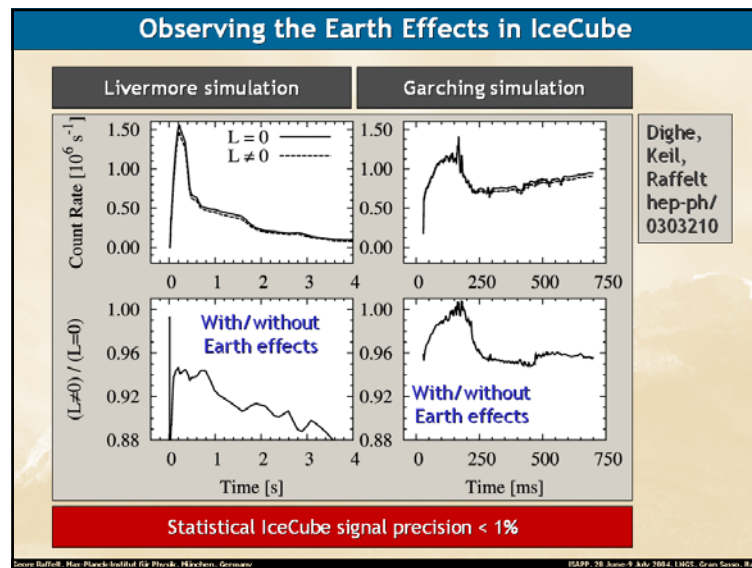
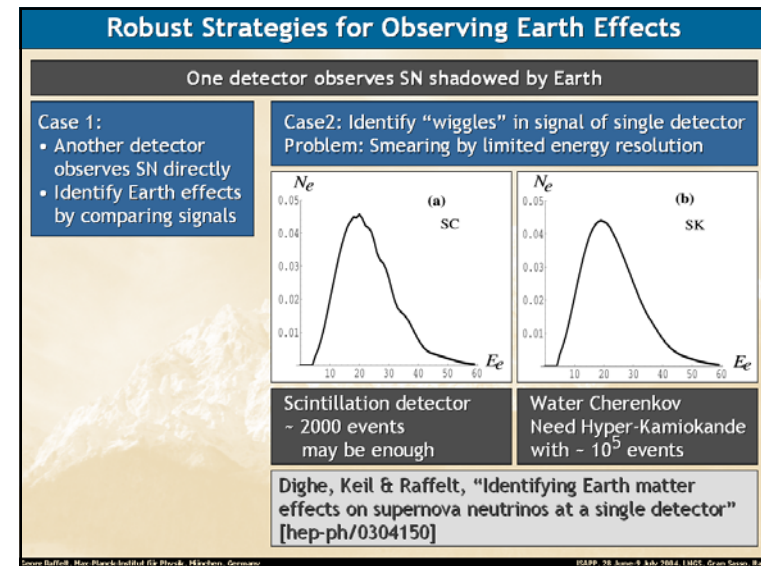
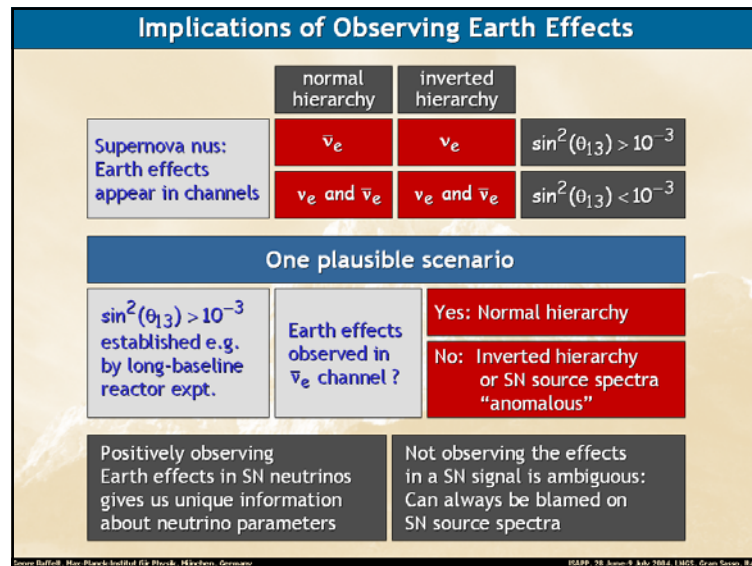
Oscillations in SN envelope

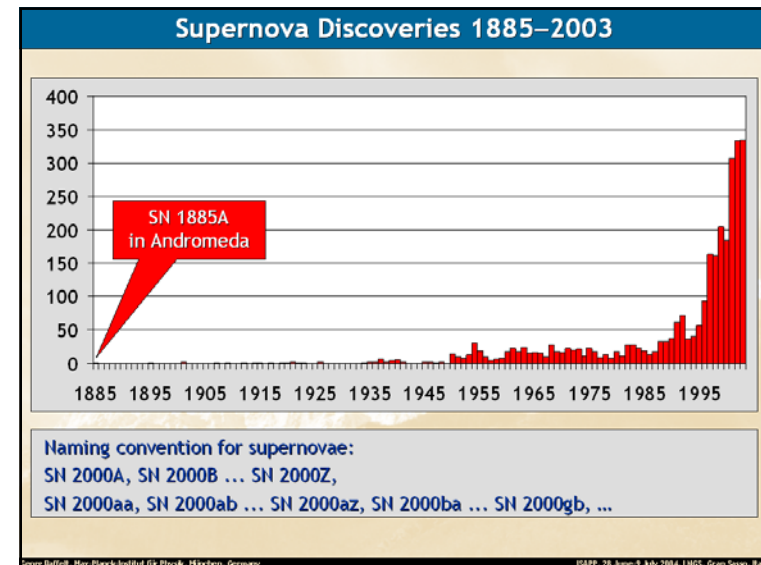
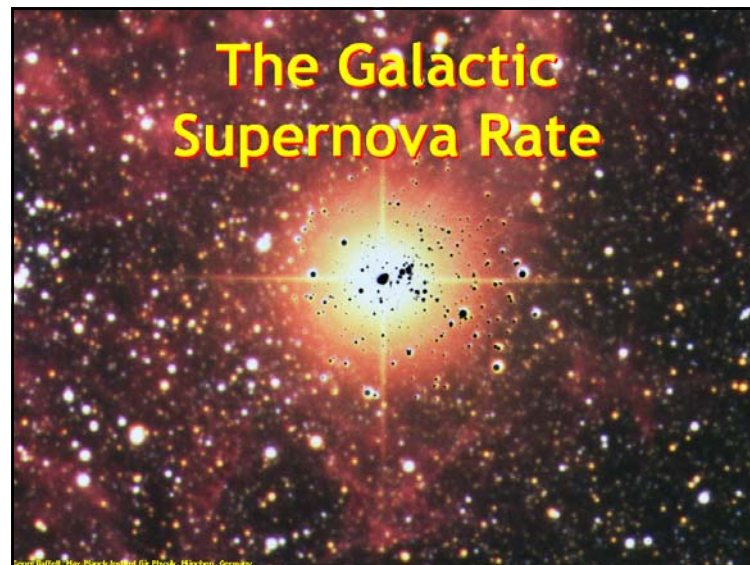
Earth effects included

II(Dighe, Kachelriess, Keil, Raffelt, Semikoz, Tomás),  
arXiv:hep-ph/0303210, hep-ph/0304150, hep-ph/0307050, hep-ph/0311172

Source: Raffelt, Max-Planck-Institut für Physik, München, Germany

ICAPP, 28 June 9 July 2004, LMU, Gran Sasso, Ital





## SN Statistics in External Galaxies

Cappellaro, Evans & Turatto, Astron. Astrophys. 351 (1999) 459  
is latest study with largest sample

Limited controlled samples useful for this task:

- Photographic searches (7319 galaxies, 94 SNe)
- Evans' visual search 1980–1998 (3068 galaxies, 54 SNe)

Difficulties include:

- Galaxy blue luminosity (internal absorption)
- Distances (value of Hubble constant)
- Correction for inclination of galaxy (losing some SNe)
- Galaxy morphological classification
- Saturation in dense regions of photographic plates (galaxy cores)
- Limiting discovery brightness
- Intrinsic luminosity distribution of core-collapse SNe (many faint ones?)
- Blue luminosity of Milky Way uncertain ( $2.3 \pm 0.6 \times 10^{10} L_{\text{sun}}$ )
- Morphological type of Milky Way

## Supernova Rates

| Multiply numbers with $h^2$ |        | Supernova Type |                |                |                |
|-----------------------------|--------|----------------|----------------|----------------|----------------|
|                             |        | Ia             | Core Collapse  |                | All            |
|                             |        |                | Ib/c           | II             |                |
| Galaxy Type                 | E-S0   | $0.32 \pm .11$ | $< 0.02$       | $< 0.04$       | $0.32 \pm .11$ |
|                             | S0a-Sb | $0.32 \pm .12$ | $0.20 \pm .11$ | $0.75 \pm .34$ | $1.28 \pm .37$ |
|                             | SBc-Sd | $0.37 \pm .14$ | $0.25 \pm .12$ | $1.53 \pm .62$ | $2.15 \pm .66$ |
|                             | All    | $0.36 \pm .11$ | $0.14 \pm .07$ | $0.71 \pm .34$ | $1.21 \pm .36$ |

Measured in SuperNova unit:  $1 \text{ SNu} = 1 \text{ SN} / 10^{10} L_{\text{sun,B}} / 100 \text{ years}$

Milky Way Galaxy:  
 Type Sb-Sbc,  $L_B = 2.3 \times 10^{10} L_{\text{sun,B}}$ ,  $h = 0.72$   
 About  $2.0 \pm 1.0$  core-collapse SNe per century

Cappellaro & Turatto, Supernova Types and Rates, astro-ph/0012455



## Some Galaxies with Many Observed Supernovae

M83 (Southern Pinwheel)  
D = 4.6 Mpc



Observed Supernovae:  
1923A, 1945B, 1950B,  
1957D, 1968L, 1983N

NGC 6946  
D = 3.0 Mpc



Observed Supernovae:  
1917A, 1939D, 1948B,  
1968D, 1969P, 1980K

Source: Boffelli, Max-Planck-Institut für Physik, München, Germany

ESO/EPN 70 June 9 July 1984, 1405, Gran Sasso, Ital

## Andromeda Galaxy (M31)



- SN 1885A is the only historical SN in Andromeda (M31) (distance 760 kpc)
- We see all of the galaxy - one probably would not have missed a SN by obscuration
- Probably low star formation rate (low FIR luminosity)

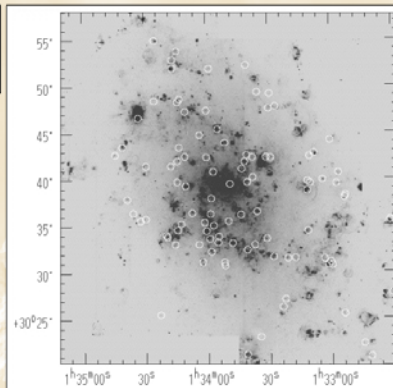
~ 1.2 SN/century  
Tammann et al.  
Ap. J. Suppl. Ser.  
92 (1994) 487

Source: Boffelli, Max-Planck-Institut für Physik, München, Germany

ESO/EPN 70 June 9 July 1984, 1405, Gran Sasso, Ital

## Supernova Remnants in M33 (Triangulum)

H $\alpha$  image  
of M33  
(Triangulum)

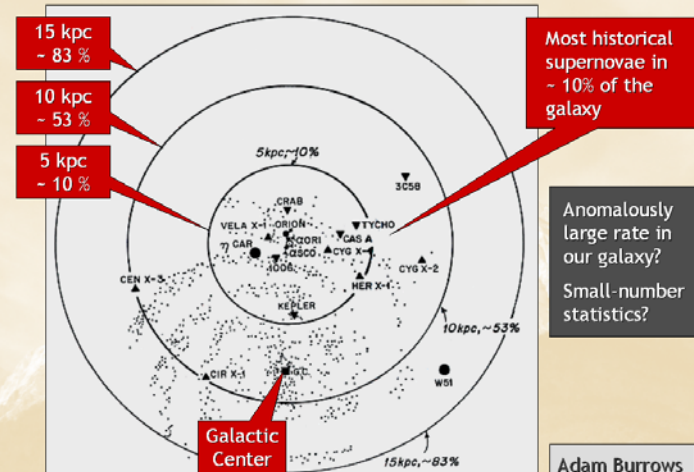


S.M. Gordon et al.,  
A New Optical Sample of Supernova Remnants in M33  
Astrophys. J. Suppl. 117 (1998) 89.

Source: Boffelli, Max-Planck-Institut für Physik, München, Germany

ESO/EPN 70 June 9 July 1984, 1405, Gran Sasso, Ital

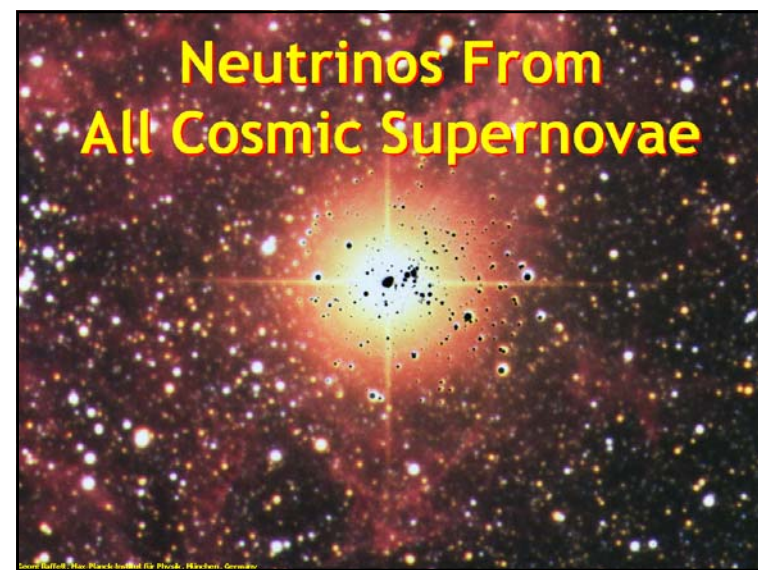
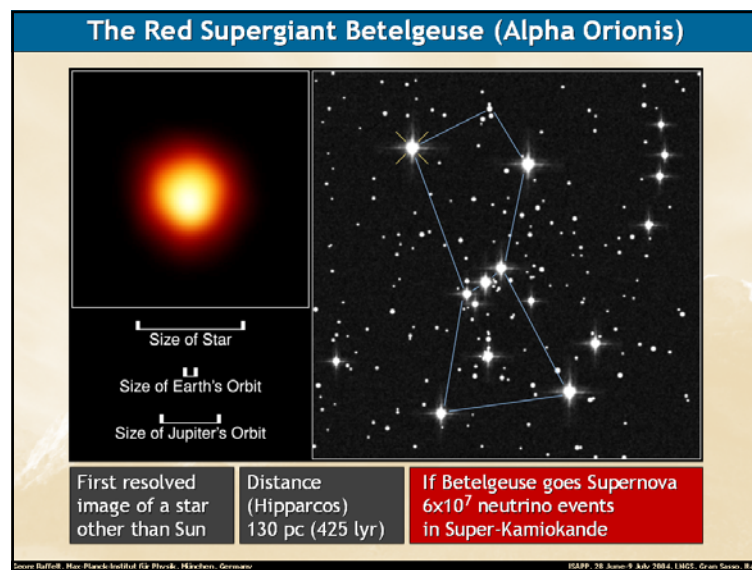
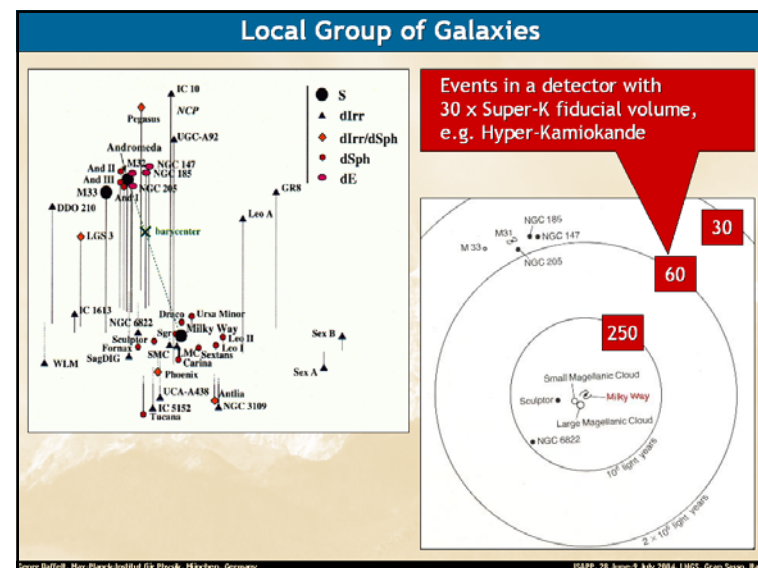
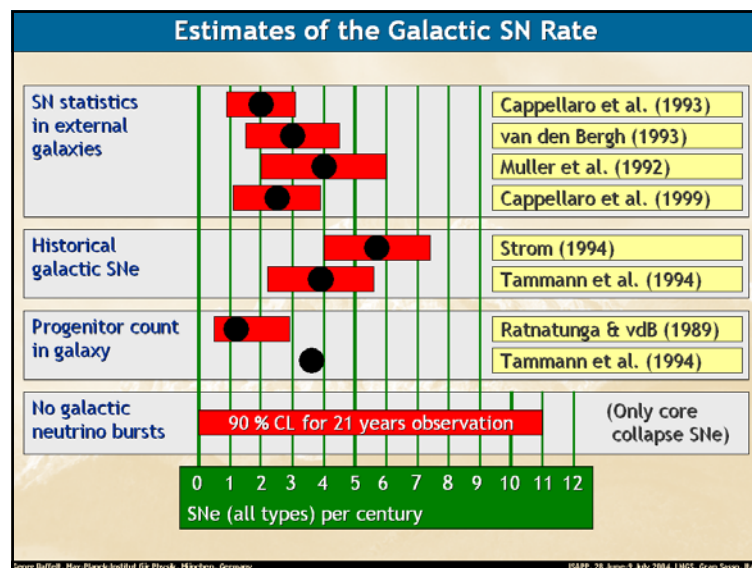
## Galactic Supernova Events



Adam Burrows

Source: Boffelli, Max-Planck-Institut für Physik, München, Germany

ESO/EPN 70 June 9 July 1984, 1405, Gran Sasso, Ital



## Diffuse Background Flux of SN Neutrinos

1 SNU = 1 SN /  $10^{10} L_{\text{sun},B}$  / 100 years  
 $L_{\text{sun},B} = 0.54 L_{\text{sun}} = 2 \times 10^{33} \text{ erg/s}$   
 $E_{\nu} \sim 3 \times 10^{53} \text{ erg per core-collapse SN}$

1 SNU  $\sim 4 L_{\nu} / L_{\gamma,B}$   
 Average neutrino  
 luminosity of galaxies  
 $\sim$  photon luminosity

- Photons come from nuclear energy
- Neutrinos from gravitational energy

For galaxies, average  
 nuclear & gravitational  
 energy release comparable

Present-day SN rate of  $\sim 1 \text{ SNU}$ , extrapolated to the entire universe,  
 corresponds to  $\nu_e$  flux of  $\sim 1 \text{ cm}^{-2} \text{ s}^{-1}$

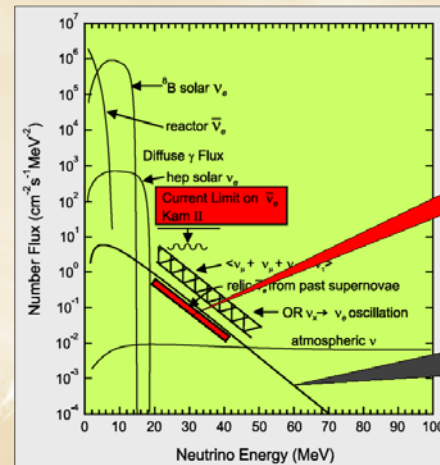
Realistic flux is dominated by much larger early star-formation rate

- Upper limit  $\sim 54 \text{ cm}^{-2} \text{ s}^{-1}$   
 [Kaplighat et al., astro-ph/9912391]
  - "Realistic estimate"  $\sim 10 \text{ cm}^{-2} \text{ s}^{-1}$   
 [Hartmann & Woosley, Astropart. Phys. 7 (1997) 137]
- Measurement would tell us about early history of star formation

Source: Buffell, Max-Planck-Institut für Physik, München, Germany

SLRP, 78. Juni-9. Juli 2004, LMU, Garmisch, Bad

## Experimental Limits on Relic Supernova Neutrinos



Super-K upper limit  
 $29 \text{ cm}^{-2} \text{ s}^{-1}$  for  
 Kaplighat et al. spectrum  
 [hep-ex/0209028]

Upper-limit flux of  
 Kaplighat et al.,  
 astro-ph/9912391  
 Integrated  $54 \text{ cm}^{-2} \text{ s}^{-1}$

Cline, astro-ph/0103138

Source: Buffell, Max-Planck-Institut für Physik, München, Germany

SLRP, 78. Juni-9. Juli 2004, LMU, Garmisch, Bad

## Improved Sensitivity with Neutron Tagging

M. Vagins, Talk at NOON 2003, <http://www-sk.icrr.u-tokyo.ac.jp/noon2003/>

Super-Kamiokande limited by

- Solar neutrinos for  $E_{\nu} < 18-19 \text{ MeV}$
- Sub-Cherenkov muons from atm nus  
 $\mu \rightarrow e + \nu_e + \bar{\nu}_{\mu}$

Solution:

Neutron tagging  $\bar{\nu}_e + p \rightarrow e^+ + n$

Water: Neutron capture on protons  
 2.2 MeV gammas, invisible in SK

Add gadolinium to SK:

- Efficient neutron capture
- 8 MeV gamma cascade, easily visible
- 0.1% (100 tons of  $\text{GdCl}_3$ )  
 achieves  $> 90\%$  tagging efficiency

SN relic nus: A few events per year  
 in SK with no background at all

### A Modest Proposal

Pouring a bunch of stuff into Super-K is a big  
 step, and not to be done lightly, no matter how  
 promising things may look initially.

Here's what comes next:

- 1) Spend the next year or so exploring the  
 chemistry, stability, and optical properties of  
 $\text{GdCl}_3$  in detail.
- 2) Understand any changes needed in the SK  
 water system and Monte Carlo the modified  
 detector's response using what's learned above  
 as input.
- 3) Build a small test tank (one supermodule)  
 with exactly the same materials as in SK. Put  
 in PMT's, cables, water, and  $\text{GdCl}_3$  and let  
 it sit for two years. Check for  $\text{GdCl}_3$ -induced  
 damage.
- 4) If everything looks good, in the last month(s)  
 of SK-II put in 9 tons of  $\text{GdCl}_3$  to make sure  
 we really understand our backgrounds. Look  
 for reactor antineutrinos!

Source: Buffell, Max-Planck-Institut für Physik, München, Germany

SLRP, 78. Juni-9. Juli 2004, LMU, Garmisch, Bad