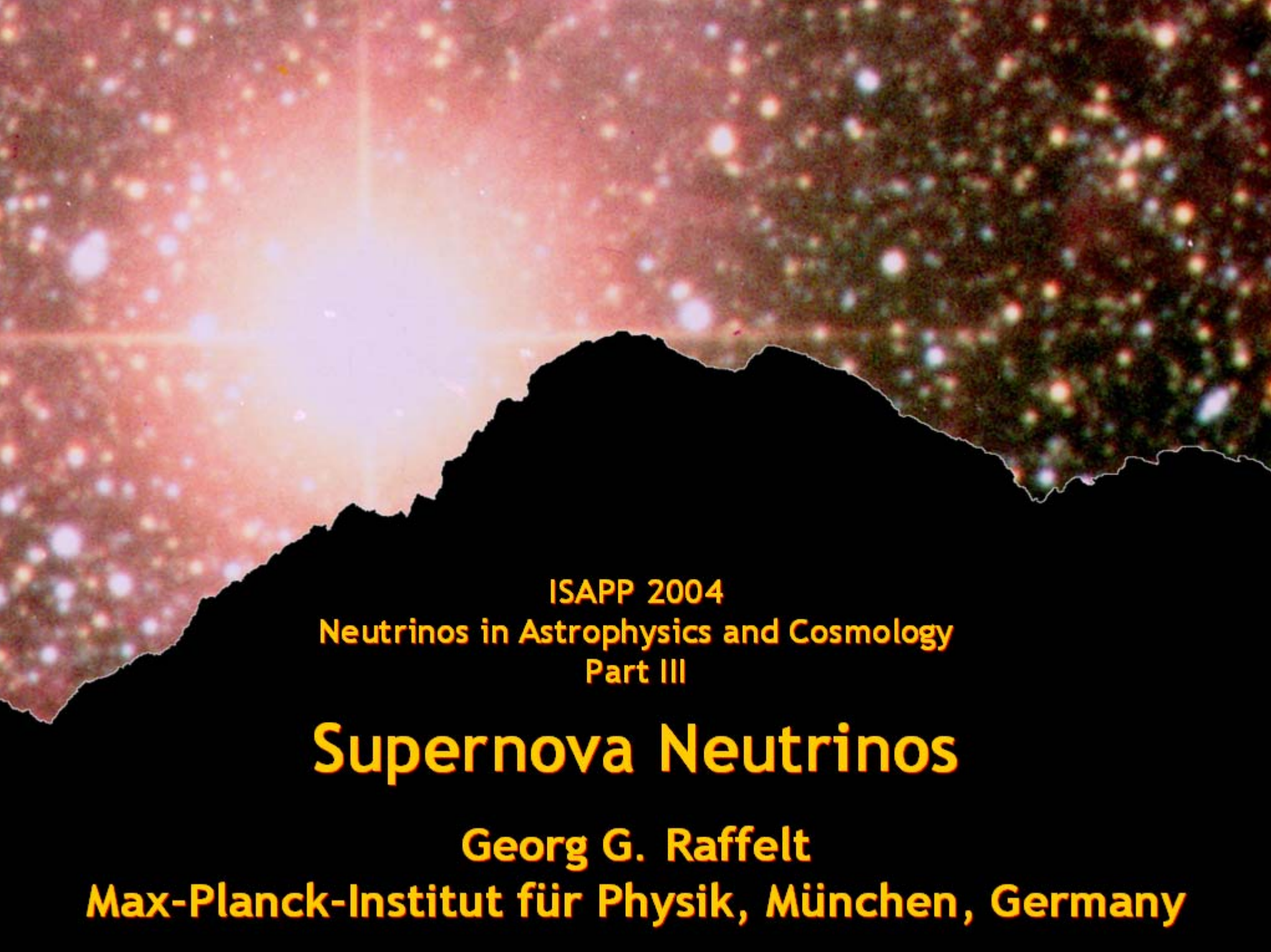


The background of the slide is a deep space image showing a dense field of stars and galaxies. A bright, glowing region on the left side suggests a distant galaxy or nebula. Overlaid on the lower half of this image is a solid black silhouette of a jagged mountain range.

ISAPP 2004
Neutrinos in Astrophysics and Cosmology
Part III

Supernova Neutrinos

Georg G. Raffelt
Max-Planck-Institut für Physik, München, Germany

Sanduleak –69 202



Tarantula Nebula

Large Magellanic Cloud
Distance 50 kpc
(160.000 light years)



Sanduleak –69 202



Supernova 1987A

23 February 1987



- SN 1987A in the LMC the only certain identification of progenitor star
- SN 1993J in M81 another tentative case

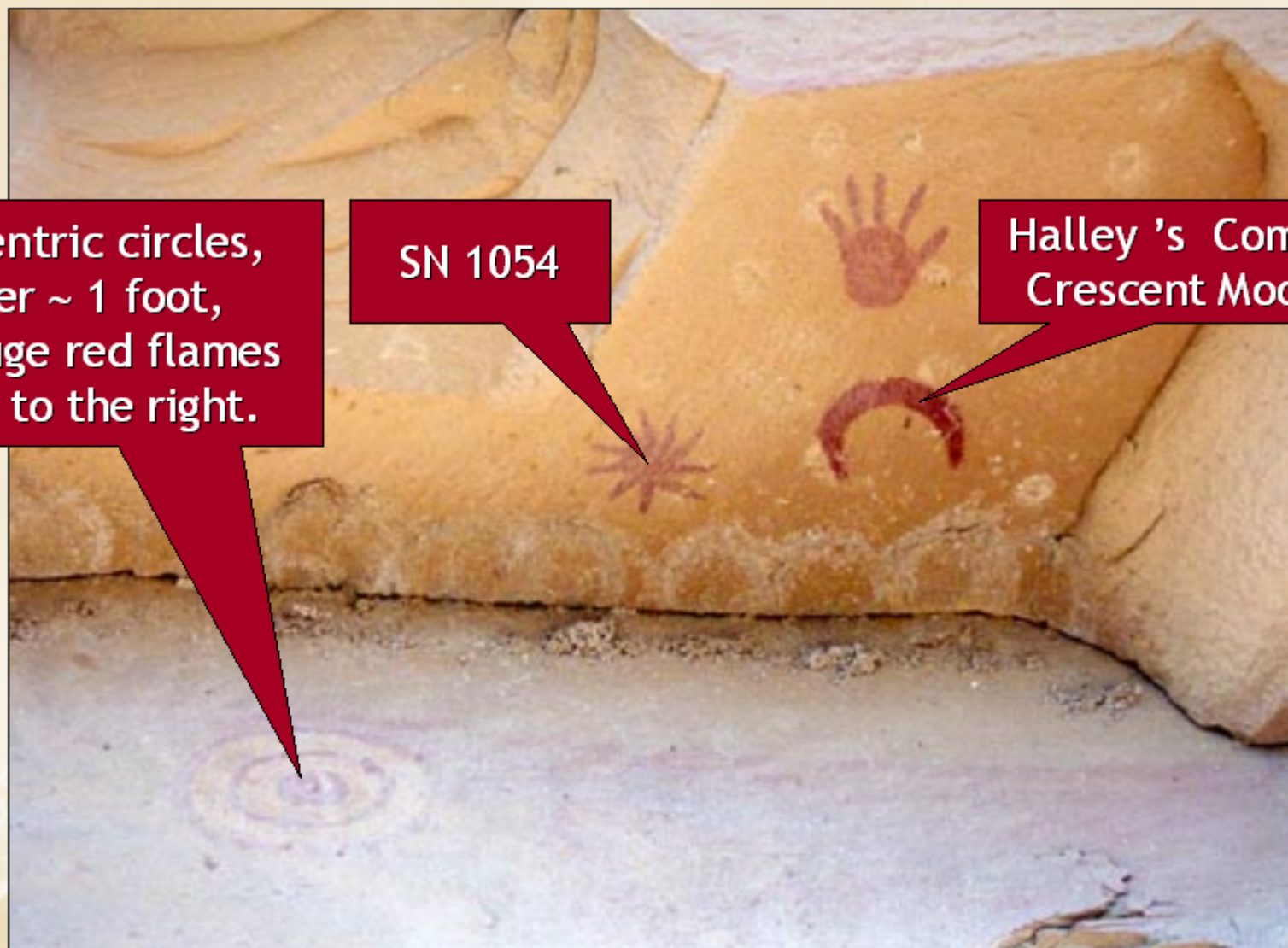
凡十一日没三年三月乙巳出東南方大中祥符四年正月丁丑見南斗魁前天禧五年四月丙辰出軒轅前星西北大如桃速行經軒轅太星入太微垣掩右執法犯次將歷屏星西北凡七十五日入濁没明道元年六月乙巳出東北方近濁有芒彗至丁巳凡十三日没至和元年五月己丑出天關東南可數寸歲餘稍没熙寧二年六月丙辰出箕度中至七月丁卯犯箕乃散三年十一月丁未出天囷元祐六年十一月辛亥出參度中犯掩側星壬子犯九游星十二月癸酉入奎至七年三月辛亥乃散紹興八年五月守婁

Supernova 1054 Petrograph

3 concentric circles,
diameter ~ 1 foot,
with huge red flames
trailing to the right.

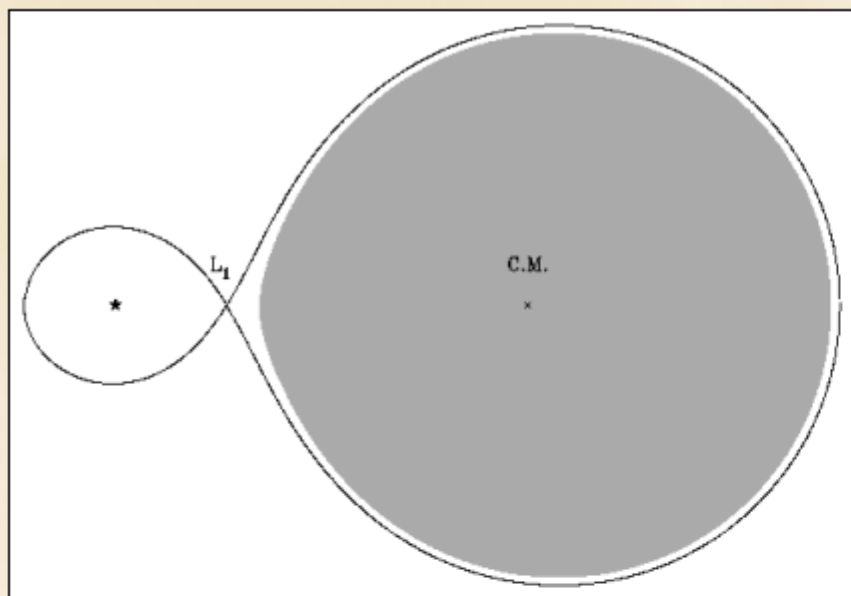
SN 1054

Halley's Comet?
Crescent Moon?

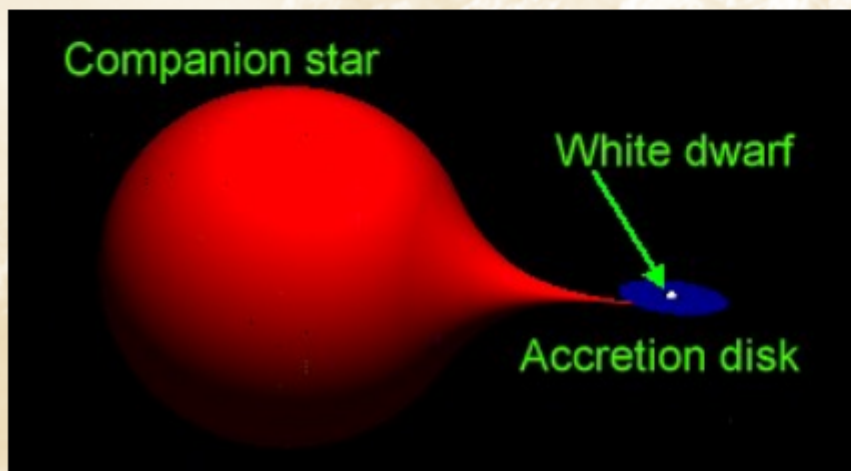


Possible SN 1054 Petrograph by the Anasazi people
(Chaco Canyon, South-Western U.S.)

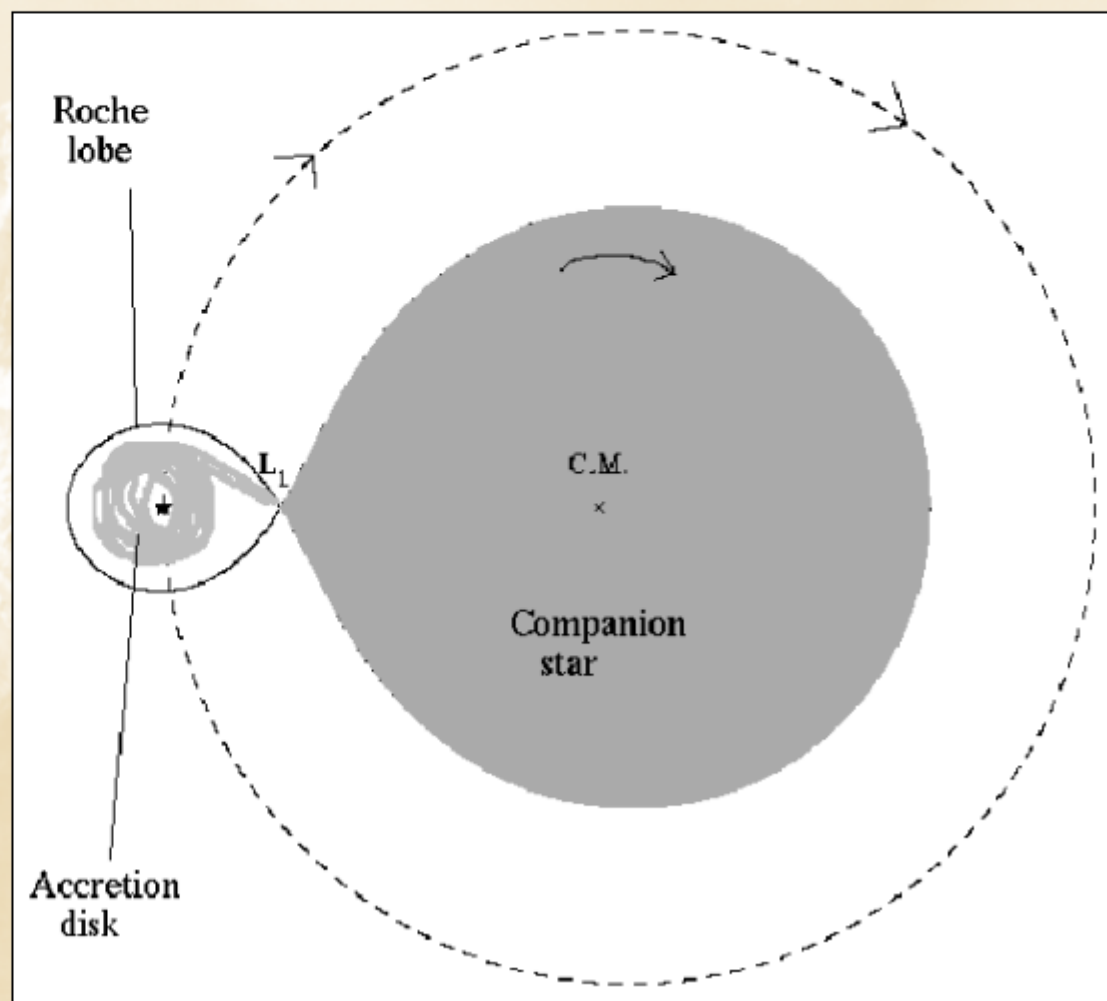
Mass Transfer in Binary Stars



Binary system of white dwarf and evolved companion star, beginning to fill the “Roche lobe”

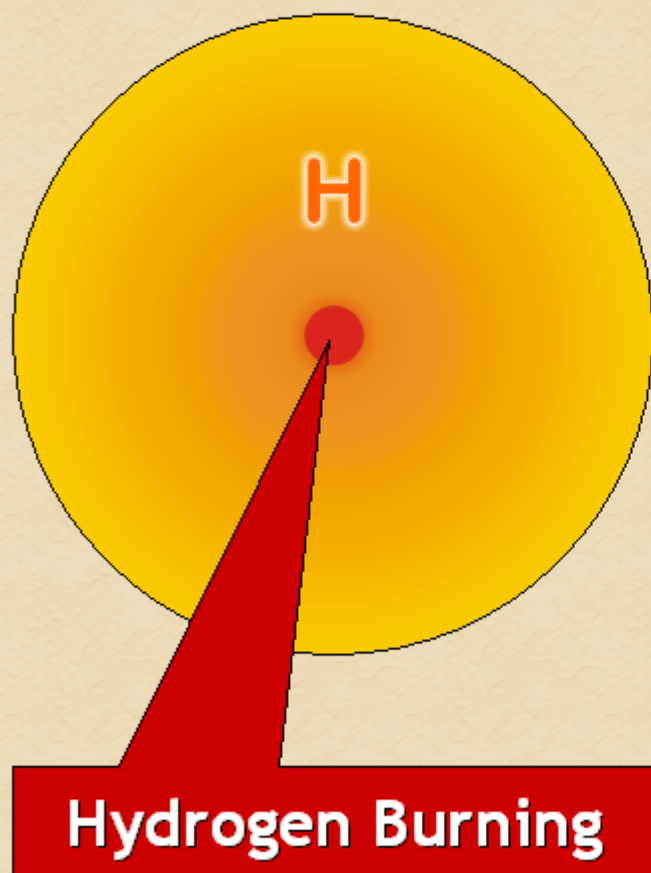


Roche-lobe overflow feeds mass to the compact star

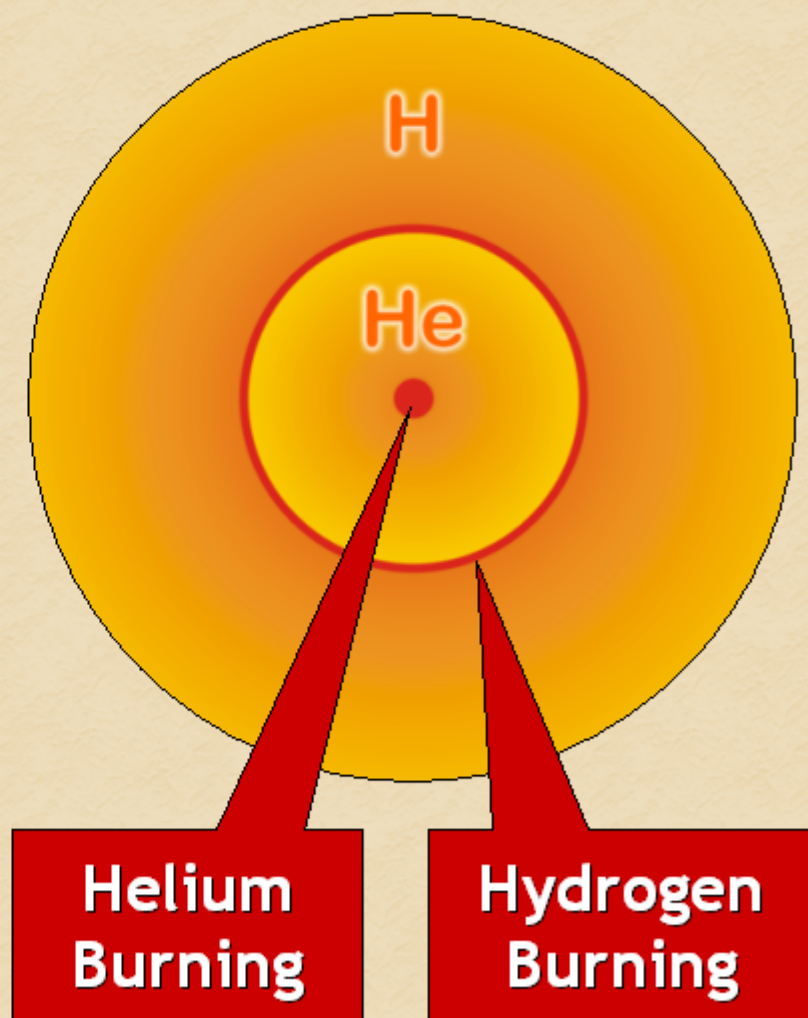


Stellar Collapse and Supernova Explosion

Main-sequence star



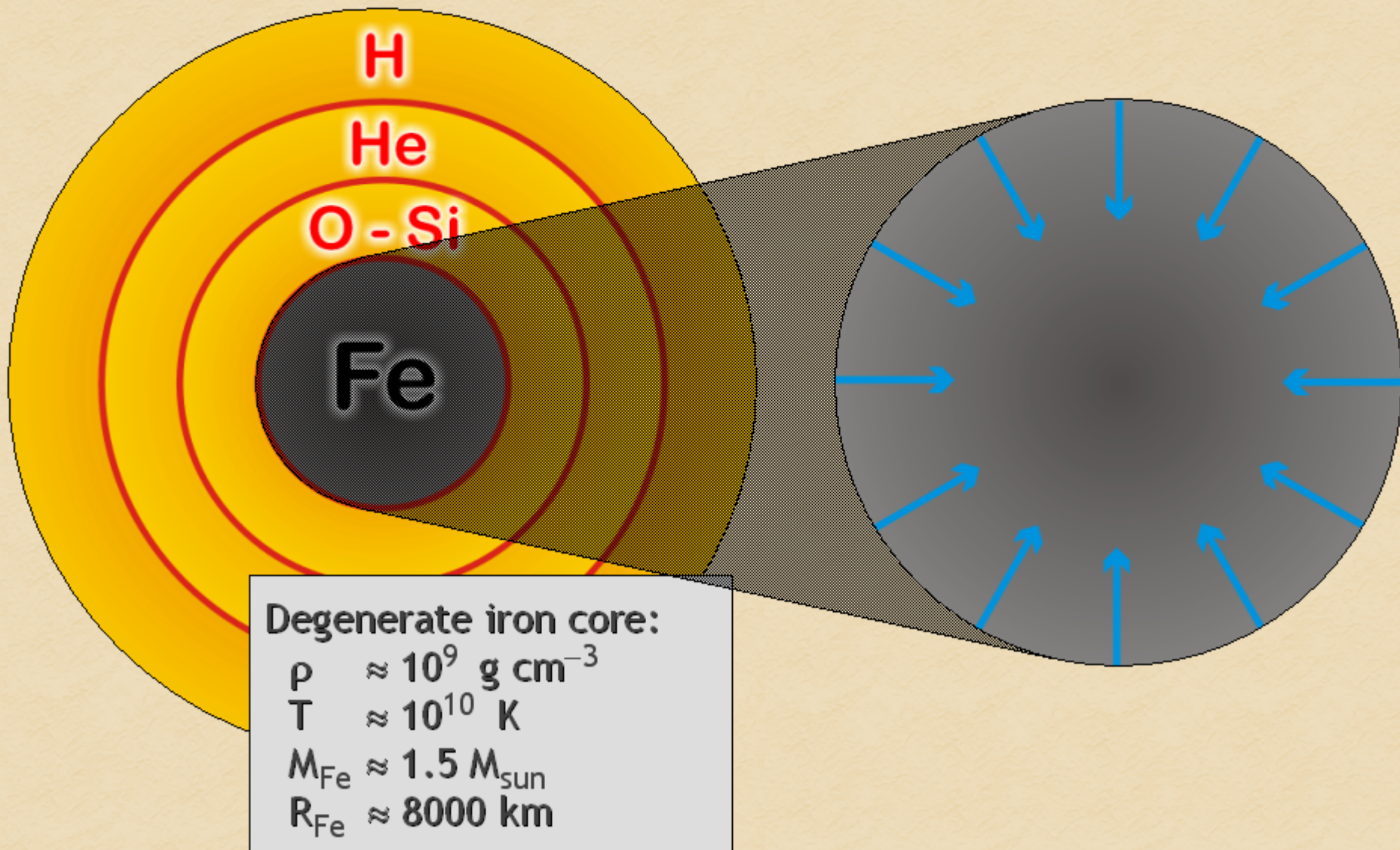
Helium-burning star



Stellar Collapse and Supernova Explosion

Onion structure

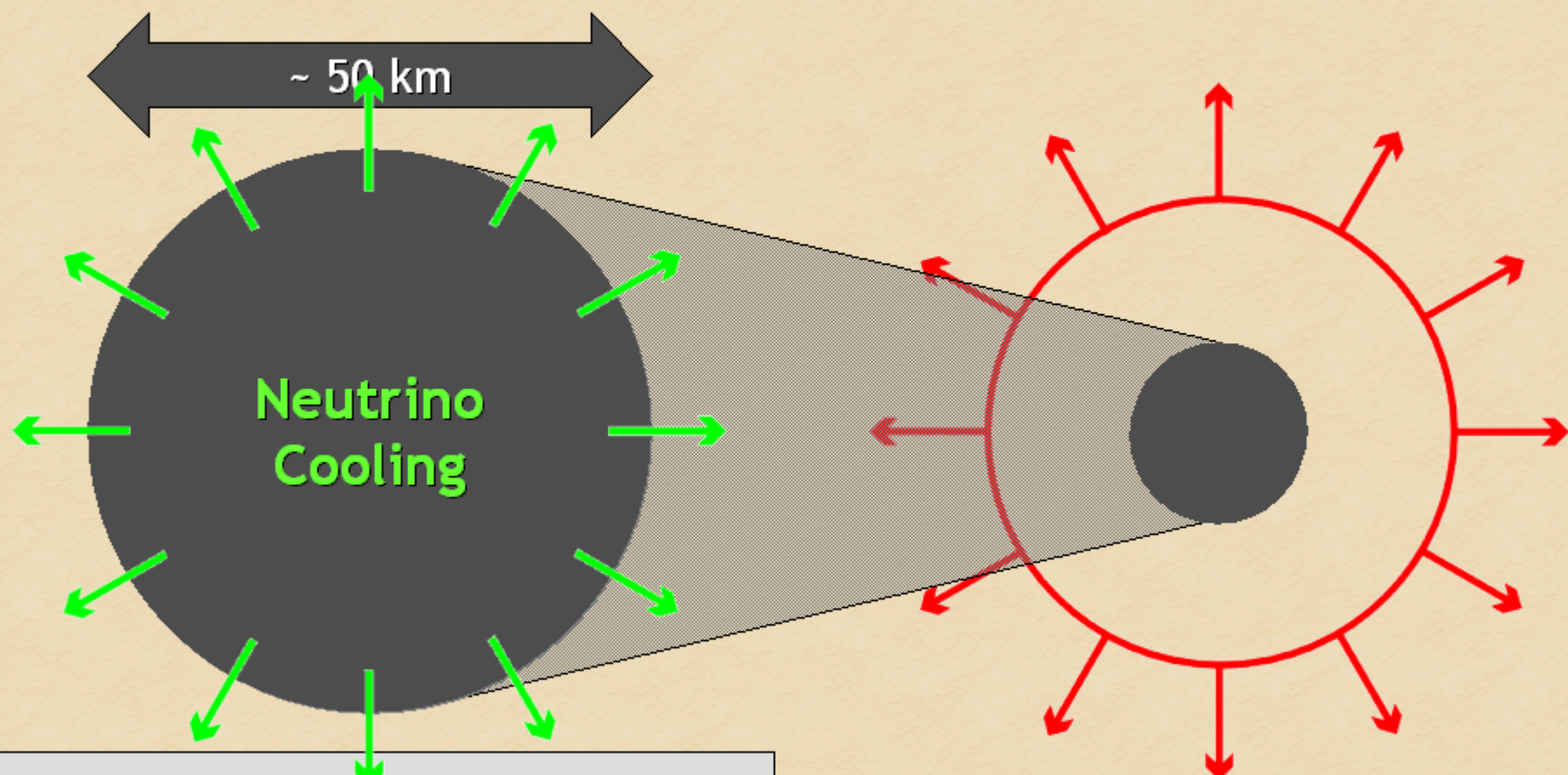
Collapse (implosion)



Stellar Collapse and Supernova Explosion

Newborn Neutron Star

Explosion



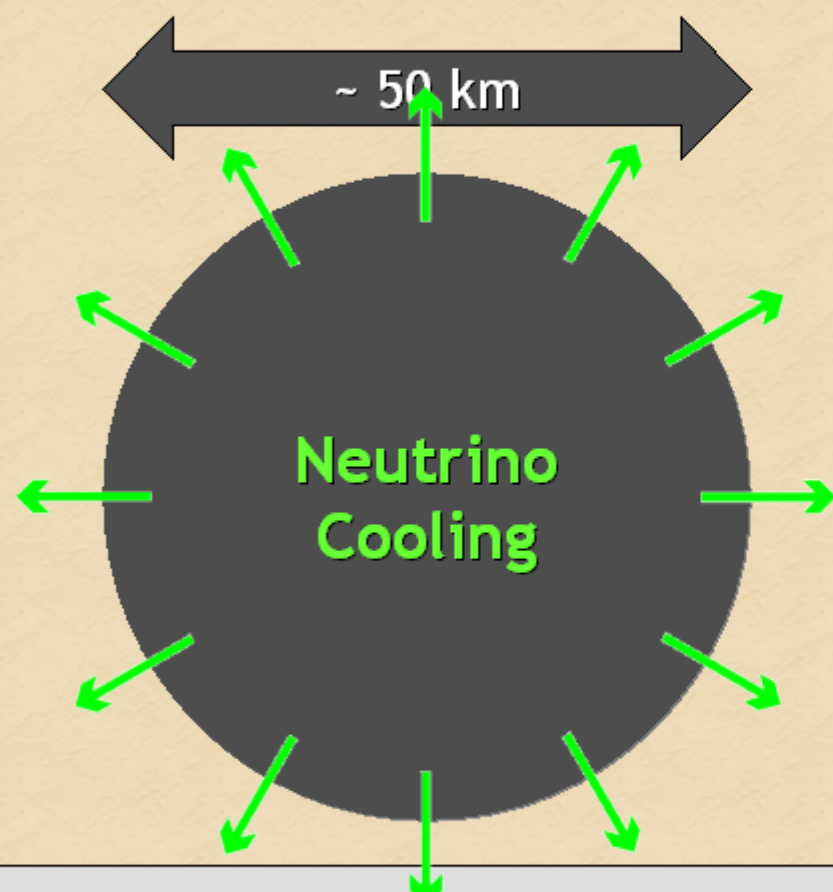
Proto-Neutron Star

$$\rho \approx \rho_{\text{nuc}} = 3 \times 10^{14} \text{ g cm}^{-3}$$

$$T \approx 30 \text{ MeV}$$

Stellar Collapse and Supernova Explosion

Newborn Neutron Star



Proto-Neutron Star

$$\rho \approx \rho_{\text{nuc}} = 3 \times 10^{14} \text{ g cm}^{-3}$$
$$T \approx 30 \text{ MeV}$$

Gravitational binding energy

$$E_b \approx 3 \times 10^{53} \text{ erg} \approx 17\% M_{\text{SUN}} c^2$$

This shows up as

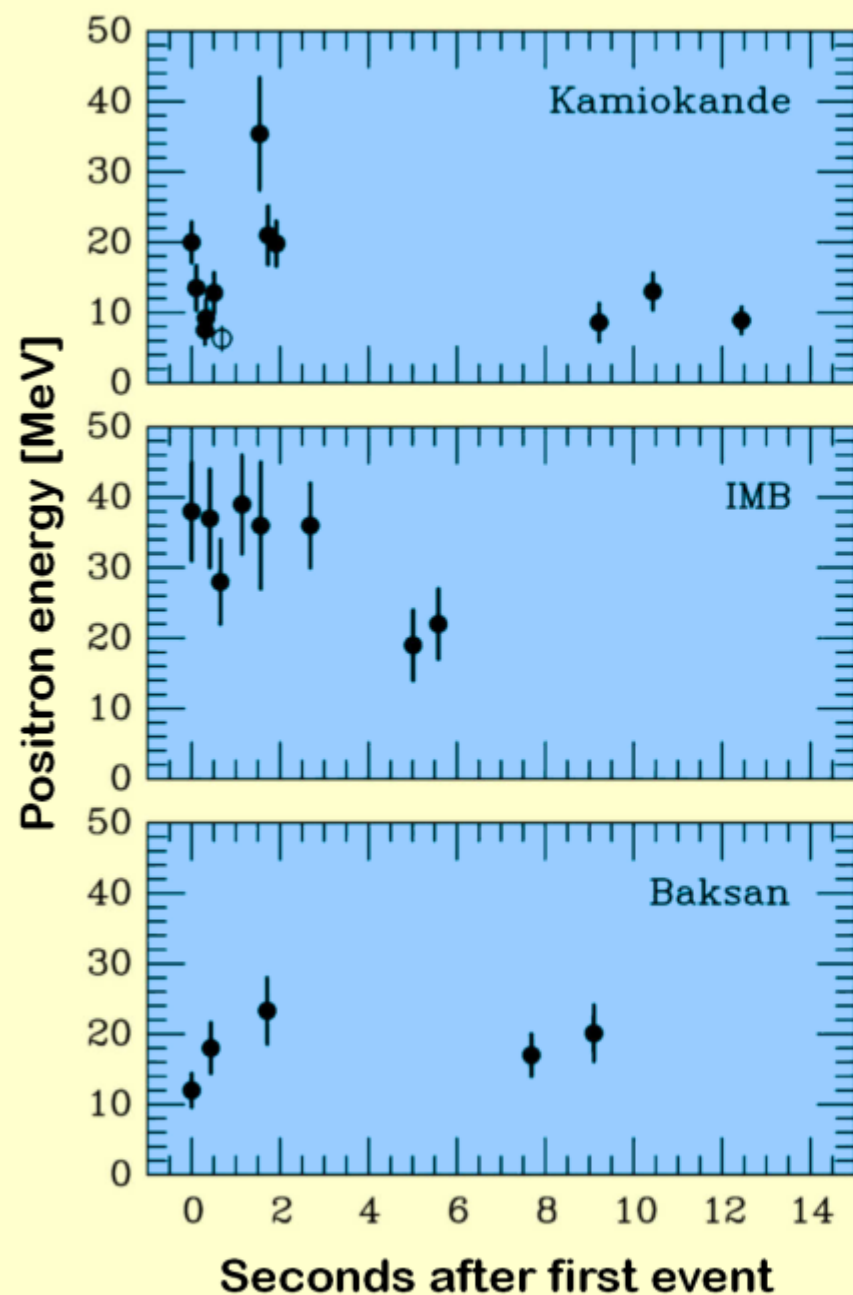
- 99% Neutrinos
- 1% Kinetic energy of explosion
(1% of this into cosmic rays)
- 0.01% Photons, outshine host galaxy

Neutrino luminosity

$$L_\nu \approx 3 \times 10^{53} \text{ erg} / 3 \text{ sec}$$
$$\approx 3 \times 10^{19} L_{\text{SUN}}$$

While it lasts, outshines the entire visible universe

Neutrino Signal of Supernova 1987A



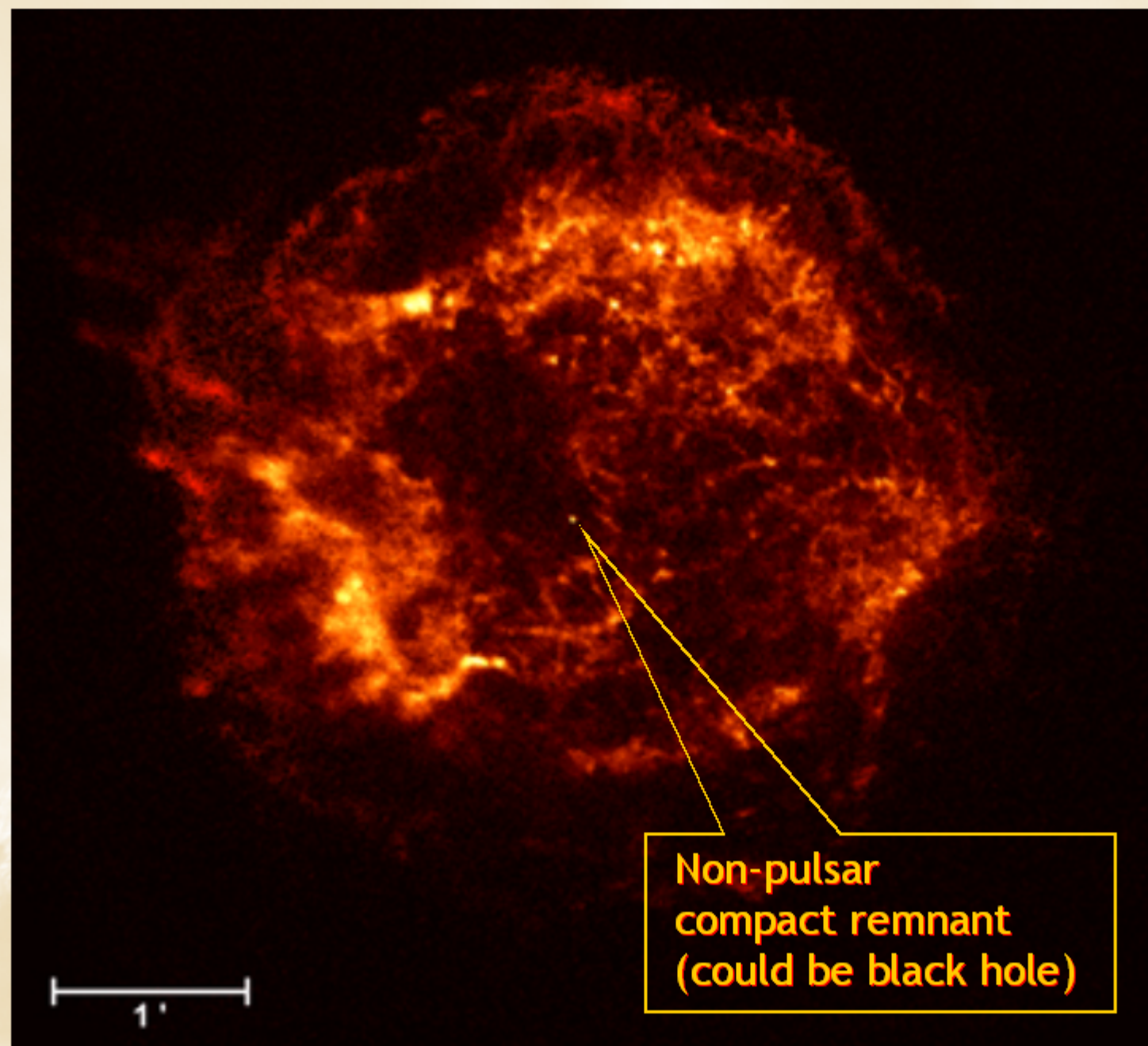
Kamiokande (Japan)
Water Cherenkov detector
Clock uncertainty ± 1 min

Irvine-Michigan-Brookhaven (US)
Water Cherenkov detector
Clock uncertainty ± 50 ms

Baksan Scintillator Telescope
(Soviet Union)
Clock uncertainty $+2/-54$ s

Within clock uncertainties,
signals are contemporaneous

Supernova Remnant in Cas A (SN 1667?)



Chandra
x-ray image



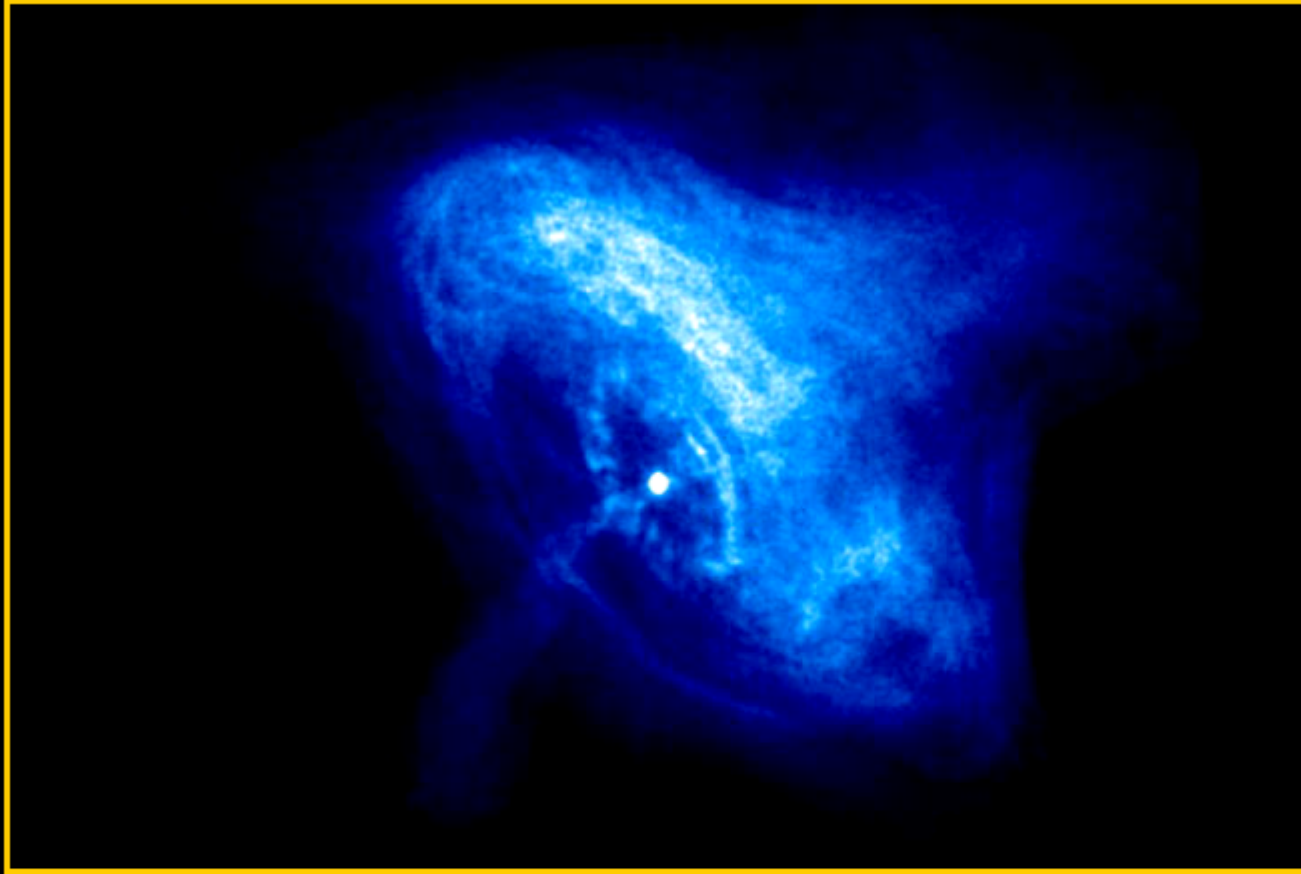
Walter Baade (1893–1960)



Fritz Zwicky (1898–1974)

**Baade and Zwicky were the first to speculate about a connection
between supernova explosions and neutron-star formation
[Phys. Rev. 45 (1934) 138]**

The Crab Pulsar



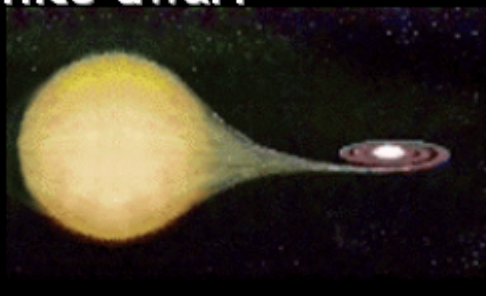
Chandra x-ray images



Type Ia vs. Core-Collapse Supernovae

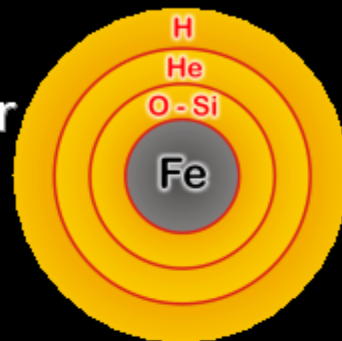
Type Ia

- Carbon-oxygen white dwarf (remnant of low-mass star)
- Accretes matter from companion



Core collapse (Type II, Ib/c)

- Degenerate iron core of evolved massive star
- Accretes matter by nuclear burning at its surface



Chandrasekhar limit is reached – $M_{\text{Ch}} \approx 1.5 M_{\text{sun}} (2Y_e)^2$

C O L L A P S E S E T S I N

Nuclear burning of C and O ignites
→ Nuclear deflagration
("Fusion bomb" triggered by collapse)

Powered by nuclear binding energy

Gain of nuclear binding energy
~ 1 MeV per nucleon

Collapse to nuclear density
Bounce & shock
Implosion → Explosion

Powered by gravity

Gain of gravitational binding energy
~ 100 MeV per nucleon
99% into neutrinos

Comparable "visible" energy release of $\sim 3 \times 10^{51} \text{ erg}$

Supernovae the Power Supply for Cosmic Rays?

Required power supply

$$L_{\text{CR}} = V_{\text{D}} \rho_{\text{CR}} / \tau_{\text{res}} \\ \approx 5 \times 10^{40} \text{ erg/s} \approx 10^7 L_{\text{Sun}}$$

Disk volume

$$V_{\text{D}} = \pi R^2 d \approx \pi (15 \text{ kpc})^2 200 \text{ pc} \\ \approx 4 \times 10^{66} \text{ cm}^3$$

Energy density in CRs

$$\rho_{\text{CR}} \approx 1 \text{ eV} / \text{cm}^3$$

Residence time in galaxy

$$\tau_{\text{res}} \approx 6 \times 10^6 \text{ yrs}$$

Suggestive of supernovae:

- One SN explosion deposits $\sim 3 \times 10^{51}$ erg in kinetic energy of ejecta into the interstellar medium (ISM)
- Rate approx. 1 SN / 30 years / galaxy

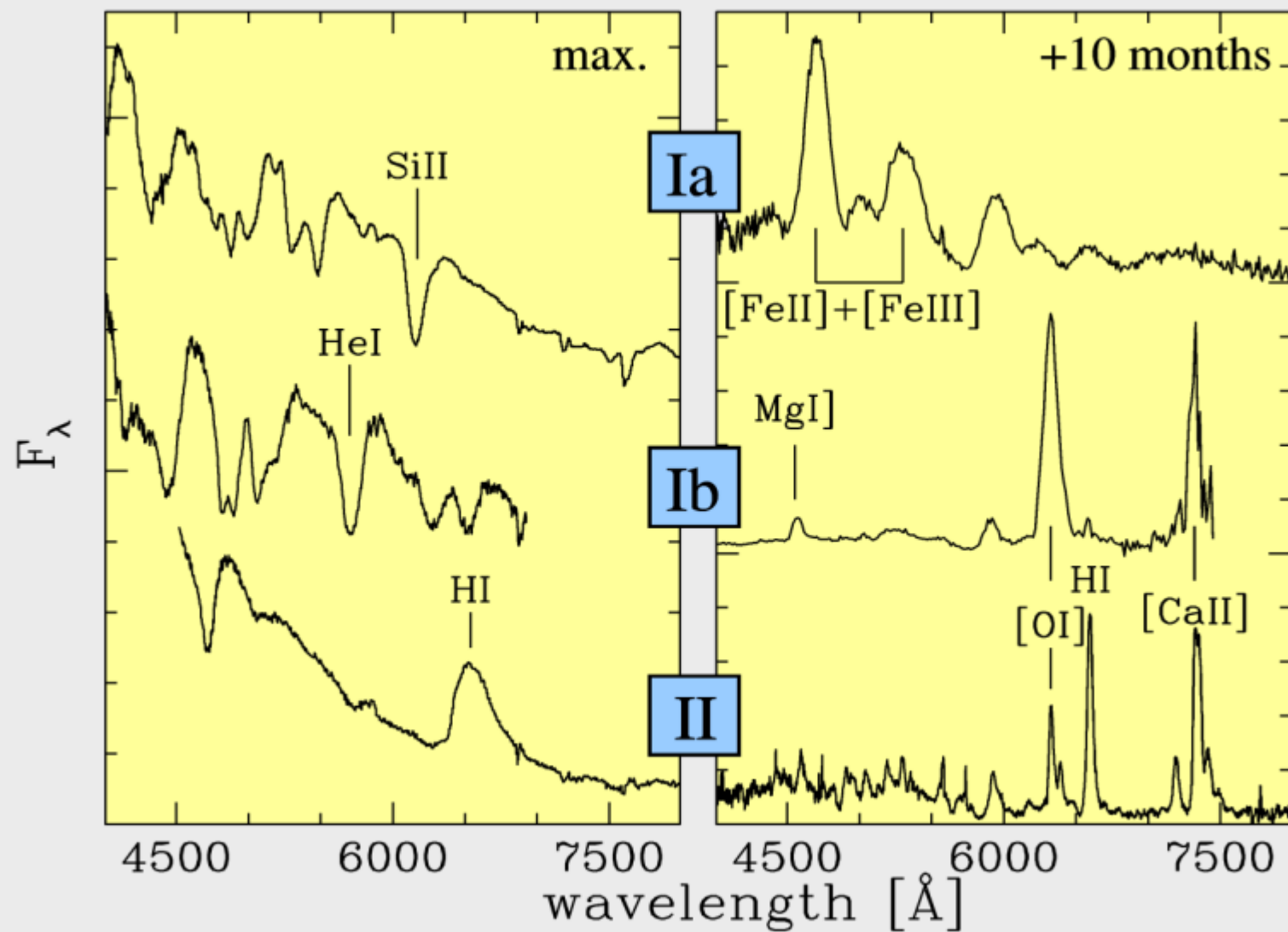
Total average energy deposition: $L_{\text{SN}} \approx 3 \times 10^{42} \text{ erg/s} \approx 50 L_{\text{CR}}$

Efficiency of a few percent required

Classification of Supernovae

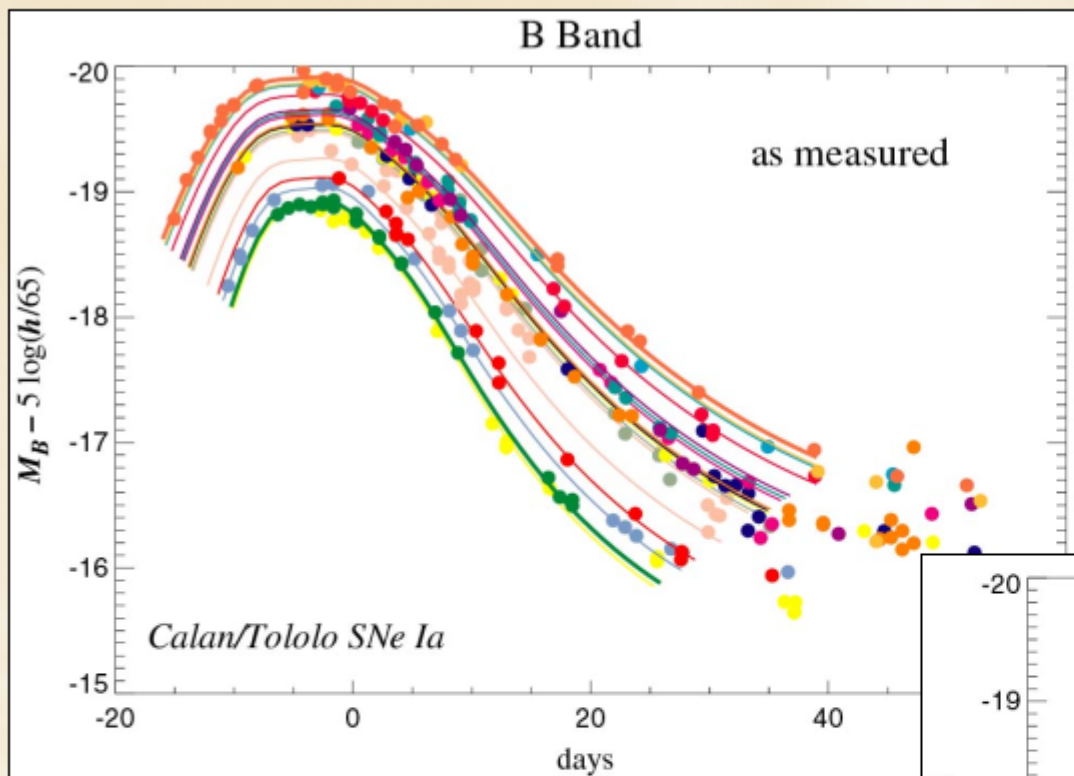
Spectral Type	Ia	Ib	Ic	II
Spectrum	No Hydrogen			Hydrogen
	Silicon	No Silicon		
		Helium	No Helium	
Physical Mechanism	Nuclear explosion of low-mass star	Core collapse of evolved massive star (may have lost its hydrogen or even helium envelope during red-giant evolution)		
Light Curve	Reproducible	Large variations		
Neutrinos	Insignificant	~ 100 × Visible energy		
Compact Remnant	None	Neutron star (typically appears as pulsar) Sometimes black hole ?		
Rate / h ² SNU	0.36 ± 0.11	0.14 ± 0.07		0.71 ± 0.34
Observed	Total ~ 2000 as of today (nowadays ~200/year)			

Typical Spectra of Different Supernova Types



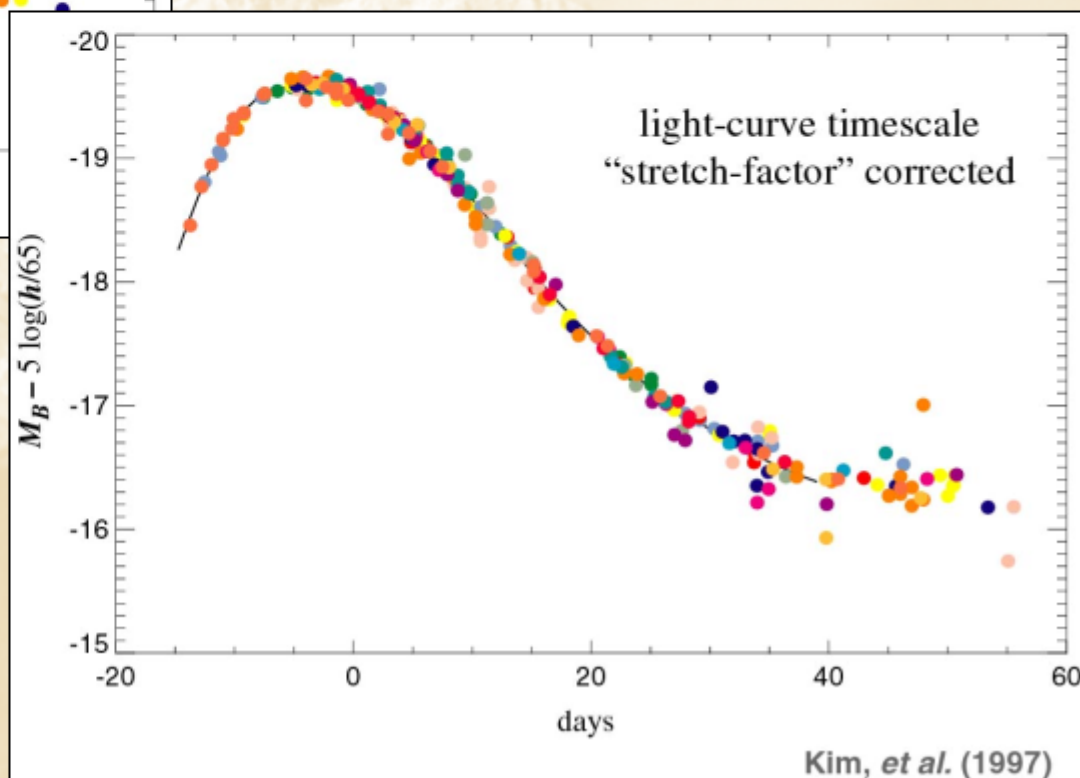
Cappellaro and Turatto, astro-ph/0012455

Universal Supernova Ia Light Curve

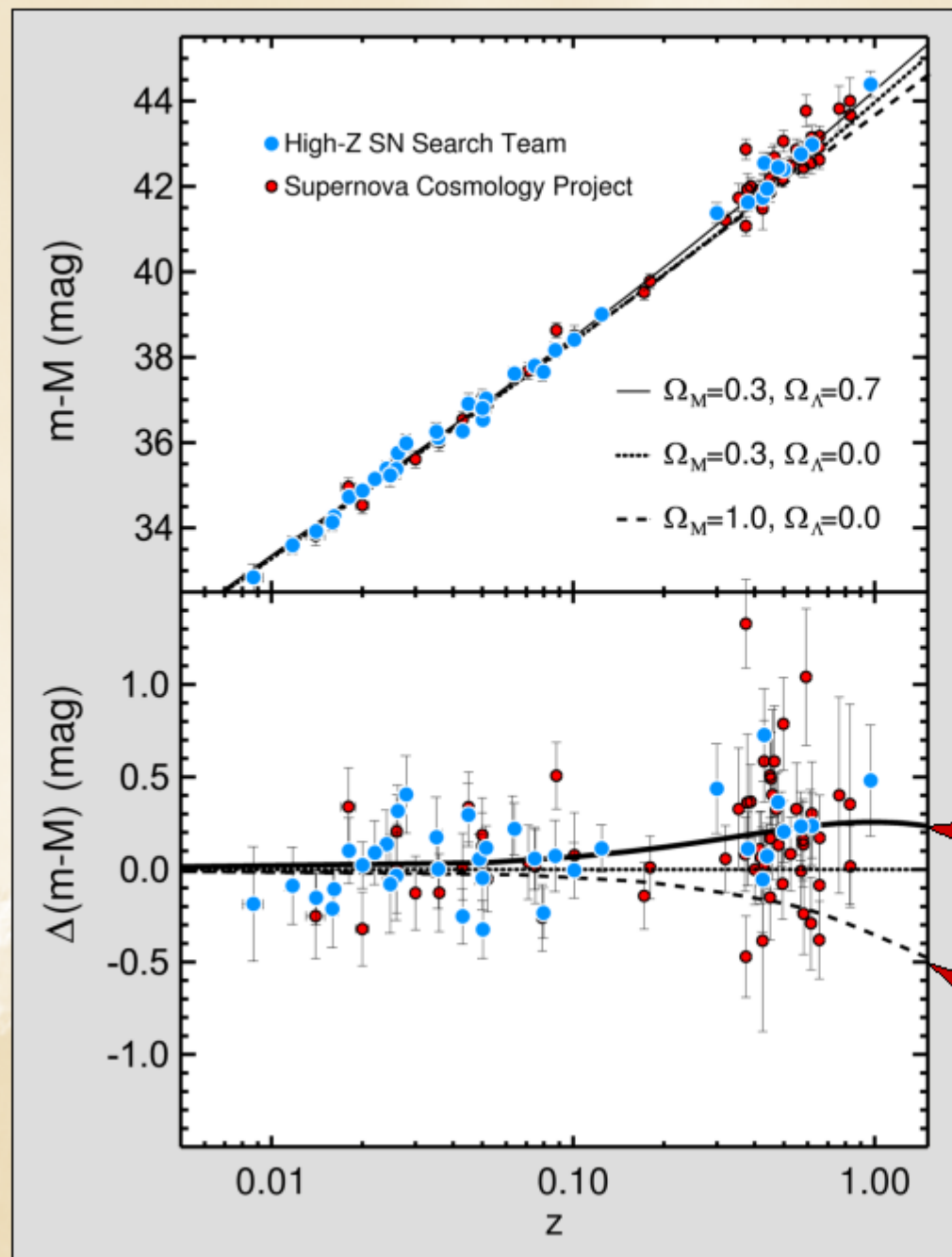


Supernova Ia lightcurves are empirically a 1-parameter family

After transformation
a universal light curve,
i.e. a de-facto standard candle



Hubble Diagram

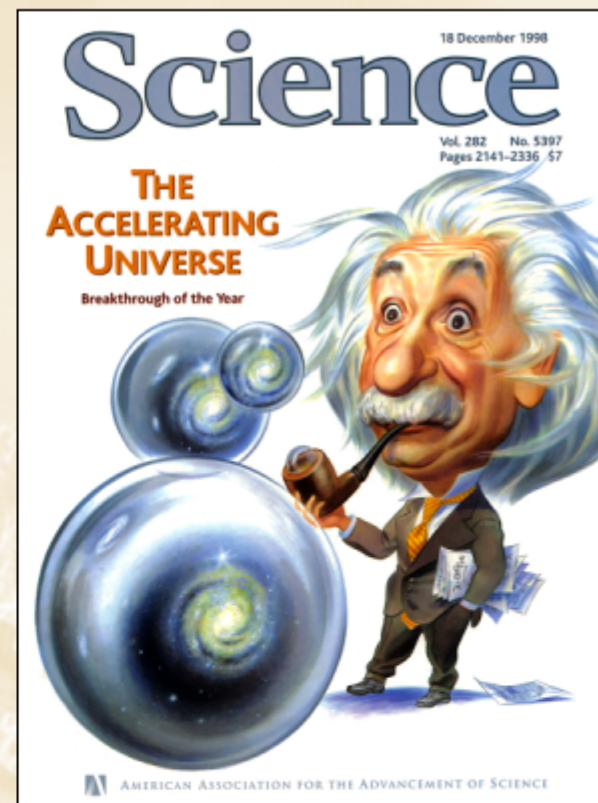
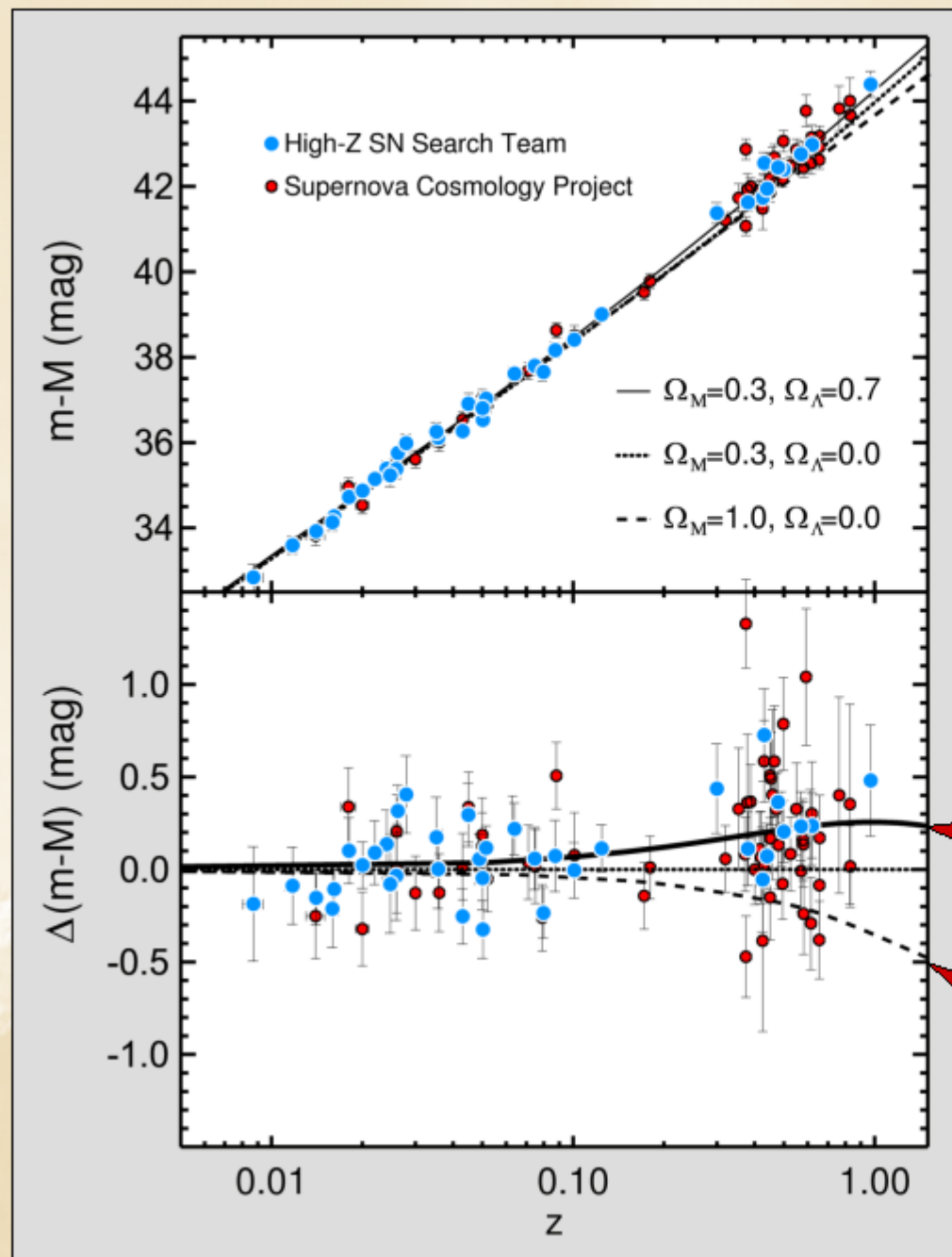


Supernova Ia
as cosmological
standard candles

Accelerated expansion
($\Omega_M = 0.3, \Omega_\Lambda = 0.7$)

Decelerated expansion
($\Omega_M = 1$)

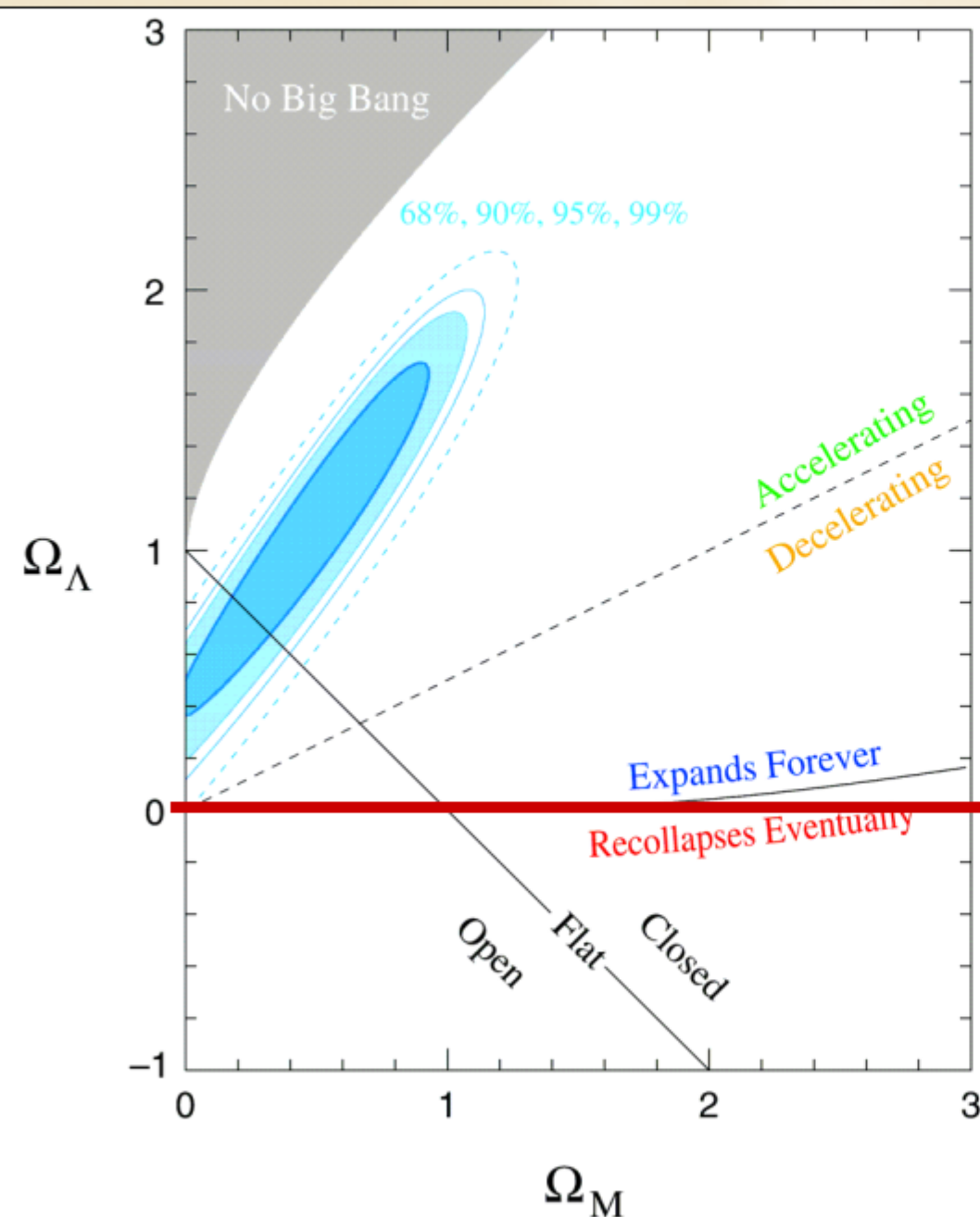
Hubble Diagram



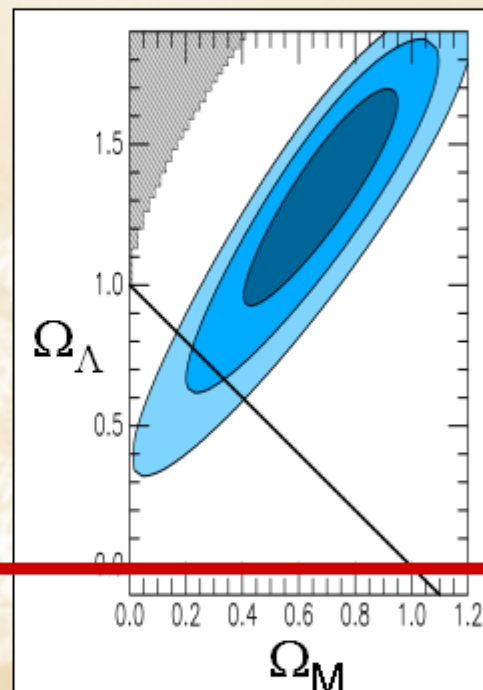
Accelerated expansion
($\Omega_M = 0.3, \Omega_\Lambda = 0.7$)

Decelerated expansion
($\Omega_M = 1$)

Fitting Cosmological Parameters



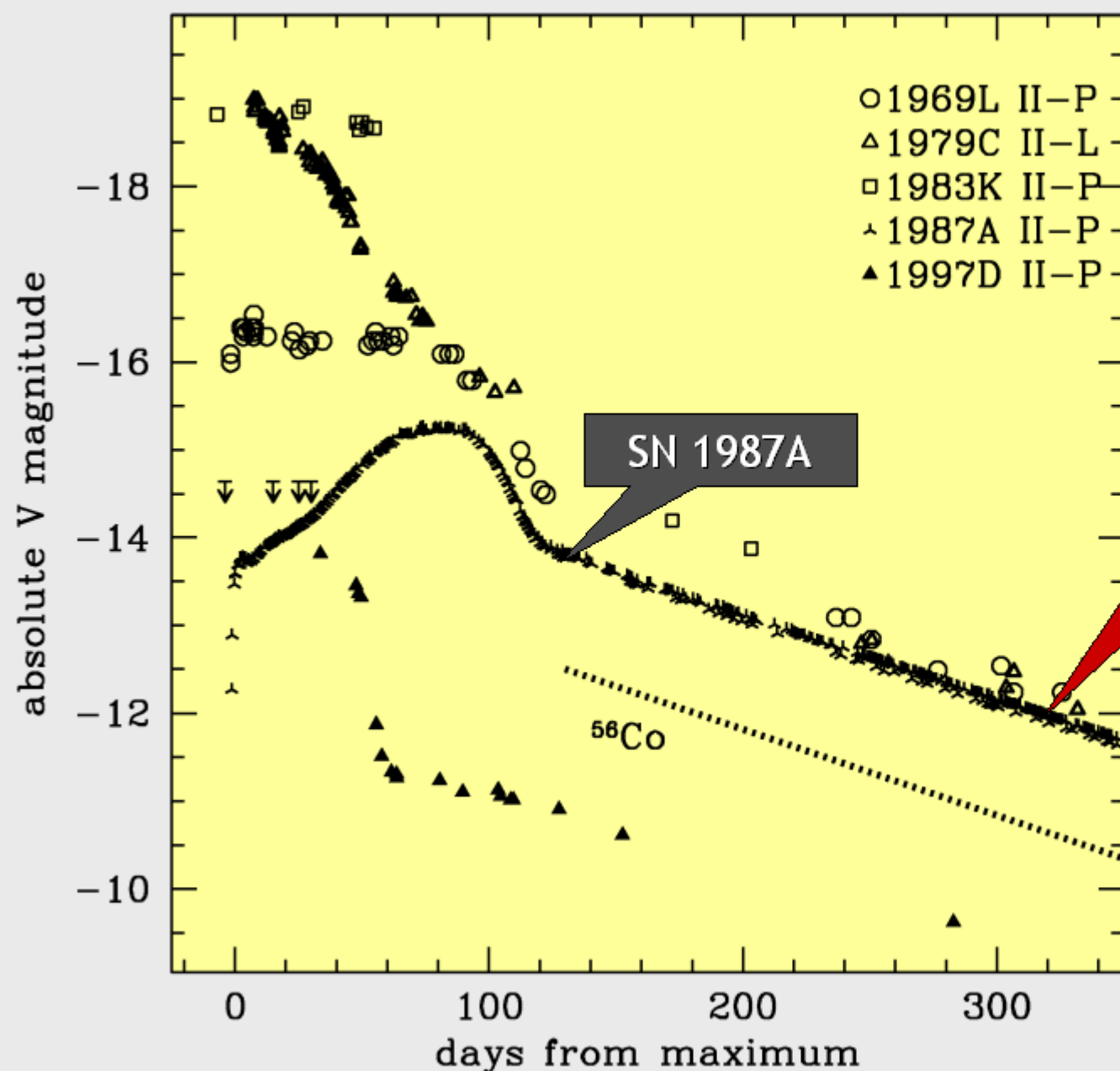
High-z supernova
team
(Tonry et al.)
astro-ph/0305008



$\Lambda = 0$
excluded
at many sigma

Cosmological Supernova Project
(Knop et al.), astro-ph/0309368

Examples for SN II Light Curves



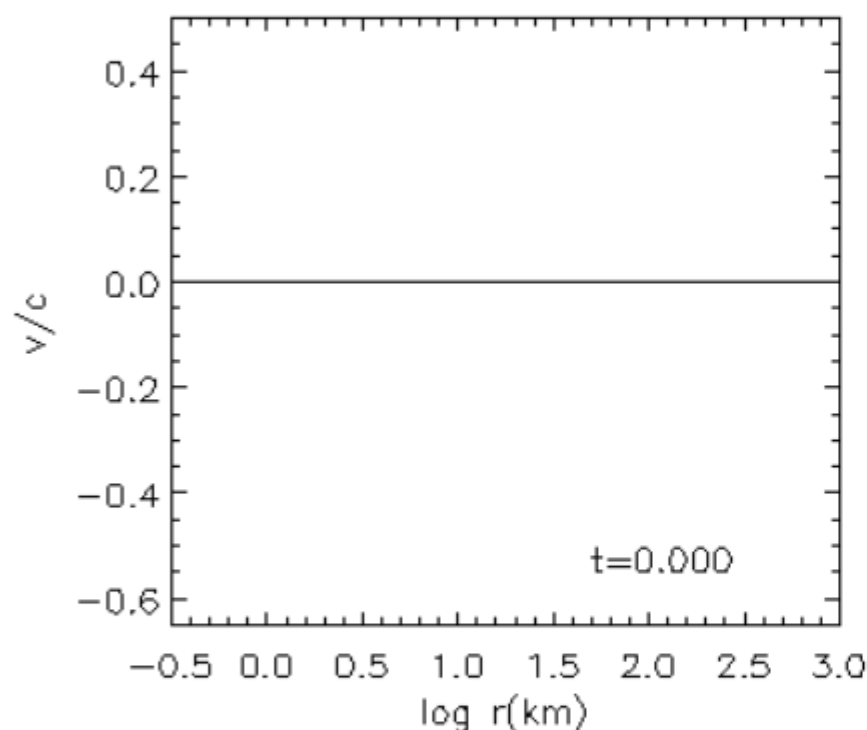
Powered by
radioactive decay of
 ^{56}Co

Cappellaro & Turatto,
astro-ph/0012455

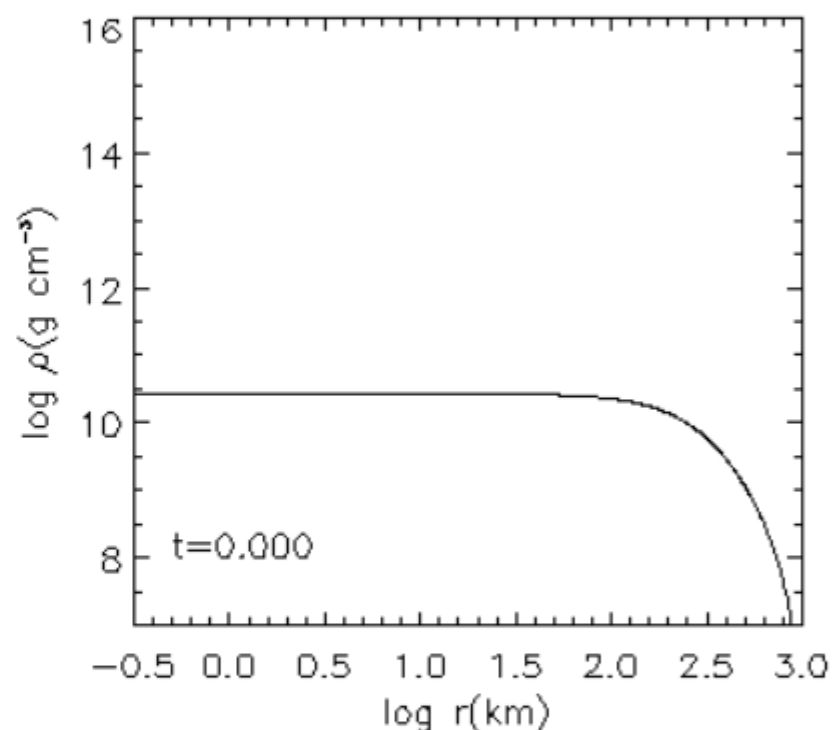
Explosion Mechanism for Core-Collapse SNe

Collapse and Prompt Explosion

Velocity



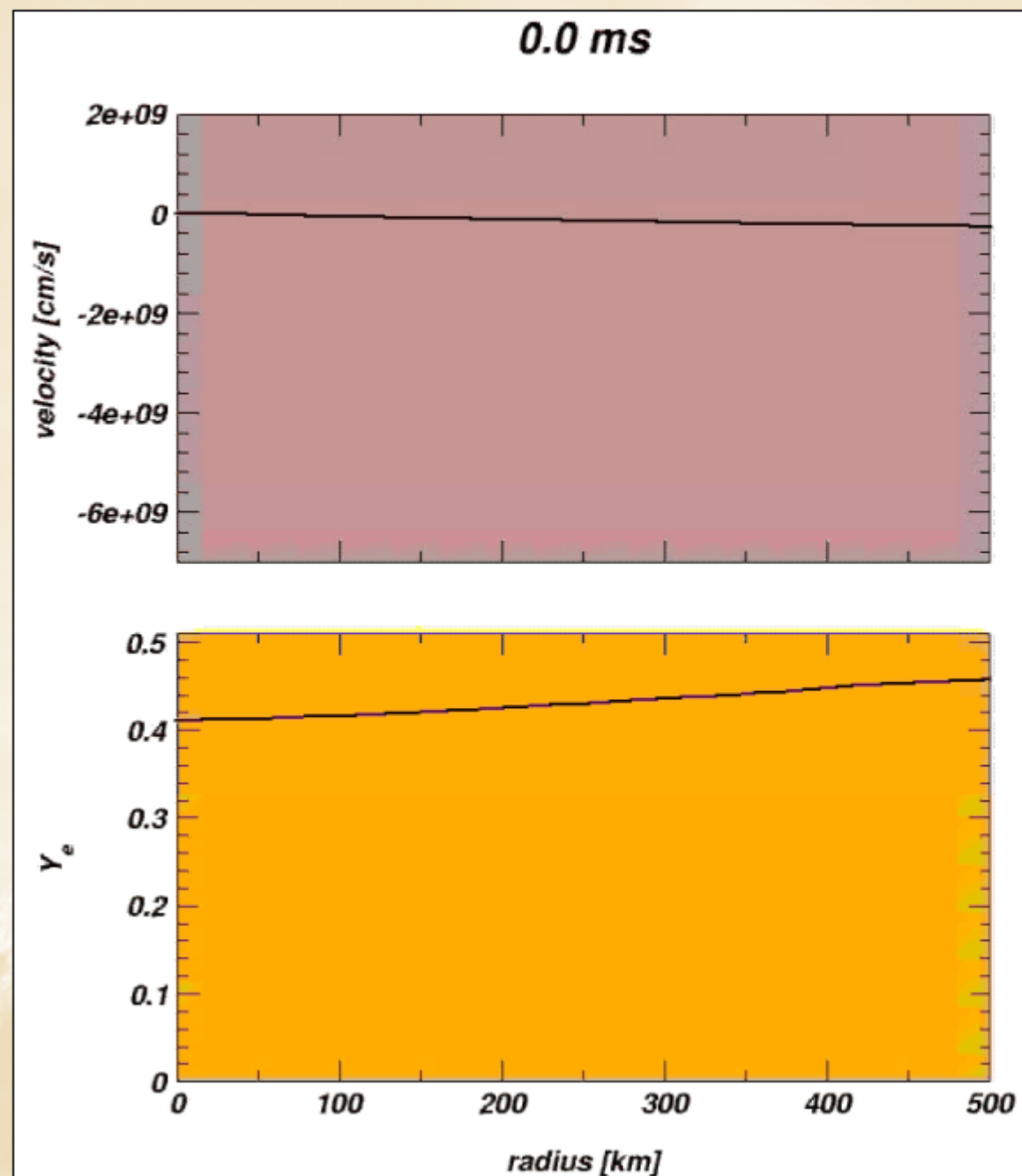
Density



Supernova explosion primarily a hydrodynamical phenomenon

Movies by J.A.Font, Numerical Hydrodynamics in General Relativity
<http://www.livingreviews.org>

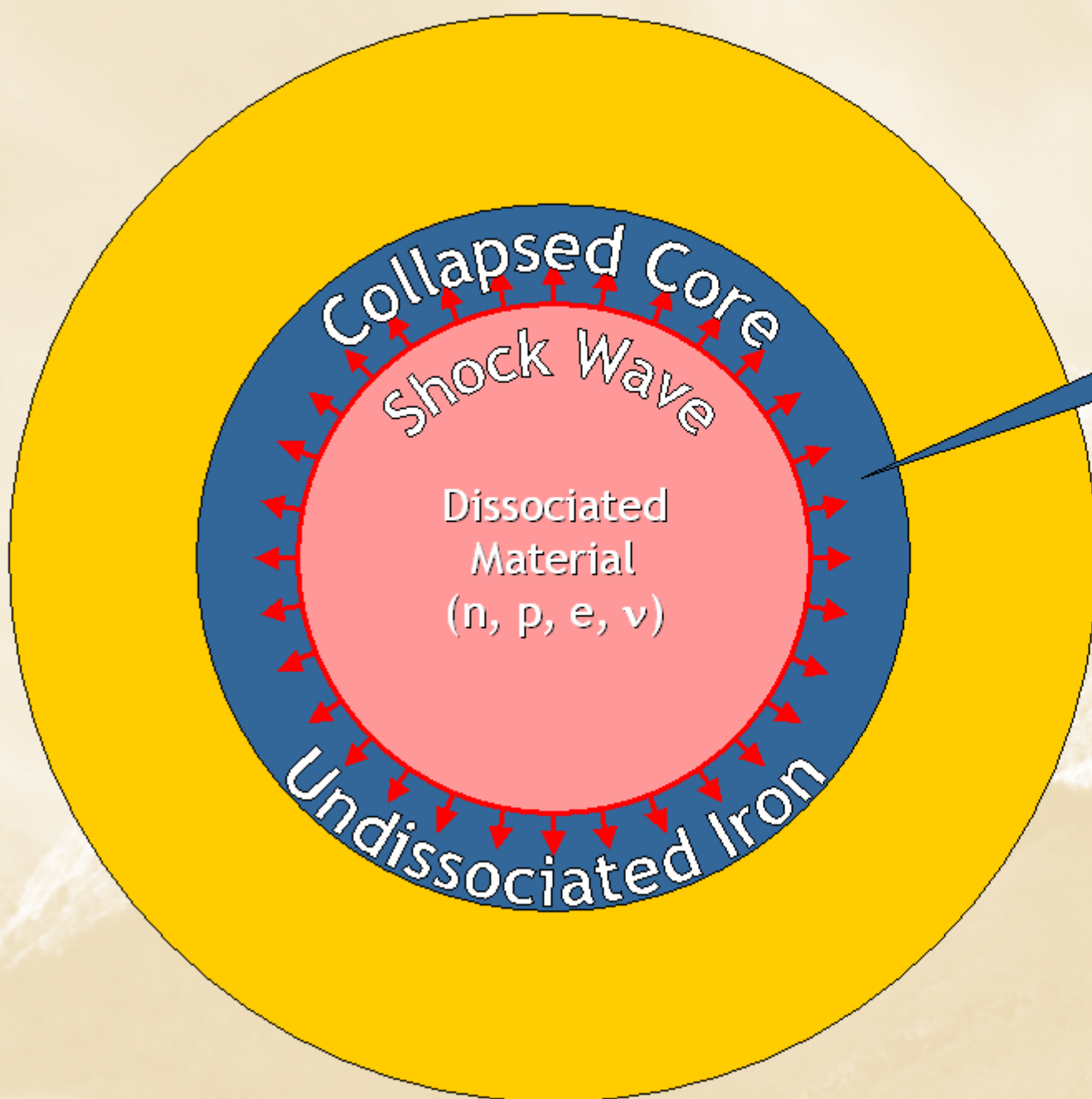
Failed Explosion



Spherically symmetric
simulation of a $15 M_{\text{sun}}$
stellar model
with state-of-the-art
neutrino transport

Movie courtesy of
Bronson Messer,
Oakridge group (2001)

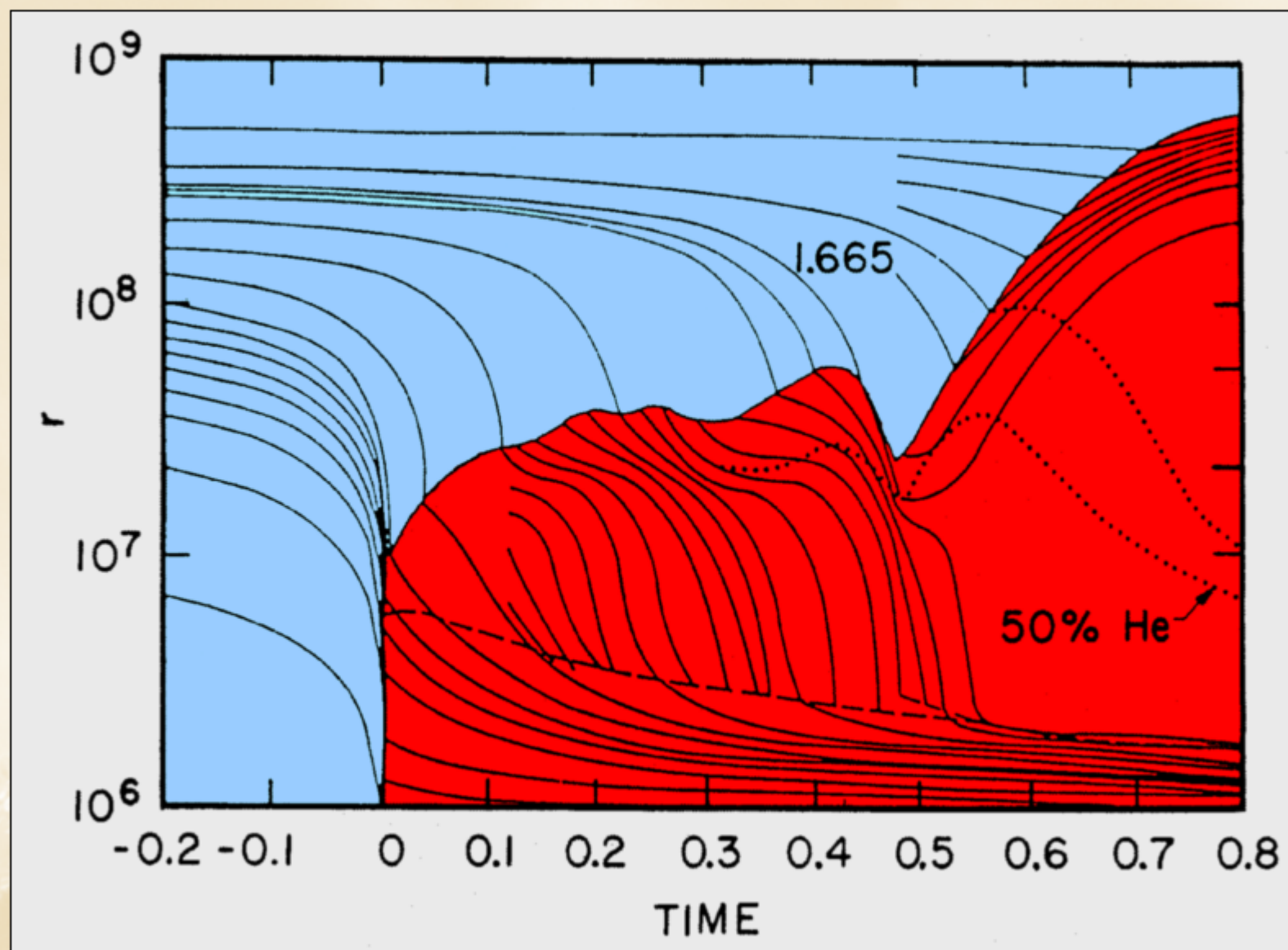
Why No Prompt Explosion?



- $0.1 M_{\text{sun}}$ of iron has a nuclear binding energy $\approx 1.7 \times 10^{51}$ erg
- Comparable to explosion energy

- Shock wave forms within the iron core
- Dissipates its energy by dissociating the remaining layer of iron

Delayed Explosion

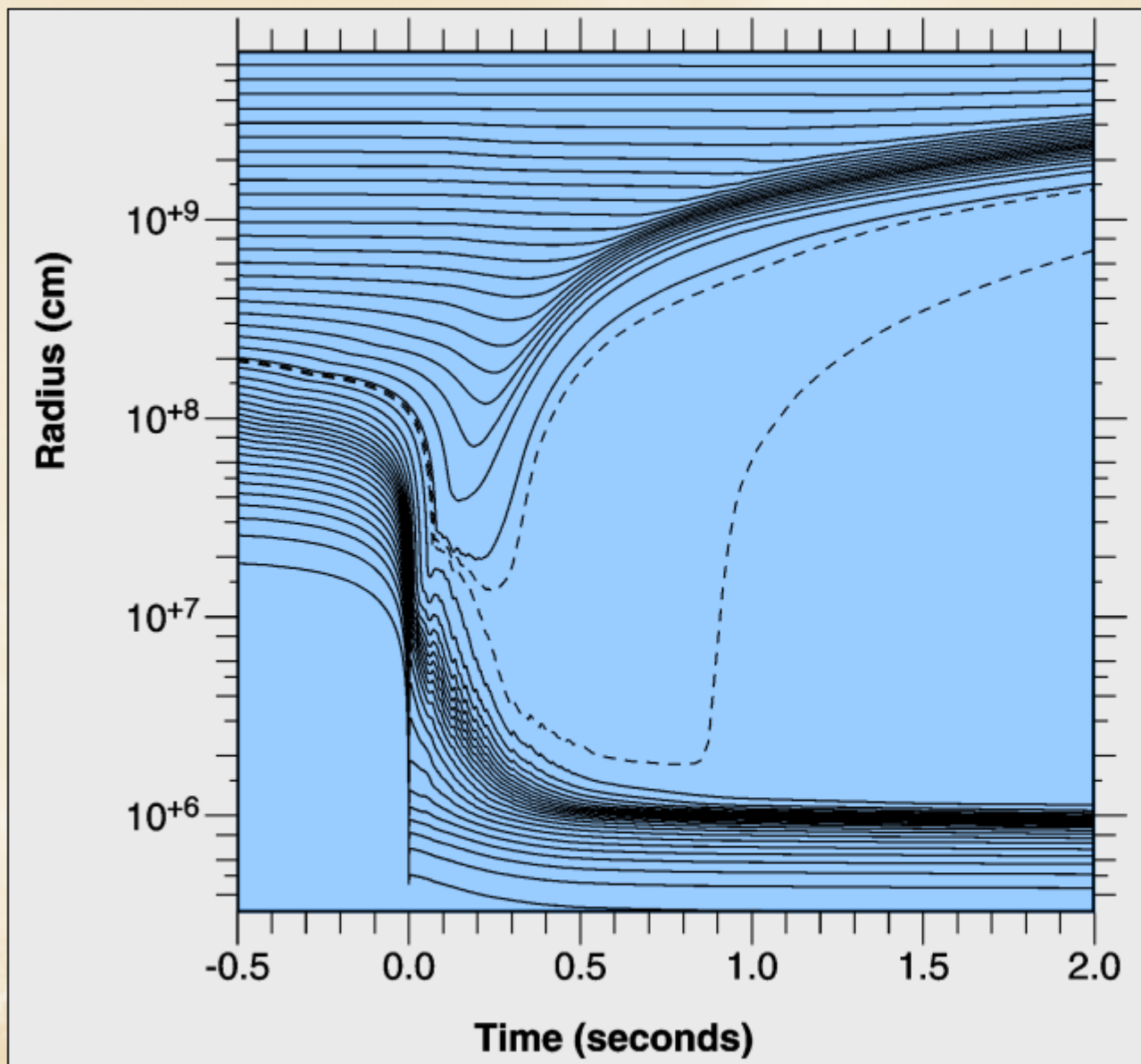


Wilson, Proc. Univ. Illinois Meeting on Num. Astrophys. (1982)
Bethe & Wilson, ApJ 295 (1985) 14

Neutrino heating
increases pressure
behind shock front



Explosions in Livermore Simulations

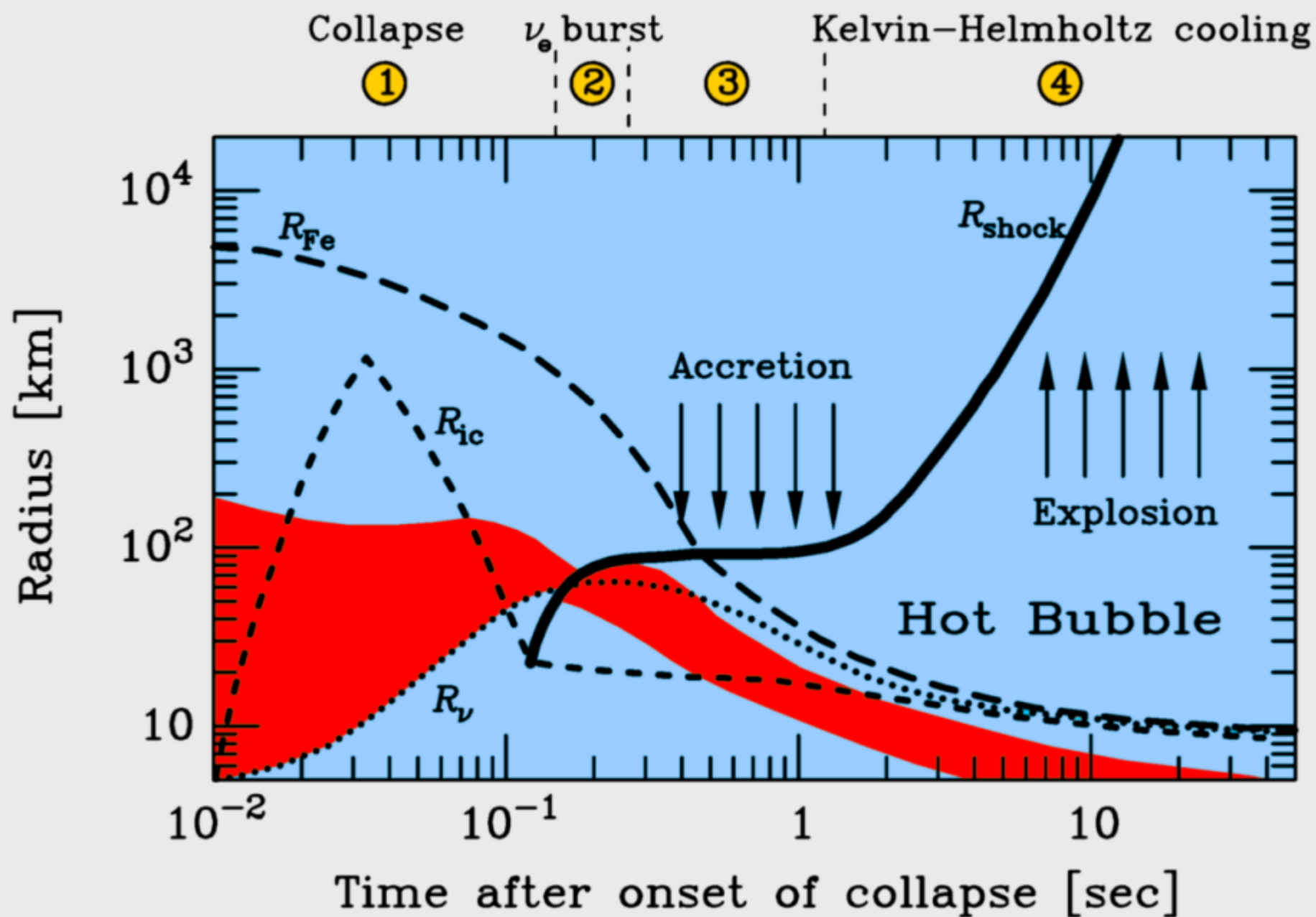


Livermore group obtains robust delayed explosions with 1-D code of Mayle & Wilson

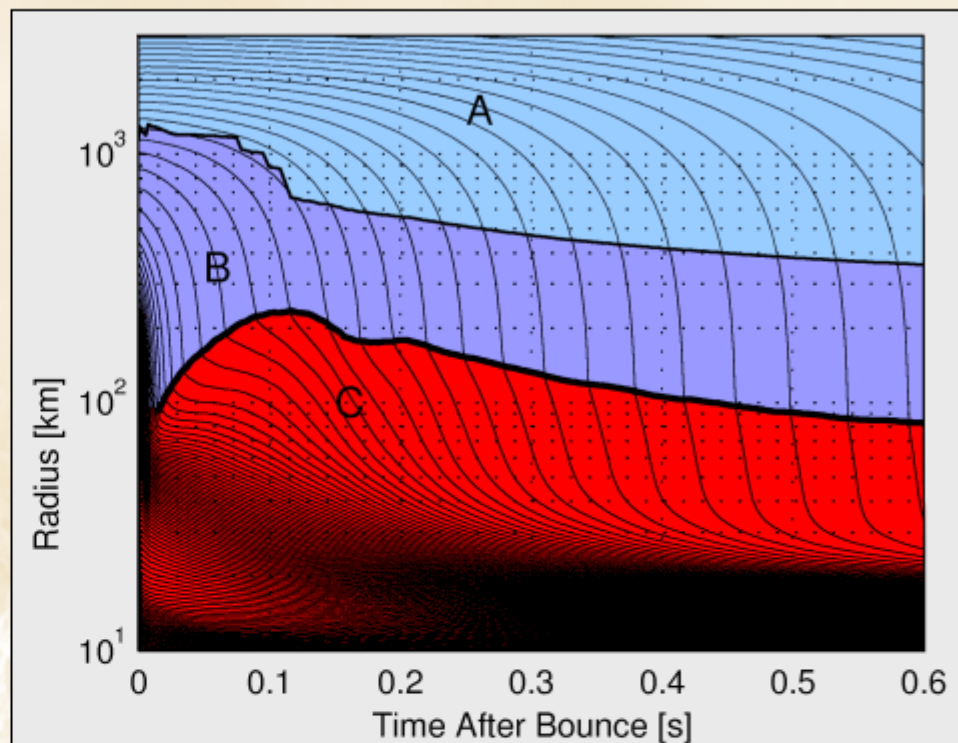
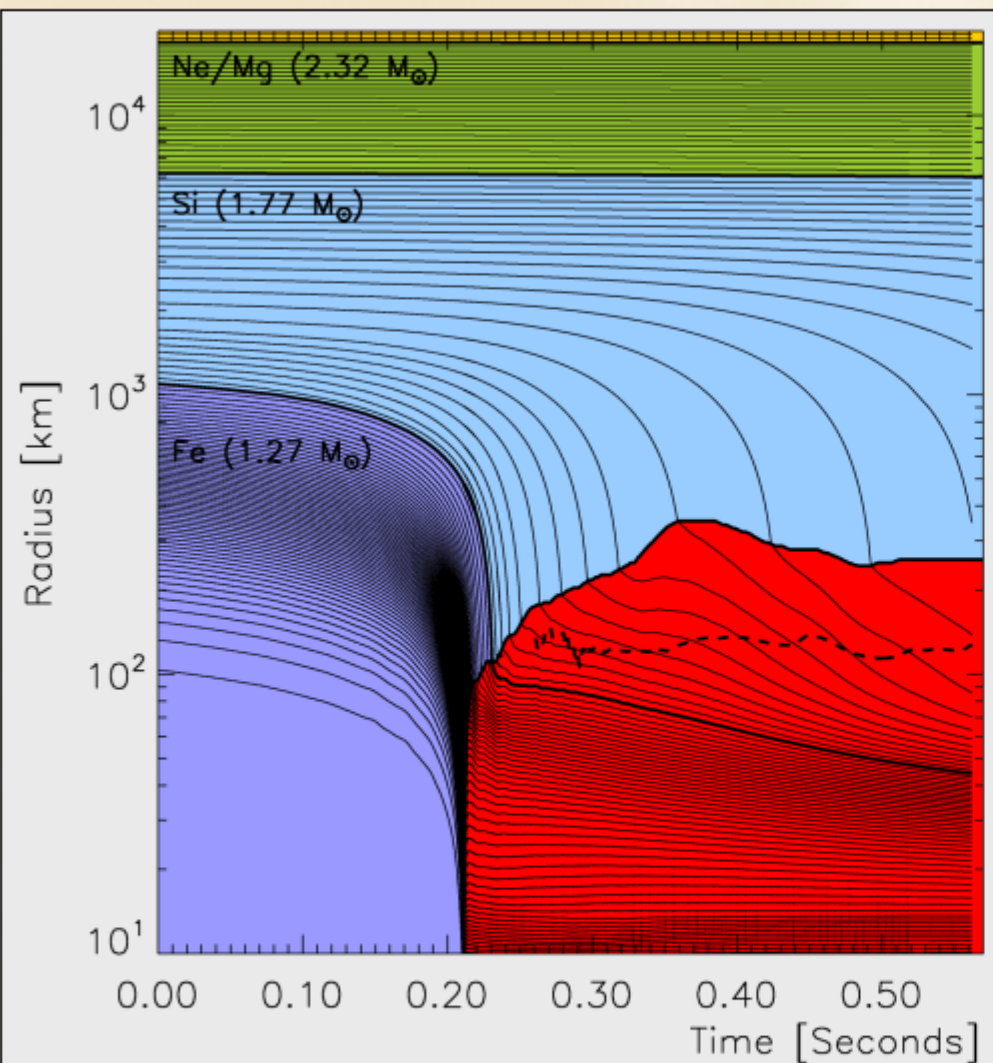
Neutrino luminosity is enhanced by neutron finger convection in proto neutron star

Totani, Sato, Dalhed & Wilson, ApJ 496 (1998) 216

Supernova Delayed Explosion Scenario



Failed Explosions in Spherical Symmetry

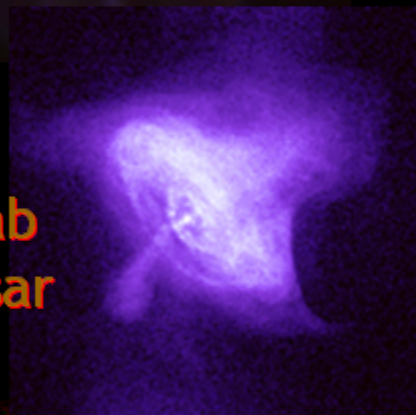
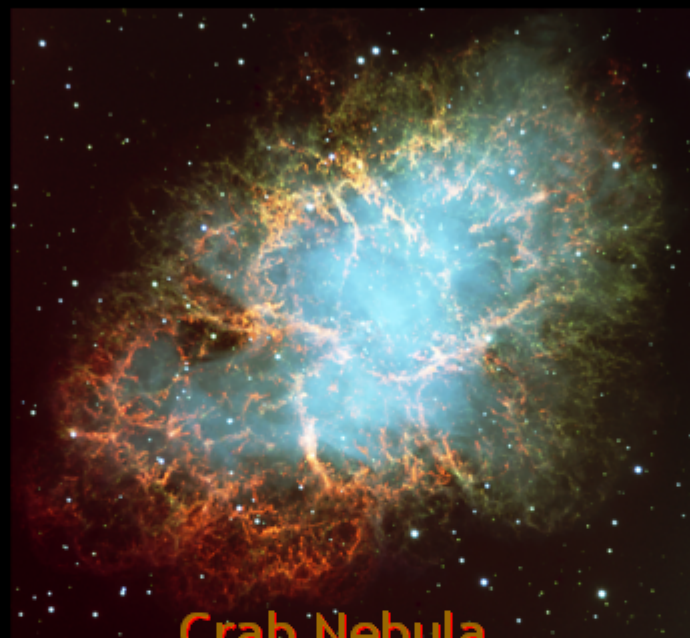
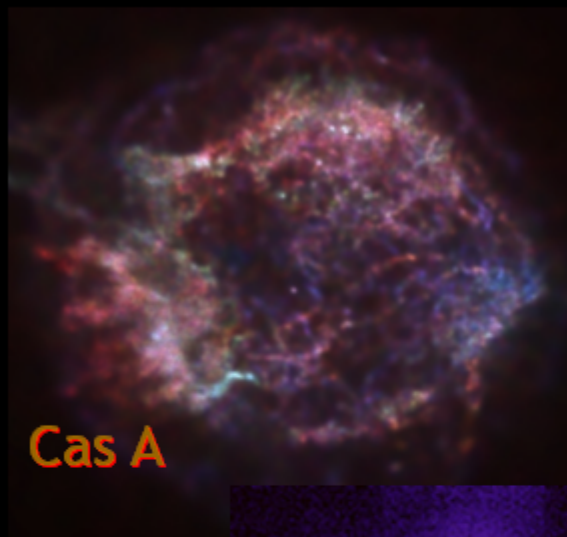


Mezzacappa et al., PRL 86 (2001) 1935

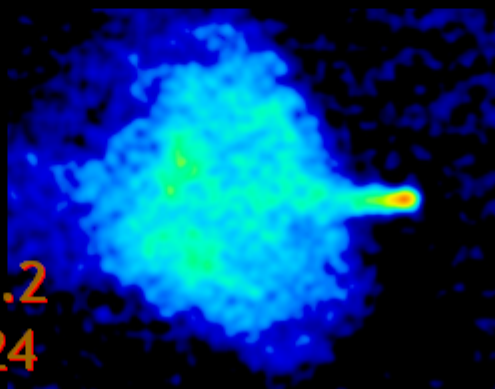
Rampp & Janka,
ApJ 539 (2000) L33

Spherically symmetric (1-D) simulations with state-of-the-art neutrino transport do not explode

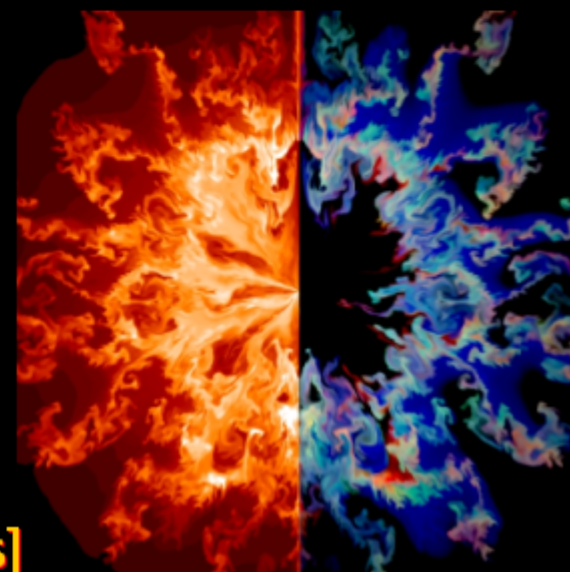
Spherical Symmetry in Astrophysics :-)



SNR G5.4-1.2
PSR 1757-24



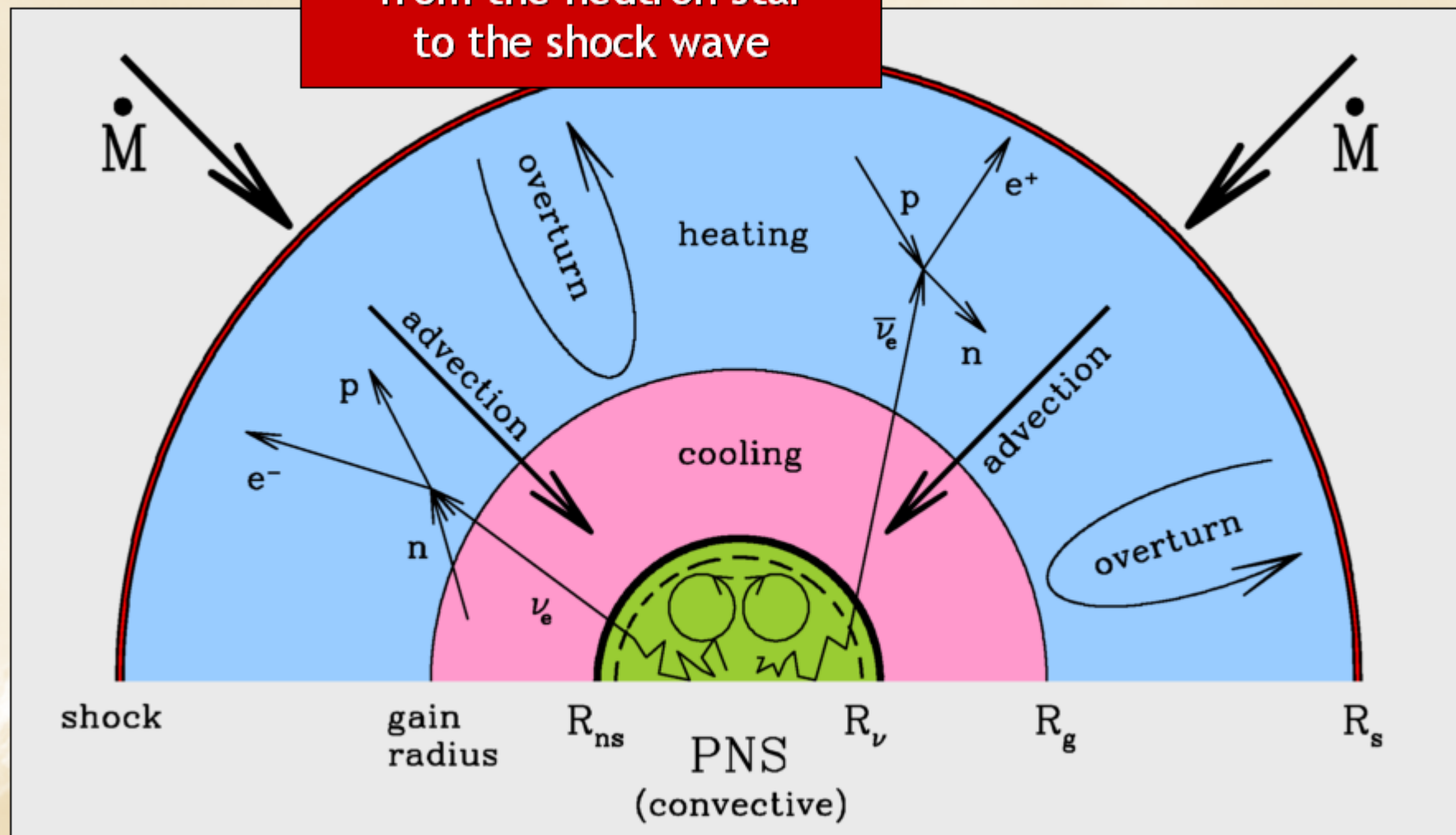
Convection in
SN simulations



[Adapted after a slide by A.Burrows]

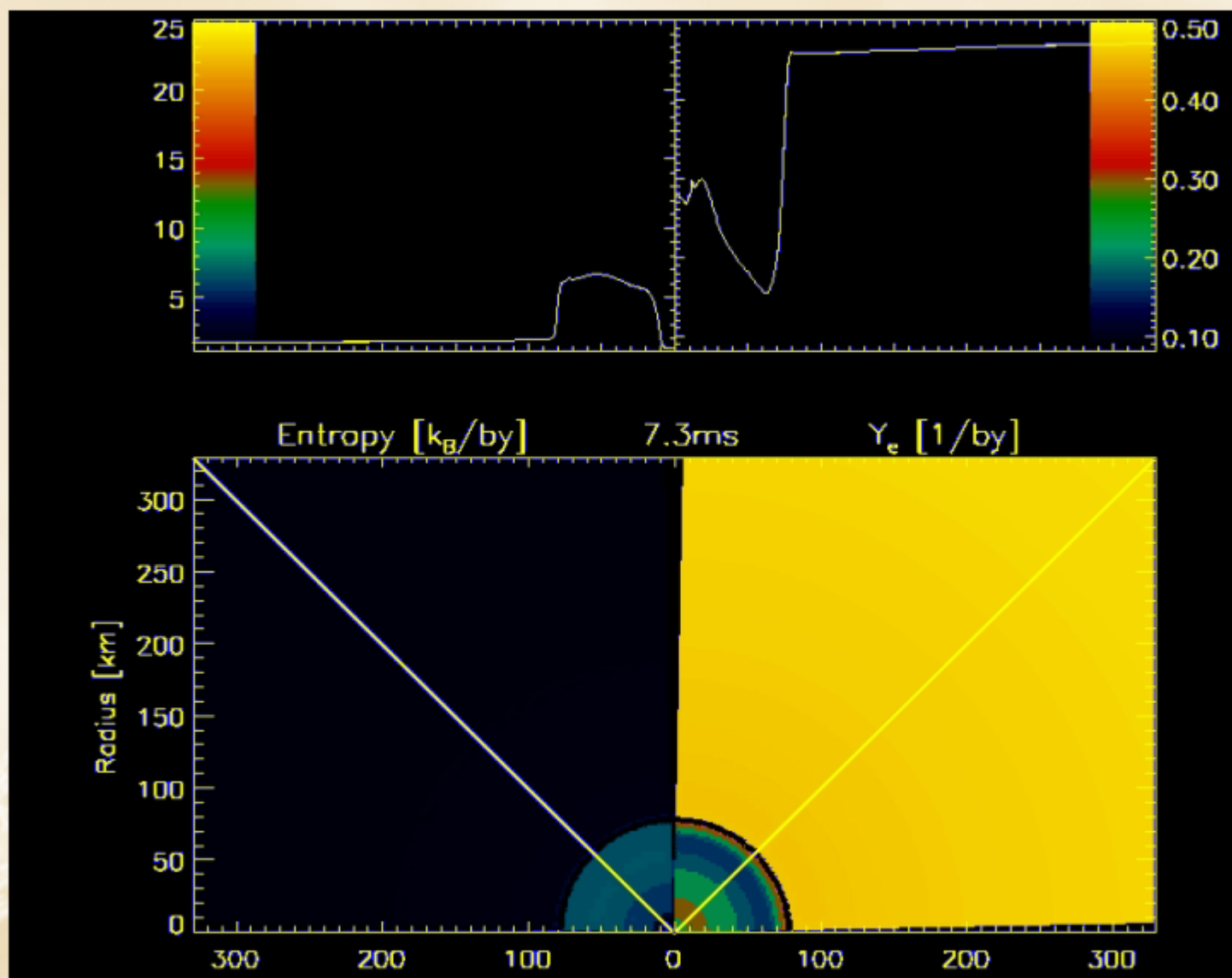
Convection to the Rescue

Convection dredges energy
from the neutron star
to the shock wave



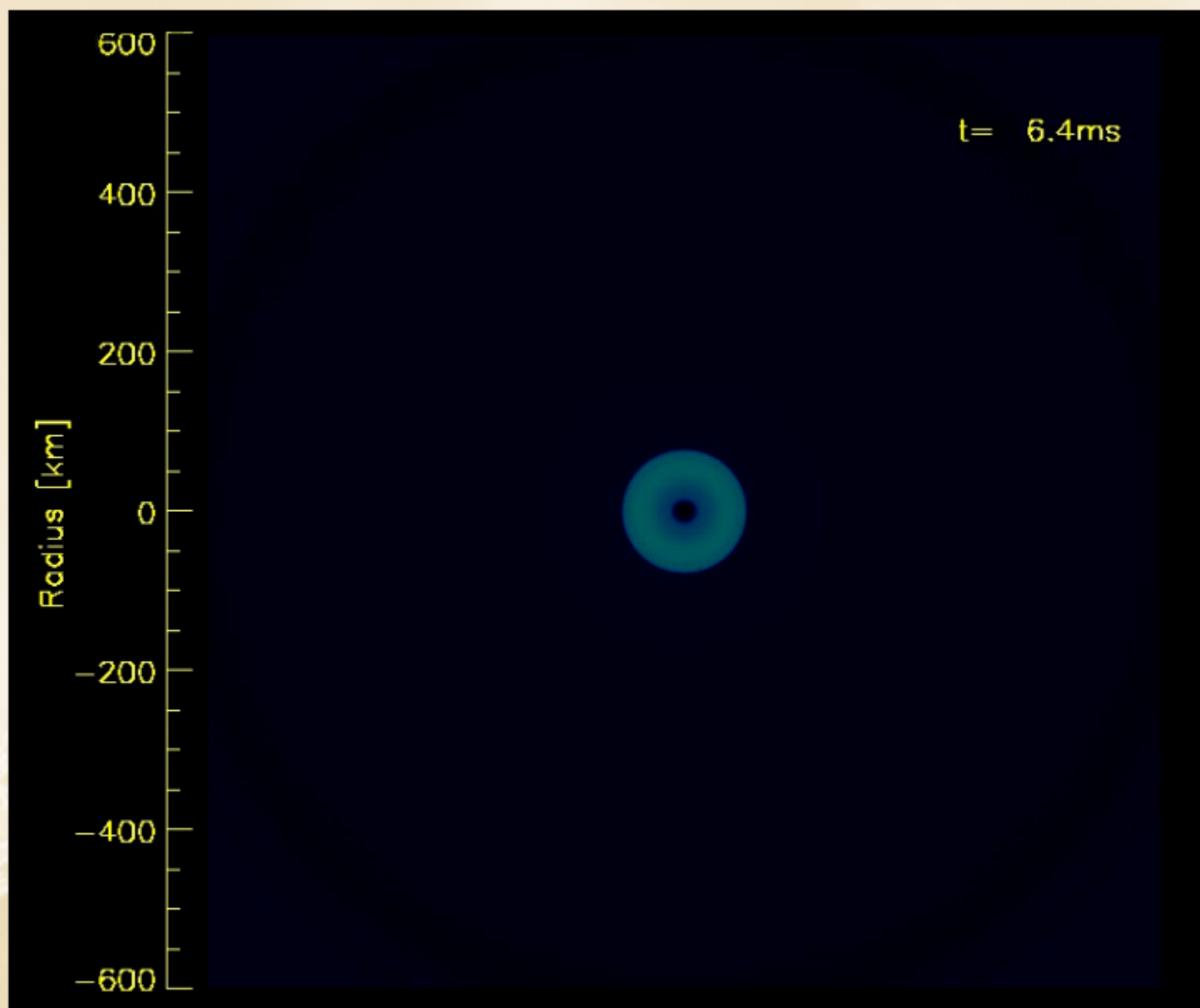
Picture adapted from Janka, astro-ph/0008432

Convection in Supernovae



2-D Simulation (90° wedge) by Garching Group
(H.-T.Janka et al.)

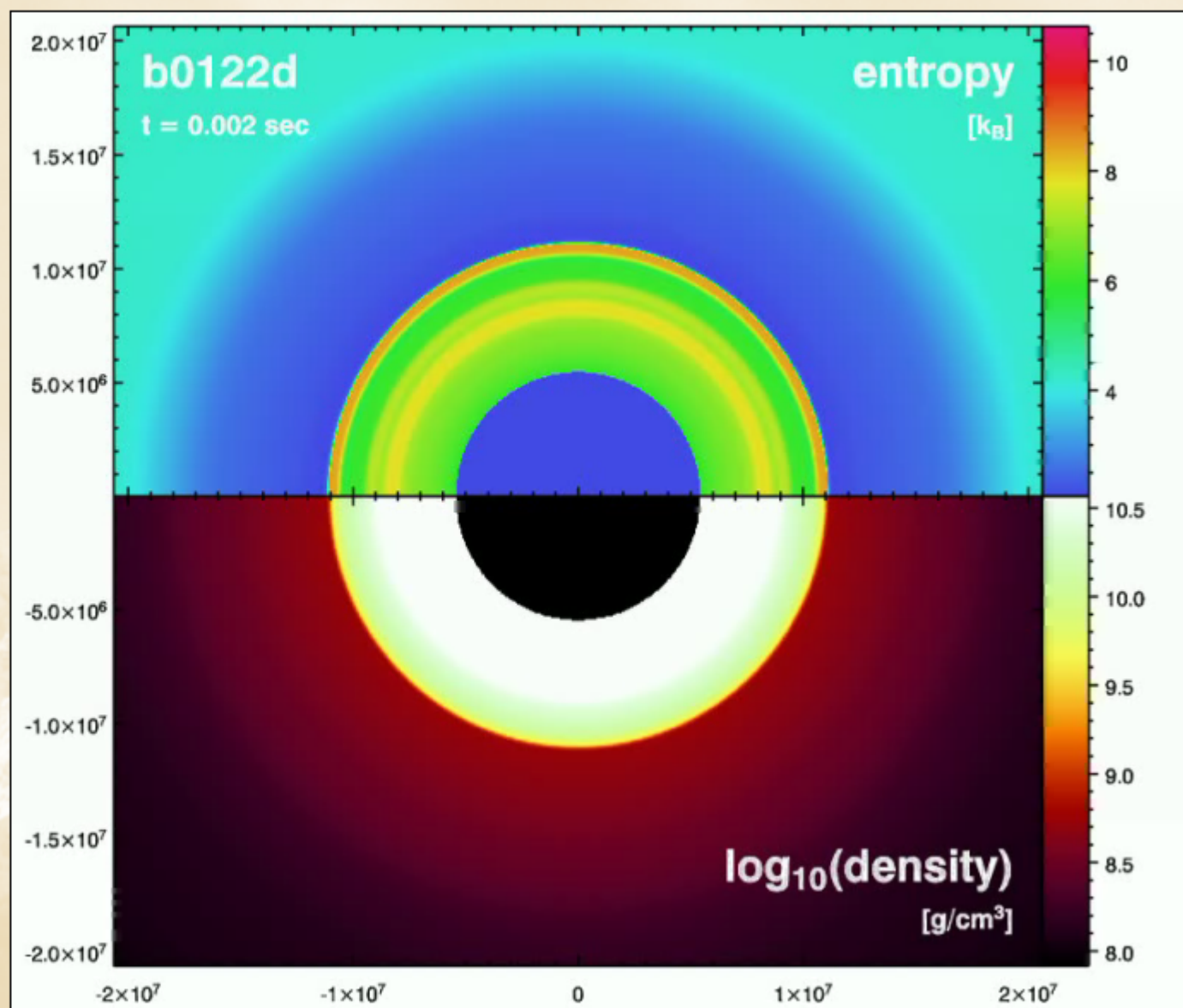
Convection in Supernovae



2-D Simulation (180° wedge) by Garching Group

Parametric 2D Studies (180°) by Garching Group

- If explosion develops slowly, convective structures have time to merge to low ($l = 1$ or 2) mode flow
 - Very asymmetric shock expansion and mass ejection although boundary neutrino flux is isotropic
- (Scheck et al., PRL 2004 and PhD Thesis)



Parametric 3D Studies by Garching Group

- First explosions in 3D show also very large asymmetry
- Convection grows faster than in 2D
- Explosion energy somewhat higher

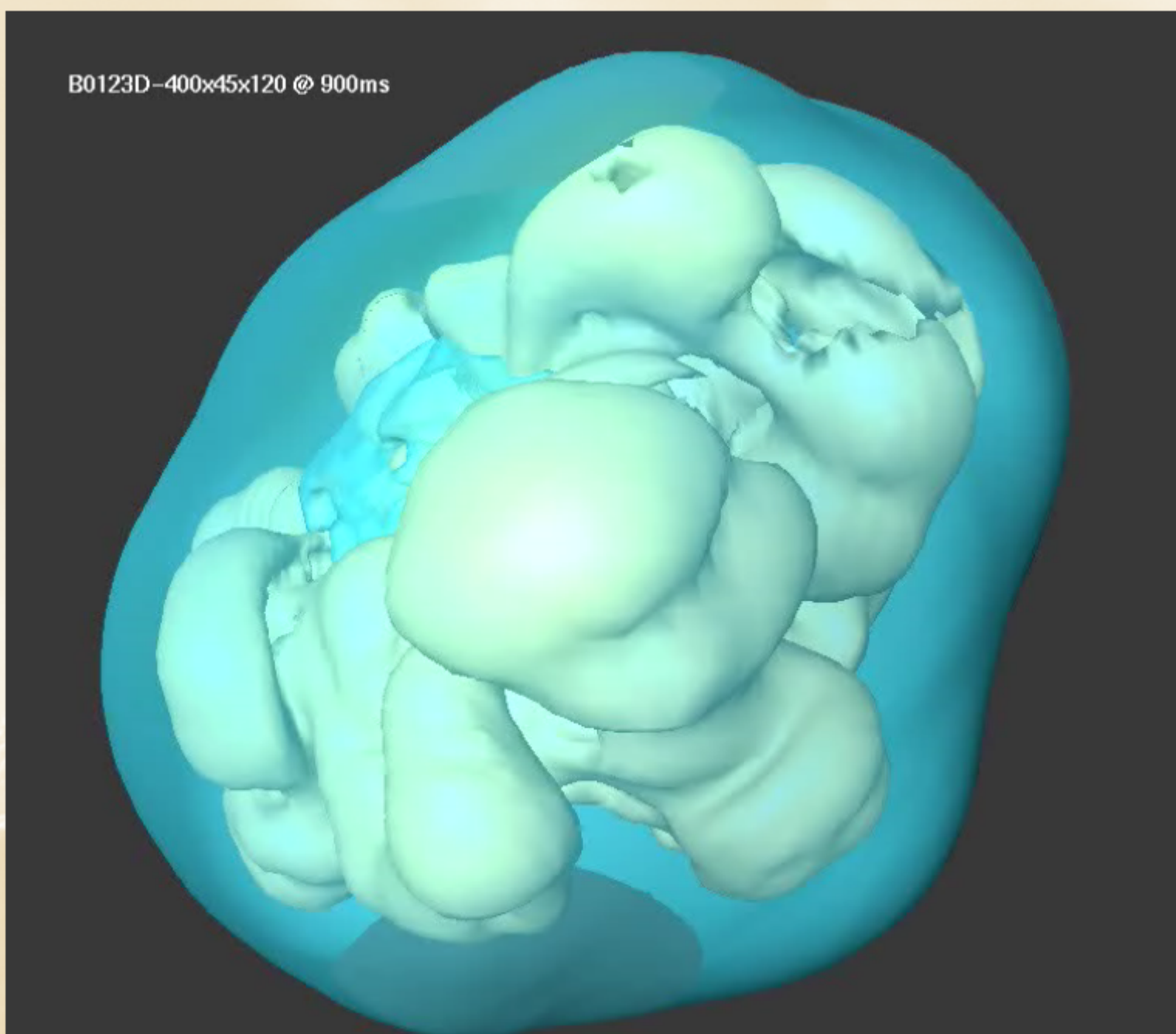
(L. Scheck
PhD Thesis 2004)

b0123d-400x45x120, $y_e=0.495$, 8e8cm



$T = (300 \pm 0) \text{ msec}$

Parametric 3D Studies by Garching Group

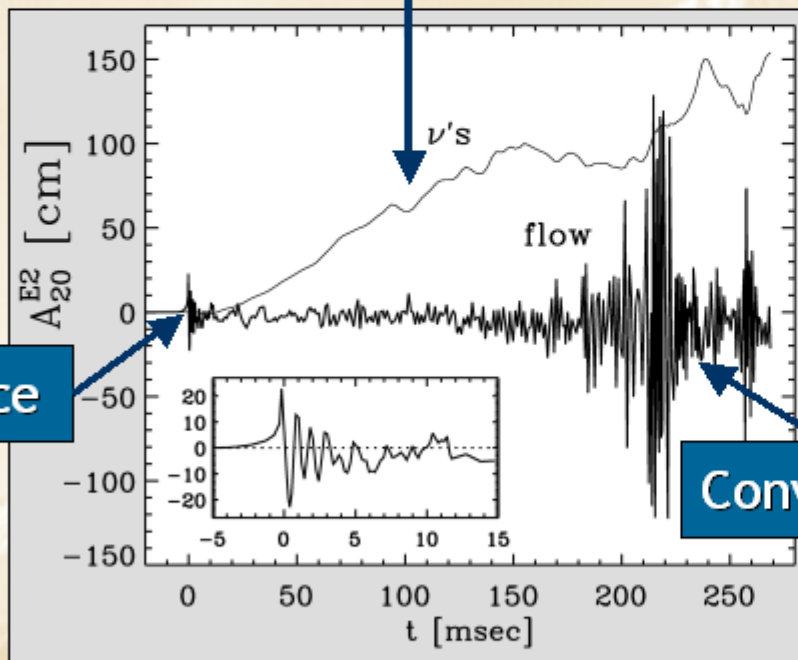


Gravitational Waves from Core-Collapse Supernovae

Müller, Rampp, Buras, Janka, & Shoemaker,
"Towards gravitational wave signals from
realistic core collapse supernova models,"
astro-ph/0309833

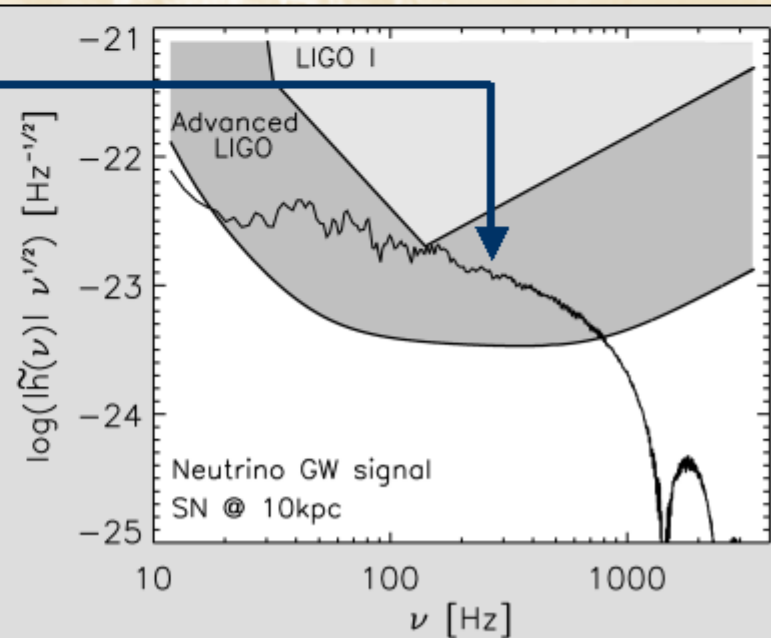
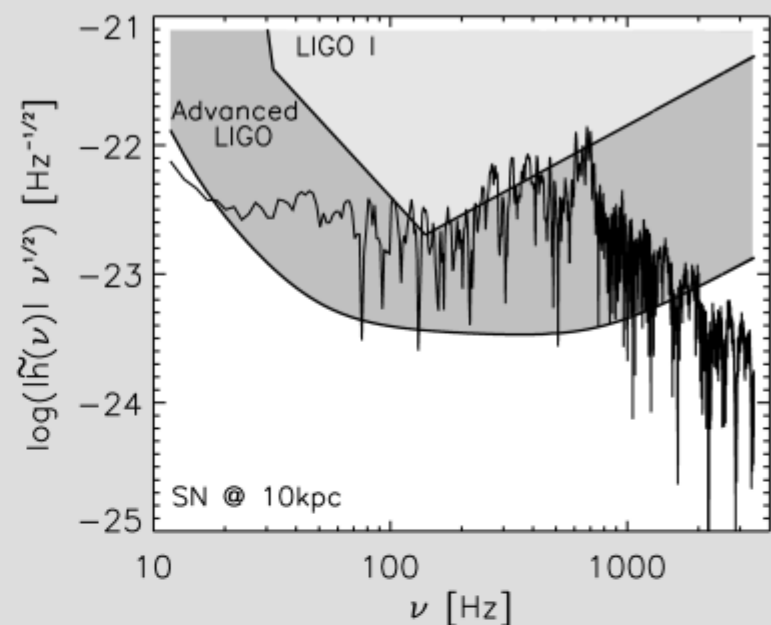
Asymmetric neutrino emission

Bounce

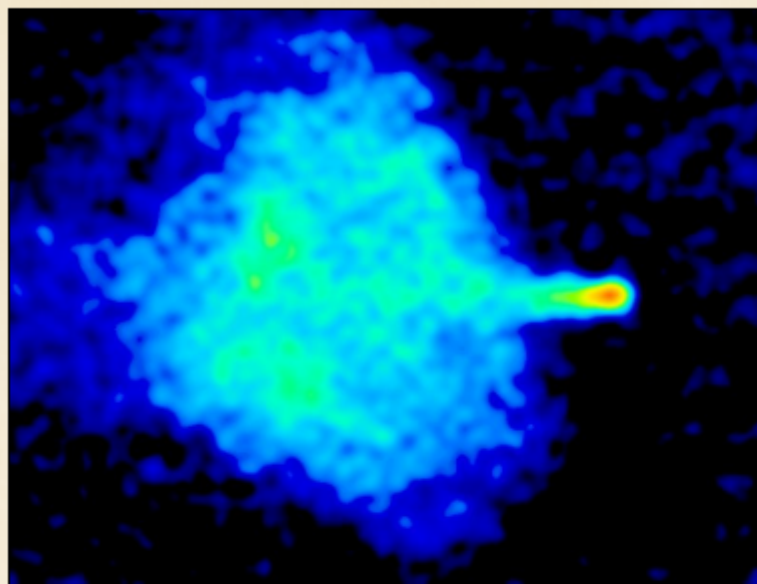


Convection

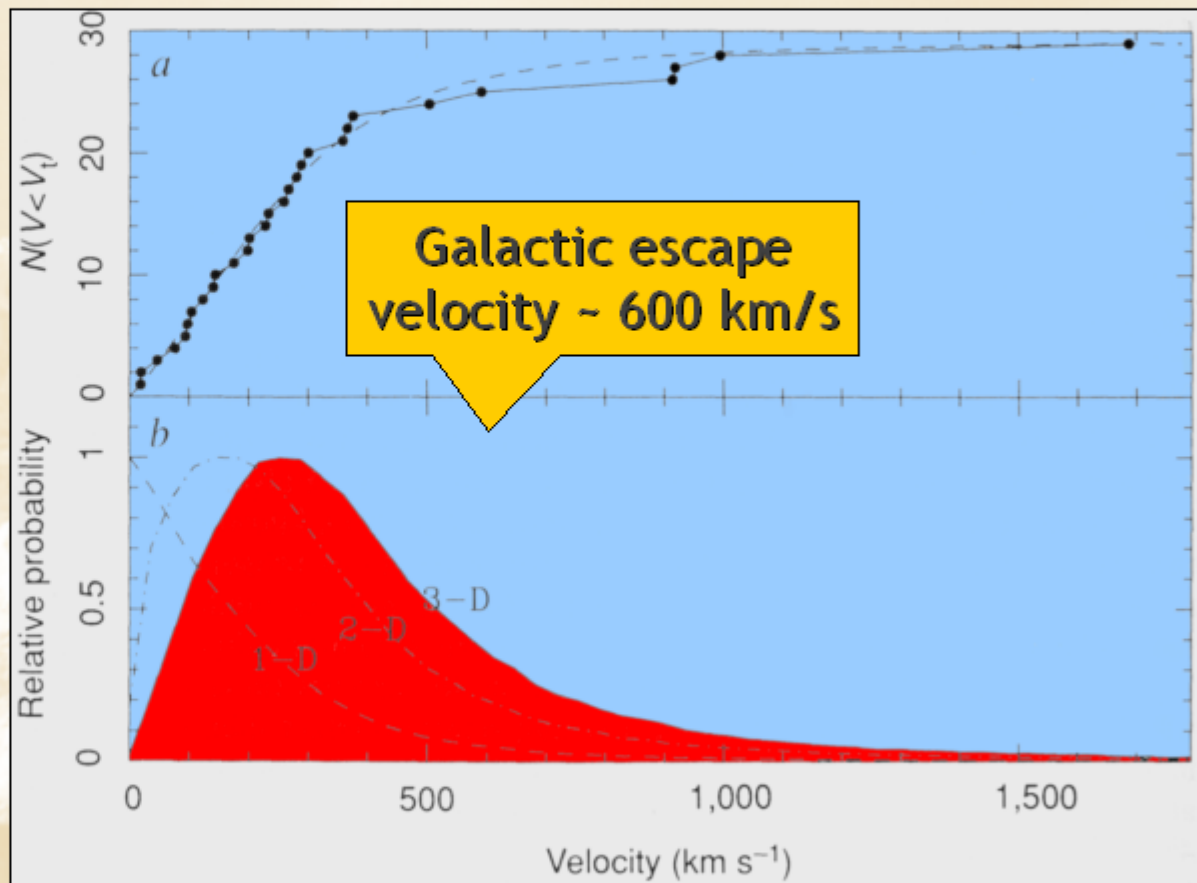
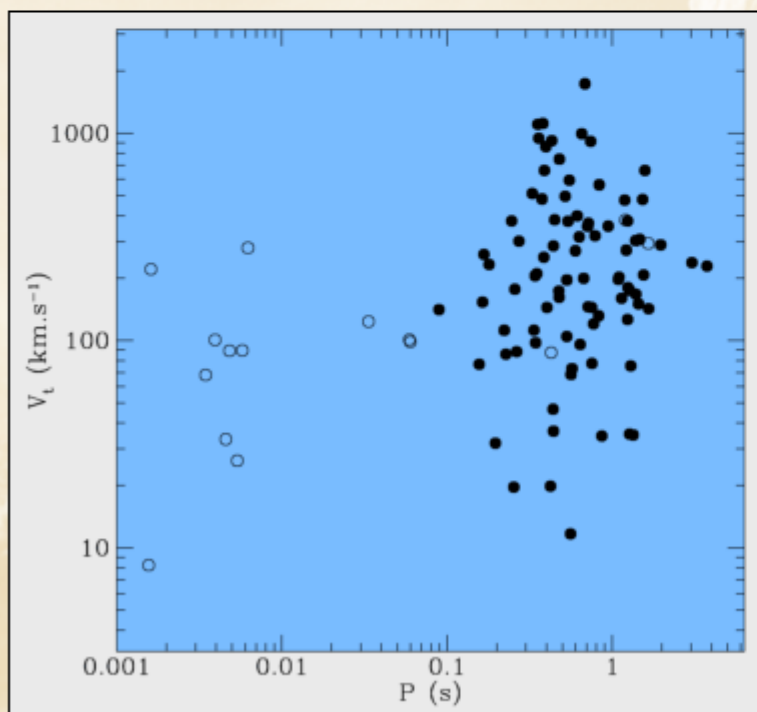
The gravitational-wave signal from convection
is a generic and dominating feature



High-Velocity Pulsars



False-color radio image of the SNR G5.4-1.2 and the young radio pulsar PSR 1757-24 ($v = 1300\text{--}1700$ km/s away from the gal. plane)



Pulsar velocity distribution
[Lyne & Lorimer, Nature 369 (1994) 127]

What Accelerates the Pulsars?

Neutrino Rocket	Required neutrino asymmetry $2.8\% \left(\frac{3 \times 10^{53} \text{ erg}}{E_{\text{tot}}} \right) \left(\frac{v_{\text{kick}}}{1000 \text{ km/s}} \right)$ Caused by B-field induced asymmetry of neutrino transport coefficients (parity violation, dispersion effects & oscillations, asymmetric field distribution, ...)? Typically requires huge fields $> 10^{15}$ Gauss
Matter Rocket	Hydrodynamically driven kicks • Asymmetric matter ejection, but convection not enough (?) • Pre-collapse asymmetry, perhaps caused by overstable oscillations of pre-supernova core?
Photon Rocket	Electromagnetically driven acceleration: Off-center global magnetic dipole moment & rotation accelerates star in one direction. (Slow process - not a “kick”)

Dong Lai, Neutron Star Kicks and Asymmetric Supernovae, astro-ph/0012049

Theoretical Status of Supernova Explosions



- Spherically symmetric models do not explode, even with state-of-the-art Boltzmann solvers for neutrino transport
- Delayed explosion scenario requires enhanced neutrino luminosity at early times ($\sim$ factor 2)



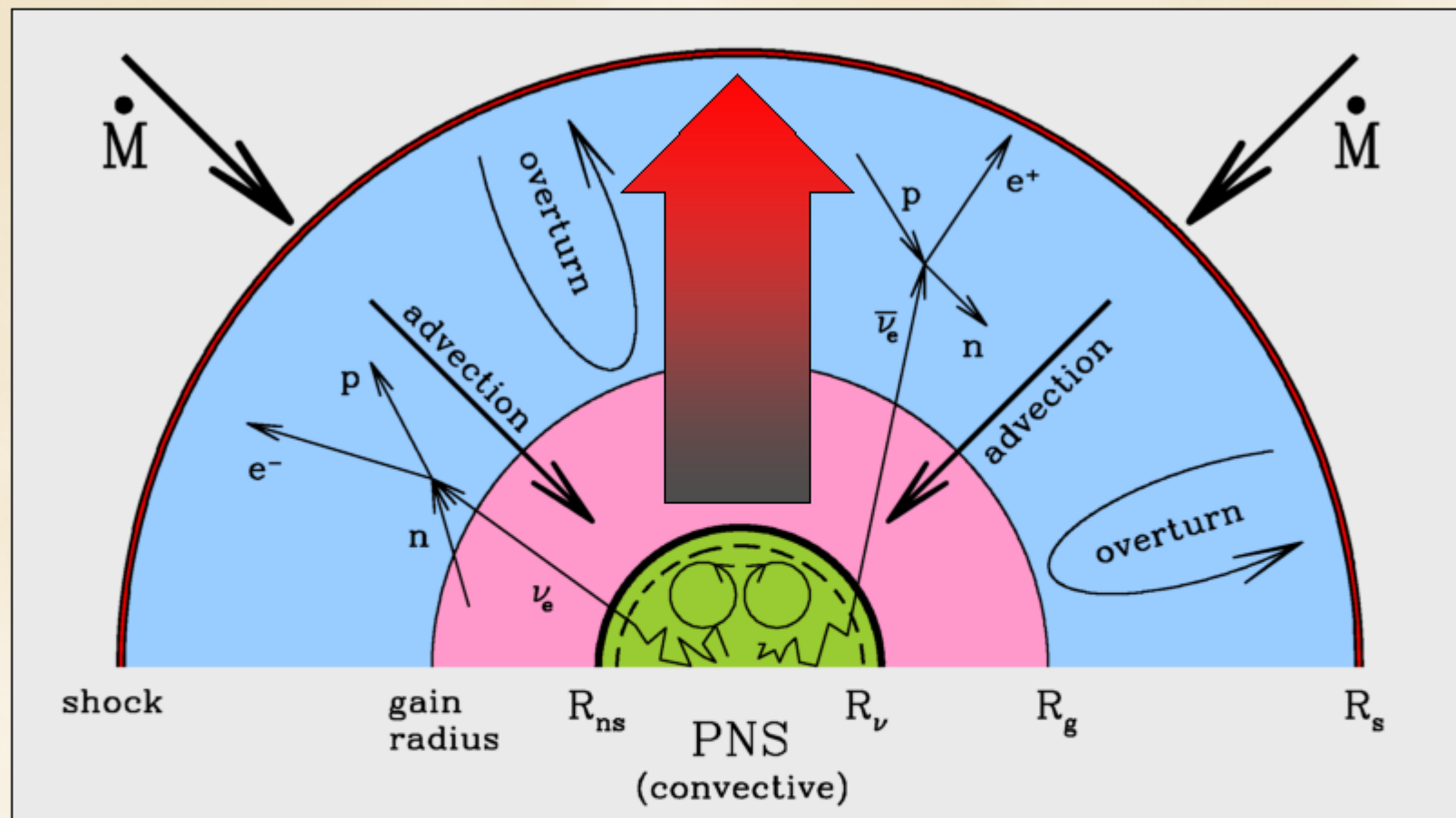
- Convection between proto neutron star (PNS) and shock wave and perhaps within PNS helps
- But 2-D simulations self-consistently coupled with state-of-the-art neutrino transport do not explode either



- New physical ingredients required?
- Explosion a magneto-hydrodynamical effect? (Strong B-fields and fast rotation possible)
- Nuclear equation of state very different?

Buras, Rampp, Janka & Kifonidis (MPA Garching),
Improved Models of Stellar Core Collapse and Still no Explosions:
What is Missing?
[astro-ph/0303171, Phys. Rev. Lett. 90, 241101 (2003)]

Novel Forms of Energy Transfer?



New particles or neutrinos with novel properties could provide a channel of energy transfer from proto neutron star to shock wave

Must not transfer too much energy: Limits on decaying neutrinos
[Falk & Schramm, PLB 79 (1978) 511]

Shock Revival by Novel Particles?

THE ASTROPHYSICAL JOURNAL, 260:868–874, 1982 September 15

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SUPERNOVAE INDUCED BY AXION-LIKE PARTICLES

DAVID N. SCHRAMM

The University of Chicago

AND

JAMES R. WILSON

Lawrence Livermore Laboratory

Received 1981 December 22; accepted 1982 April 1

ABSTRACT

It is shown that a new type of particle which may have been seen in a recent accelerator experiment may, if truly present, provide a mechanism whereby gravitationally collapsing massive stars may eject their outer mantles and envelopes in supernova explosions of $\sim 10^{51}$ ergs while leaving the cores to form neutron star remnants. These particles are “axion-like,” which means they interact semiweakly, decay to two photons with lifetimes $\sim 10^{-3}$ s, and have masses $0.15 \leq M_a \lesssim 1$ MeV. It is hoped that future accelerator searches will be able to confirm or deny the existence of these particles, the presence of which would cause a dramatic solution to the long-standing gravitational-collapse supernova problem.

Subject headings: elementary particles — nuclear reactions — stars: collapsed — stars: supernovae

Viable Scenario with Axion-Like Particles

Coupled to nuclear medium
by bremsstrahlung
 $N + N \rightarrow N + N + a$

Thermal flux of axion-like
particles from "axion sphere"

Decay
 $a \rightarrow e^+ + e^-$

Stalling
shock
wave

$$L = \frac{1}{f} \bar{\Psi}_N \gamma_5 \gamma^\mu \Psi_N \partial_\mu a$$

$$L = \frac{1}{f} \bar{\Psi}_e \gamma_5 \gamma^\mu \Psi_e \partial_\mu a$$

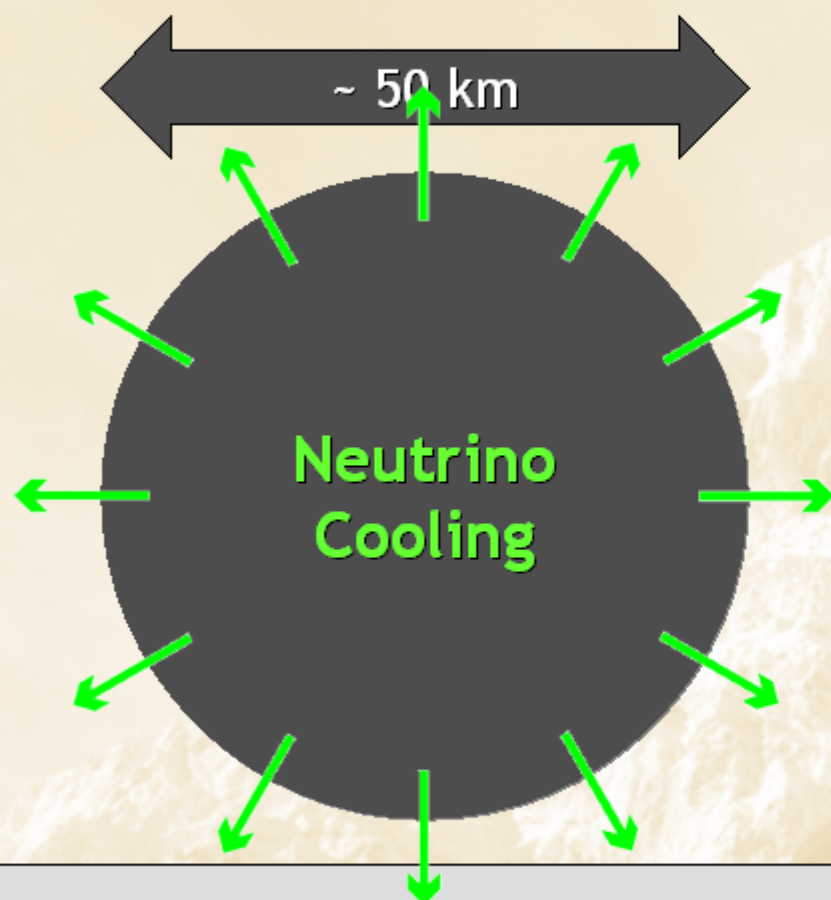
Apparently consistent with $f = \text{few } 10^5 \text{ GeV}$ and $m = \text{few MeV}$
Not excluded by other arguments, but also not independently motivated

Berezhiani & Drago, PLB 473 (2000) 281

Neutrinos from Core-Collapse Supernovae

Stellar Collapse and Supernova Explosion

Newborn Neutron Star



Proto-Neutron Star

$$\rho \approx \rho_{\text{nuc}} = 3 \times 10^{14} \text{ g cm}^{-3}$$
$$T \approx 30 \text{ MeV}$$

Gravitational binding energy

$$E_b \approx 3 \times 10^{53} \text{ erg} \approx 17\% M_{\text{SUN}} c^2$$

This shows up as

- 99% Neutrinos
- 1% Kinetic energy of explosion
(1% of this into cosmic rays)
- 0.01% Photons, outshine host galaxy

Neutrino luminosity

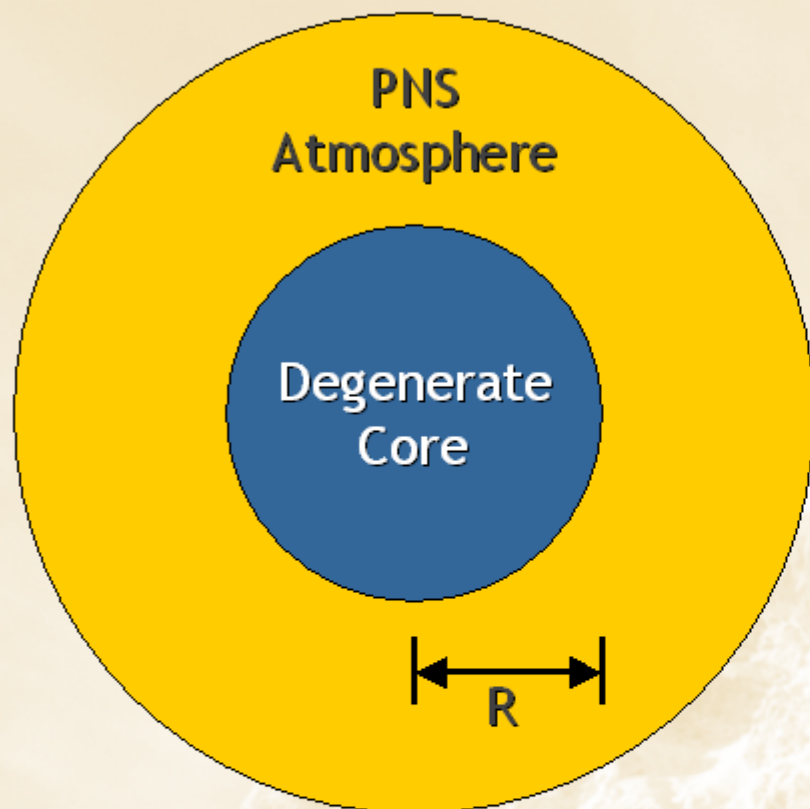
$$L_\nu \approx 3 \times 10^{53} \text{ erg} / 3 \text{ sec}$$
$$\approx 3 \times 10^{19} L_{\text{SUN}}$$

While it lasts, outshines the entire visible universe

What determines the size of the PNS?

Mass	Chandrasekhar mass of iron core of progenitor star Dimensional analysis: $M_{\text{Ch}} \approx G_N^{-3/2} m_N^{-2} = m_{\text{Pl}}^3 m_N^{-2} \approx 1.5 M_{\text{sun}}$ Same number from zero-T polytropic model
Density	Supported by nucleon degeneracy pressure Nuclear density $\rho \approx \rho_{\text{nuc}} = 3 \times 10^{14} \text{ g cm}^{-3}$
Radius	$\frac{4\pi}{3} \rho_{\text{nuc}} R^3 = M_{\text{Ch}}$ $R \approx 10.6 \text{ km}$
Schwarzschild Radius	General $R_S = 2G_N M$ Here $R_S = 2G_N M_{\text{Ch}} = 2m_{\text{Pl}} m_N^{-2} = 4.6 \text{ km}$

What determines the neutrino energies?



Gravitational potential of a given nucleon

$$\Phi = -G_N M_{\text{PNS}} m_N R^{-1}$$

With $M_{\text{PNS}} \approx 1.5 M_{\text{sun}}$ and $R \approx 30 \text{ km}$

$$\Phi \approx -27 \text{ MeV}$$

Virial theorem (hydrostatic equilibrium)
assuming nondegenerate conditions,

$$\langle E_{\text{kin}} \rangle = -\frac{1}{2} \langle \Phi \rangle \approx 13 \text{ MeV}$$

Thermal equilibrium

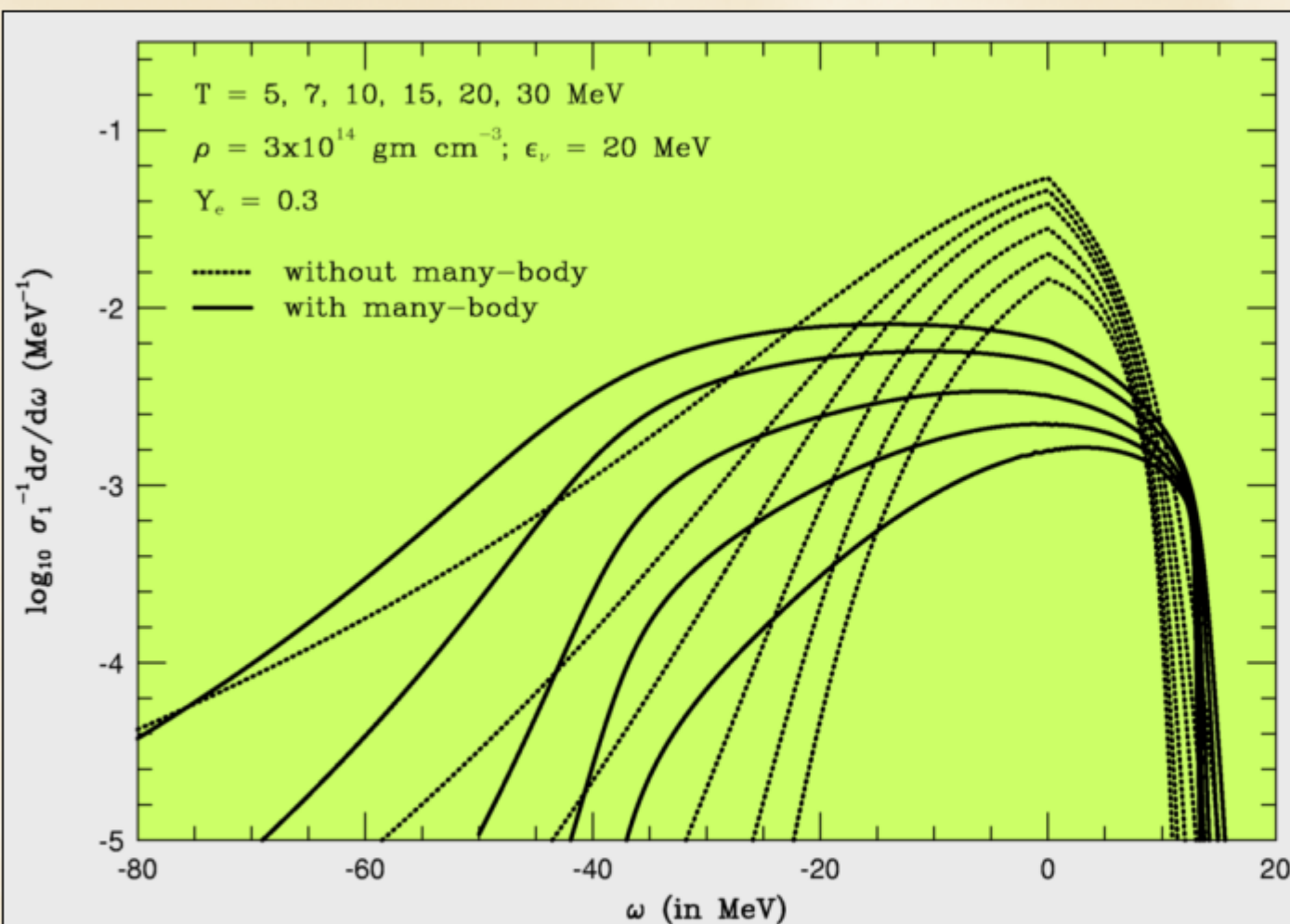
$$T = (2/3) \langle E_{\text{kin}} \rangle \approx 9 \text{ MeV}$$

- If the proto-neutron star (PNS) atmosphere is nondegenerate, its temperature is determined by the gravitational potential at the surface of the degenerate core and found in the $\sim 10 \text{ MeV}$ range
- Core contracts by accretion and/or cooling $\rightarrow T$ increases

What determines the time scale?

Main neutrino reactions	Electron flavor $\nu_e + n \rightarrow p + e^-$ $\bar{\nu}_e + p \rightarrow n + e^+$ Other flavors $\nu_e + N \rightarrow N + \nu$
Neutral-current scattering cross section	$\sigma(\nu N \rightarrow N \nu) = \frac{C_V^2 + 3C_A^2}{\pi} G_F^2 E_\nu^2 \approx 2 \times 10^{-40} \text{ cm}^2 \left(\frac{E_\nu}{100 \text{ MeV}} \right)^2$
Nucleon density	$n_B = \frac{\rho_{\text{nuc}}}{m_N} \approx 1.8 \times 10^{38} \text{ cm}^{-3}$
Scattering rate	$\Gamma = \sigma n_B \approx 1.1 \times 10^9 \text{ s}^{-1} \left(\frac{E_\nu}{100 \text{ MeV}} \right)^2$
Mean free path	$\lambda = (\sigma n_B)^{-1} \approx 28 \text{ cm} \left(\frac{100 \text{ MeV}}{E_\nu} \right)^2$
Diffusion time	$t_{\text{diff}} \approx \frac{R^2}{\lambda} \approx 1.2 \text{ sec} \left(\frac{R}{10 \text{ km}} \right)^2 \left(\frac{E_\nu}{100 \text{ MeV}} \right)^2$

Many-Body Effects on Neutrino Opacities

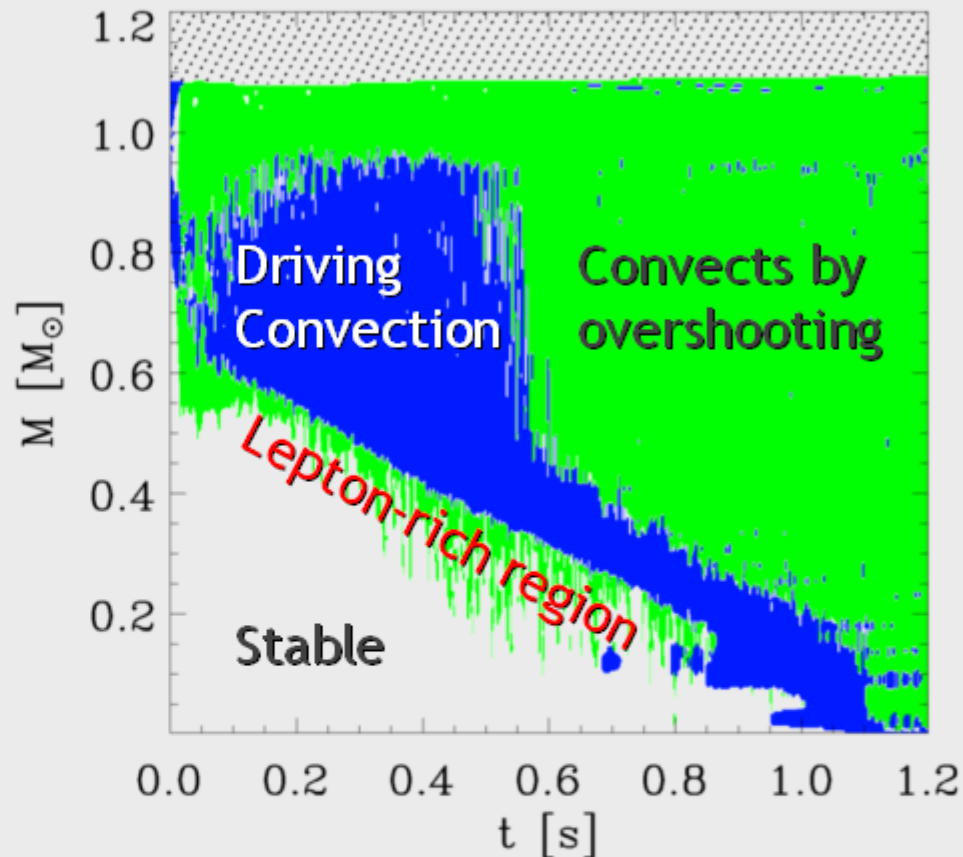
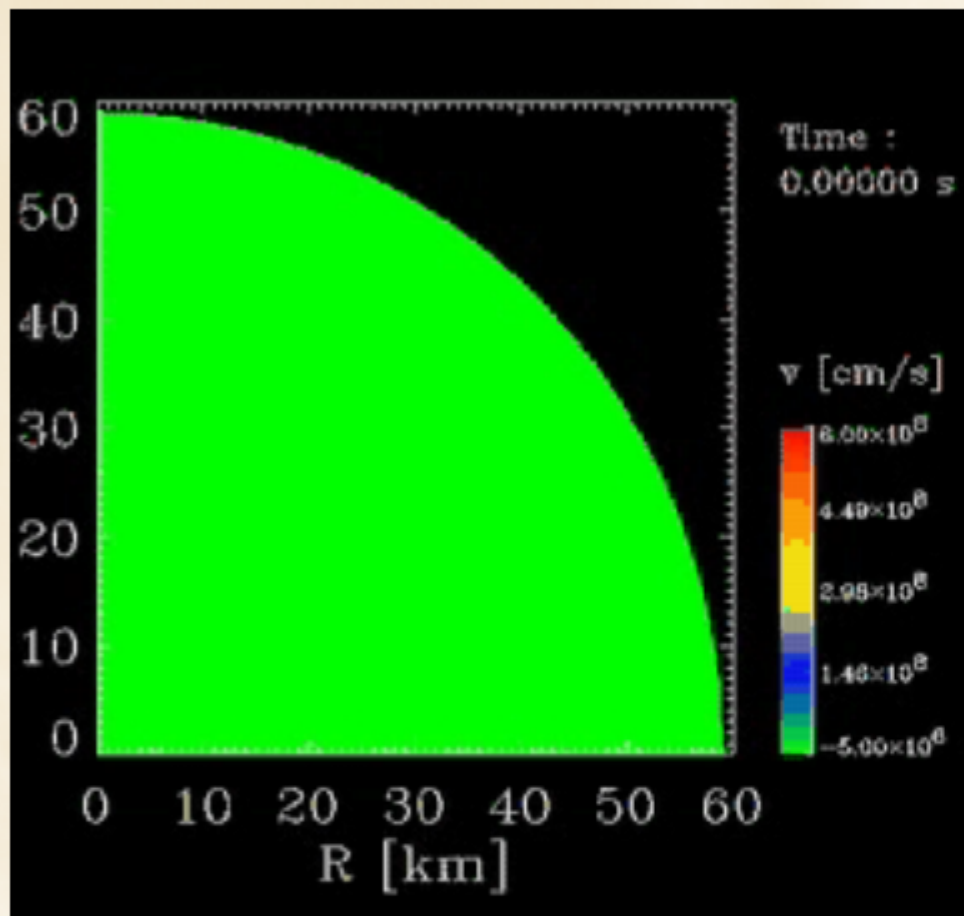


Burrows & Sawyer,
astro-ph/9804264

See also Reddy,
Prakash & Lattimer,
PRD 58 (1998) 013009

Opacities with realistic many-body treatment not yet
included in all numerical simulations

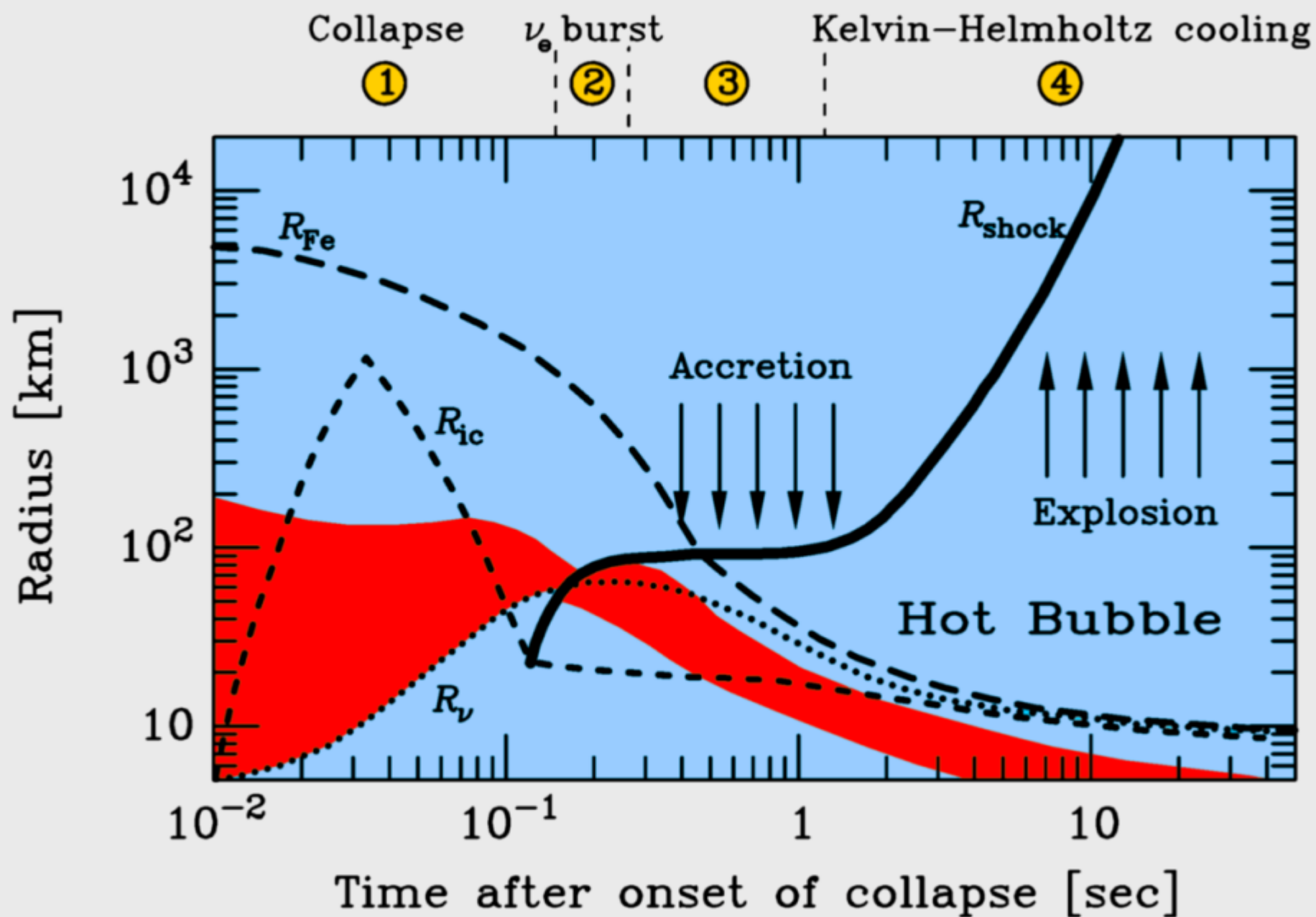
Convection in Proto Neutron Star



Time scale of deleptonization increased by $\sim$ factor 2, i.e. same general magnitude as diffusive transport

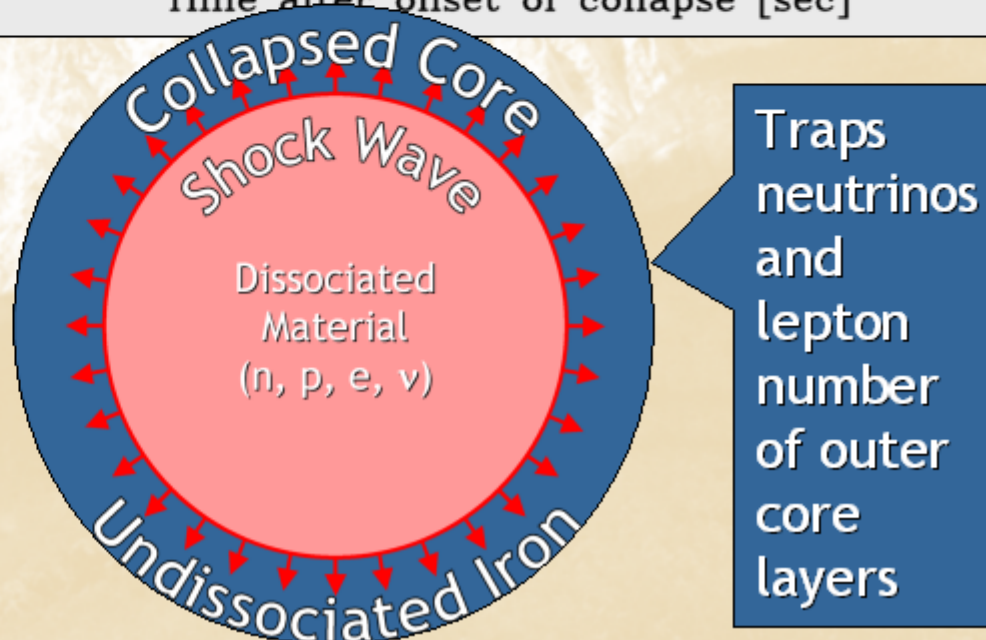
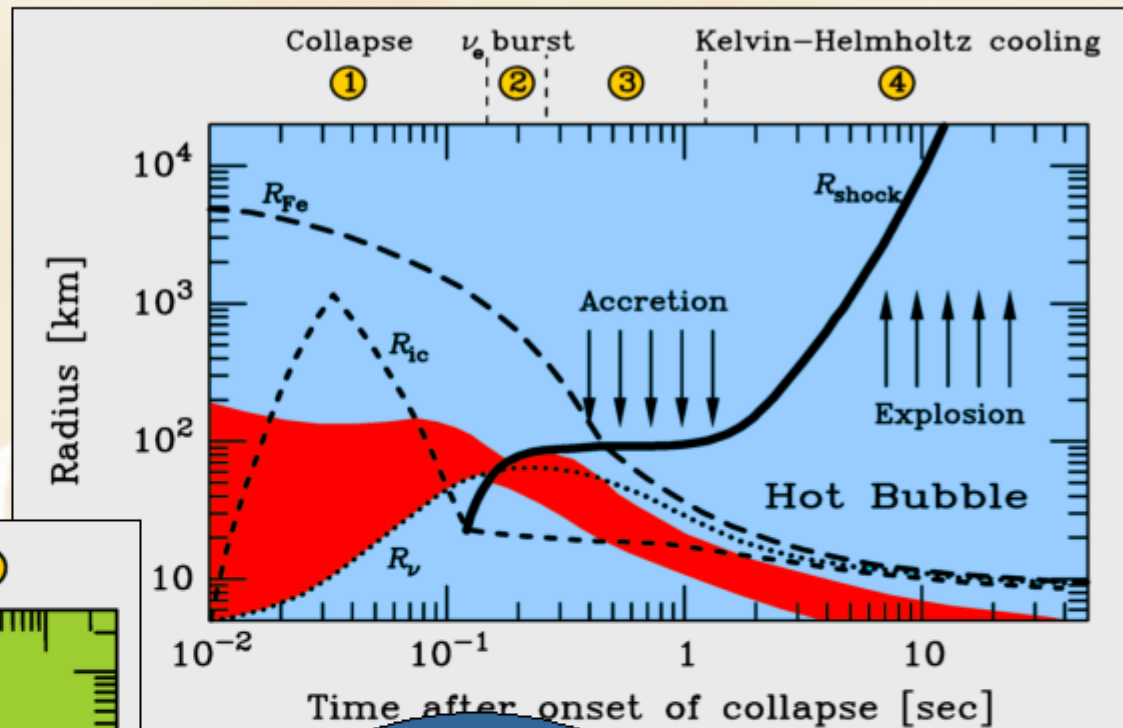
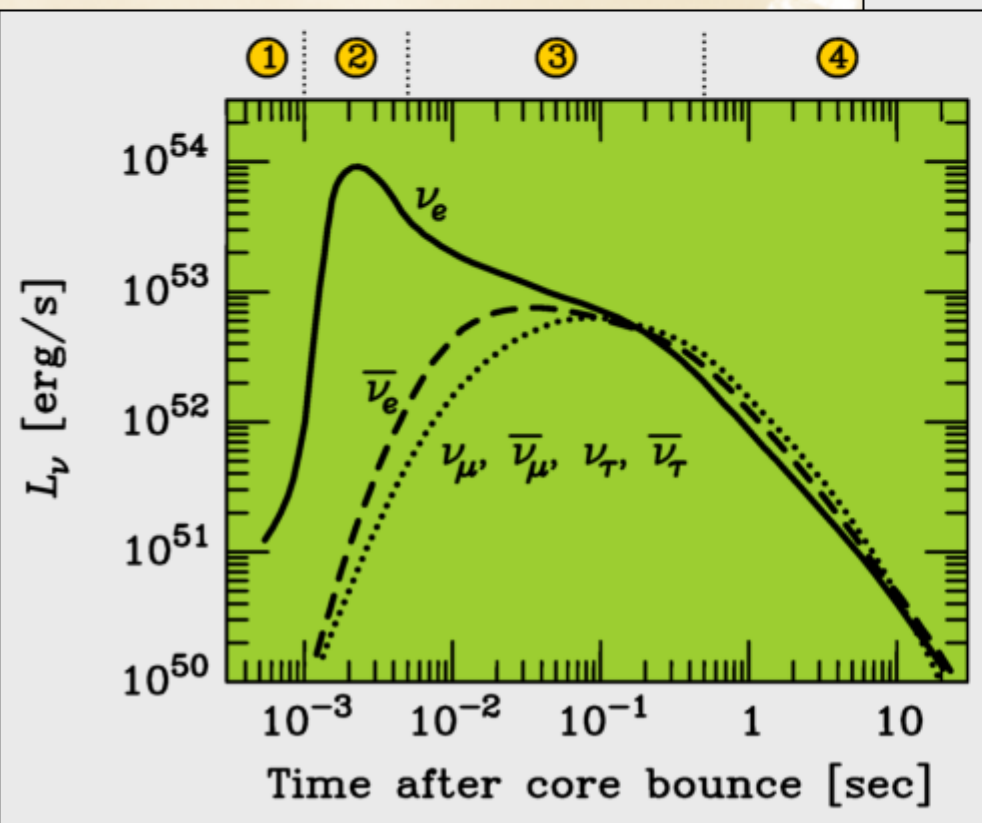
Keil, Janka & Müller, ApJ 473 (1996) L111

Supernova Delayed Explosion Scenario



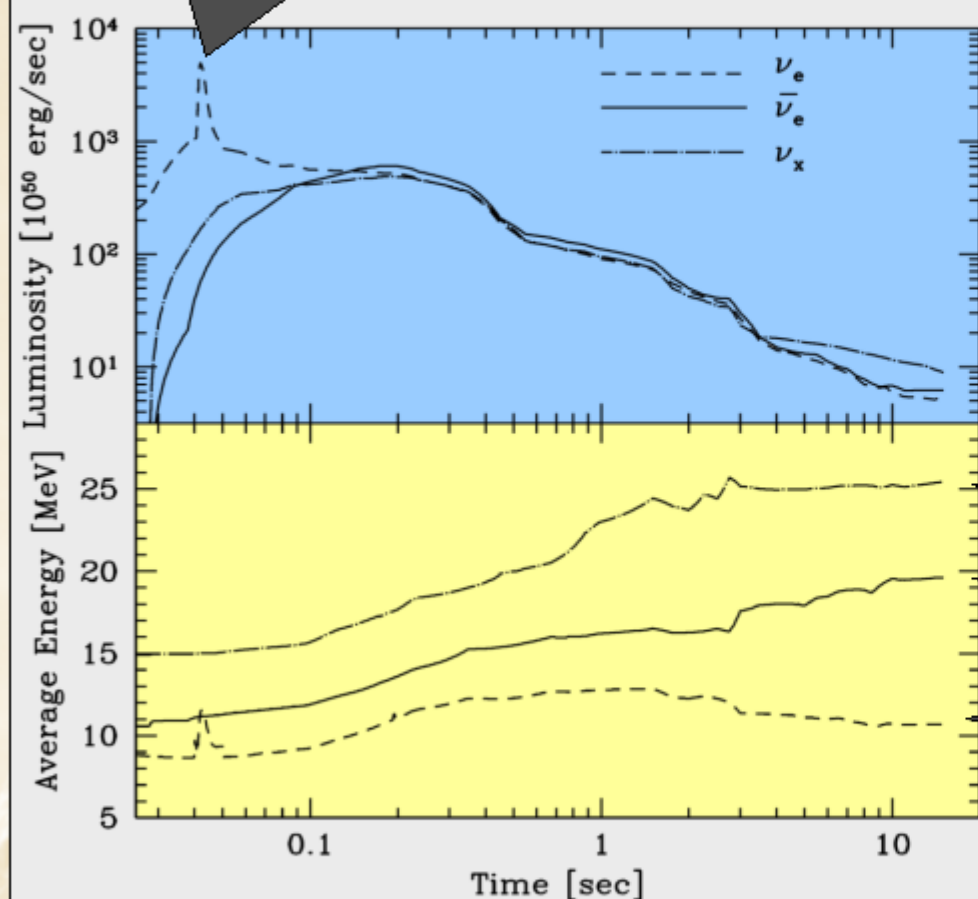
Structure of Supernova Neutrino Signal

1. Collapse (infall phase)
2. Shock break out
3. Matter accretion
4. Kelvin-Helmholtz cooling



Flavor-Dependent Fluxes and Spectra

Prompt ν_e
deleptonization
burst



Broad characteristics

- Duration a few seconds
- $\langle E_\nu \rangle \sim 10\text{--}20$ MeV
- $\langle E_\nu \rangle$ increases with time
- Hierarchy of energies
 $\langle E_{\nu_e} \rangle < \langle E_{\bar{\nu}_e} \rangle < \langle E_{\nu_x} \rangle$
- Approximate equipartition of energy between flavors

Livermore numerical model
ApJ 496 (1998) 216

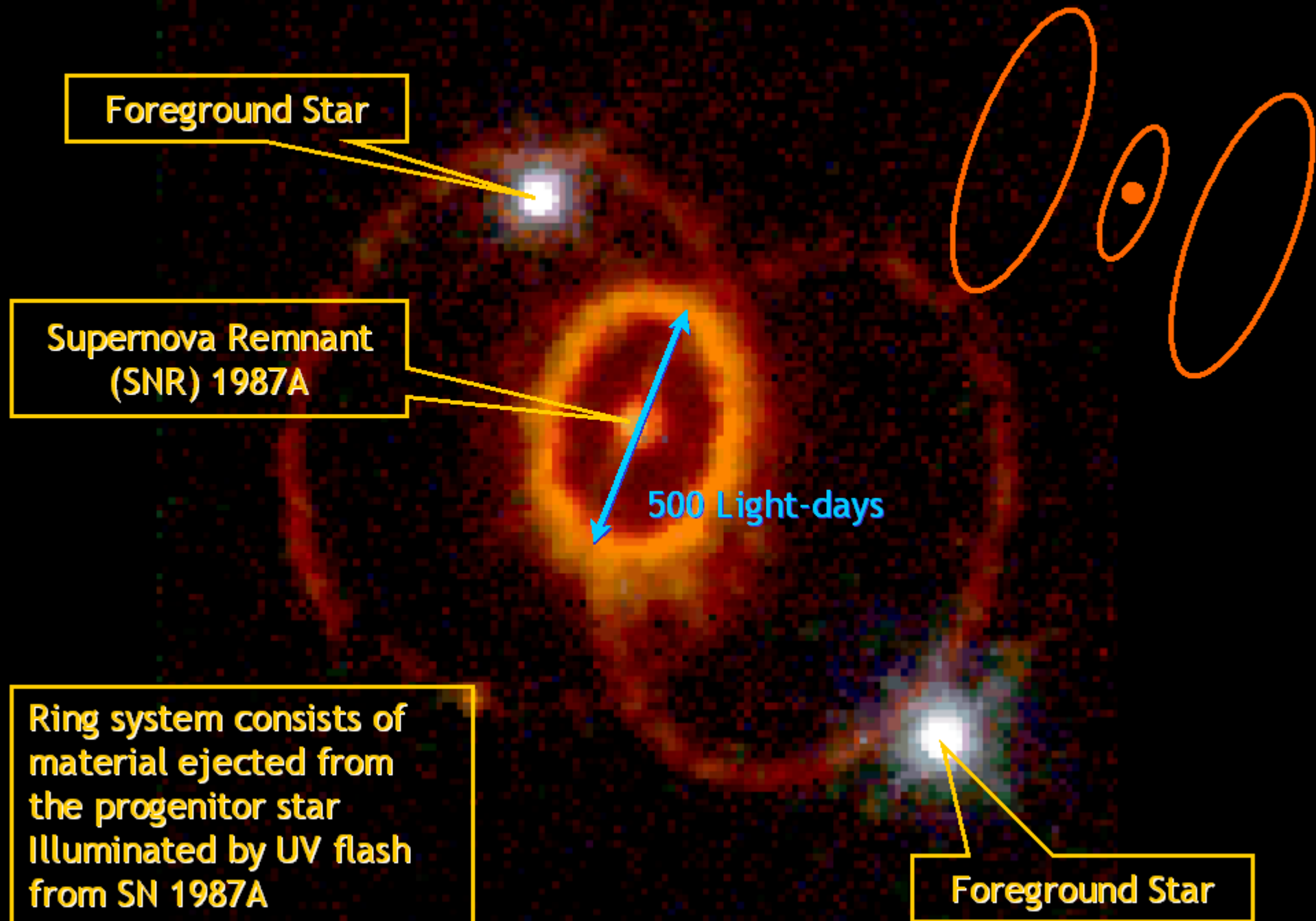
Supernova 1987A



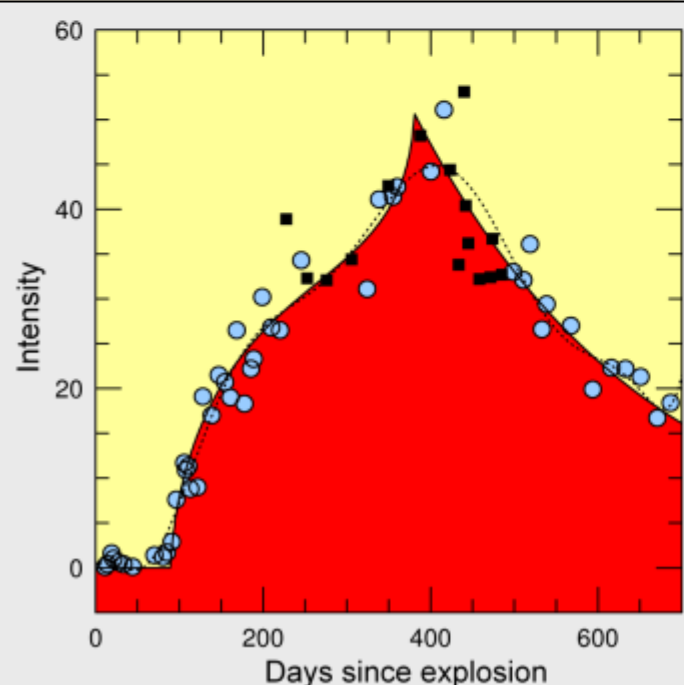
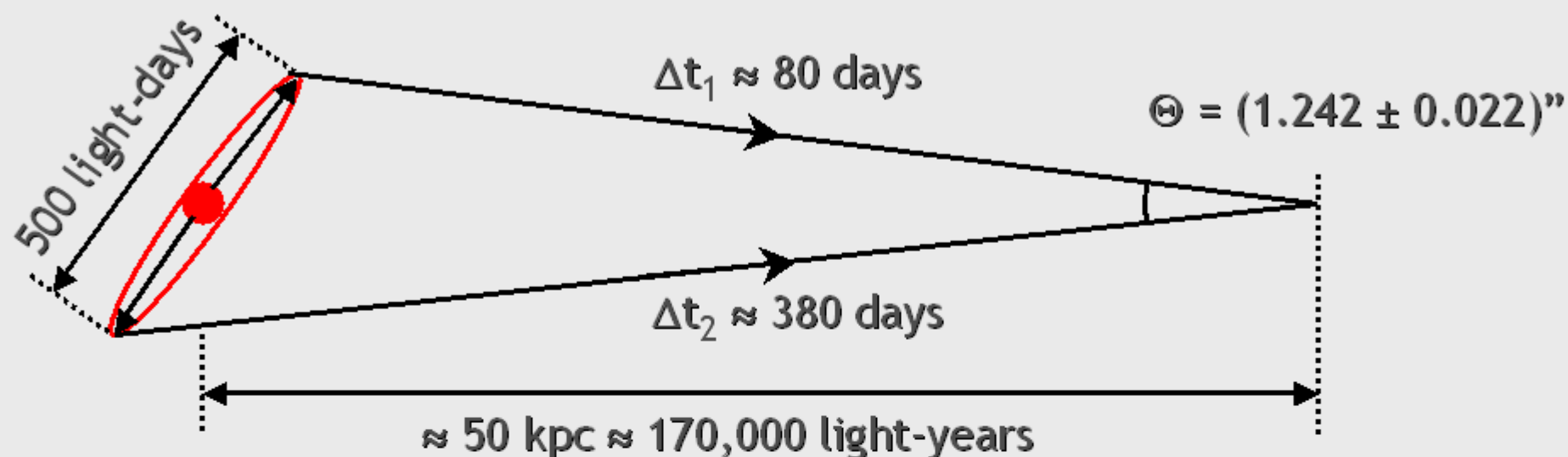
SN 1987A Rings (Hubble Space Telescope Picture)



SN 1987A Rings (Hubble Space Telescope Picture)



Distance Determination with Inner Ring

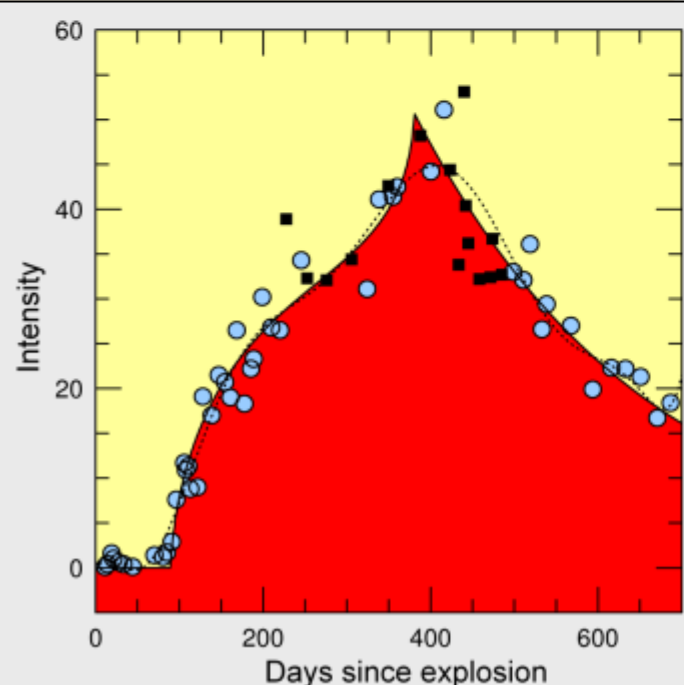
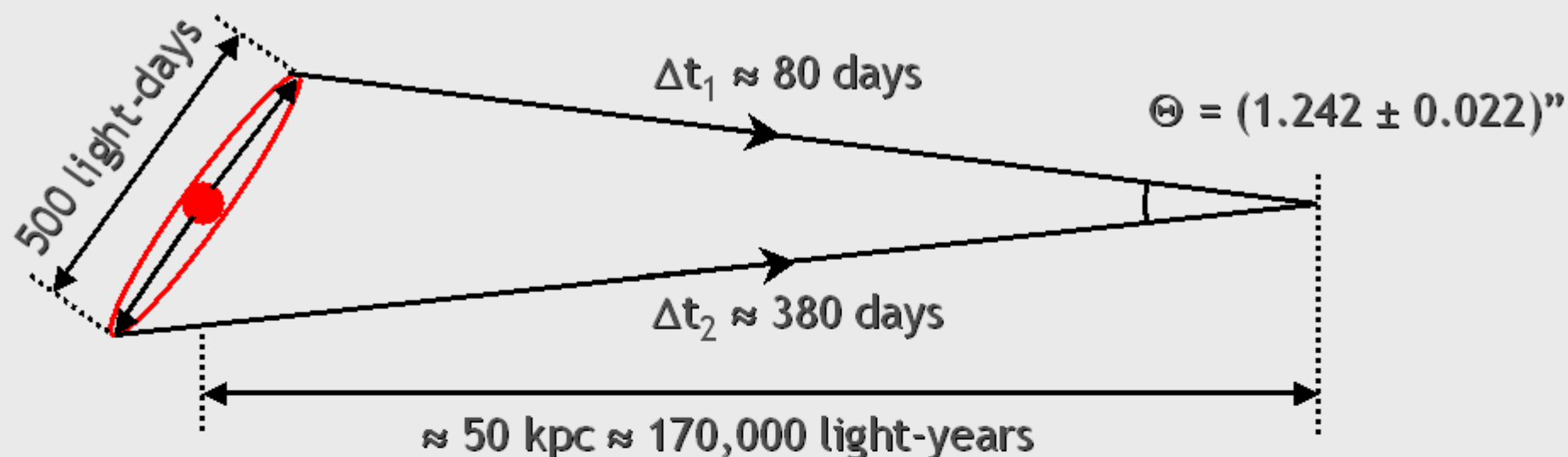


Angular diameter $\Theta_+ = (1.716 \pm 0.022)''$
measured by HST on 24-8-1990

Δt_1 and Δt_2 measured in UV by IUE satellite

Ni III] light curve SN 1987A ring, measured by IUE
[Sonneborn et al. ApJ 477 (1997) 848,
fit by Gould & Uza, ApJ 494 (1998) 118]

Distance Determination with Inner Ring

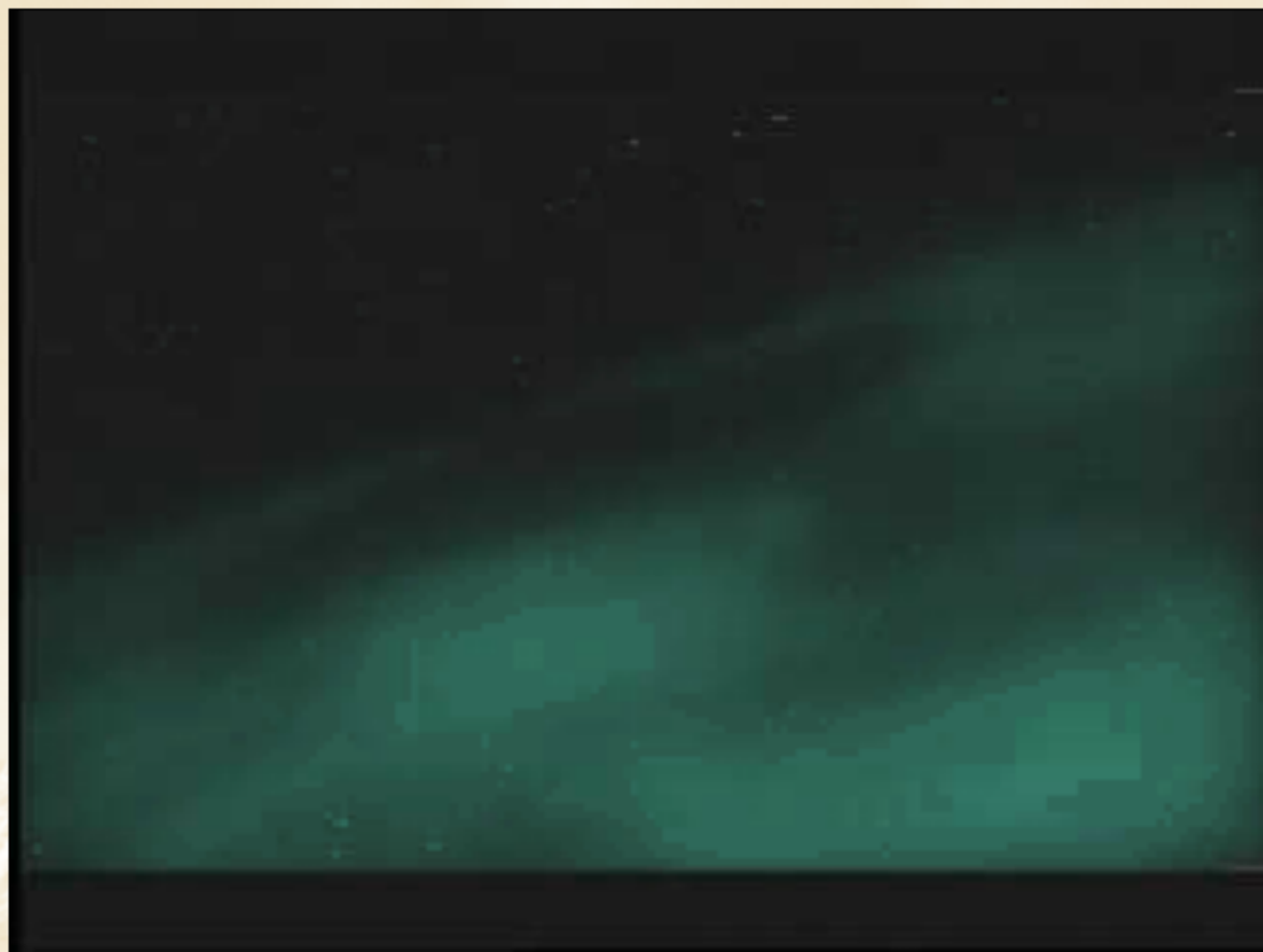


Distance to SN 1987A

$51.2 \pm 3.1 \text{ kpc}$	Panagia et al., ApJ 380 (1991) L23
$51.4 \pm 1.2 \text{ kpc}$	Panagia, IAU Symposium 190 (1999)
$47.2 \pm 0.9 \text{ kpc}$	Gould & Uza, ApJ 494 (1998) 118

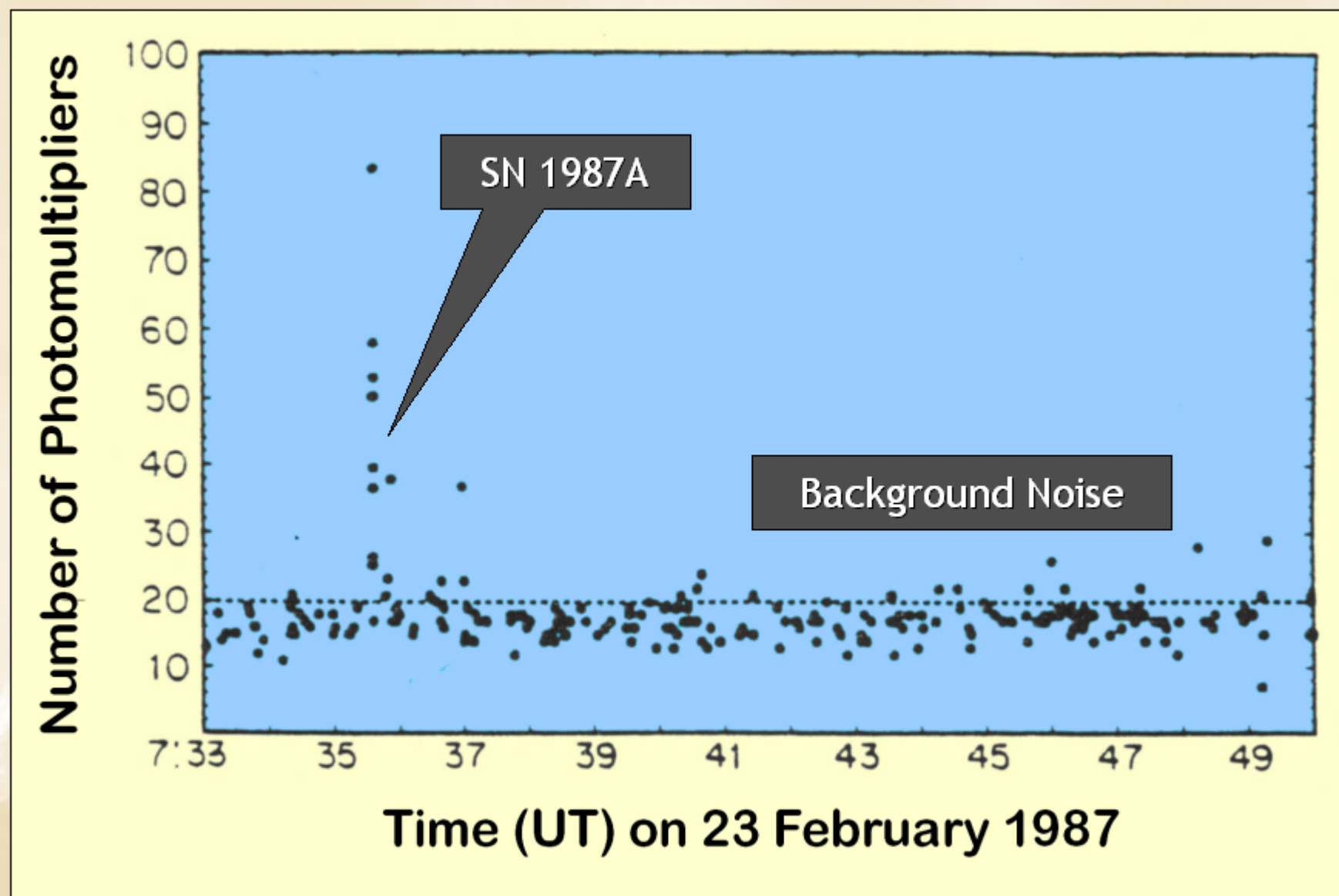
Ni III] light curve SN 1987A ring, measured by IUE
[Sonneborn et al. ApJ 477 (1997) 848,
fit by Gould & Uza, ApJ 494 (1998) 118]

Animation of SN 1987A Explosion

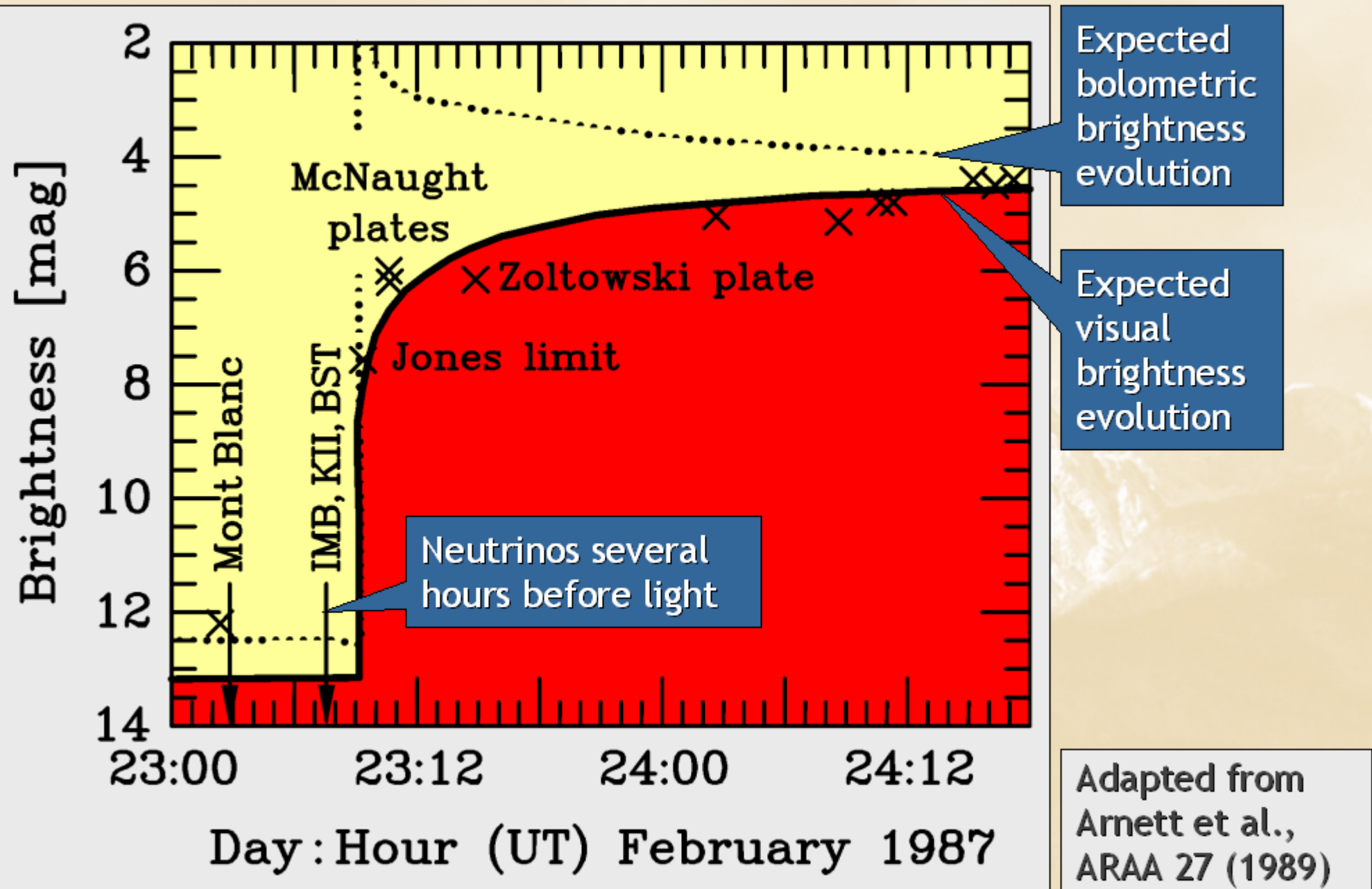


Animation by T. Goertel
Space Telescope Science Institute
<http://www.stsci.edu>

Neutrino Signal of SN 1987A in Kamiokande



Early Lightcurve of SN 1987A



Dispersion between Neutrinos and Photons

Transit time for photons and neutrinos are equal to within $\sim 3h$

Total transit time $\sim 5 \times 10^{12}$ sec
→ Equal for photons and neutrinos
within $\sim 2 \times 10^{-9}$

(Longo 1987, Stodolsky 1988)

$$\left| \frac{c_v - c_\gamma}{c_v + c_\gamma} \right| < 10^{-9}$$

Shapiro time delay for particles moving
through a gravitational potential

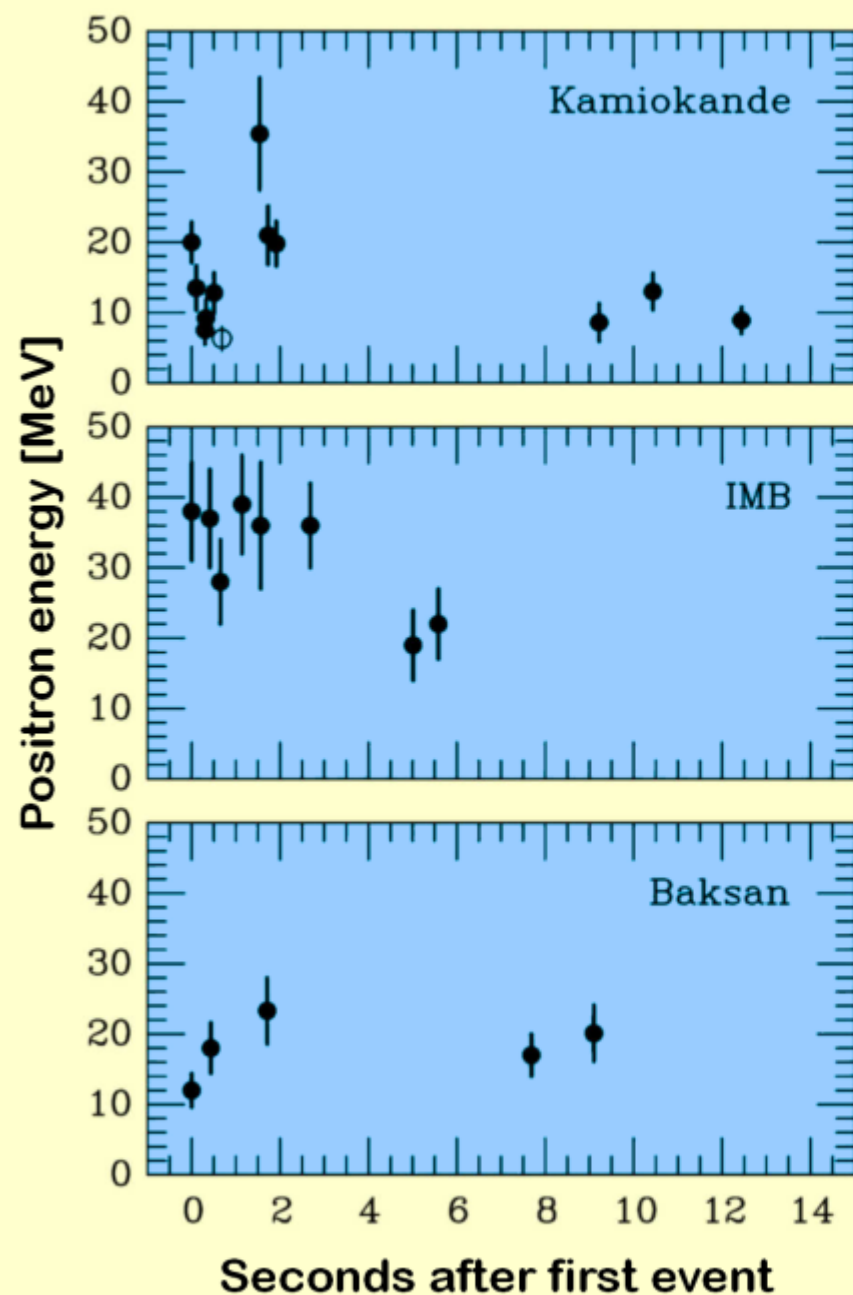
$$\Delta t_{\text{Shapiro}} = -2 \int_A^B U[r(t)] dt \approx 2 - 6 \times 10^6 \text{ sec}$$

(Krauss & Tremaine 1988)

Equal within $\sim 1 - 4 \times 10^{-3}$

- Provides limits on parameters of certain non-GR theories of gravitation
- Could be extended to neutrinos vs. anti-neutrinos or different flavors from signal of a future galactic SN

Neutrino Signal of Supernova 1987A



Kamiokande (Japan)
Water Cherenkov detector
Clock uncertainty ± 1 min

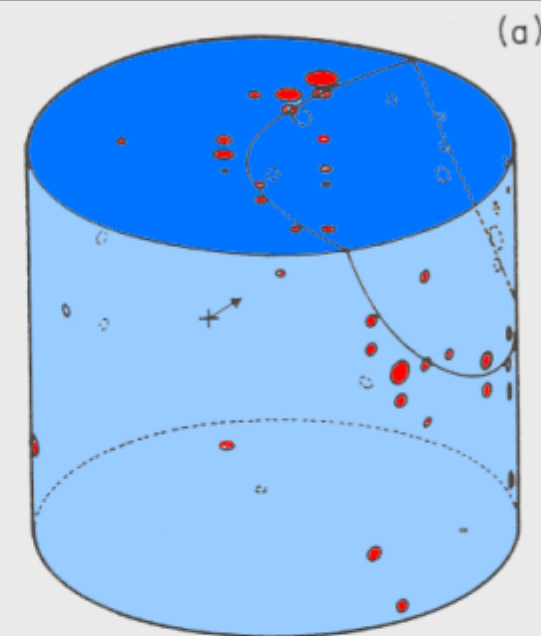
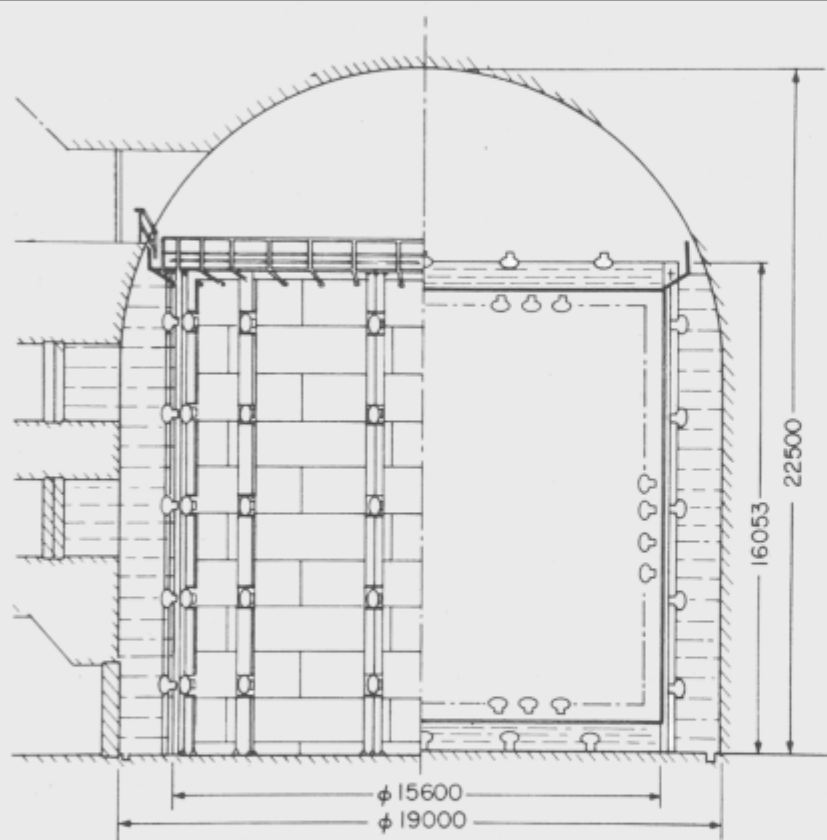
Irvine-Michigan-Brookhaven (US)
Water Cherenkov detector
Clock uncertainty ± 50 ms

Baksan Scintillator Telescope
(Soviet Union)
Clock uncertainty $+2/-54$ s

Within clock uncertainties,
signals are contemporaneous

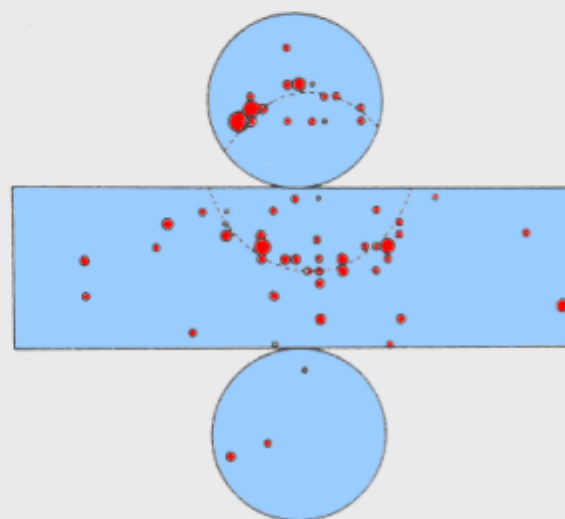
SN 1987A Event No.9 in Kamiokande

Kamiokande Detector



NUM 9
RUN 1892
EVENT 139372
TIME 2/23/87
16:35:37 JST

TOTAL ENERGY 19.8 MeV
TOTAL P.E. 51 (0)
MAX P.E. 4 (0)
THRES P.E. 0.2 (1.0)



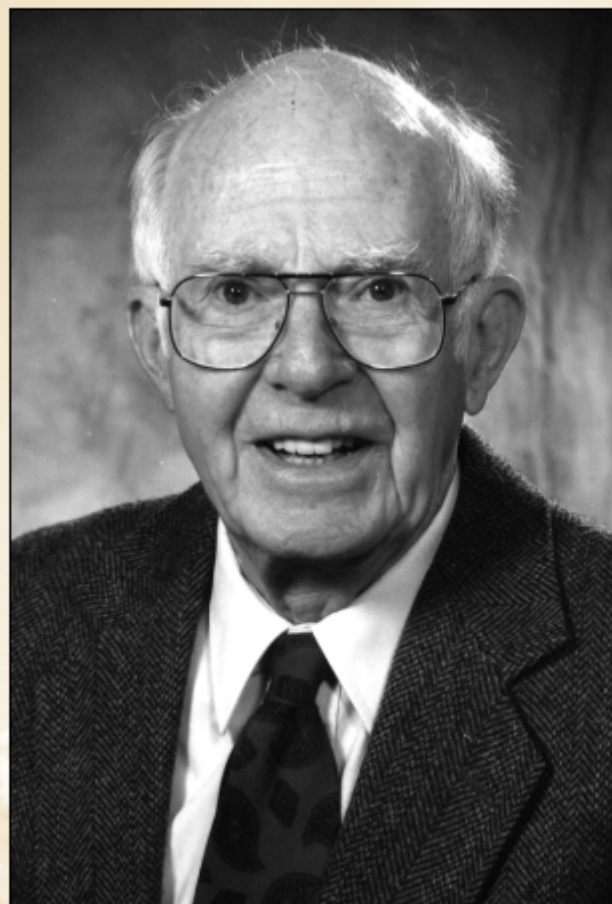
KAMIOKANDE 2-P

NUM 9
RUN 1892
EVENT 139372
TIME 2/23/87
16:35:37 JST

TOTAL ENERGY 19.8 MeV
TOTAL P.E. 51 (0)
MAX P.E. 4 (0)
THRES P.E. 0.2 (1.0)

Hirata et al., PRD 38 (1988) 448

2002 Physics Nobel Prize for Neutrino Astronomy



Ray Davis Jr.
(*1914)



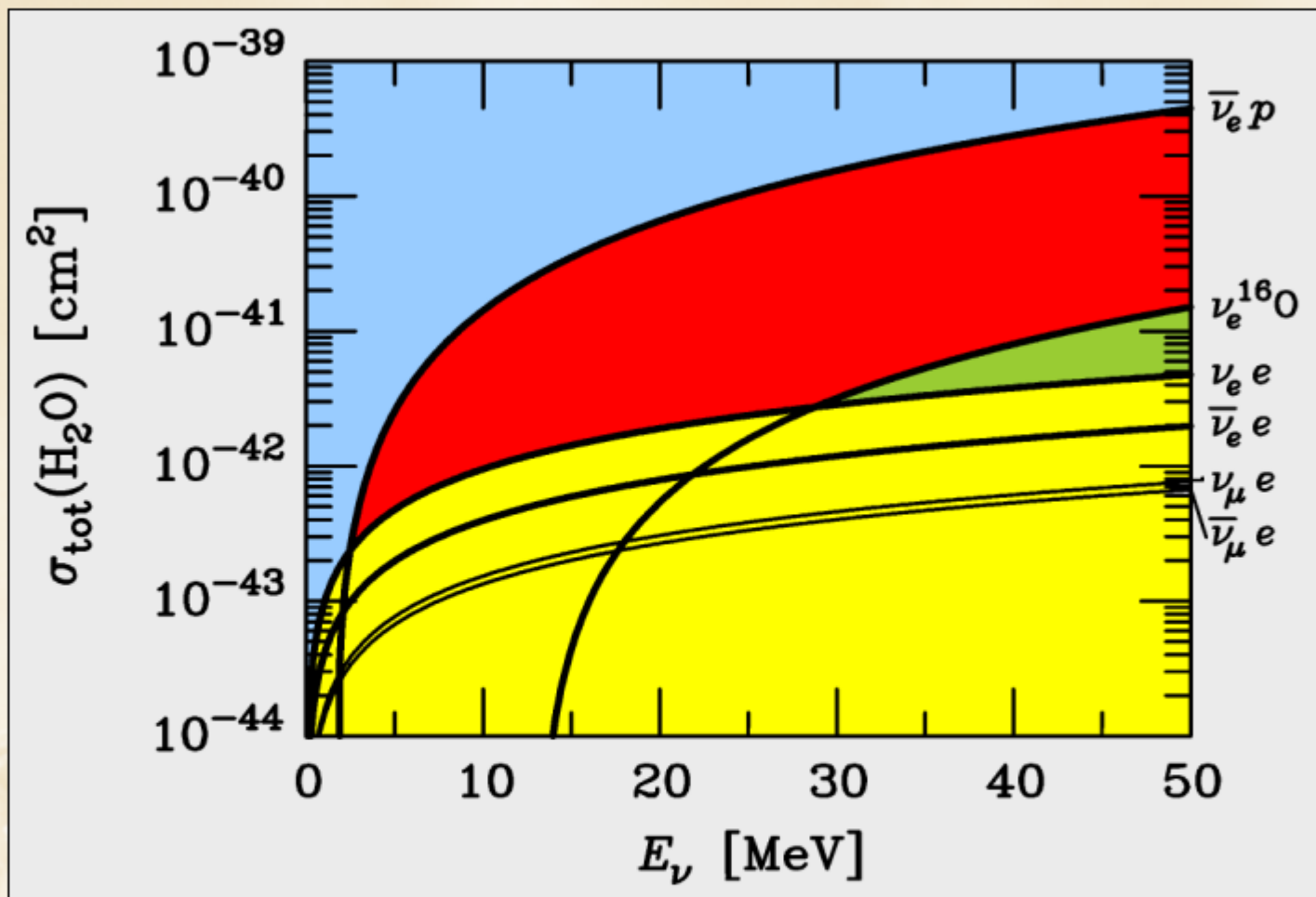
Masatoshi Koshihara
(*1926)



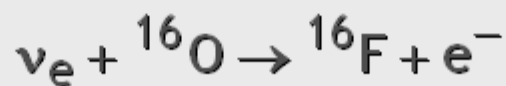
“for pioneering contributions to astrophysics, in particular for the detection of cosmic neutrinos”

Neutrino Cross Section in a Water Target

Cross
section
per
water
molecule

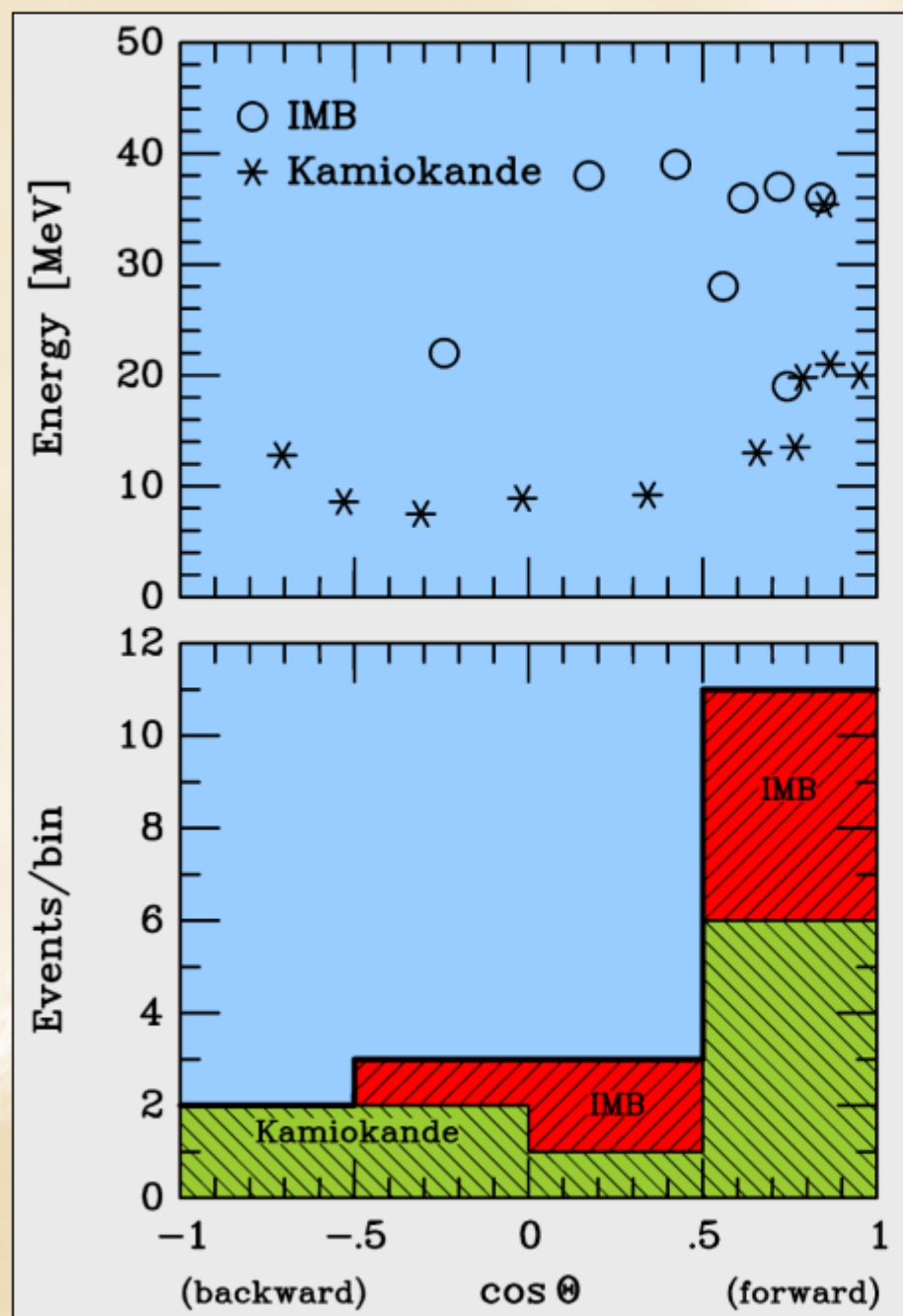


Main reactions: $\bar{\nu}_e + p \rightarrow n + e^+$ dominates for SN

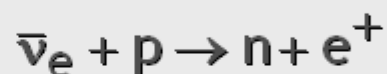


$\nu + e^- \rightarrow e^- + \nu$ dominates for Sun

Angular Distribution of SN 1987A Neutrinos



Main detection reaction



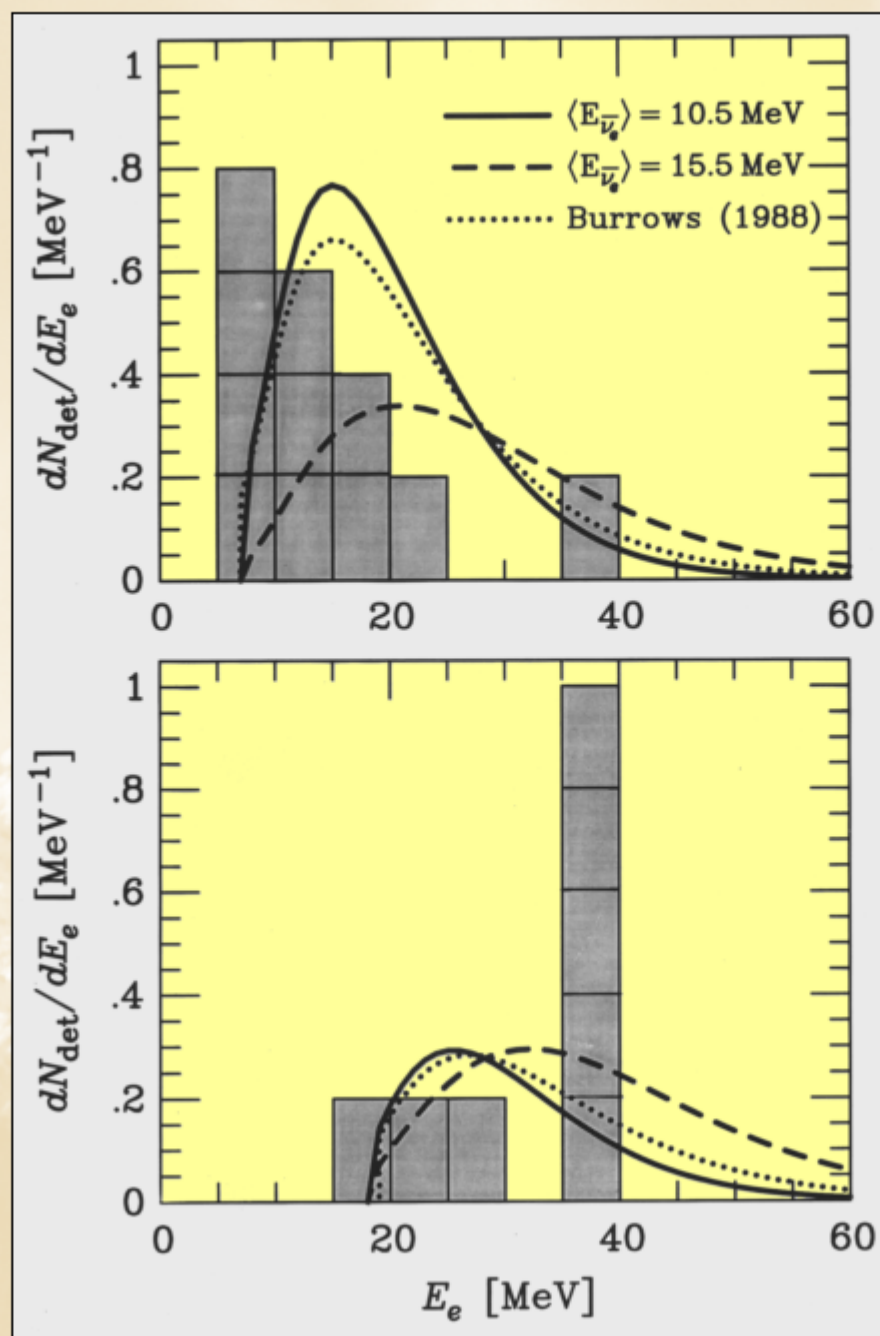
is essentially isotropic for the relevant energies.

Expect only a fraction of an event from forward-peaked reaction



Observed signal compatible with isotropy only at approx. 0.1% CL, but no alternative known

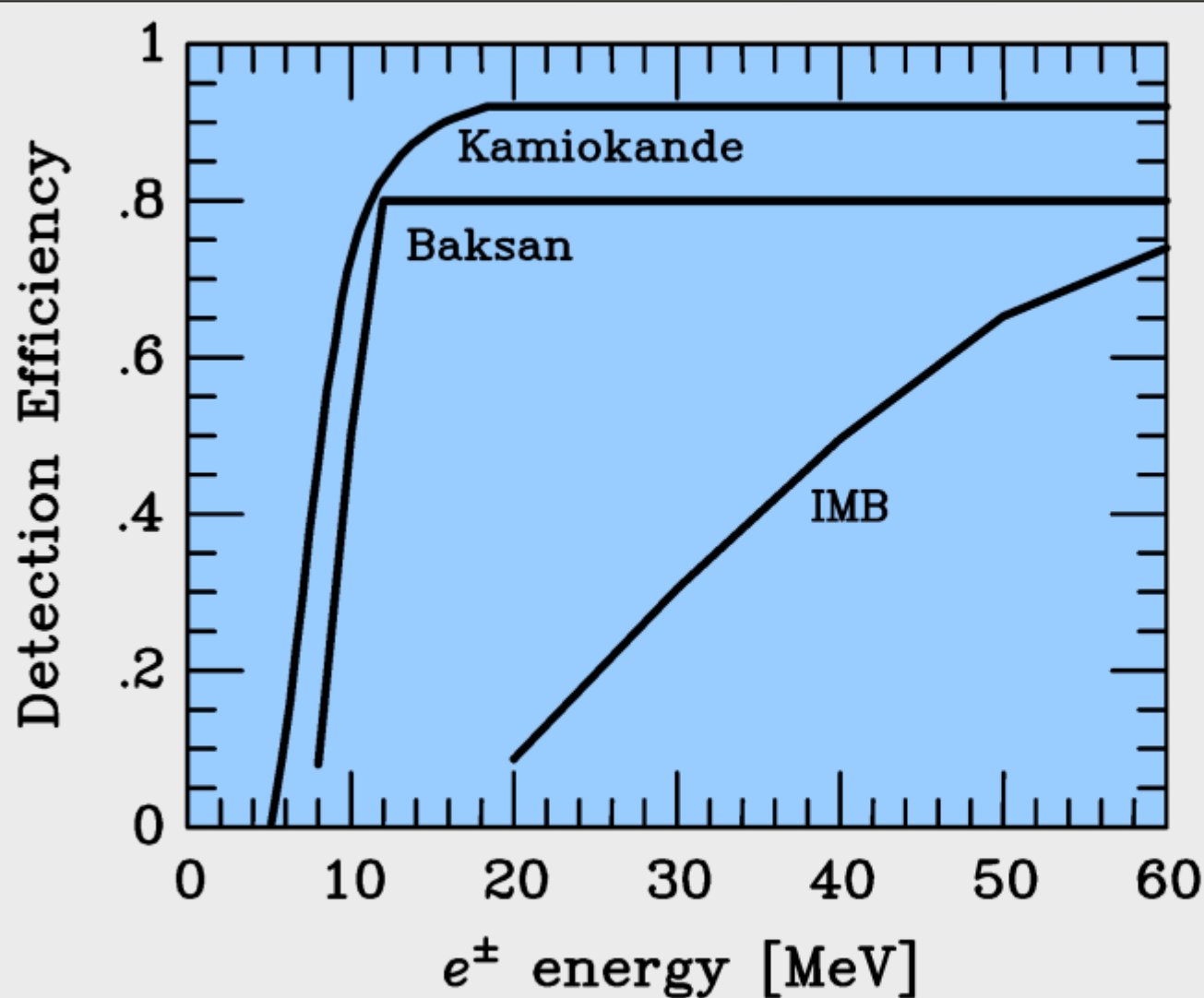
Energy Distribution of SN 1987A Neutrinos



Kamiokande II

IMB

Trigger Efficiencies at the Detectors



Fiducial volumes for
SN 1987A detection

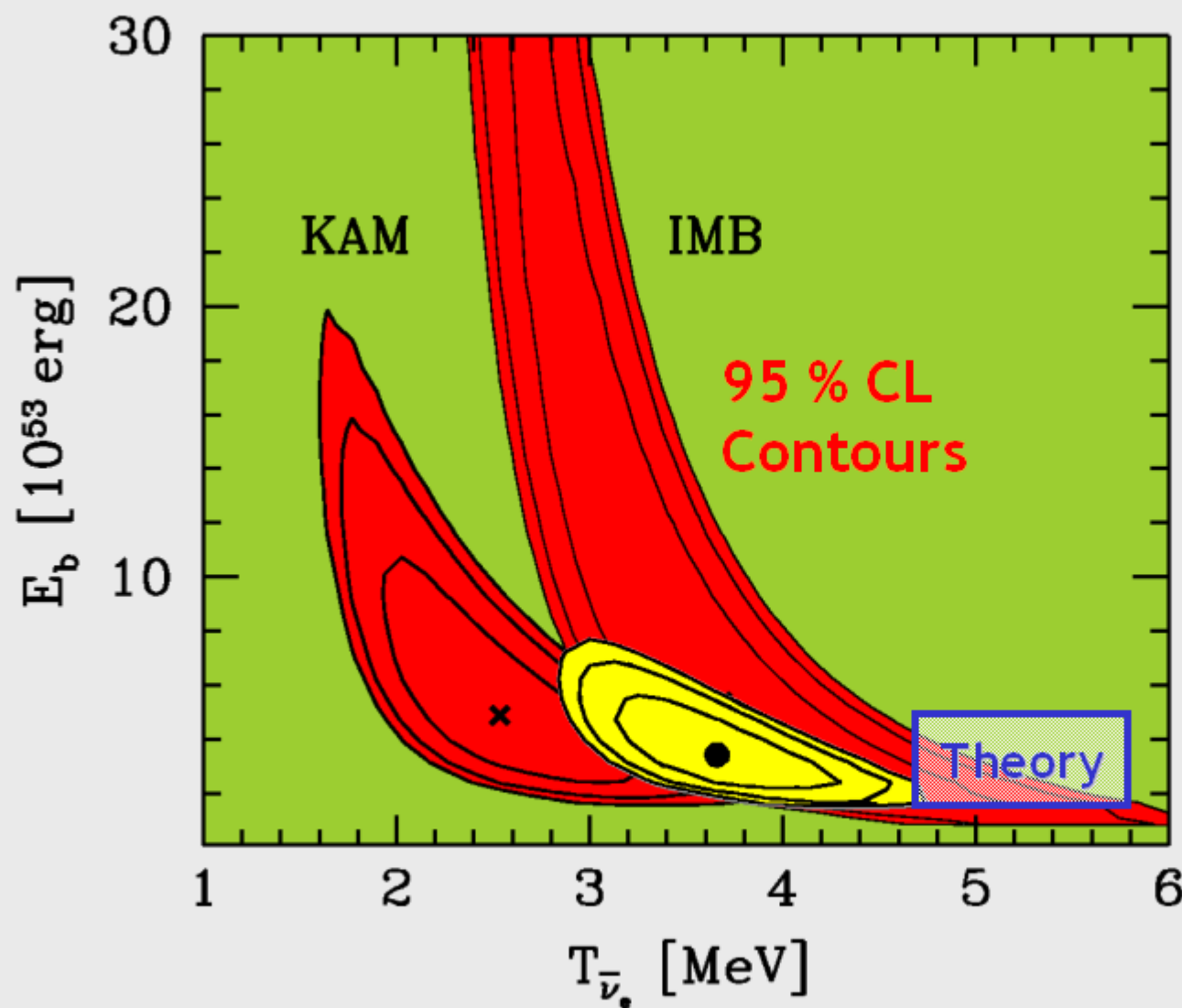
Kamiokande II
2140 tons water
(1.43×10^{32} protons)

IMB
6800 tons water
(4.6×10^{32} protons)

BST
200 tons scintillator
(1.88×10^{31} protons)

Interpreting SN 1987A Neutrinos

Total Binding Energy



Jegerlehner,
Neubig & Raffelt,
PRD 54 (1996) 1194

Assume thermal
spectra and
equipartition of
energy between
the six degrees
of freedom
 ν_e, ν_μ, ν_τ and their
antiparticles

Spectral $\bar{\nu}_e$ Temperature

Particle Physics with SN 1987A

Neutrino Limits by Intrinsic Signal Dispersion

Time of flight delay by neutrino mass

$$\Delta t = 2.57 \text{s} \left(\frac{D}{50 \text{ kpc}} \right) \left(\frac{10 \text{ MeV}}{E_\nu} \right)^2 \left(\frac{m_\nu}{10 \text{ eV}} \right)^2$$

$$m_{\nu_e} \lesssim 20 \text{ eV}$$

- Detailed maximum-likelihood analysis yields similar limit
- At the time of SN 1987A competitive with tritium end-point limits, today $m_{\nu_e} < 2.2 \text{ eV}$
- Cosmological limit today $m_\nu \lesssim 0.4 \text{ eV}$

For “milli charged” neutrinos, path bent by galactic magnetic field, inducing a time delay

$$\frac{\Delta t}{t} = \frac{e_\nu^2 (B_\perp d_B)^2}{6 E_\nu^2} < 3 \times 10^{-12}$$

$$\frac{e_\nu}{e} < 3 \times 10^{-17} \left(\frac{1 \mu\text{G}}{B_\perp} \right) \left(\frac{1 \text{ kpc}}{d_B} \right)$$

Assuming charge conservation in neutron decay yields a more restrictive limit of about $3 \times 10^{-21} e$

Neutrino Mass Limits by Signal Dispersion

Time-of-flight delay
of massive neutrinos

$$\Delta t = 2.57 \text{s} \left(\frac{D}{50 \text{kpc}} \right) \left(\frac{10 \text{MeV}}{E_\nu} \right)^2 \left(\frac{m_\nu}{10 \text{eV}} \right)^2$$

SN 1987A

$E \approx 20 \text{ MeV}$, $\Delta t \approx 10 \text{ s}$, $D \approx 50 \text{ kpc}$
Simple estimate or detailed maximum likelihood analysis give similar results

$$m_\nu \lesssim 20 \text{ eV}$$

Future
Galactic SN
(Super-K)

$D \approx 10 \text{ kpc}$, Rise-time 0.01 s
Sensitivity approximately
[T.Totani, PRL 80 (1998) 2040]

$$m_\nu \sim 3 \text{ eV}$$

With
Black Hole
Formation

$D \approx 10 \text{ kpc}$, Cutoff “infinitely” fast
Sensitivity approximately
[Beacom et al., PRD 63 (2001) 073011]

$$m_\nu \sim 2 \text{ eV}$$

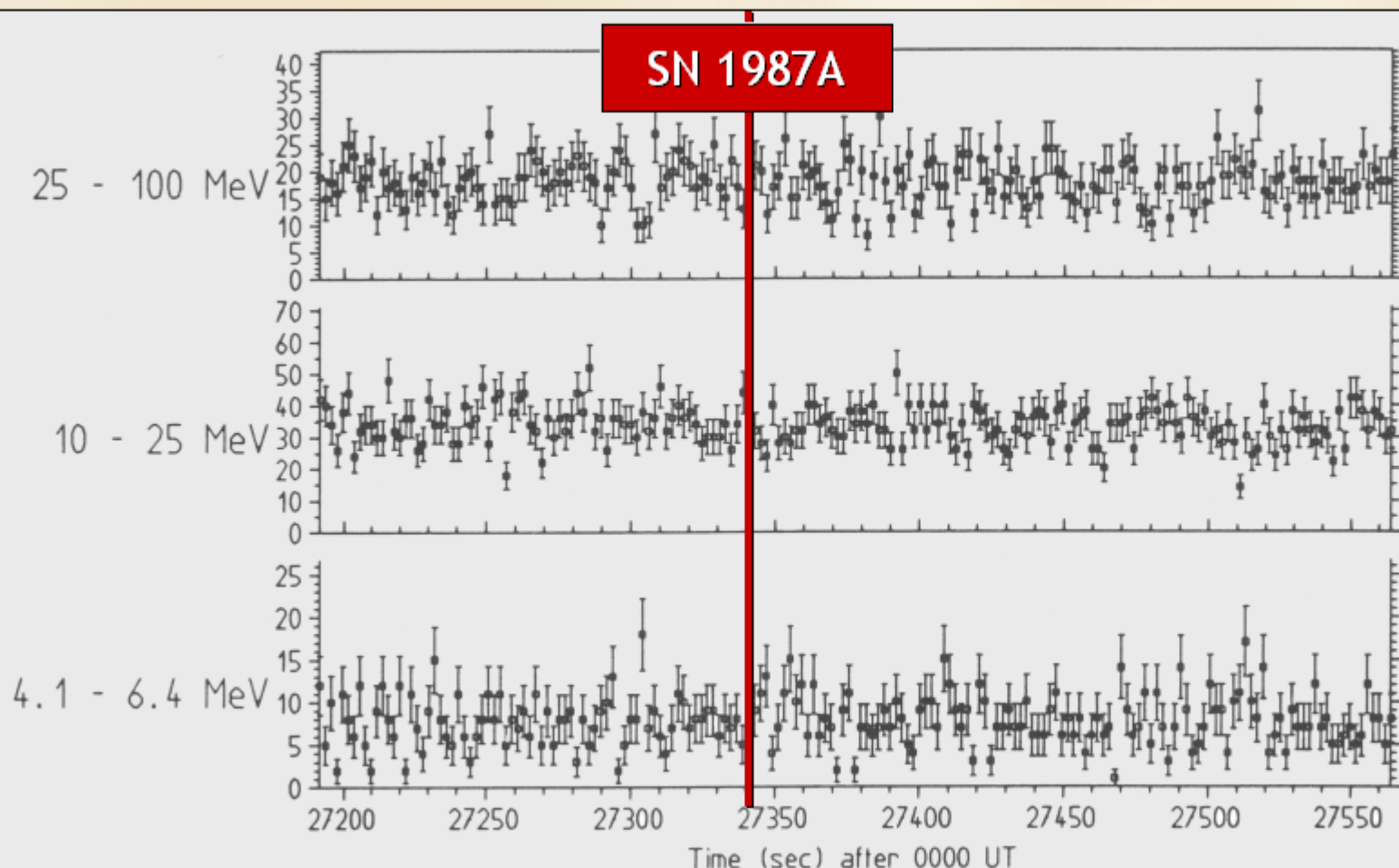
Future SN
in Andromeda
(Megatonne)

$D \approx 750 \text{ kpc}$, $\Delta t \approx 10 \text{ s}$
Sensitivity approximately

$$m_\nu \sim 1\text{--}2 \text{ eV}$$

Gamma-Ray Observations of SMM Satellite

Counts in the GRS instrument on the Solar Maximum Mission Satellite



10s fluence
limits

0.9 cm^{-2}

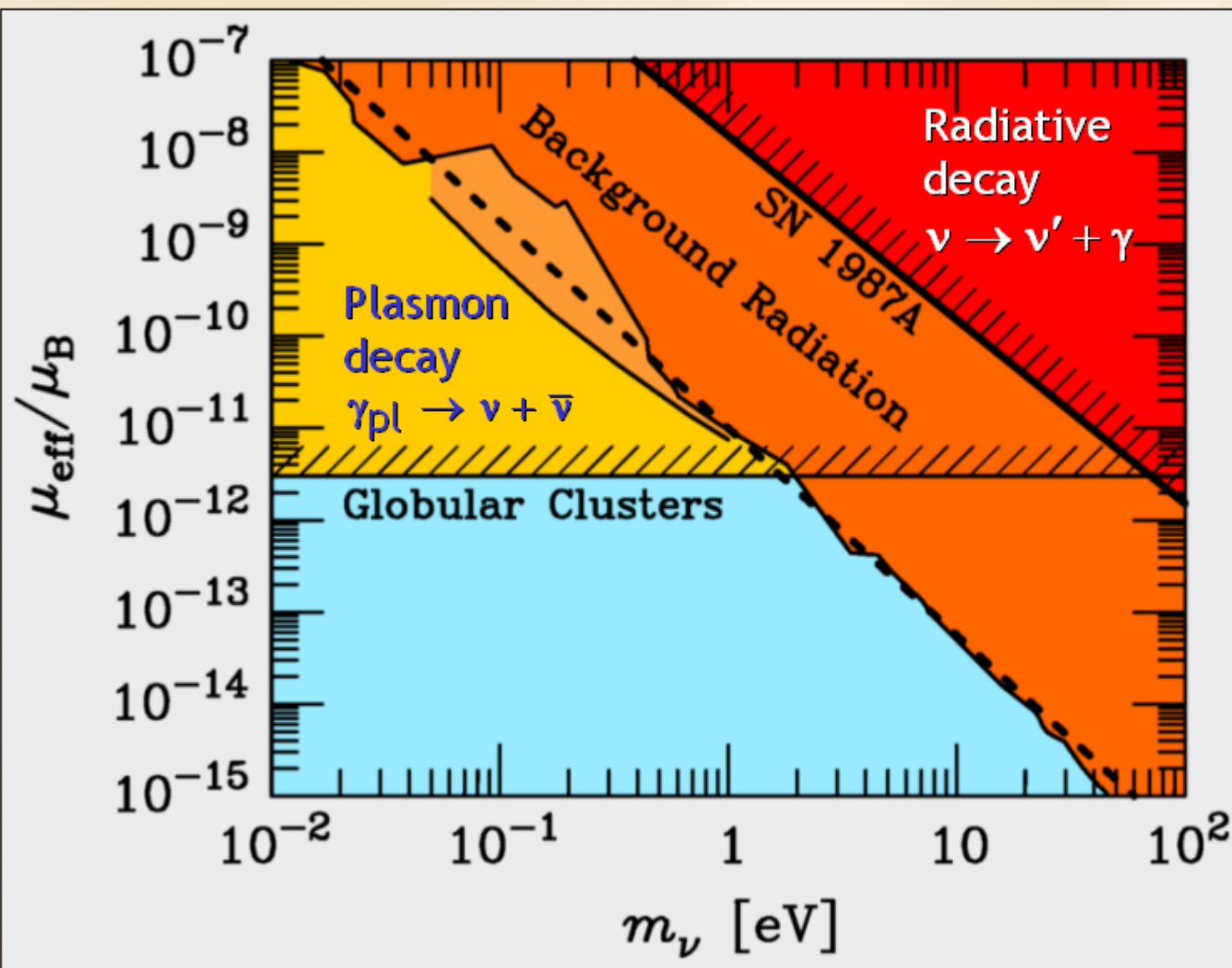
0.4 cm^{-2}

0.6 cm^{-2}

SN 1987A neutrino fluence $\sim 10^{10} \text{ cm}^{-2}$

**$< 10^{-10}$ of neutrinos have
decayed between SN and Earth**

Neutrino Radiative Lifetime Limits

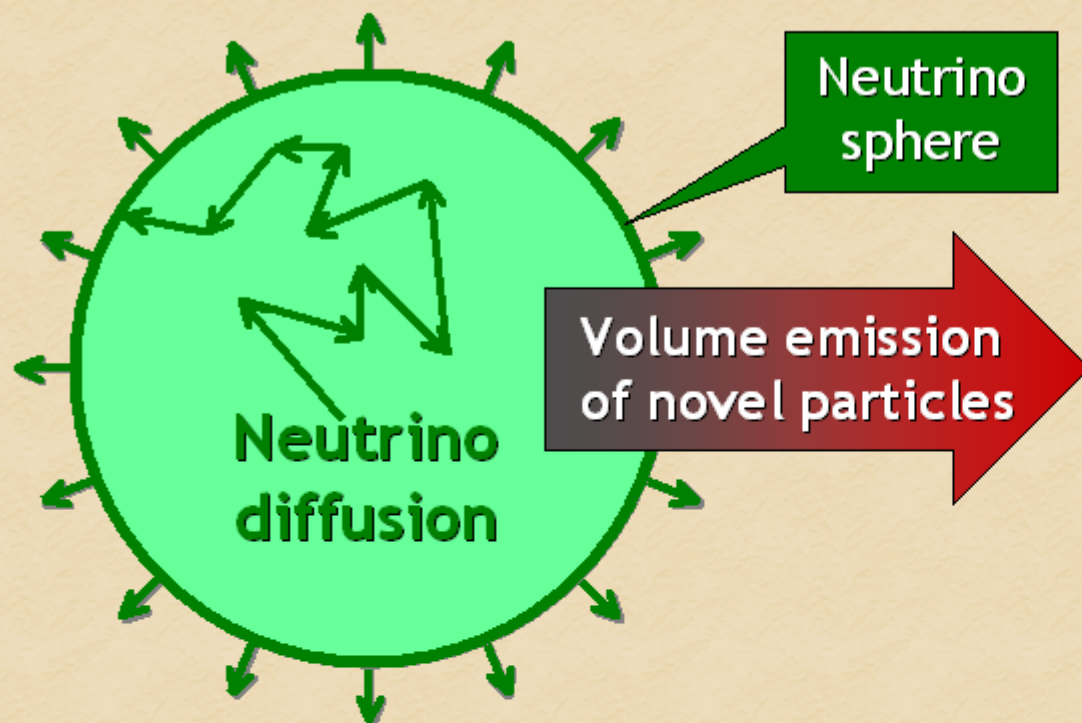


$$\Gamma_{\nu \rightarrow \nu' \gamma} = \frac{\mu_{\text{eff}}^2}{8\pi} m_\nu^3$$

$$\Gamma_{\gamma \rightarrow \nu \bar{\nu}} = \frac{\mu_{\text{eff}}^2}{24\pi} \omega_{\text{pl}}^3$$

For low-mass neutrinos, plasmon decay in globular cluster stars yields most restrictive limits

The Energy-Loss Argument

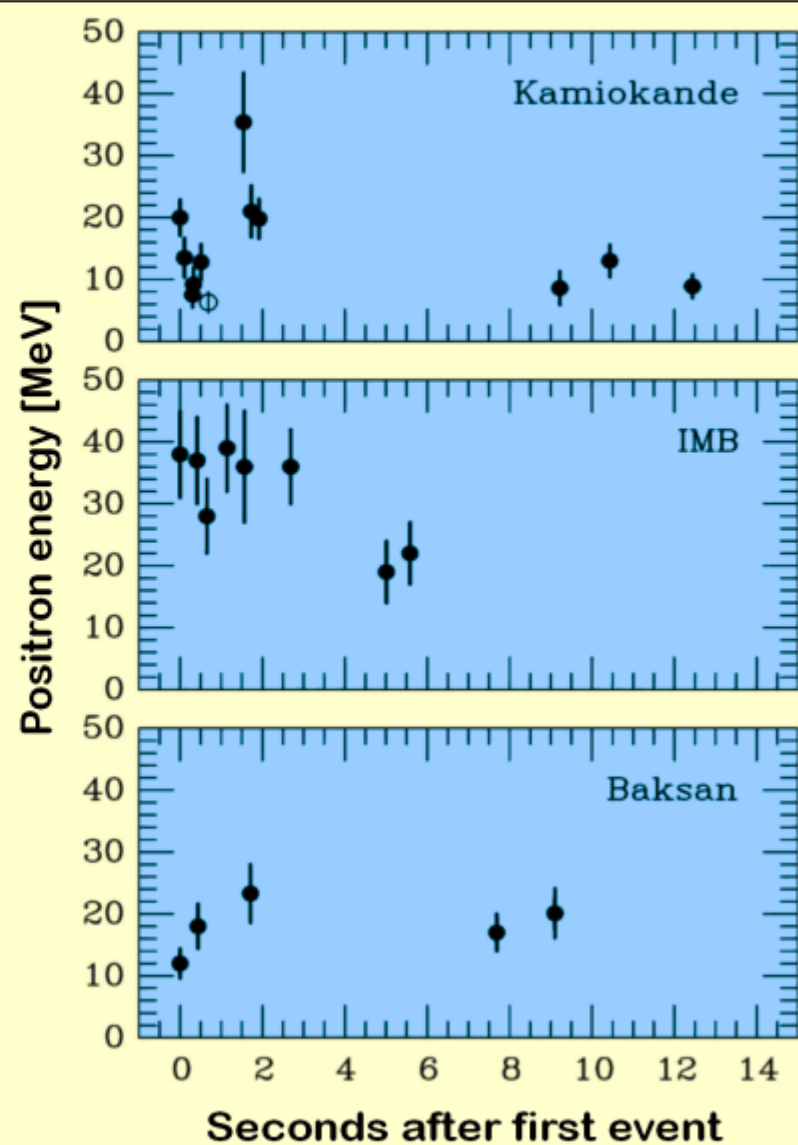


Assuming that the neutrino burst was not shortened by more than $\sim 1/2$ leads to an approximate requirement on a novel energy-loss rate of

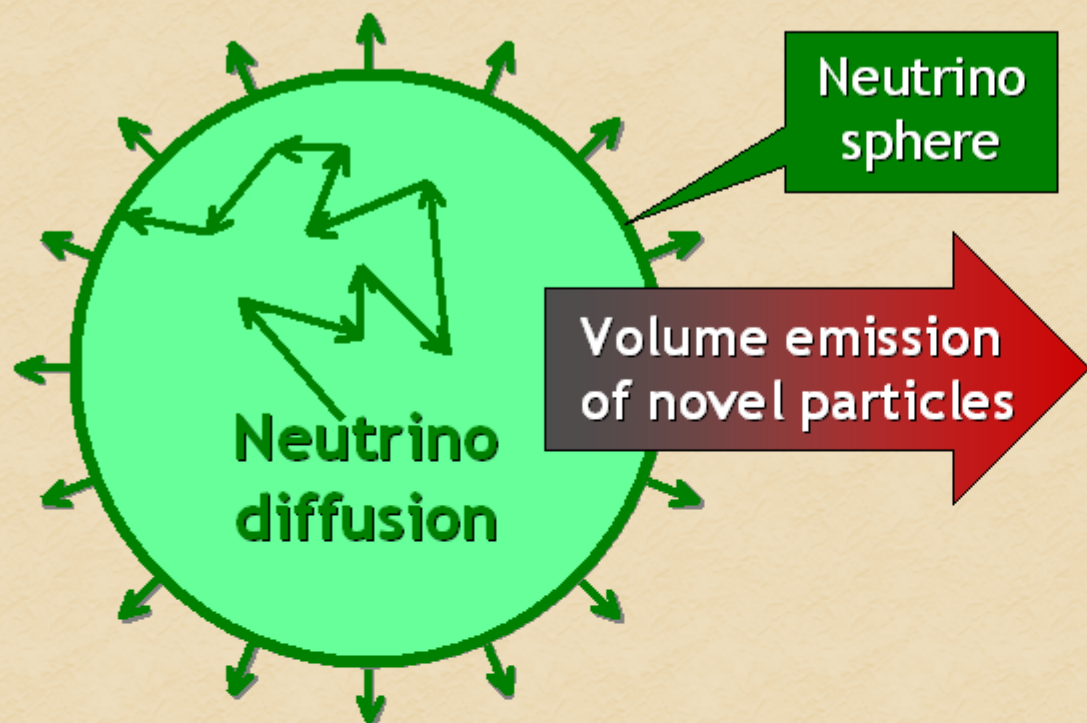
$$\epsilon_X < 10^{19} \text{ erg g}^{-1} \text{ s}^{-1}$$

for $\rho \approx 3 \times 10^{14} \text{ g cm}^{-3}$ and $T \approx 30 \text{ MeV}$

SN 1987A neutrino signal



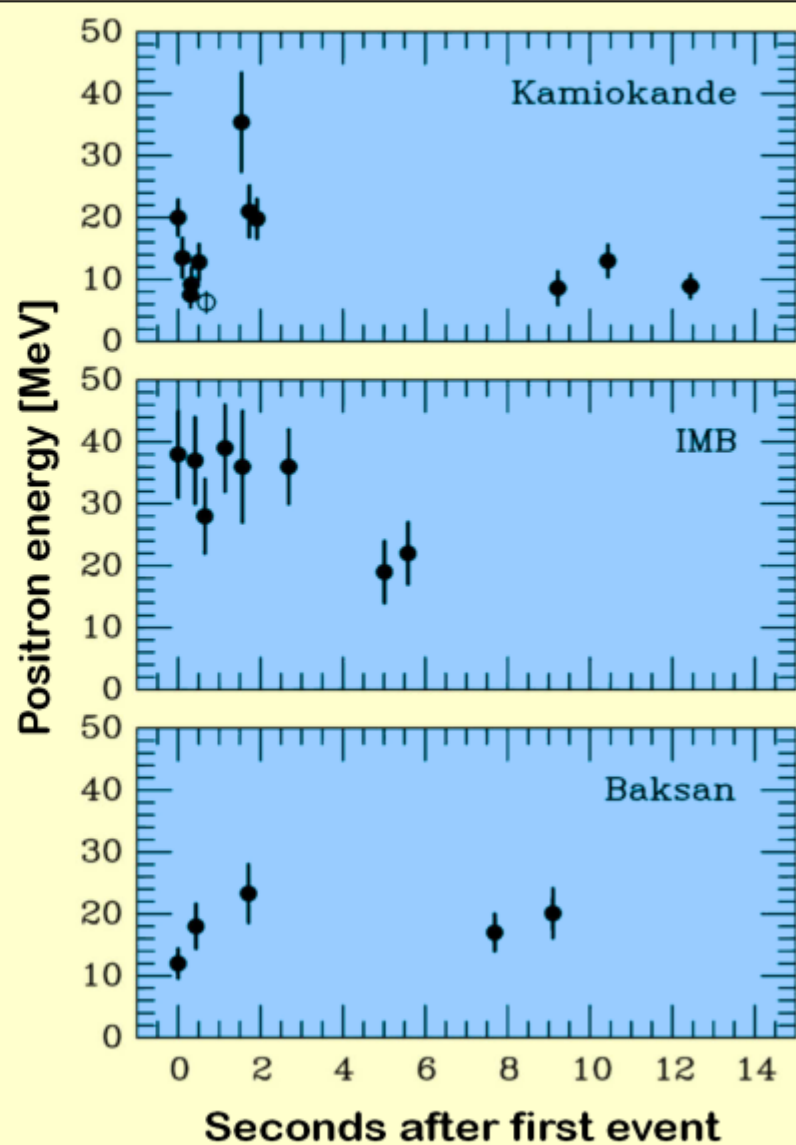
The Energy-Loss Argument



Emission of very weakly interacting particles would "steal" energy from the neutrino burst and shorten it.
(Early neutrino burst powered by accretion, not sensitive to volume energy loss.)

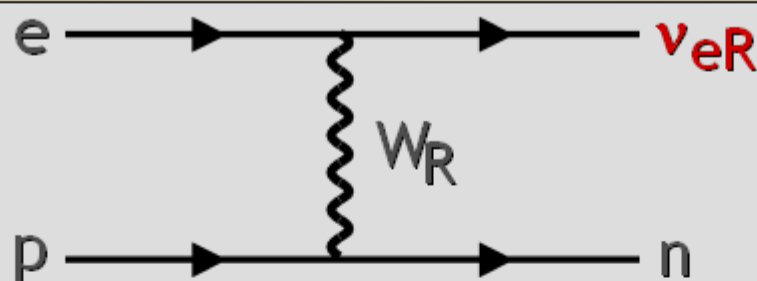
Late-time signal most sensitive observable

SN 1987A neutrino signal



Right-Handed Neutrinos (Dirac Neutrinos)

Right-handed
currents



Average scattering rate in SN core
involving ordinary left-handed neutrinos

$$\Gamma_L \approx 10^{10} \text{ s}^{-1}$$

For right-handed neutrinos

$$\Gamma_R \approx \frac{G_R^2}{G_F^2} \Gamma_L$$

To avoid complete energy loss in ~ 1 s

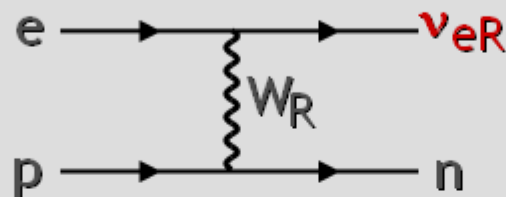
$$\frac{G_R^2}{G_F^2} 10^{10} \text{ s}^{-1} < 1 \text{ s}^{-1}$$

$$G_R < 10^{-5} G_F$$

Dirac Neutrinos

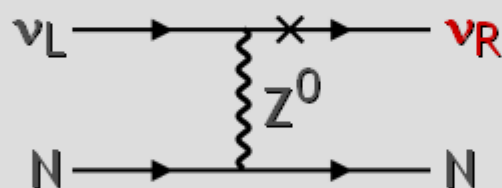
- If neutrinos are Dirac particles, right-handed states exist that do not interact by ordinary weak interactions
- Couplings are constrained by SN 1987A energy-loss argument

Right-handed currents



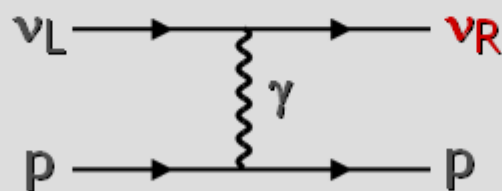
$$G_R \lesssim 10^{-5} G_F$$

Dirac mass



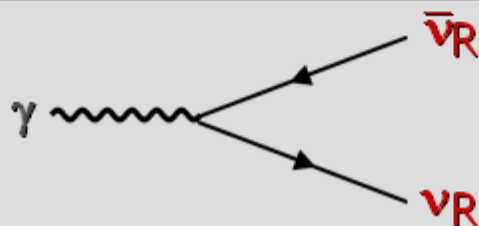
$$m_D \lesssim 30 \text{ keV}$$

Dipole moments



$$\mu_\nu \lesssim 10^{-12} \mu_B$$

Milli charge



$$e_\nu \lesssim 10^{-9} e$$

Right-Handed Neutrinos in the Early Universe

- If neutrinos are Dirac particles, will the right-handed components achieve thermal equilibrium in the early universe before big-bang nucleosynthesis?
- This would modify the light-element abundances in significant ways, notably increase the helium abundance

	Required strength	SN 1987A limit
Right-handed charged current	$G_R \sim 10^{-3} G_F$	$G_R \lesssim 10^{-5} G_F$
Dirac mass	few 100 keV	30 keV
Dipole moment	$\sim 0.5 \times 10^{-10} \mu_B$	$10^{-12} \mu_B$

Sterile Neutrinos

Sterile (right-handed) neutrinos may exist that are not Dirac partners to ordinary neutrinos

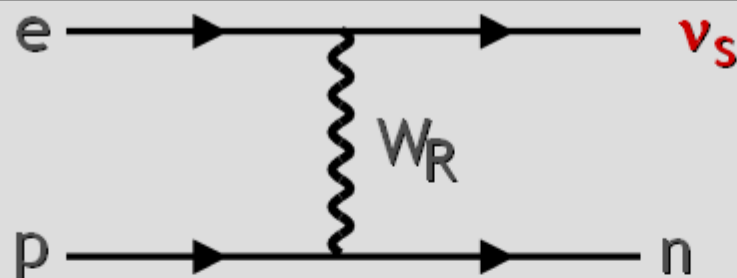
- Unknown mass m_s
- Unknown mixing angles with ordinary neutrinos Θ_{es} , $\Theta_{\mu s}$, $\Theta_{\tau s}$

Consequences and applications

- May (partially) account for some experimental oscillation results (LSND)
- Hot, warm, or cold dark matter contribution
- May affect big-bang nucleosynthesis
- Emission from supernova cores
- Affects r-process nucleosynthesis in the SN hot bubble
- Radiative decays $\rightarrow$ potentially detectable

Sterile Neutrinos

Active-sterile
mixing



Electron neutrino appears as sterile neutrino
in $\frac{1}{2} \sin^2(2\Theta_{es})$ of all cases

$$\Gamma_s \approx \frac{1}{2} \sin^2(2\Theta_{es}) \Gamma_L$$

Average scattering rate in SN core
involving ordinary left-handed neutrinos

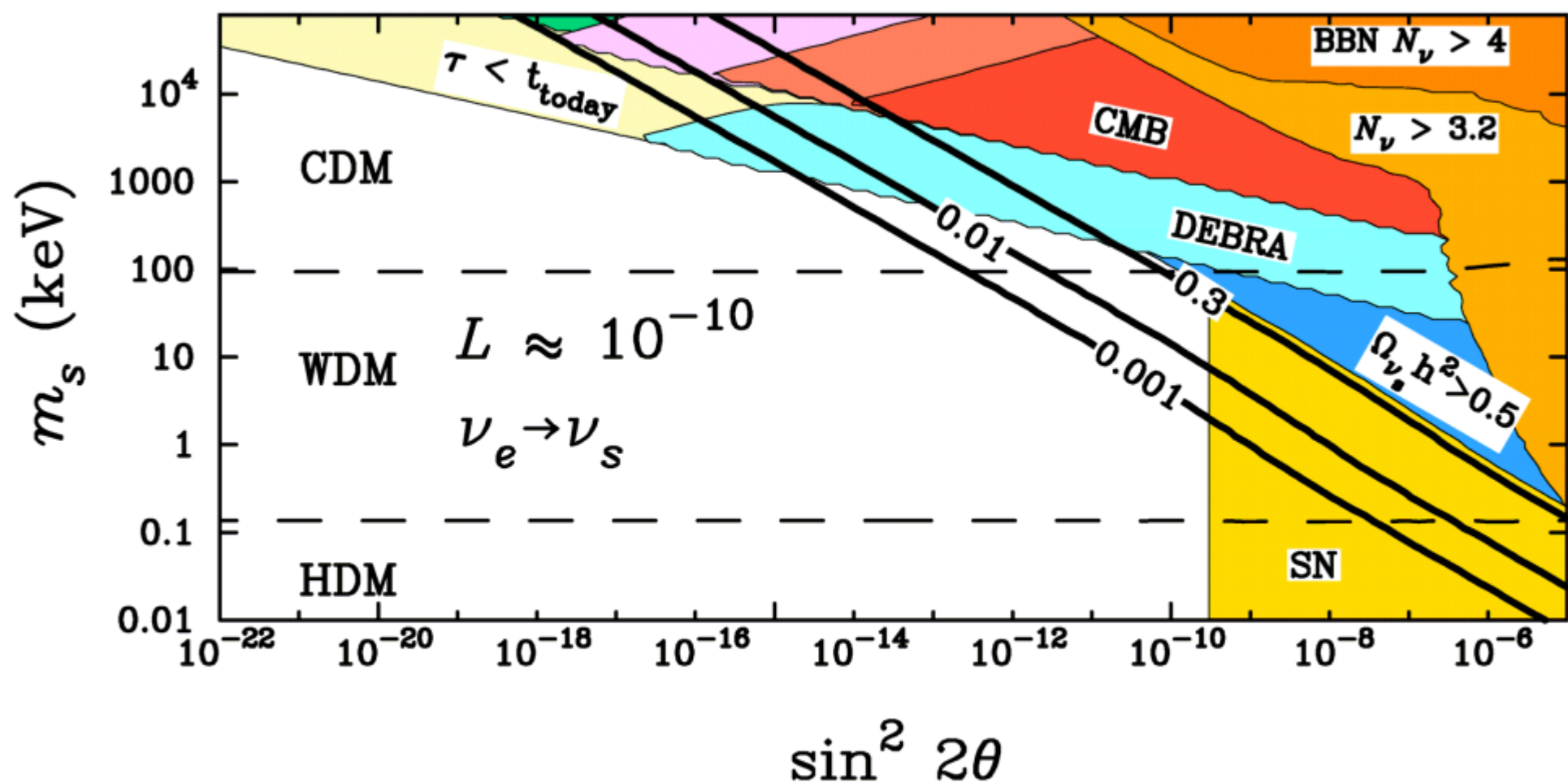
$$\Gamma_L \approx 10^{10} \text{ s}^{-1}$$

To avoid complete energy loss in $\sim 1 \text{ s}$

$$\frac{1}{2} \sin^2(2\Theta_{es}) 10^{10} \text{ s}^{-1} < 1 \text{ s}^{-1}$$

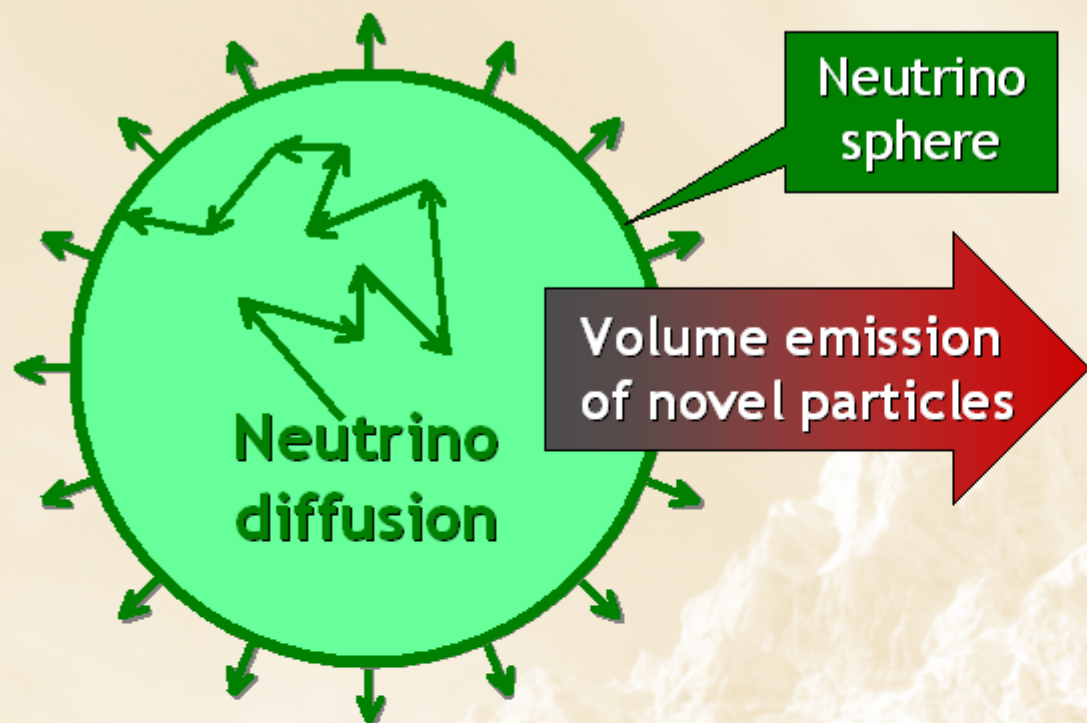
$$\sin^2(2\Theta_{es}) \lesssim 3 \times 10^{-10}$$

Sterile Neutrinos as Dark Matter



Abazajian, Fuller & Patel, Sterile neutrino hot, warm, and cold dark matter
astro-ph/0101524

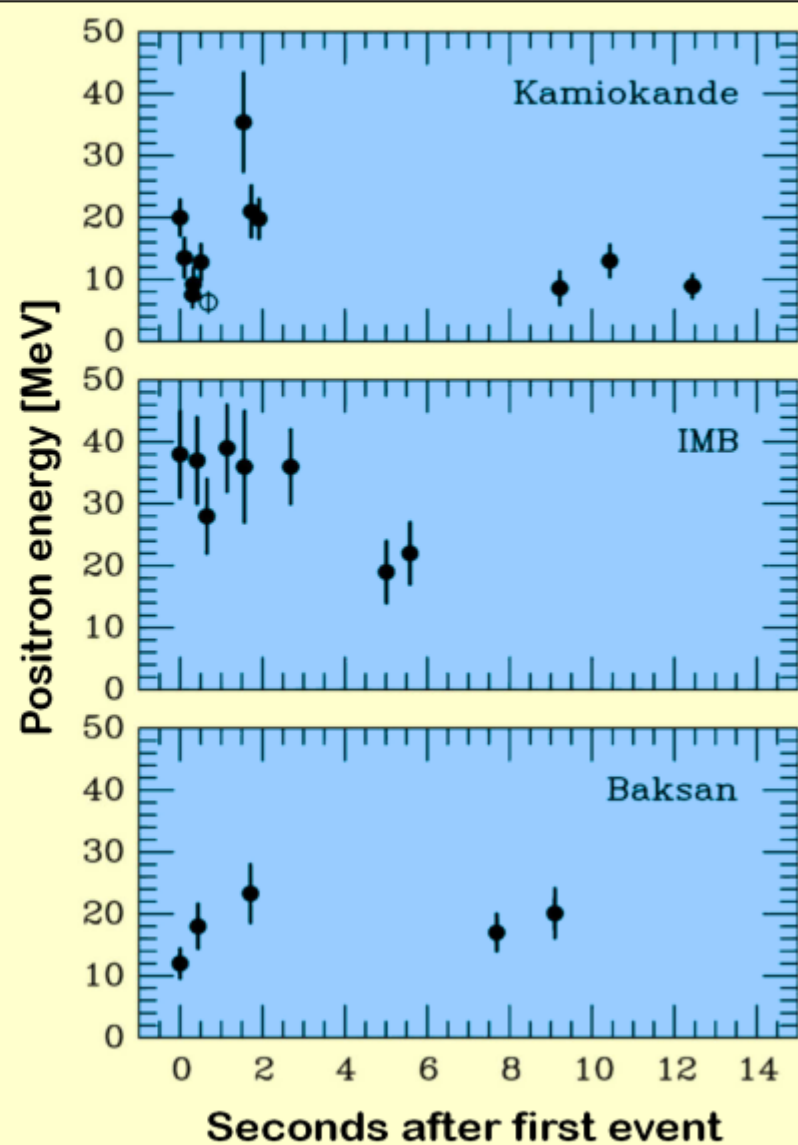
The Energy-Loss Argument



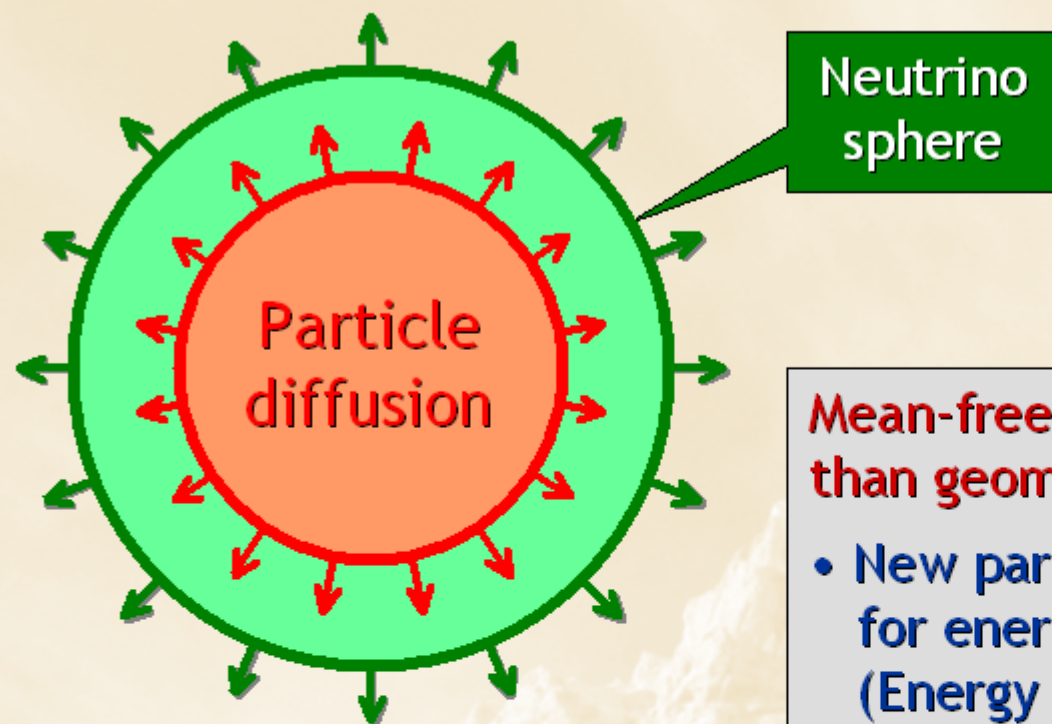
Emission of very weakly interacting particles would “steal” energy from the neutrino burst and shorten it.
(Early neutrino burst powered by accretion, not sensitive to volume energy loss.)

Late-time signal most sensitive observable

SN 1987A neutrino signal



The Energy-Loss Argument in the Trapping Limit



Mean-free-path of new particles less than geometric dimension of star

- New particles are more important for energy transfer than neutrinos (Energy transfer $\propto$ mfp)
- Efficiency of energy transfer must be less than that of neutrinos or else speed up cooling of PNS, again shortening the observed SN 1987A signal

Axion Physics in a Nut Shell

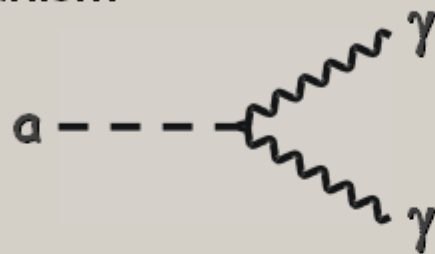
Particle-Physics Motivation

CP conservation in QCD by
Peccei-Quinn mechanism

→ Axions $a \sim \pi^0$

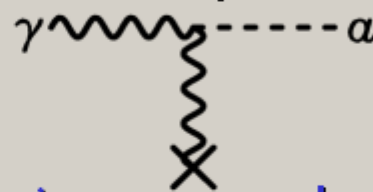
$$m_\pi f_\pi \approx m_a f_a$$

For $f_a \gg f_\pi$ axions are “invisible”
and very light



Solar and Stellar Axions

Axions thermally produced in stars,
e.g. by Primakoff production



- No excessive energy drain:
 $m_a < 10 \text{ meV}$
- Search for solar axions (CAST)

Cosmology

In spite of small mass, axions
are born **non-relativistically**
 (“non-thermal relics”)

→ “Cold dark matter”
candidate
 $m_a \sim 1\text{-}1000 \text{ } \mu\text{eV}$



Search for Axion Dark Matter



Microwave resonator
(1 GHz = 4 μeV)

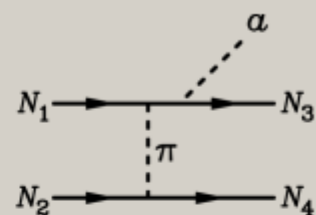


Axion or Graviton Emission Processes in Stars

Nucleons

$$\frac{C_N}{2f_a} \bar{\Psi}_N \gamma_\mu \gamma_5 \Psi_N \partial^\mu a$$

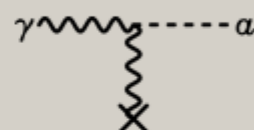
Nucleon
Bremsstrahlung



Photons

$$\frac{C_e}{2f_a} \bar{\Psi}_e \gamma_\mu \gamma_5 \Psi_e \partial^\mu a$$

Primakoff

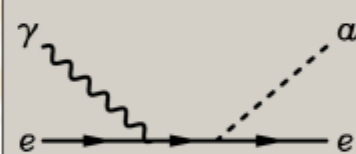


Electrons

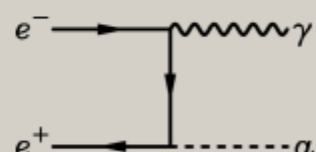
$$C_\gamma \frac{\alpha}{2\pi f_a} \frac{1}{4} F_{\mu\nu} \tilde{F}^{\mu\nu} a$$

$$= -C_\gamma \frac{\alpha}{2\pi f_a} \vec{E} \cdot \vec{B} a$$

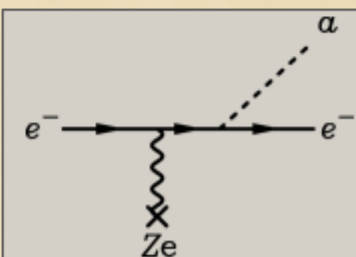
Compton



Pair
Annihilation



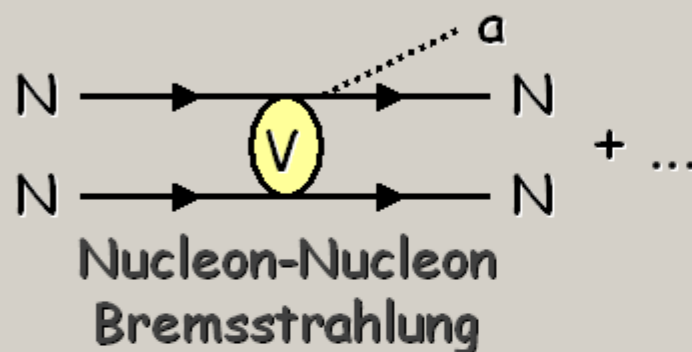
Electromagnetic
Bremsstrahlung



Axion Emission from Nuclear Medium

Axion-nucleon interaction
of current-current form:

$$L_{\text{int}} = \frac{C_N}{2f_a} \Psi_N \gamma_\mu \gamma_5 \Psi_N \partial^\mu a = \frac{C_N}{2f_a} J_\mu^A \partial^\mu a$$



Energy loss rate ($\text{erg cm}^{-3} \text{s}^{-1}$)

$$Q = \int d\Gamma_a \int d\Gamma_{\text{Nucleons}} |M|^2 \omega$$
$$= \left(\frac{C_N}{2f_a} \right)^2 \frac{n_B}{4\pi^2} \int_0^\infty d\omega \omega^4 S(-\omega)$$

axion
energy

dynamical
structure
function

Difficulties include:

- Realistic nucleon-nucleon interaction potential (even in vacuum)
- Many-body effects (effective mass, spin-spin correlations ...)
- Axion couplings in the nuclear medium
- Multiple-scattering effects:

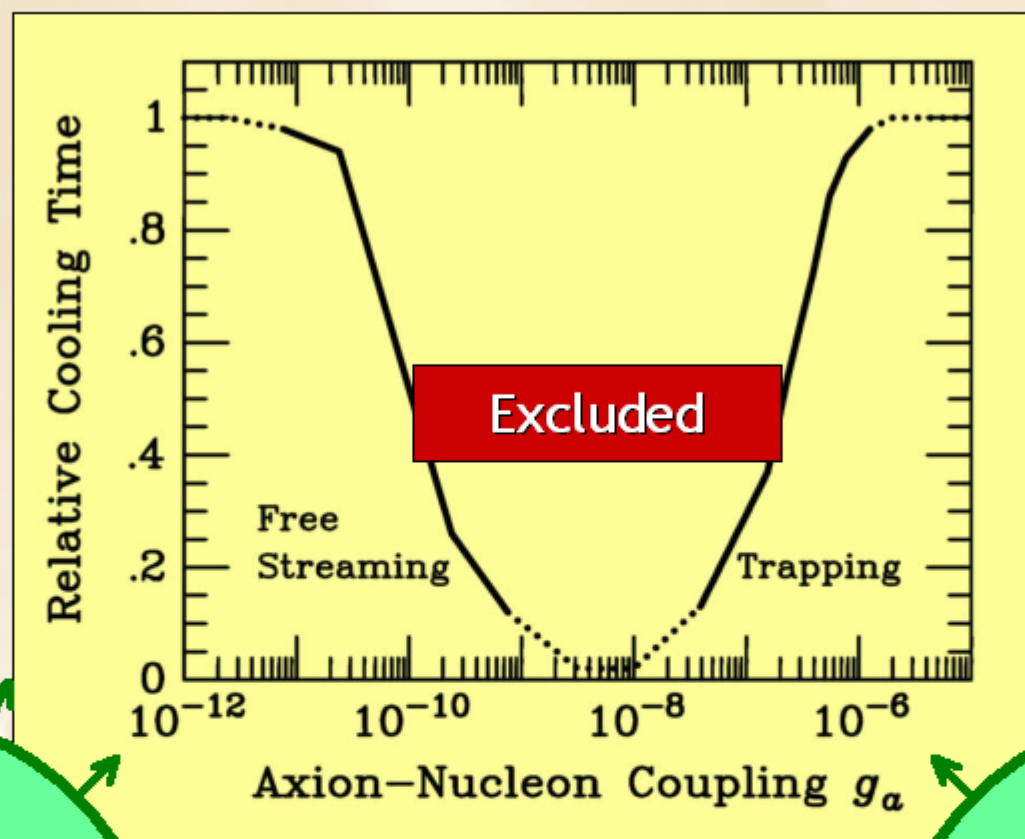
Frequency of NN collisions exceeds typical axion energy

$$\tau_{\text{coll}} < \omega^{-1}$$

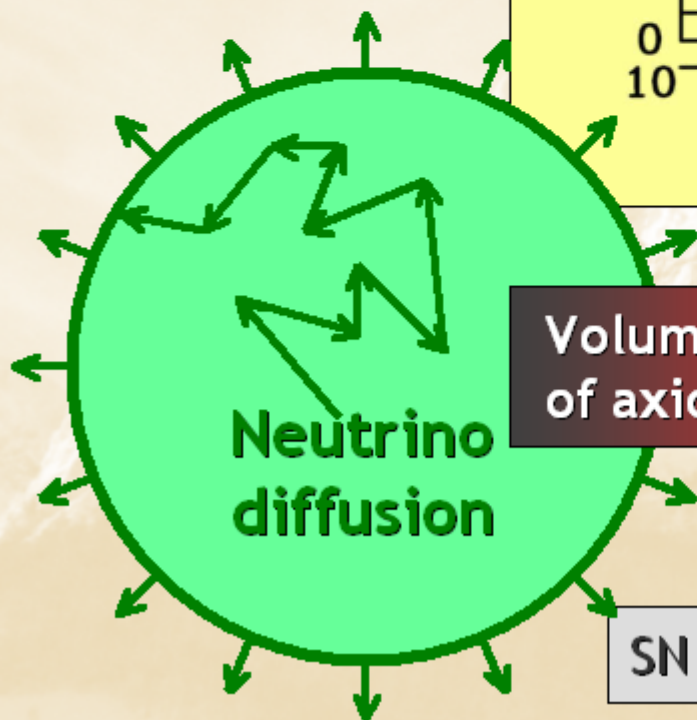
Expect LPM-type destructive interference effects

SN 1987A Axion Limits

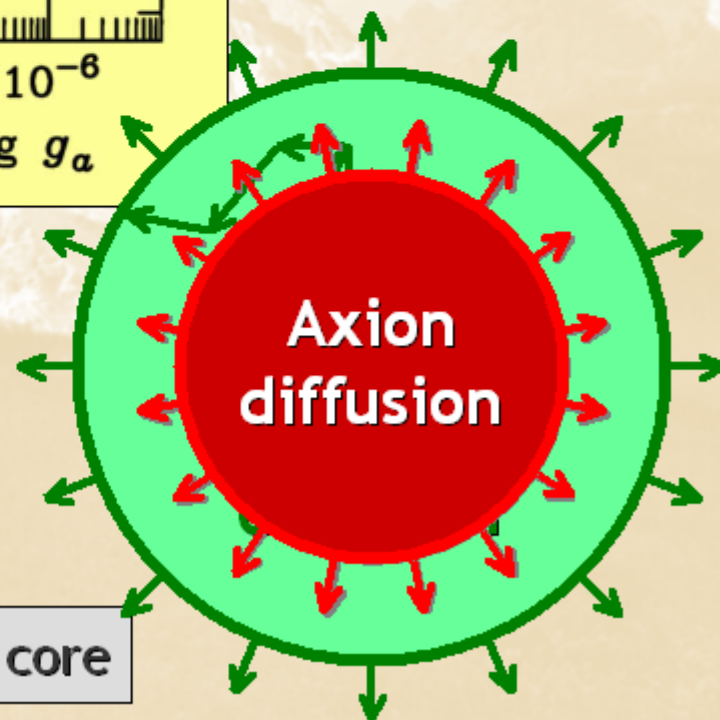
Free streaming



Trapping



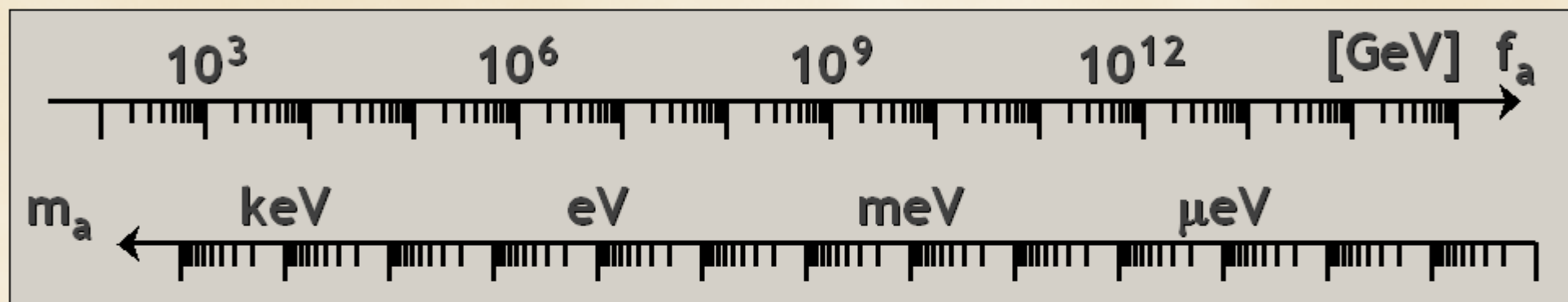
Volume emission
of axions



Astrophysical Axion Bounds

Stellar Evolution

Cosmology



Experiments

Tele
scope

Globular clusters
(a - γ -coupling)

Too many
events

Too much
energy loss

SN 1987A (a -N-coupling)

Axion dark matter possible
(Late inflation scenario)

DM o.k.

Too much DM
(String scenario)

Direct
search

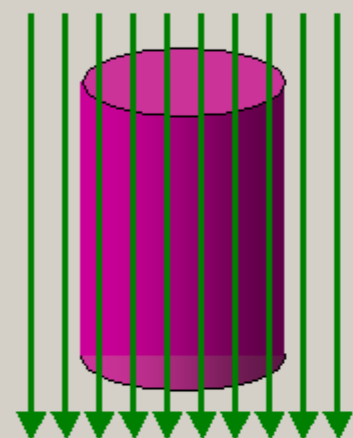
Experimental Search for Galactic Axions

DM axions $m_a = 10\text{-}3000 \mu\text{eV}$
 Velocities in galaxy $v_a \approx 10^{-3} c$
 Energies therefore $E_a \approx (1 \pm 10^{-6}) m_a$



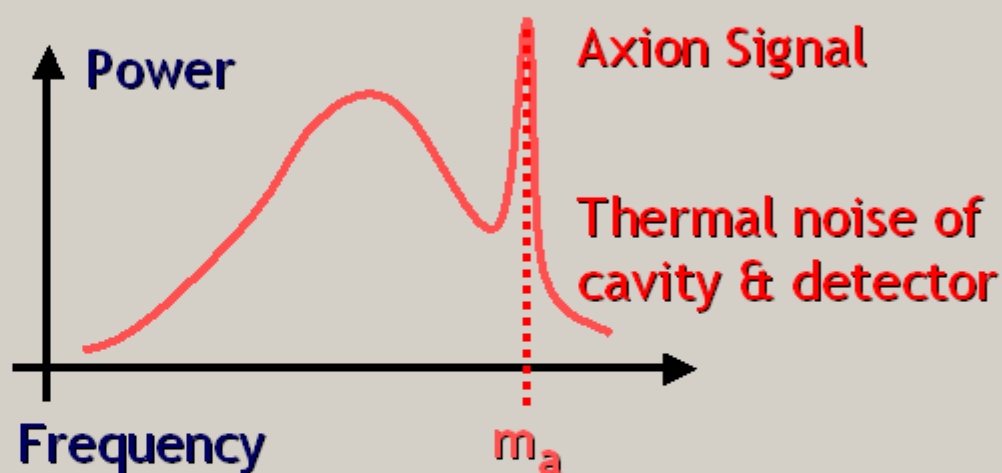
Microwave Energies
 (1 GHz $\approx 4 \mu\text{eV}$)

Axion Haloscope (Sikivie 1983)

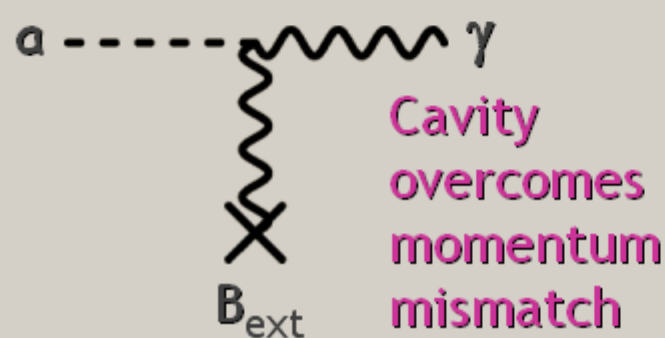


$B_{\text{ext}} \approx 8 \text{ Tesla}$

Microwave
 Resonator
 $Q \approx 10^5$



Primakoff Conversion



Power of galactic axion signal

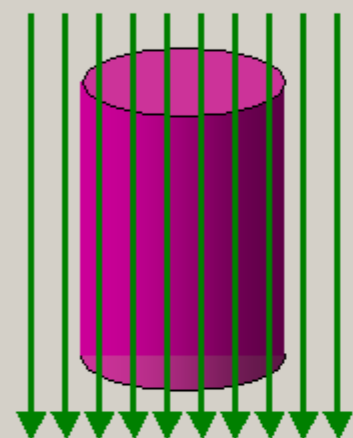
$$4 \times 10^{-21} \text{ W} \frac{V}{0.22 \text{ m}^3} \left(\frac{B}{8.5 \text{ T}} \right)^2 \frac{Q}{10^5} \\
\times \left(\frac{m_a}{2\pi \text{ GHz}} \right) \left(\frac{\rho_a}{5 \times 10^{-25} \text{ g/cm}^3} \right)$$

Experimental Search for Galactic Axions

DM axions $m_a = 10\text{-}3000 \mu\text{eV}$
Velocities in galaxy $v_a \approx 10^{-3} c$
Energies therefore $E_a \approx (1 \pm 10^{-6}) m_a$

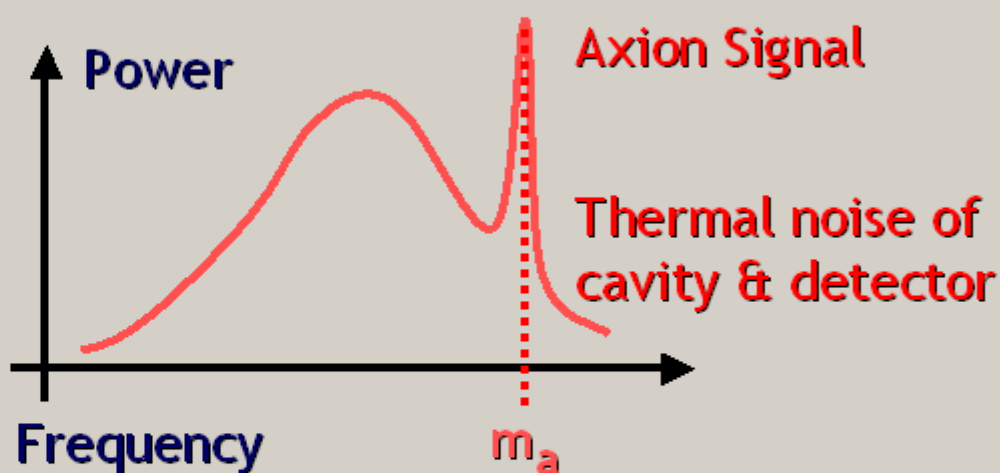
Microwave Energies
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Axion Haloscope (Sikivie 1983)

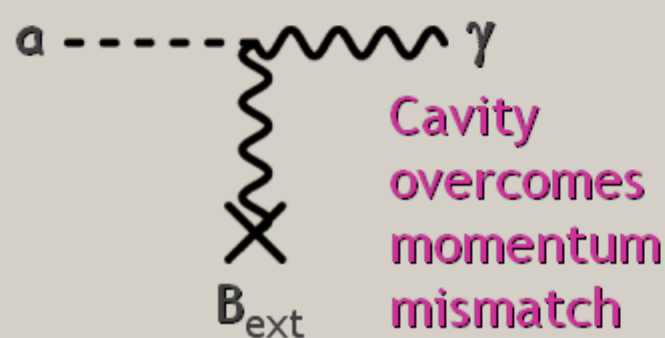


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 $Q \approx 10^5$



Primakoff Conversion



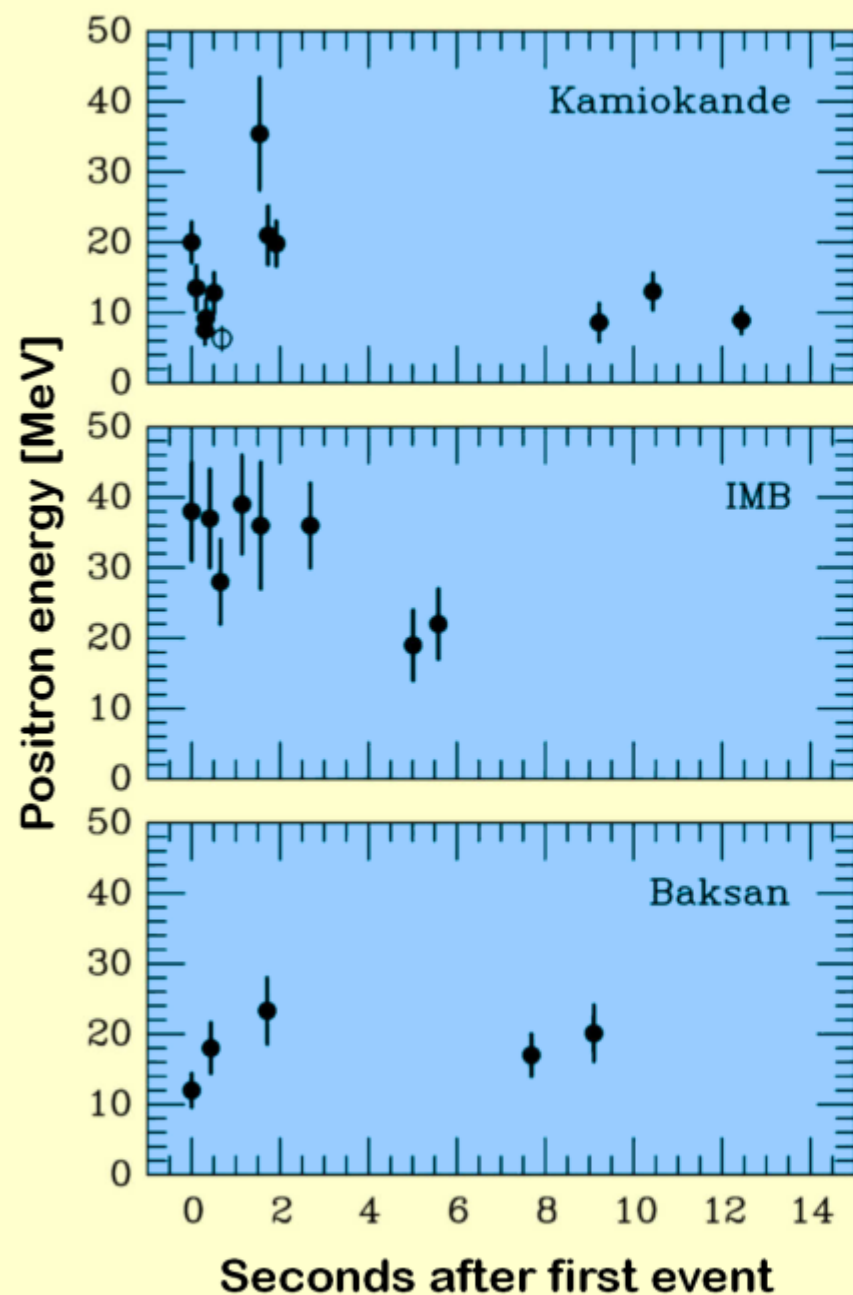
2 Experiments in Operation

- Axion Dark Matter Experiment (ADMX), Livermore, US
- CARRACK II, Kyoto, Japan

Neutrino Oscillations and a Future Galactic Supernova



Neutrino Signal of Supernova 1987A



Kamiokande (Japan)
Water Cherenkov detector
Clock uncertainty ± 1 min

Irvine-Michigan-Brookhaven (US)
Water Cherenkov detector
Clock uncertainty ± 50 ms

Baksan Scintillator Telescope
(Soviet Union)
Clock uncertainty $+2/-54$ s

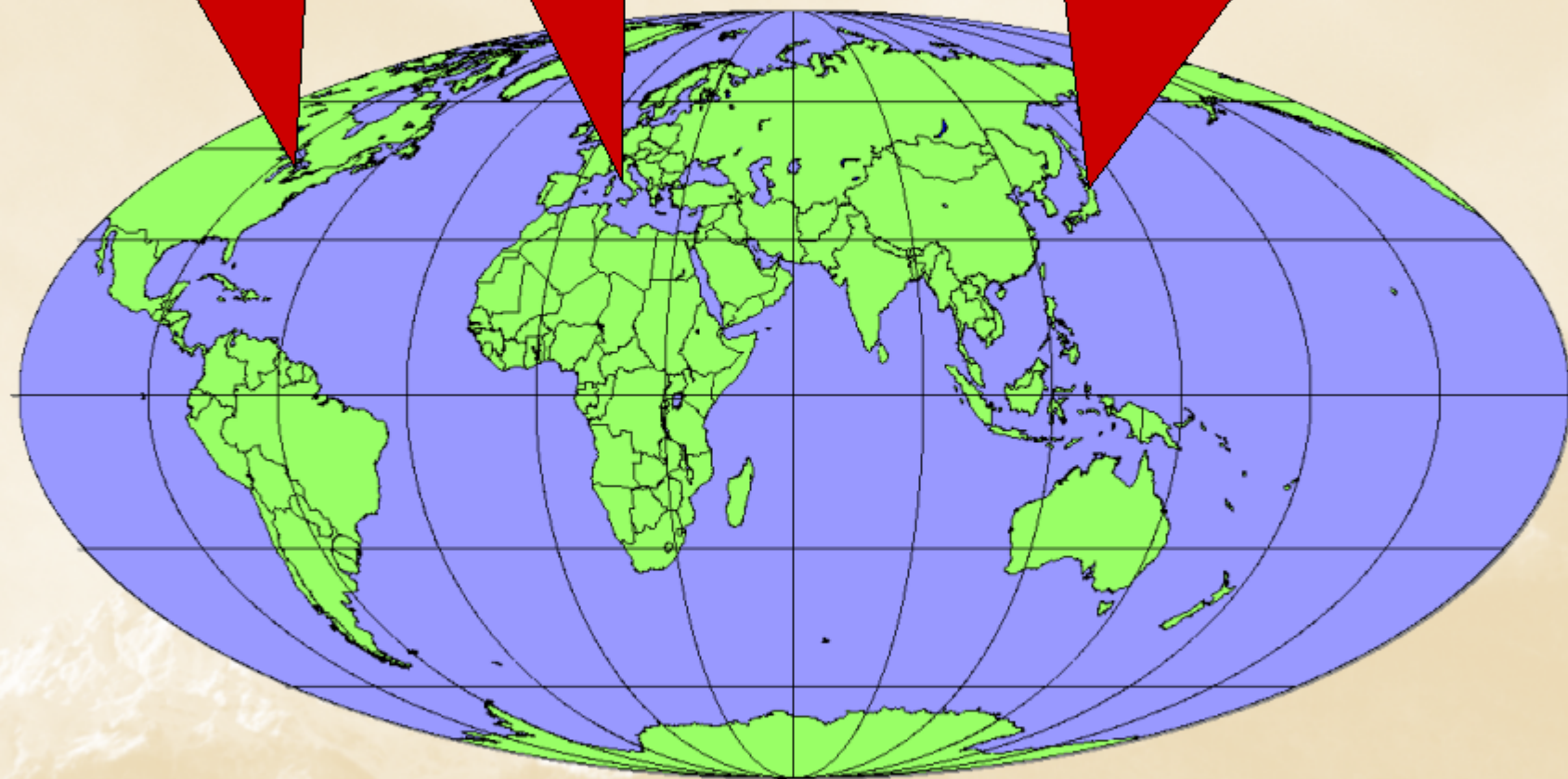
Within clock uncertainties,
signals are contemporaneous

Large Detectors for Supernova Neutrinos

SNO (800)
MiniBooNE (190)

LVD (400)
Borexino (80)

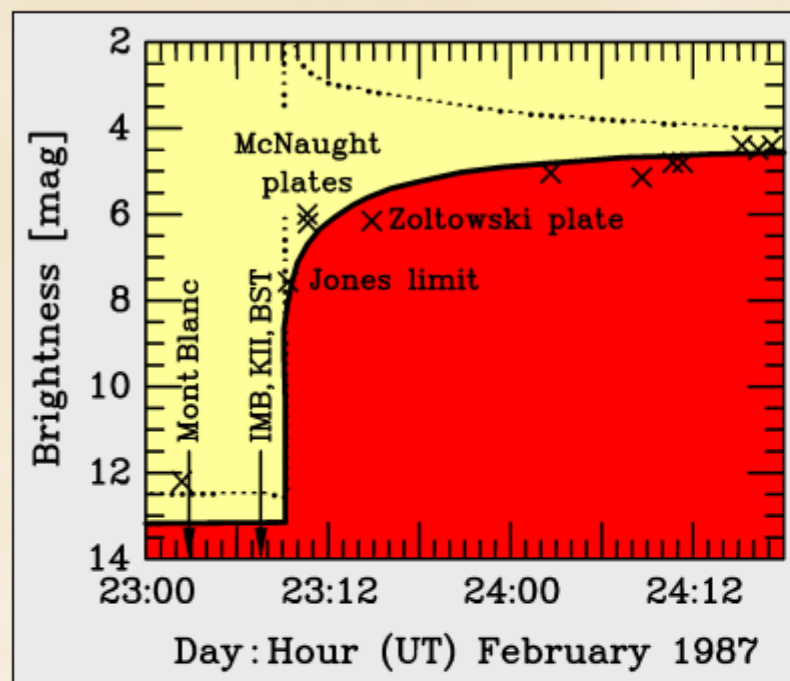
Super-Kamiokande (10^4)
Kamland (330)



Amanda
IceCube

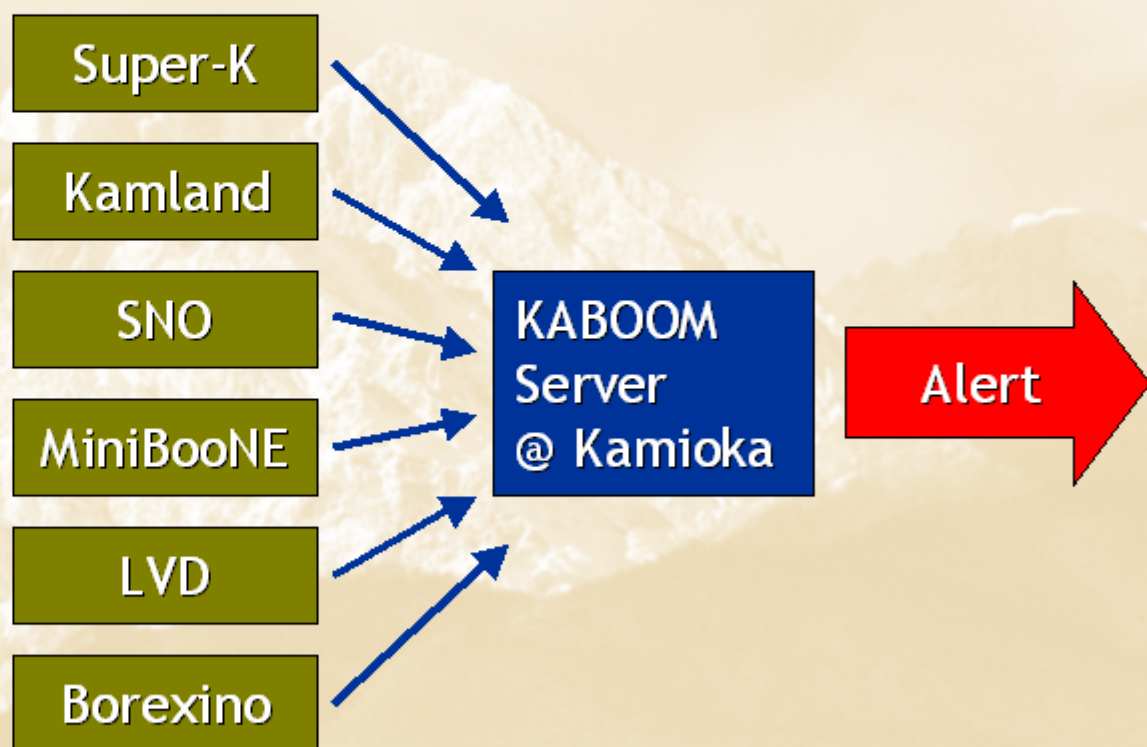
In brackets events
for a “fiducial SN”
at distance 10 kpc

SuperNova Early Warning System (SNEWS)



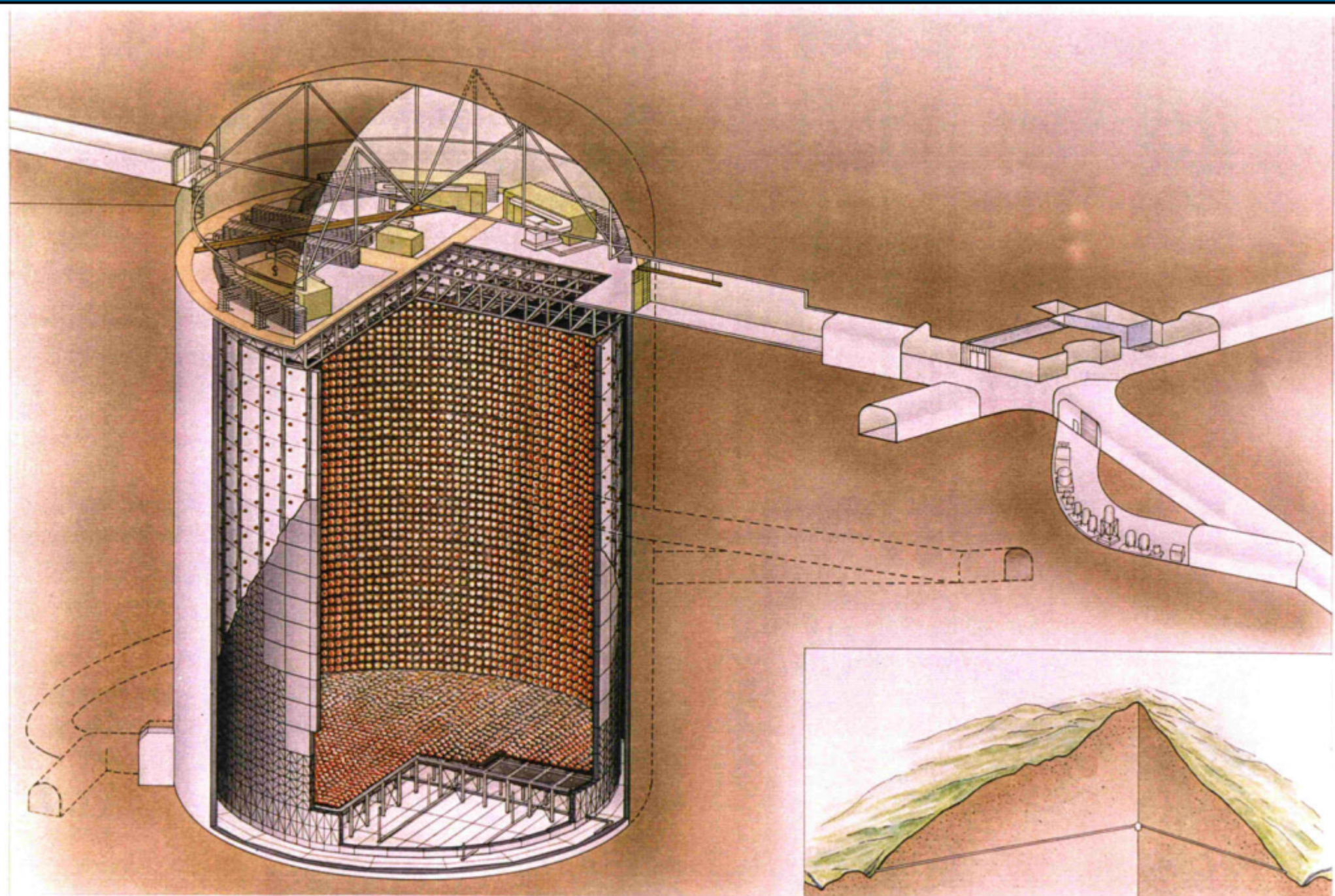
Supernova 1987A
Early Light Curve

Neutrino observation can alert astronomers several hours in advance to a supernova. To avoid false alarms, require alarm from at least two experiments.

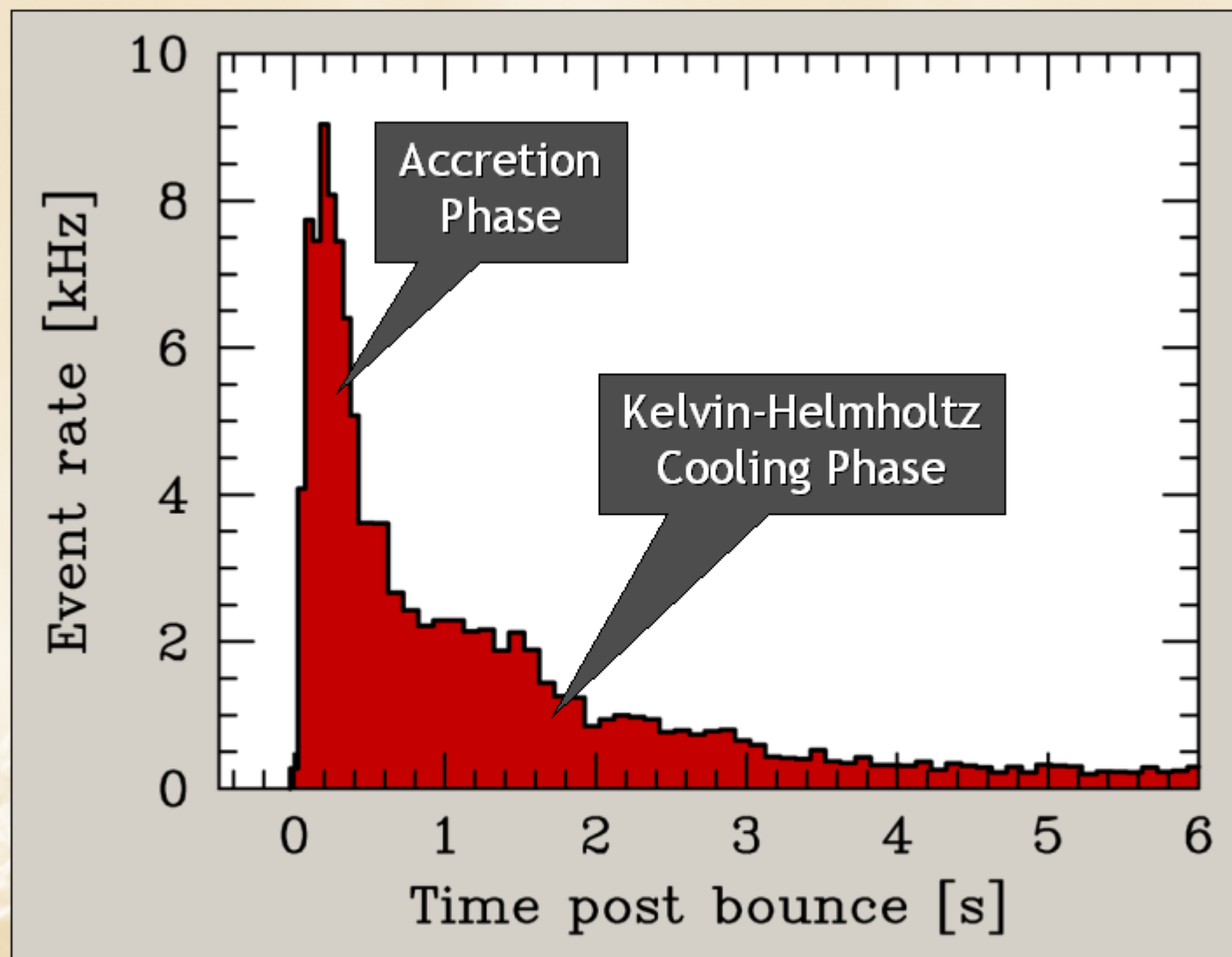


<http://hep.bu.edu/~snnet/astro-ph/0406214>

Super-Kamiokande Neutrino Detector



Simulated Supernova Signal at Super-Kamiokande



Simulation for Super-Kamiokande SN signal at 10 kpc,
based on a numerical Livermore model
[Totani, Sato, Dalhed & Wilson, ApJ 496 (1998) 216]

The Future: A Megatonne Detector?

Megatonne detector motivated by

- Long baseline neutrino oscillations
 - Proton decay
 - Atmospheric neutrinos
 - Solar neutrinos
 - Supernova neutrinos
- ($\sim 10^5$ events for SN at 10 kpc)

1. Overview of the experiment

(expect to start in 2007)



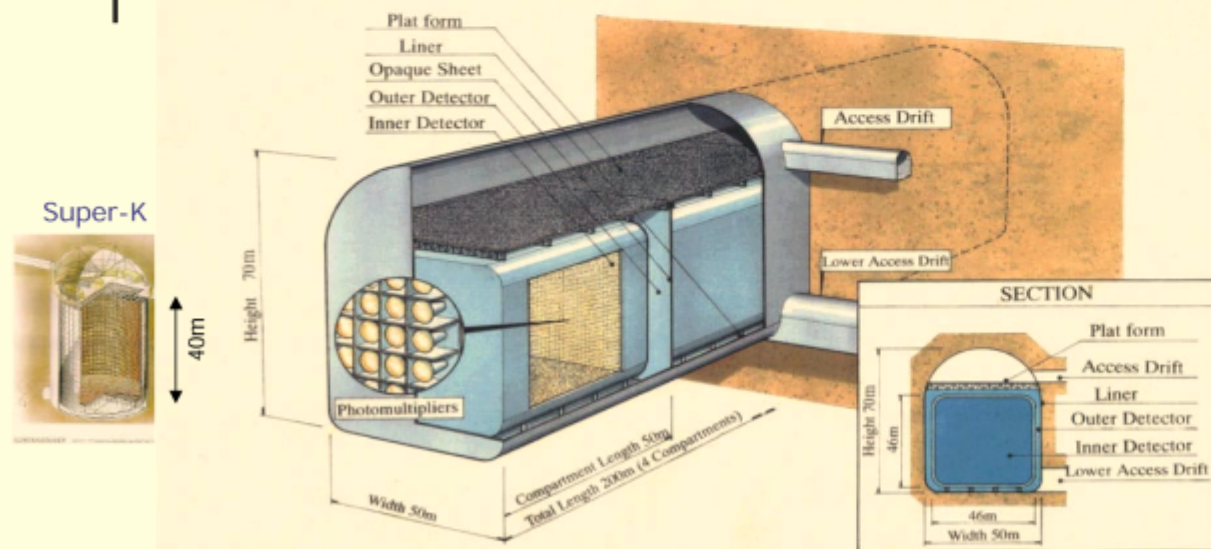
Phase-I (0.77MW + Super-K)

Phase-II (4MW+Hyper-K) ~ Phase-I $\times 200$

3

Possible Design of Hyper-Kamiokande

May.-2001 JHF-Kamioka ν workshop



1 Mton fiducial volume: Total Length 800m (16 Compartments)

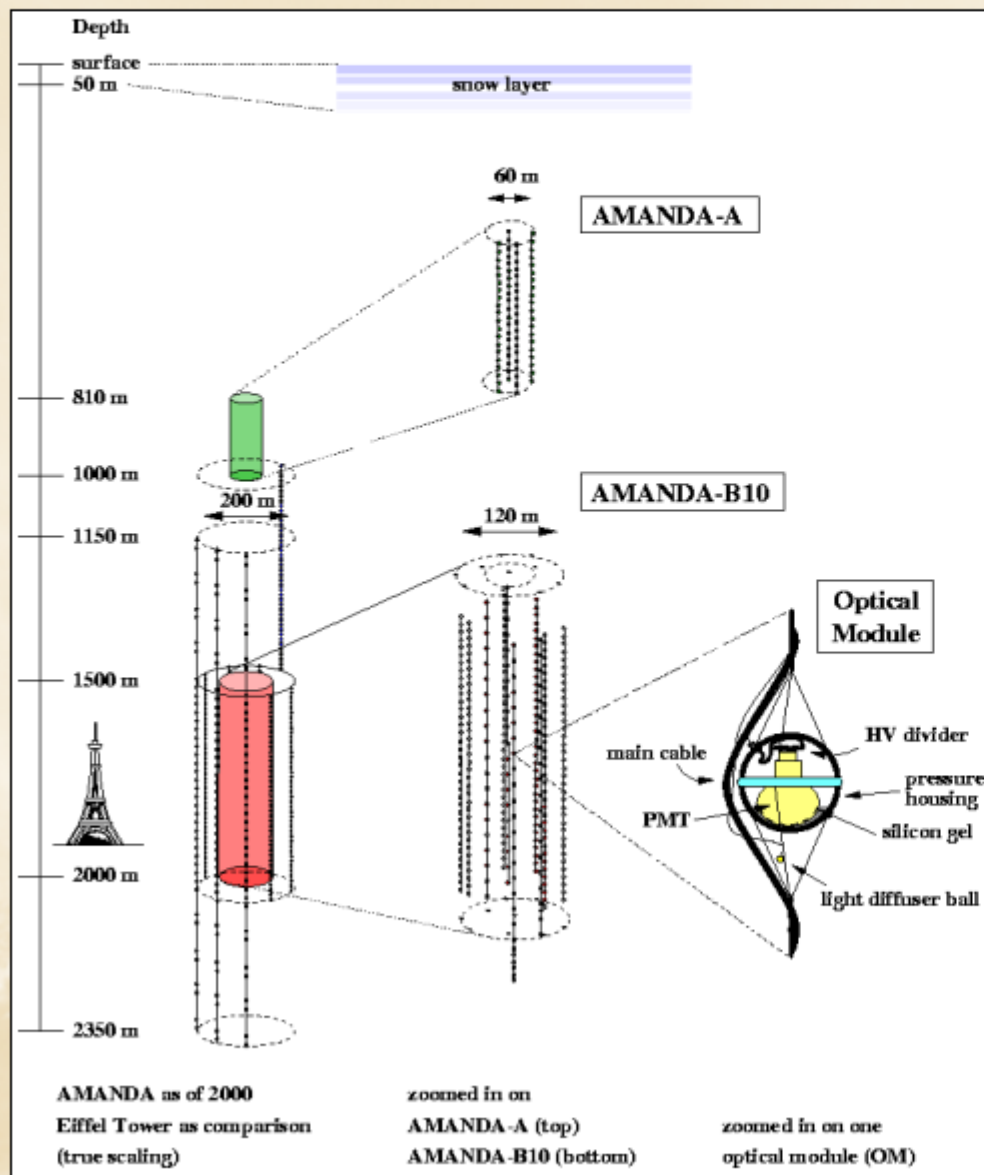
(STRAIGHT TYPE)

Similar discussions in

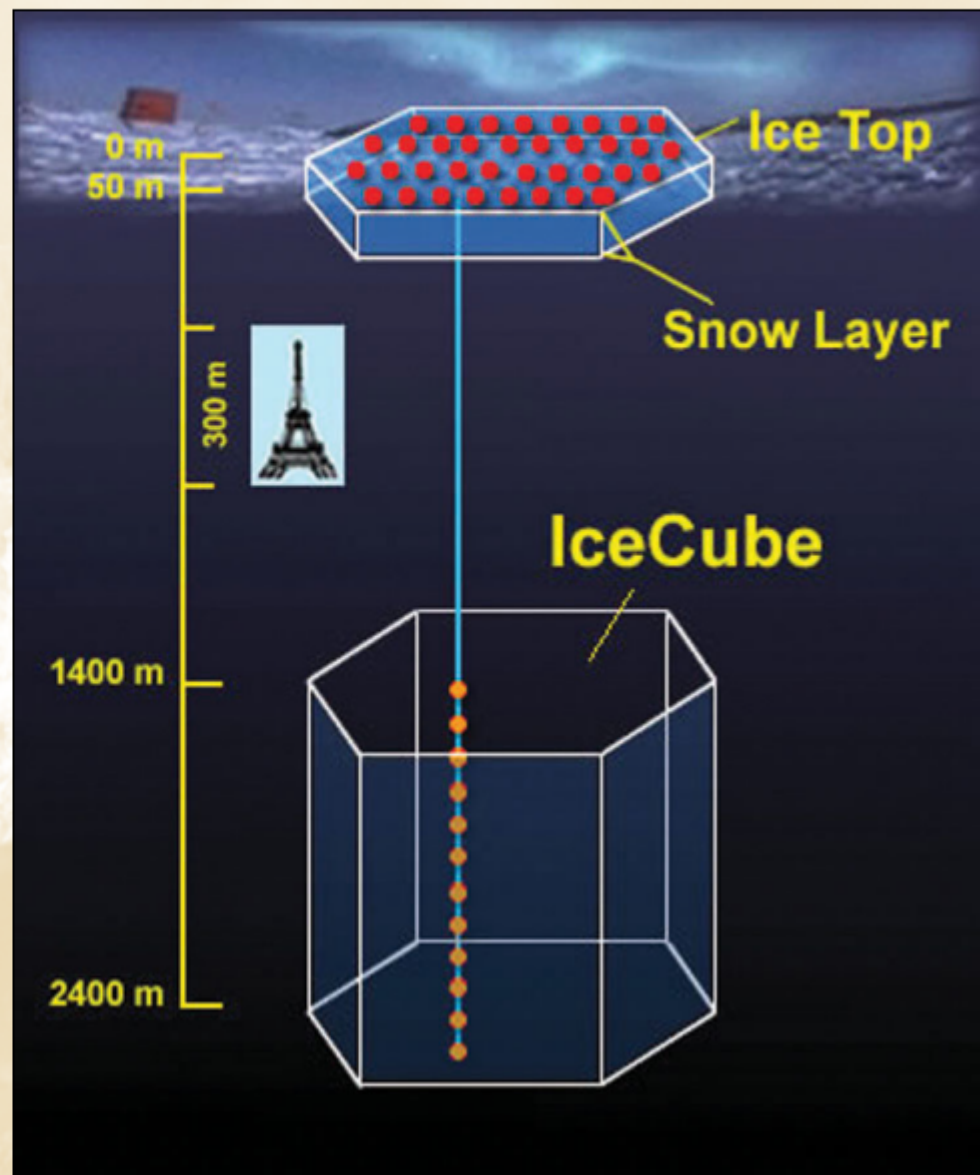
- USA (UNO project)
- Europe (Frejus Tunnel)

Southpole Ice-Cherenkov Neutrino Detectors

AMANDA II (0.1 km^3 , 800 PMTs)



Future IceCube (1 km^3 , 4800 PMTs)

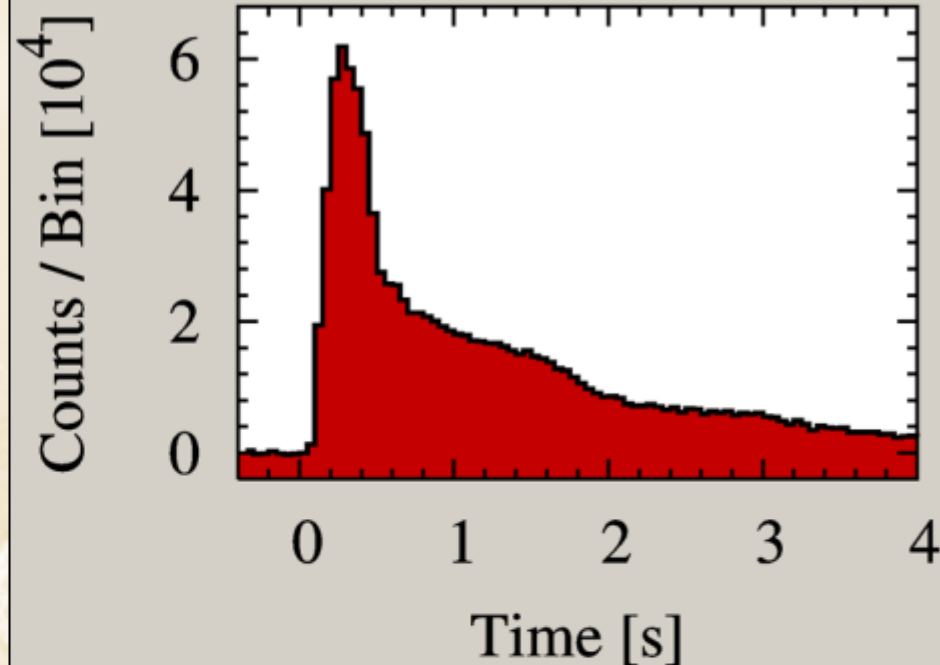
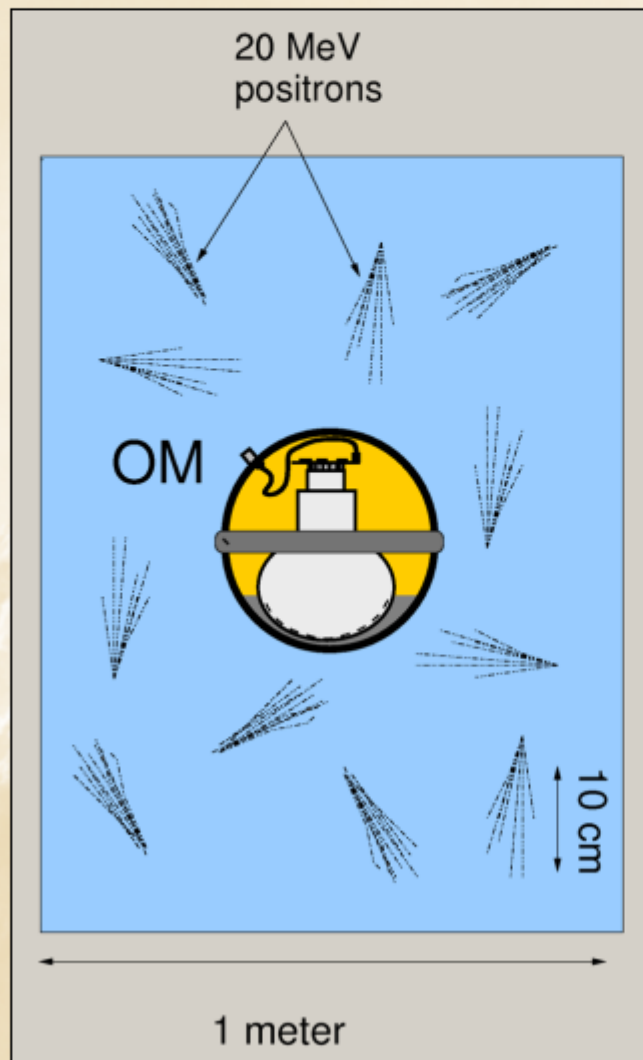


IceCube as a Supernova Neutrino Detector

Each optical module (OM) picks up Cherenkov light from its neighborhood. SN appears as “correlated noise”.

~ 300
Cherenkov
photons
per OM
from a SN
at 10 kpc

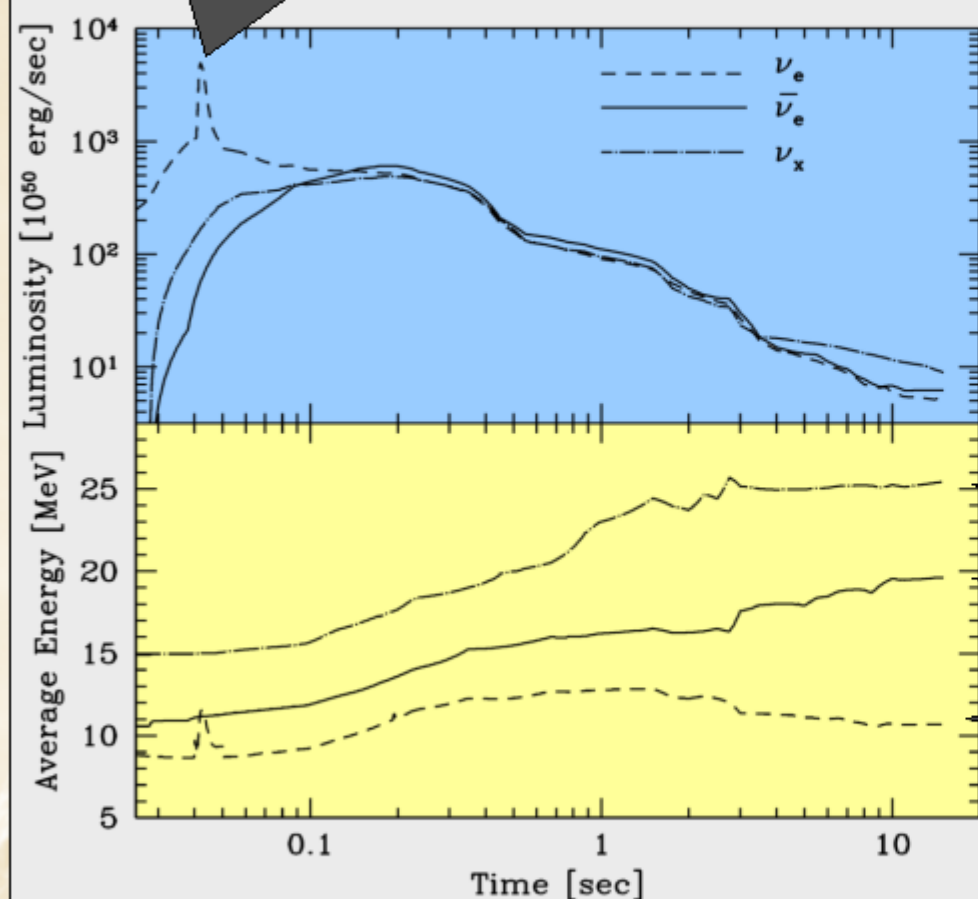
Noise
per OM
< 500 Hz



IceCube SN signal at 10 kpc, based
on a numerical Livermore model
[Dighe, Keil & Raffelt, hep-ph/0303210]

Flavor-Dependent Fluxes and Spectra

Prompt ν_e
deleptonization
burst



Broad characteristics

- Duration a few seconds
- $\langle E_\nu \rangle \sim 10\text{--}20$ MeV
- $\langle E_\nu \rangle$ increases with time
- Hierarchy of energies
 $\langle E_{\nu_e} \rangle < \langle E_{\bar{\nu}_e} \rangle < \langle E_{\nu_x} \rangle$
- Approximate equipartition of energy between flavors

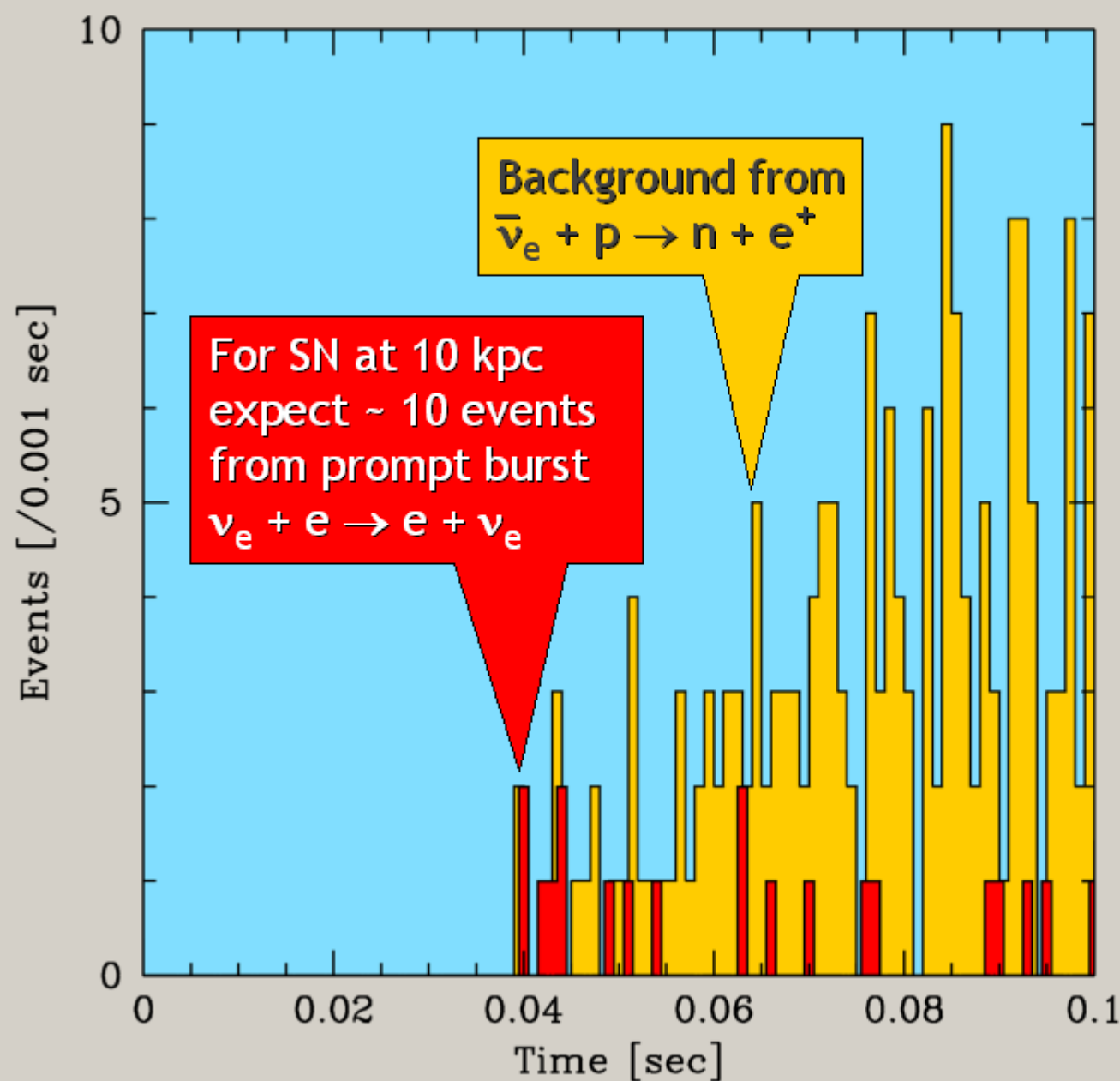
ν_x

$\bar{\nu}_e$

ν_e

Livermore numerical model
ApJ 496 (1998) 216

Can we see the prompt neutrino burst in Super-K?

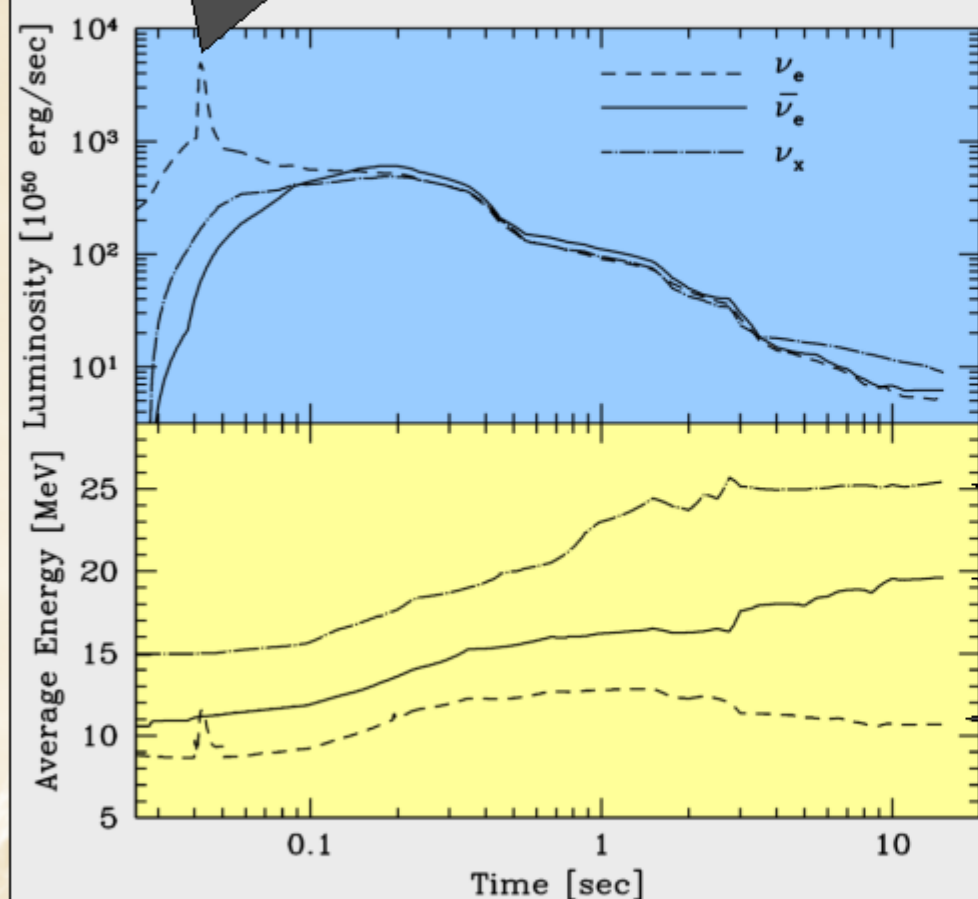


Monte-Carlo example
for early SN signal
in Super-Kamiokande

Totani, Sato, Dalhed
& Wilson,
ApJ 496 (1998) 216

Flavor-Dependent Fluxes and Spectra

Prompt ν_e
deleptonization
burst



Livermore numerical model
ApJ 496 (1998) 216

From these and similar studies the “standard” assumptions are

- Almost exact equipartition of energy among flavors
- Pronounced hierarchy of average energies

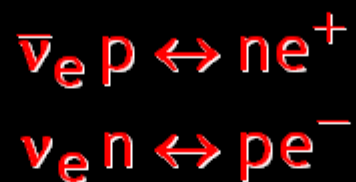
However, in traditional simulations transport of ν_μ and ν_τ schematic

- Incomplete microphysics
- Crude numerics to couple nu transport with hydro code

Supernova Neutrino Spectra Formation

Electron flavor ($\nu_e, \bar{\nu}_e$)

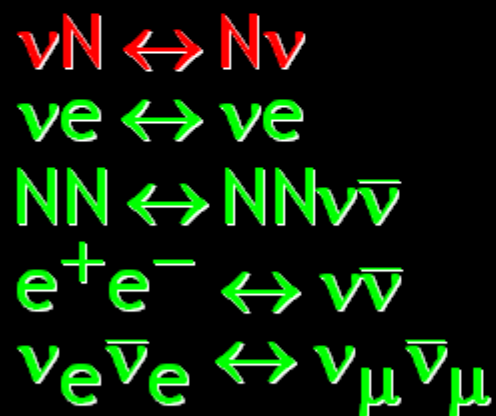
Thermal Equilibrium



Free
streaming

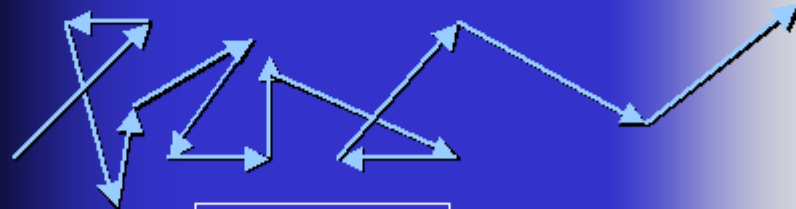
Neutrino sphere (T_{NS})

Other flavors ($\nu_\mu, \nu_\tau, \bar{\nu}_\mu, \bar{\nu}_\tau$)



Thermal Equilibrium

Scattering Atmosphere



Diffusion

Free
streaming

Energy sphere (T_{ES})

Transport sphere

Raffelt (astro-ph/0105250), Keil, Raffelt & Janka (astro-ph/0208035)

Scattering Atmosphere as a "Low-Pass Filter"

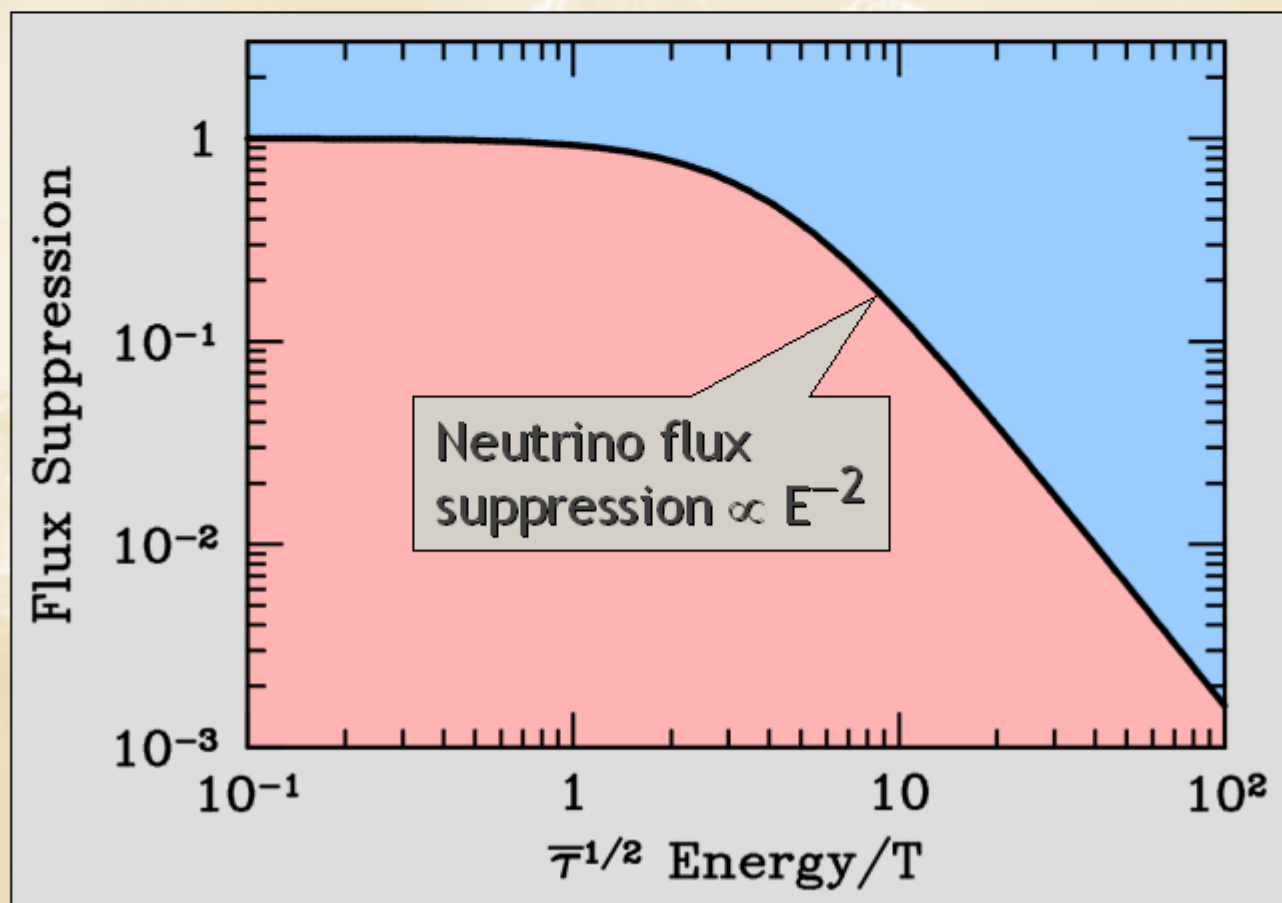
Thermal Equilibrium

Blackbody flux
from E-sphere

Transmitted flux

Reflected flux

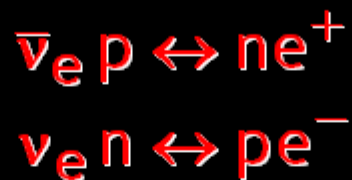
Diffusion



Supernova Neutrino Spectra Formation

Electron flavor ($\nu_e, \bar{\nu}_e$)

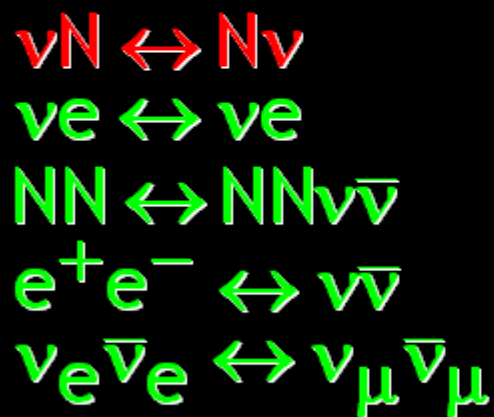
Thermal Equilibrium



$$T_{\text{flux}} \sim T_{\text{NS}}$$

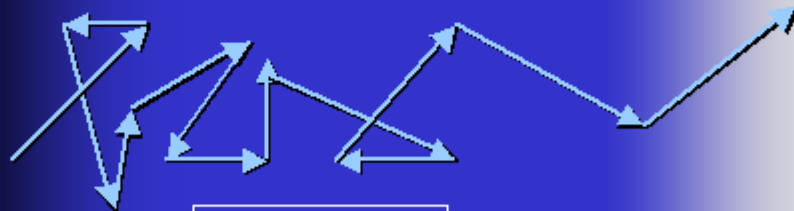
Neutrino sphere (T_{NS})

Other flavors ($\nu_\mu, \nu_\tau, \bar{\nu}_\mu, \bar{\nu}_\tau$)



Thermal Equilibrium

Scattering Atmosphere



Diffusion

$$T_{\text{flux}} \sim 0.6 T_{\text{ES}}$$

Energy sphere (T_{ES})

Transport sphere

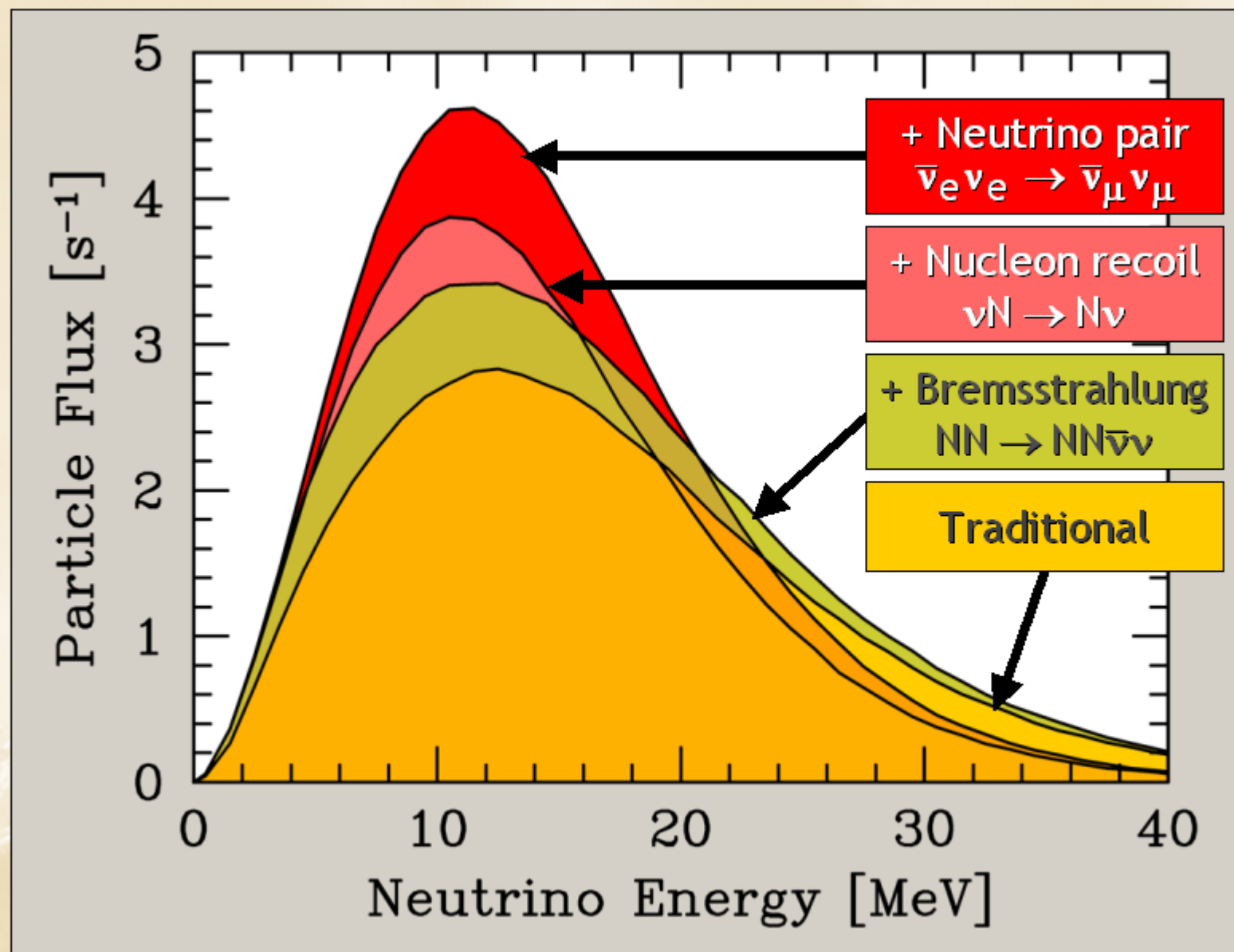
Raffelt (astro-ph/0105250), Keil, Raffelt & Janka (astro-ph/0208035)

Microphysics for Mu- and Tau-Neutrino Transport

	Traditional treatment	Dominant processes
Main opacity	$\nu + N \rightarrow N + \nu$	$\nu + N \rightarrow N + \nu$
Energy exchange	$\nu + e \rightarrow e + \nu$	$\nu + e \rightarrow e + \nu$ Recoil $\nu + N \rightarrow N + \nu$ [2,6,7]
Pair production	$e^+ + e^- \rightarrow \bar{\nu} + \nu$	$N + N \rightarrow N + N + \bar{\nu} + \nu$ [1-4] $\bar{\nu}_e + \nu_e \rightarrow \bar{\nu} + \nu$ [6,7]

- [1] Suzuki, Num. Astrophys. Japan 2 (1991) 267
- [2] Janka, W.Keil, Raffelt & Seckel, PRL 76 (1996) 2621 [astro-ph/9507023]
- [3] Hannestad & Raffelt, ApJ 507 (1998) 339 [astro-ph/9711132]
- [4] Thompson, Burrows & Horvath, PRC 62 (2000) 035802 [astro-ph/0003054]
- [6] Raffelt, ApJ 561 (2001) 890 [astro-ph/0105250]
- [6] Buras, Janka, M.Keil, Raffelt & Rampp, ApJ (2003) [astro-ph/0205006]
- [7] M.Keil, Raffelt & Janka, ApJ (2003) [astro-ph/0208035]

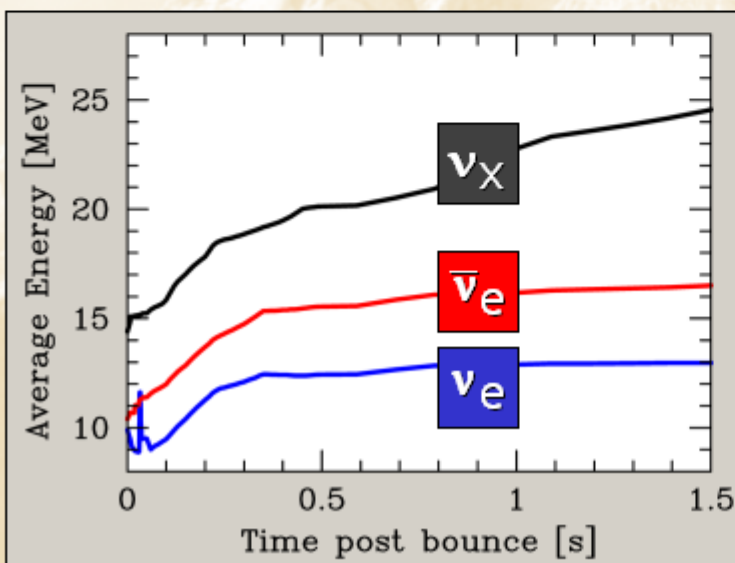
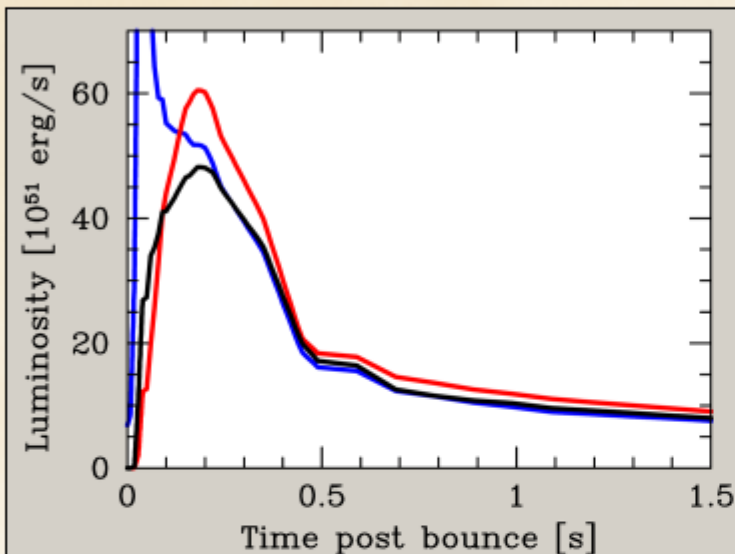
Flux and Spectra Modification by New Processes



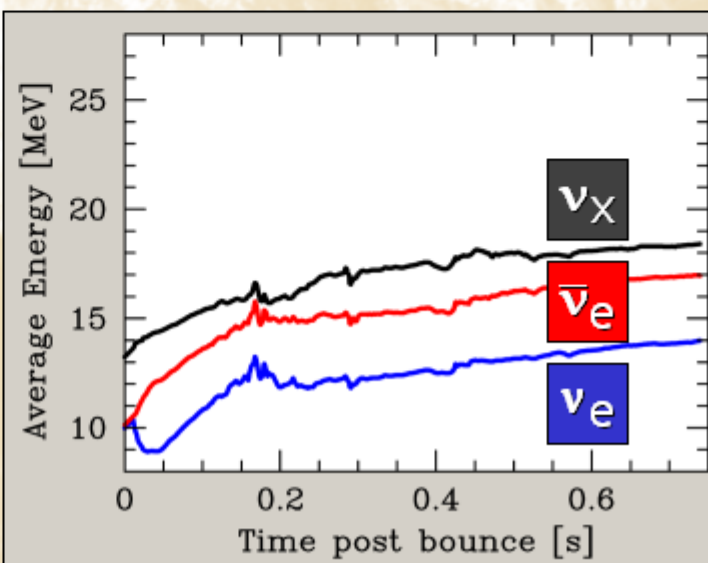
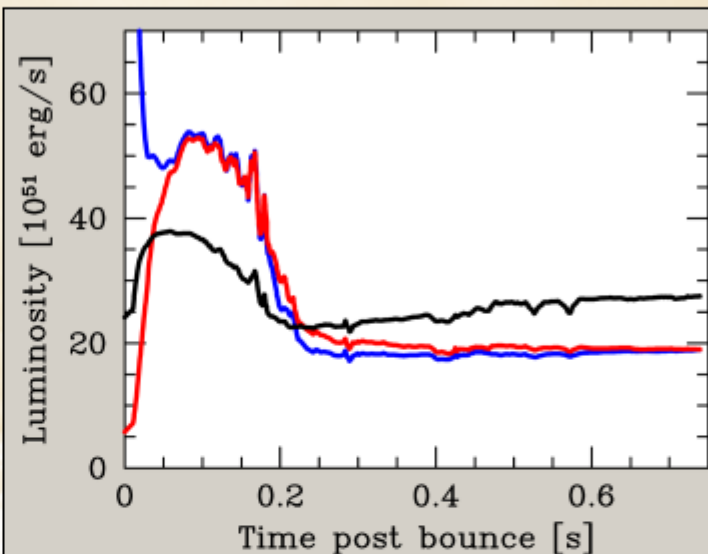
Keil, Raffelt & Janka, ApJ (2003) [astro-ph/0208035]

Fluxes and Spectra from Numerical Simulations

Livermore (traditional)
[ApJ 496 (1998) 216]



Garching (new microphysics)
[astro-ph/0303226]



Three-Flavor Neutrino Parameters

Atmospheric/K2K

$$37^\circ < \theta_{23} < 54^\circ$$

CHOOZ

$$\theta_{13} < 11^\circ$$

Solar/KamLAND

$$30^\circ < \theta_{12} < 36^\circ$$

2σ ranges

hep-ph/0405172

$$\begin{pmatrix} \nu_e \\ \nu_\mu \\ \nu_\tau \end{pmatrix} = \begin{pmatrix} 1 & & \\ & C_{23} & S_{23} \\ & -S_{23} & C_{23} \end{pmatrix} \begin{pmatrix} C_{13} & e^{-i\delta} S_{13} \\ & 1 & \\ -e^{i\delta} S_{13} & & C_{13} \end{pmatrix} \begin{pmatrix} C_{12} & S_{12} \\ -S_{12} & C_{12} \\ & & 1 \end{pmatrix} \begin{pmatrix} \nu_1 \\ \nu_2 \\ \nu_3 \end{pmatrix}$$

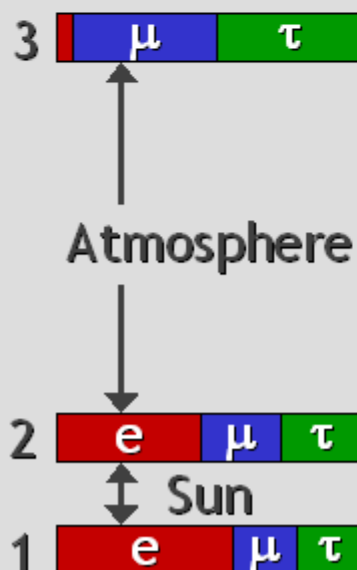
$C_{12} = \cos \theta_{12}$ etc., δ CP-violating phase

Solar
75–92

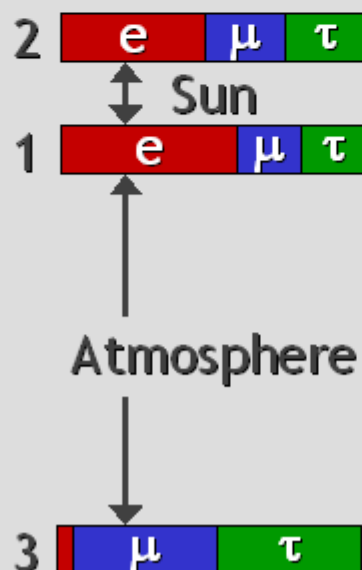
Atmospheric
1400–3000

$\Delta m^2/\text{meV}^2$

Normal



Inverted

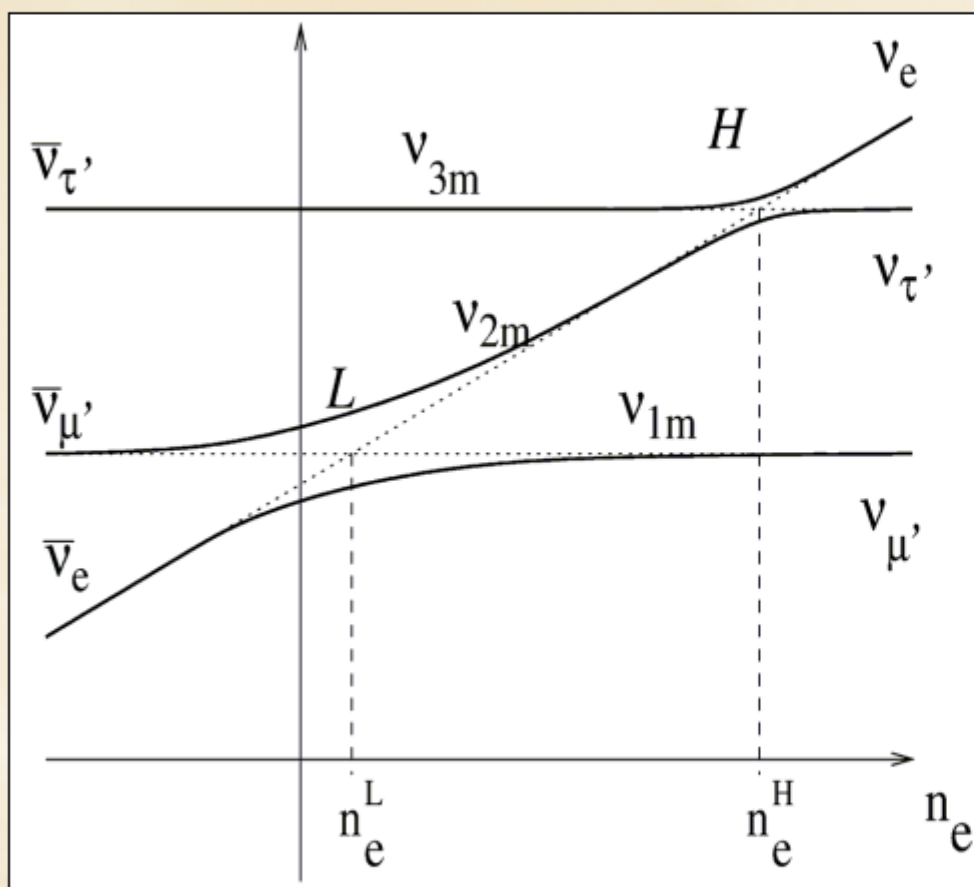


Tasks and Open Questions

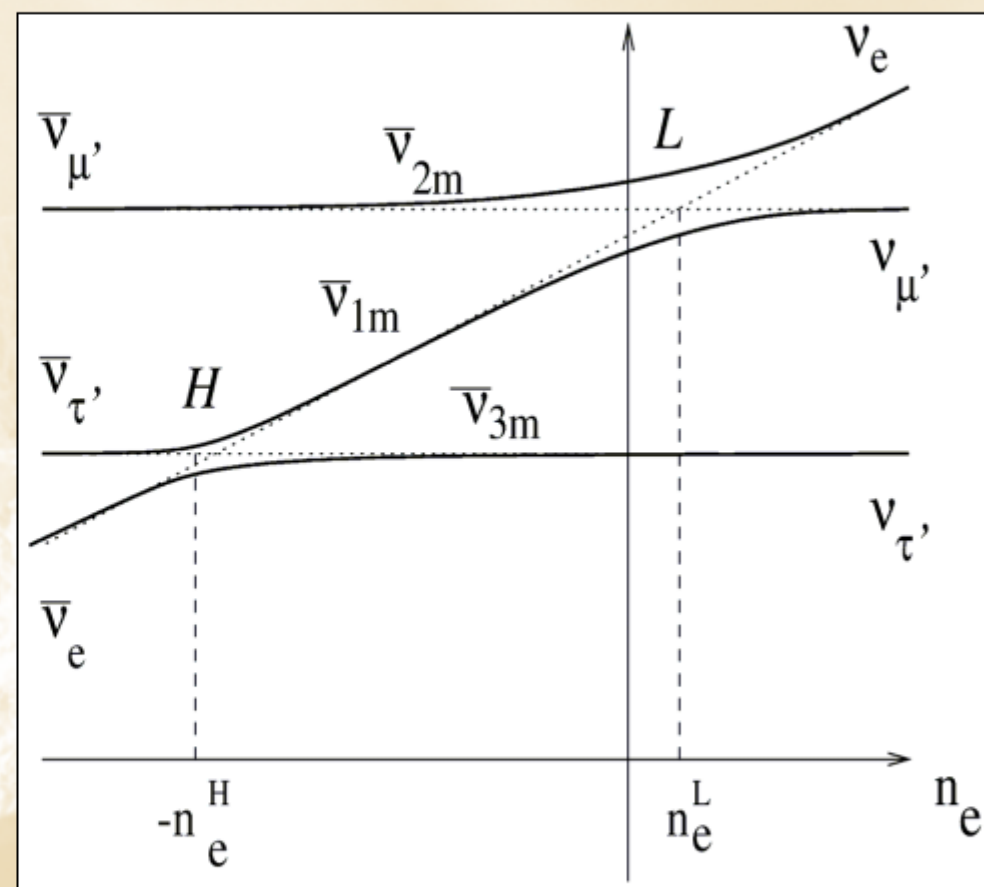
- Precision for θ_{12} and θ_{23}
- How large is θ_{13} ?
- CP-violating phase δ ?
- Mass ordering?
(normal vs inverted)
- Absolute masses?
(hierarchical vs degenerate)
- Dirac or Majorana?

Level-Crossing Diagram in a SN Envelope

Normal mass hierarchy

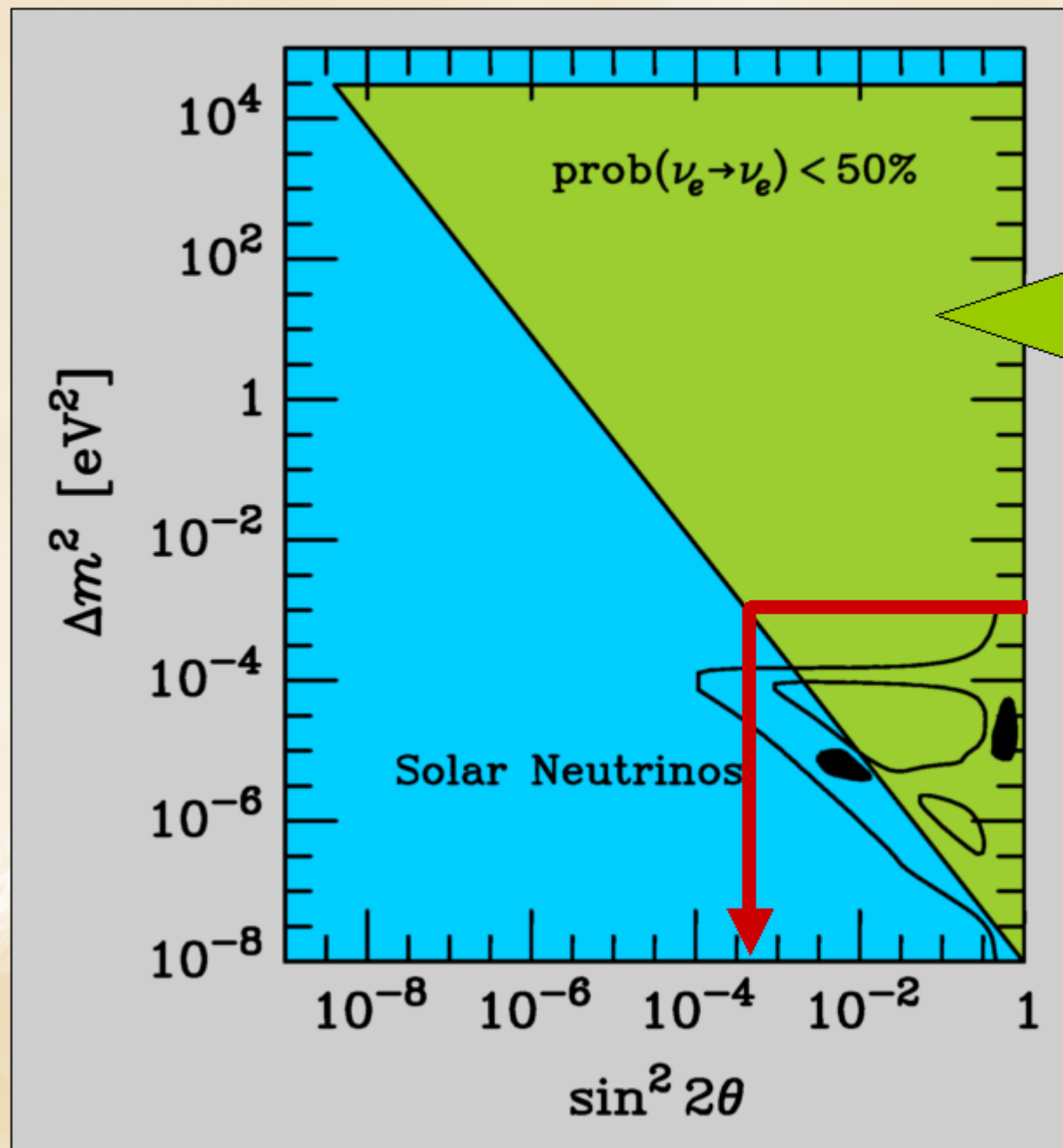


Inverted mass hierarchy



Dighe & Smirnov, Identifying the neutrino mass spectrum from a supernova neutrino burst, astro-ph/9907423

Resonant Oscillations in a Supernova Envelope



Adiabatic oscillations for a large range of mixing parameters

- 13-oscillations involve atmospheric mass difference
- Adiabatic for $\sin^2(2\theta_{13}) > 10^{-3}$

Spectra Emerging from Supernova

Assumed primary fluxes

$$F_e^0 \quad \text{for } \nu_e$$

$$F_{\bar{e}}^0 \quad \text{for } \bar{\nu}_e$$

$$F_x^0 \quad \text{for } \nu_\mu, \bar{\nu}_\mu, \nu_\tau, \bar{\nu}_\tau$$

After leaving the supernova envelope, the fluxes are partially swapped

$$F_e = p F_e^0 + (1-p) F_x^0$$

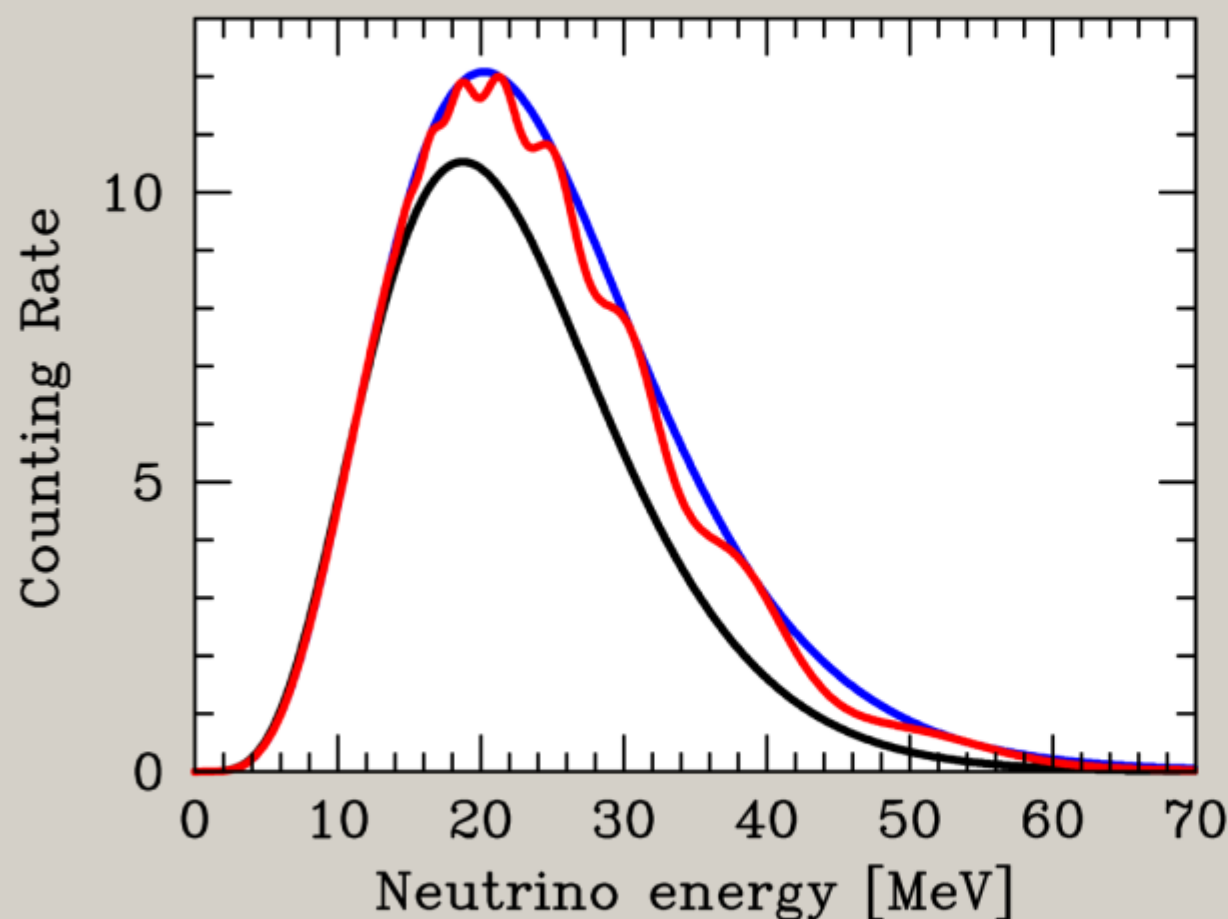
$$F_{\bar{e}} = \bar{p} F_{\bar{e}}^0 + (1-\bar{p}) F_x^0$$

$$\frac{1}{4} \sum F_x = \frac{2+p+\bar{p}}{4} F_x^0 + \frac{1-p}{4} F_e^0 + \frac{1-\bar{p}}{4} F_{\bar{e}}^0$$

$\sin^2(2\Theta_{13})$	Mass hierarchy	p	$\bar{p}$
$> 10^{-3}$	Normal	0	$\cos^2(\Theta_{12})$
	Inverted	$\sin^2(\Theta_{12})$	0
$< 10^{-3}$	Either	$\sin^2(\Theta_{12})$	$\cos^2(\Theta_{12})$

Oscillation of Supernova Anti-Neutrinos

Measured $\bar{\nu}_e$ spectrum at a detector like Super-Kamiokande



Assumed flux parameters

Flux ratio $\bar{\nu}_e : \bar{\nu}_\mu = 0.8 : 1$

$\langle E(\bar{\nu}_e) \rangle = 15 \text{ MeV}$

$\langle E(\bar{\nu}_x) \rangle = 18 \text{ MeV}$

Mixing parameters

$\Delta m_{\text{sun}}^2 = 60 \text{ meV}^2$

$\sin^2(2\theta) = 0.9$

No oscillations

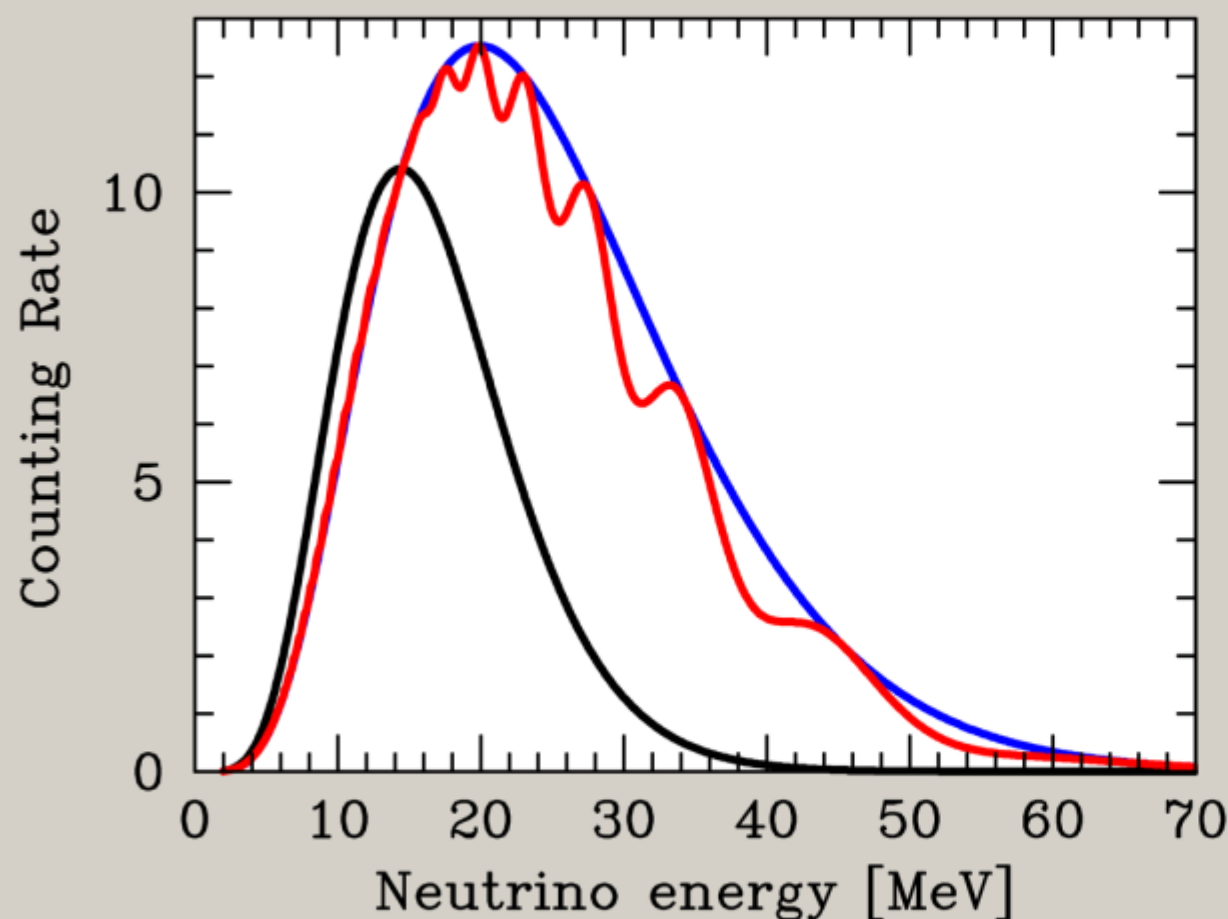
Oscillations in SN envelope

Earth effects included

II(Dighe, Kachelriess, Keil, Raffelt, Semikoz, Tomàs),
arXiv:hep-ph/0303210, hep-ph/0304150, hep-ph/0307050, hep-ph/0311172

Oscillation of Supernova Neutrinos

Measured ν_e spectrum at a detector like SNO, considering only CC reactions



Assumed flux parameters

Flux ratio $\nu_e : \nu_\mu = 0.9 : 1$

$\langle E(\nu_e) \rangle = 12 \text{ MeV}$

$\langle E(\nu_x) \rangle = 18 \text{ MeV}$

Mixing parameters

$\Delta m_{\text{sun}}^2 = 60 \text{ meV}^2$

$\sin^2(2\theta) = 0.9$

No oscillations

Oscillations in SN envelope

Earth effects included

II(Dighe, Kachelriess, Keil, Raffelt, Semikoz, Tomàs),
arXiv:hep-ph/0303210, hep-ph/0304150, hep-ph/0307050, hep-ph/0311172

Implications of Observing Earth Effects

	normal hierarchy	inverted hierarchy	
Supernova nus: Earth effects appear in channels	$\bar{\nu}_e$	ν_e	$\sin^2(\theta_{13}) > 10^{-3}$
	ν_e and $\bar{\nu}_e$	ν_e and $\bar{\nu}_e$	$\sin^2(\theta_{13}) < 10^{-3}$

One plausible scenario

$\sin^2(\theta_{13}) > 10^{-3}$ established e.g. by long-baseline reactor expt.	Earth effects observed in $\bar{\nu}_e$ channel ?	Yes: Normal hierarchy
		No: Inverted hierarchy or SN source spectra “anomalous”

Positively observing
Earth effects in SN neutrinos
gives us unique information
about neutrino parameters

Not observing the effects
in a SN signal is ambiguous:
Can always be blamed on
SN source spectra

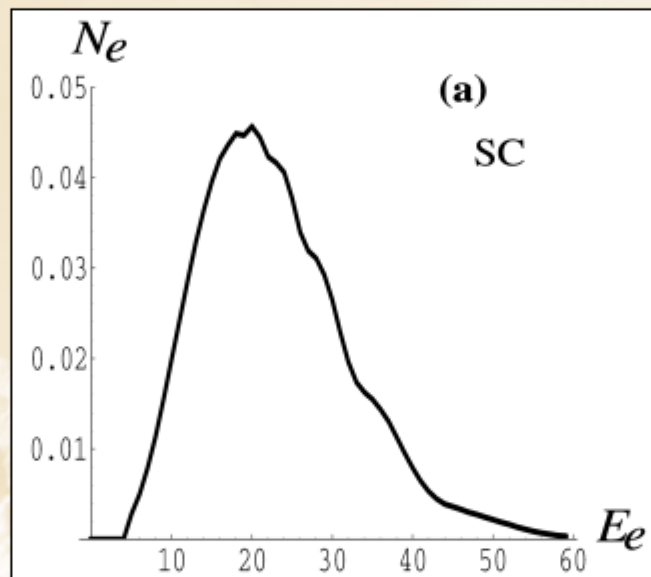
Robust Strategies for Observing Earth Effects

One detector observes SN shadowed by Earth

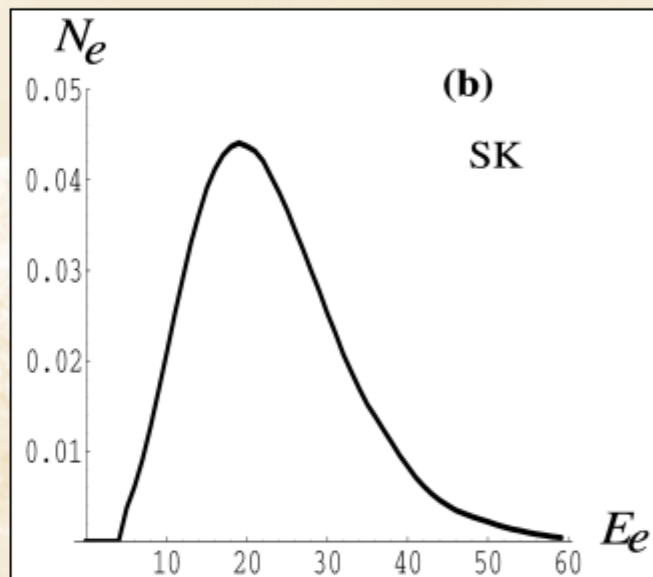
Case 1:

- Another detector observes SN directly
- Identify Earth effects by comparing signals

Case2: Identify “wiggles” in signal of single detector
Problem: Smearing by limited energy resolution



Scintillation detector
~ 2000 events
may be enough



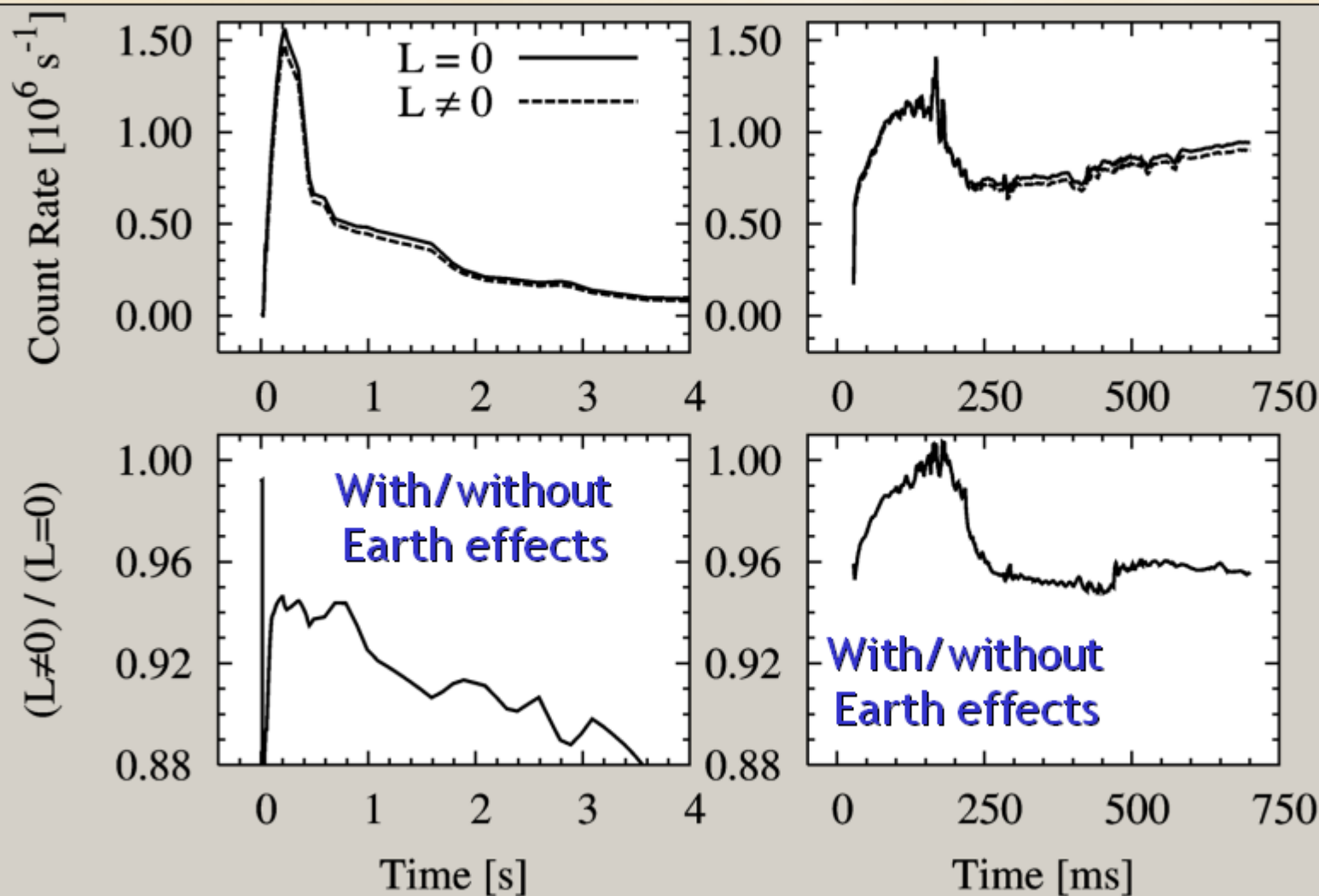
Water Cherenkov
Need Hyper-Kamiokande
with $\sim 10^5$ events

Dighe, Keil & Raffelt, “Identifying Earth matter effects on supernova neutrinos at a single detector”
[hep-ph/0304150]

Observing the Earth Effects in IceCube

Livermore simulation

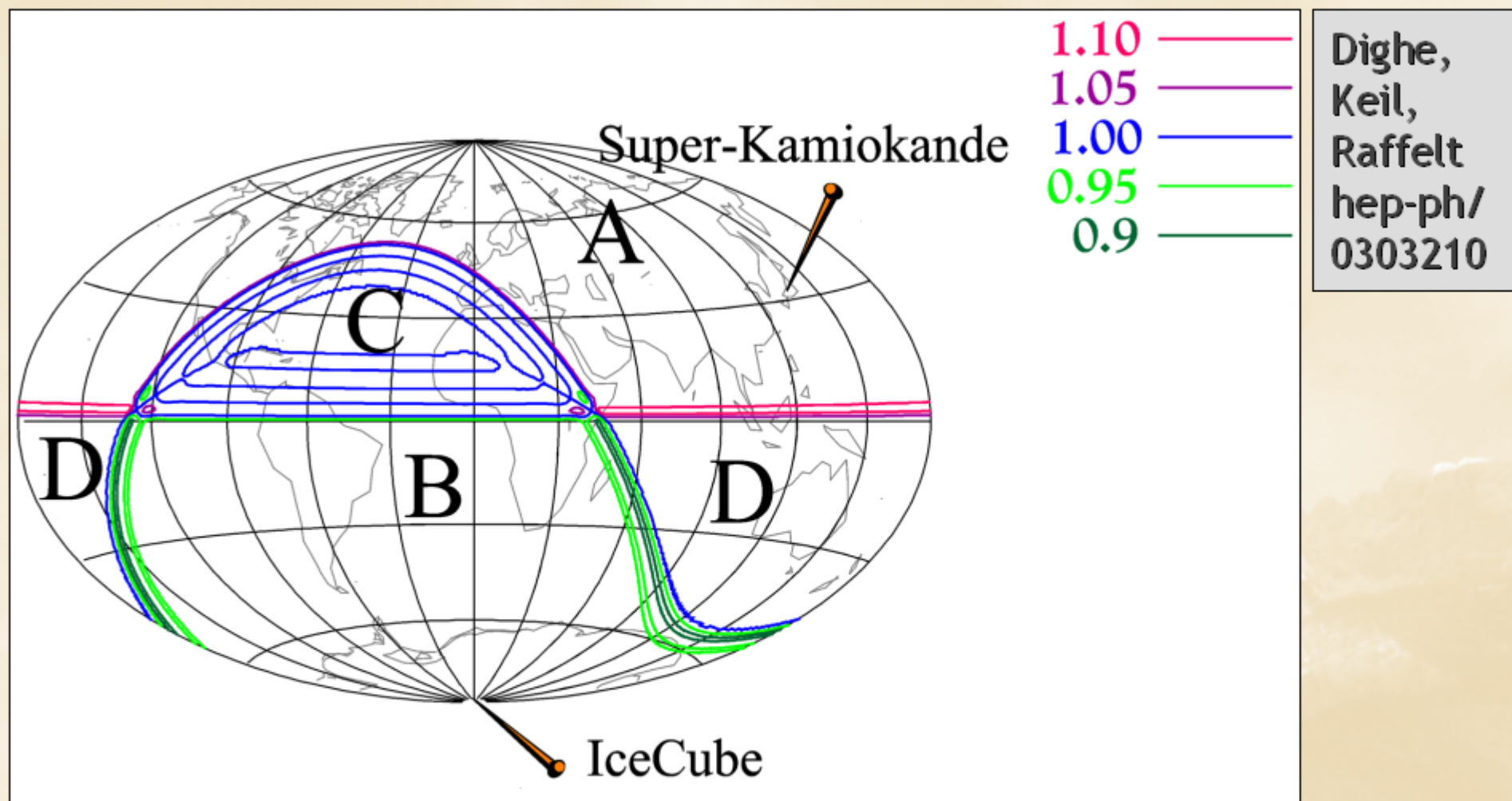
Garching simulation



Dighe,
Keil,
Raffelt
hep-ph/
0303210

Statistical IceCube signal precision $< 1\%$

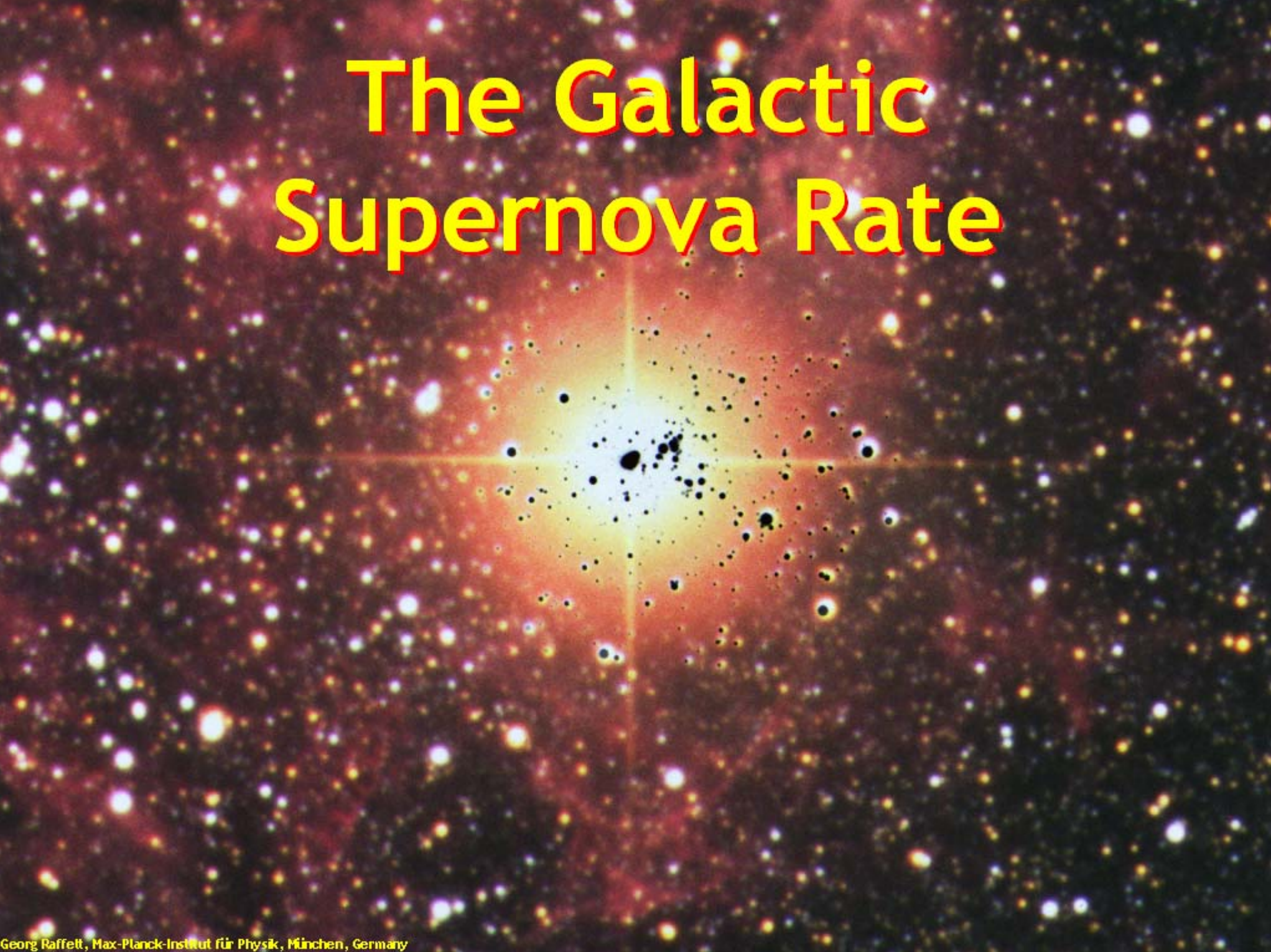
Two-Detector Sky Coverage with Super-K & IceCube



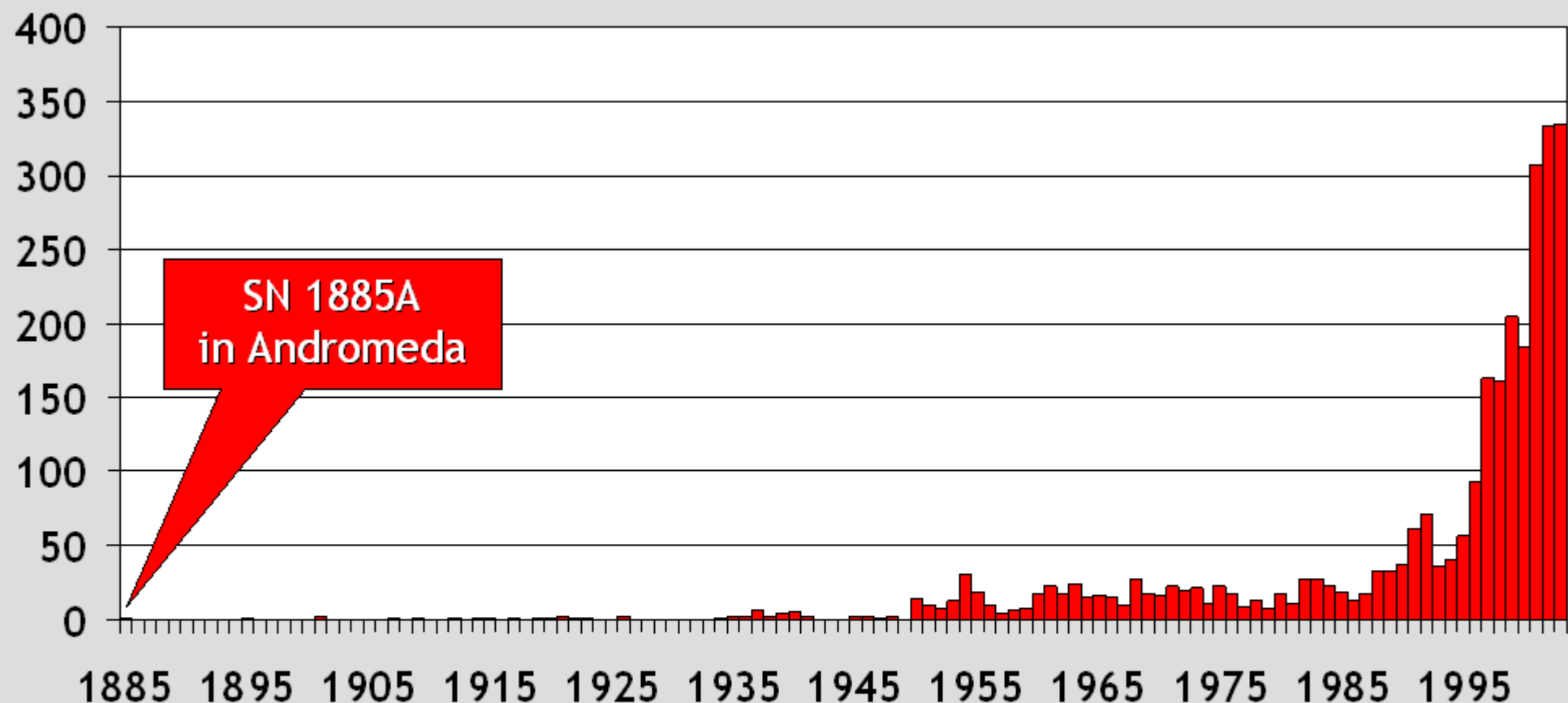
Earth
effects
appear
in

	IceCube	A	35%	Suitable for two- detector method
Super-K		B	35%	
Super-K	IceCube	C	15%	Approx. same signal in both detectors
		D	15%	

The Galactic Supernova Rate

The background of the slide is a deep-field astronomical image. It shows a vast field of galaxies. In the center, there is a bright, yellowish-white galaxy with a prominent cross-shaped diffraction pattern. Surrounding this central galaxy are numerous smaller, fainter galaxies of various shapes and colors, including red, orange, and blue. The overall scene is a dense collection of celestial objects against a dark cosmic background.

Supernova Discoveries 1885–2003



Naming convention for supernovae:

SN 2000A, SN 2000B ... SN 2000Z,

SN 2000aa, SN 2000ab ... SN 2000az, SN 2000ba ... SN 2000gb, ...

SN Statistics in External Galaxies

Cappellaro, Evans & Turatto, Astron. Astrophys. 351 (1999) 459
is latest study with largest sample

Limited controlled samples useful for this task:

- Photographic searches (7319 galaxies, 94 SNe)
- Evans' visual search 1980–1998 (3068 galaxies, 54 SNe)

Difficulties include:

- Galaxy blue luminosity (internal absorption)
- Distances (value of Hubble constant)
- Correction for inclination of galaxy (losing some SNe)
- Galaxy morphological classification
- Saturation in dense regions of photographic plates (galaxy cores)
- Limiting discovery brightness
- Intrinsic luminosity distribution of core-collapse SNe (many faint ones ?)
- Blue luminosity of Milky Way uncertain ($2.3 \pm 0.6 \times 10^{10} L_{\text{sun}}$)
- Morphological type of Milky Way

Supernova Rates

Multiply numbers with h^2		Supernova Type			
		Ia	Core Collapse		All
			Ib/c	II	
Galaxy Type	E-S0	$0.32 \pm .11$	< 0.02	< 0.04	$0.32 \pm .11$
	S0a-Sb	$0.32 \pm .12$	$0.20 \pm .11$	$0.75 \pm .34$	$1.28 \pm .37$
	SBC-Sd	$0.37 \pm .14$	$0.25 \pm .12$	$1.53 \pm .62$	$2.15 \pm .66$
	All	$0.36 \pm .11$	$0.14 \pm .07$	$0.71 \pm .34$	$1.21 \pm .36$

Measured in SuperNova unit: $1 \text{ SNU} = 1 \text{ SN} / 10^{10} L_{\text{sun,B}} / 100 \text{ years}$

Milky Way Galaxy:

Type Sb-Sbc, $L_B = 2.3 \times 10^{10} L_{\text{sun,B}}$, $h = 0.72$

About 2.0 ± 1.0 core-collapse SNe per century

Cappellaro & Turatto, Supernova Types and Rates, astro-ph/0012455

Some Galaxies with Many Observed Supernovae

M83 (Southern Pinwheel)
D = 4.6 Mpc



Observed Supernovae:
1923A, 1945B, 1950B,
1957D, 1968L, 1983N

NGC 6946
D = 3.0 Mpc



Observed Supernovae:
1917A, 1939D, 1948B,
1968D, 1969P, 1980K

Andromeda Galaxy (M31)

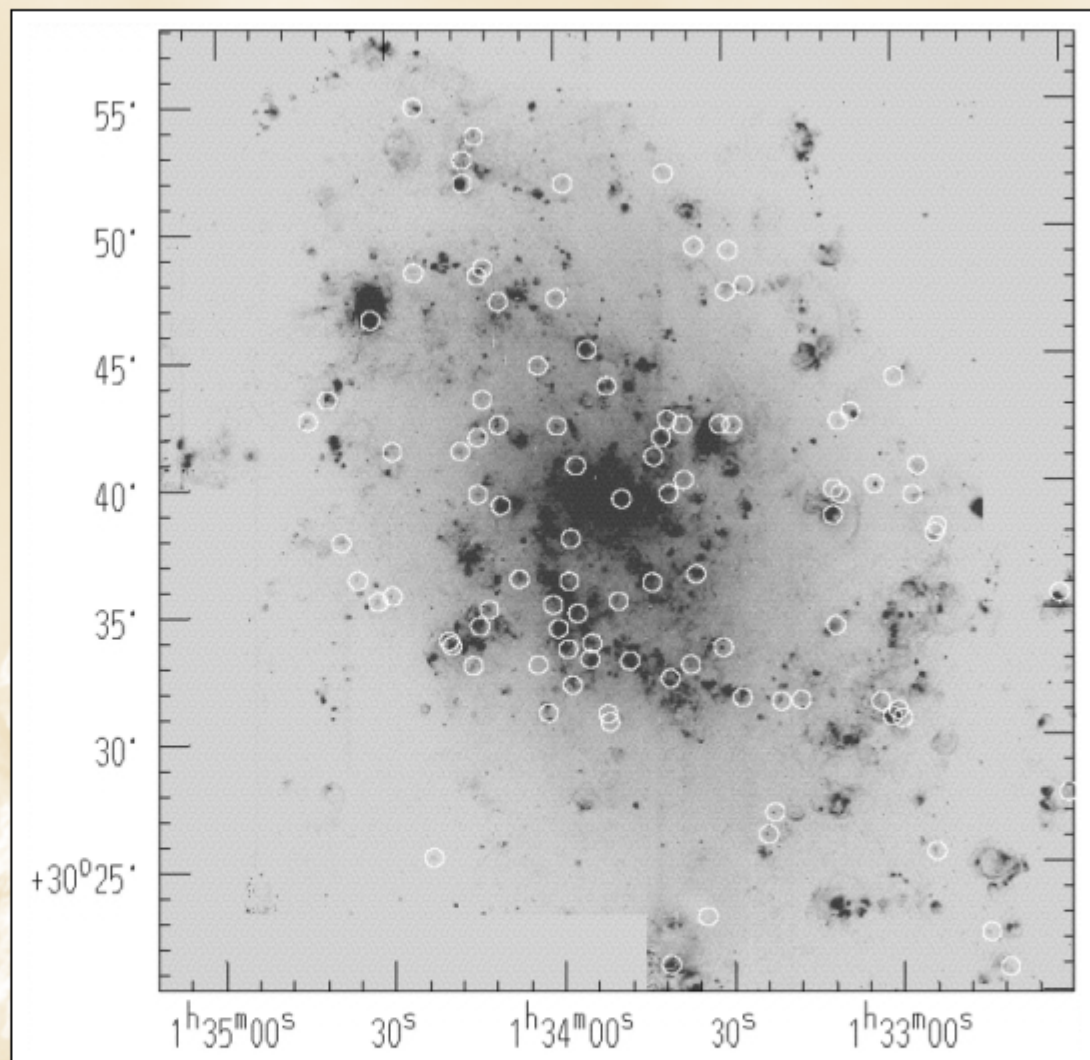


- SN 1885A is the only historical SN in Andromeda (M31) (distance 760 kpc)
- We see all of the galaxy - one probably would not have missed a SN by obscuration
- Probably low star formation rate (low FIR luminosity)

~ 1.2 SN/century
Tammann et al.
Ap. J. Suppl. Ser.
92 (1994) 487

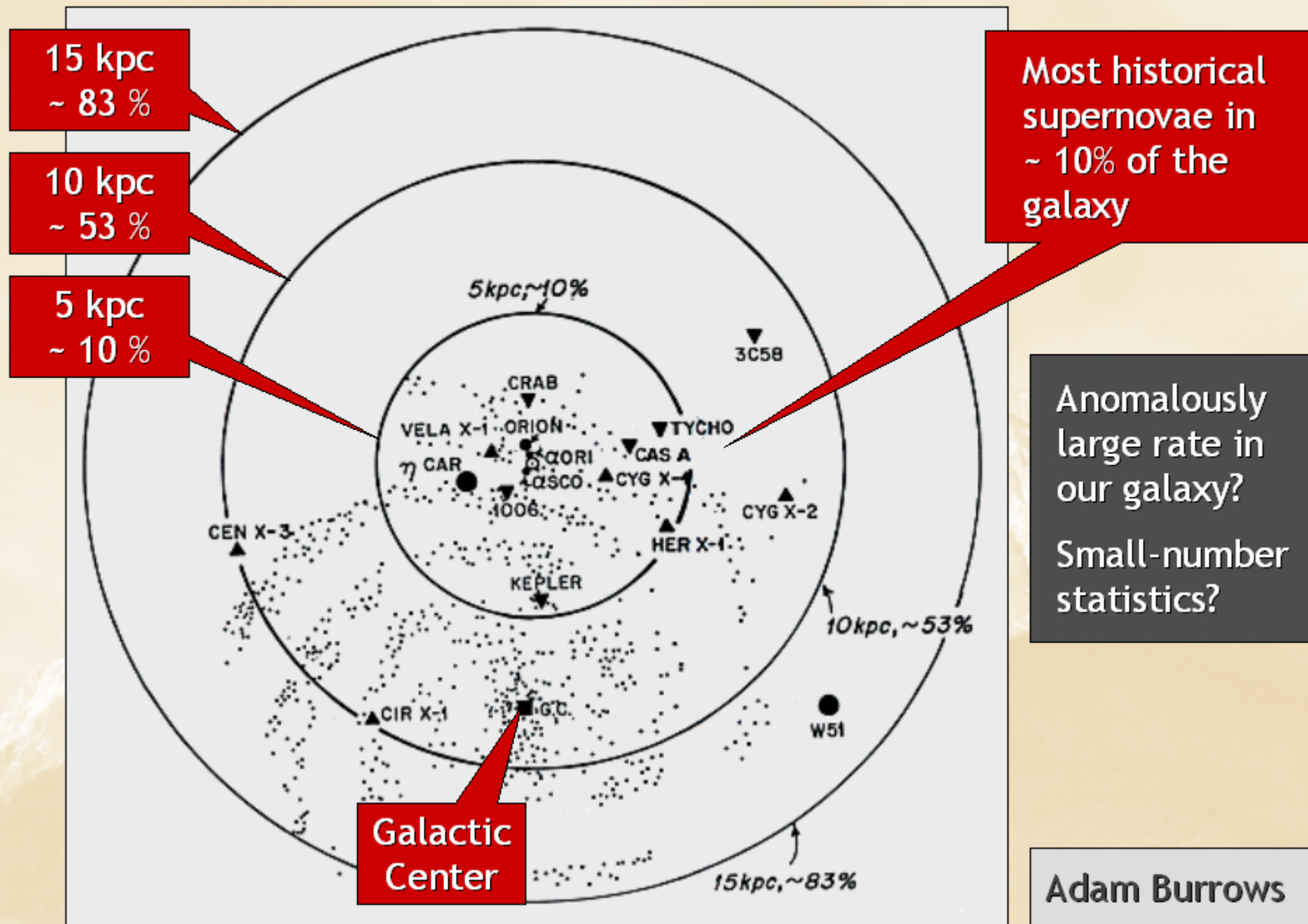
Supernova Remnants in M33 (Triangulum)

H α image
of M33
(Triangulum)

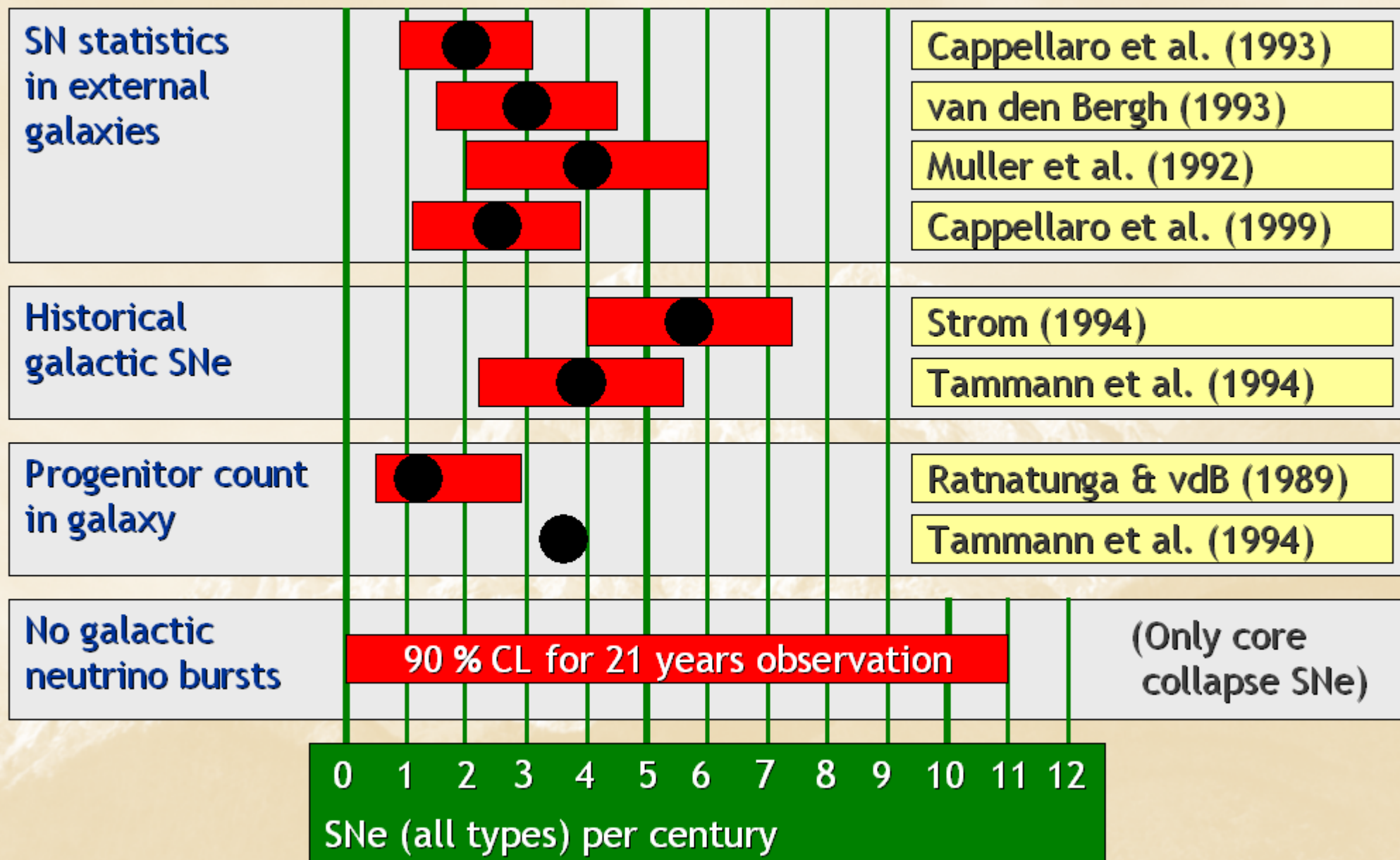


S.M. Gordon et al.,
A New Optical Sample of Supernova Remnants in M33
Astrophys. J. Suppl. 117 (1998) 89.

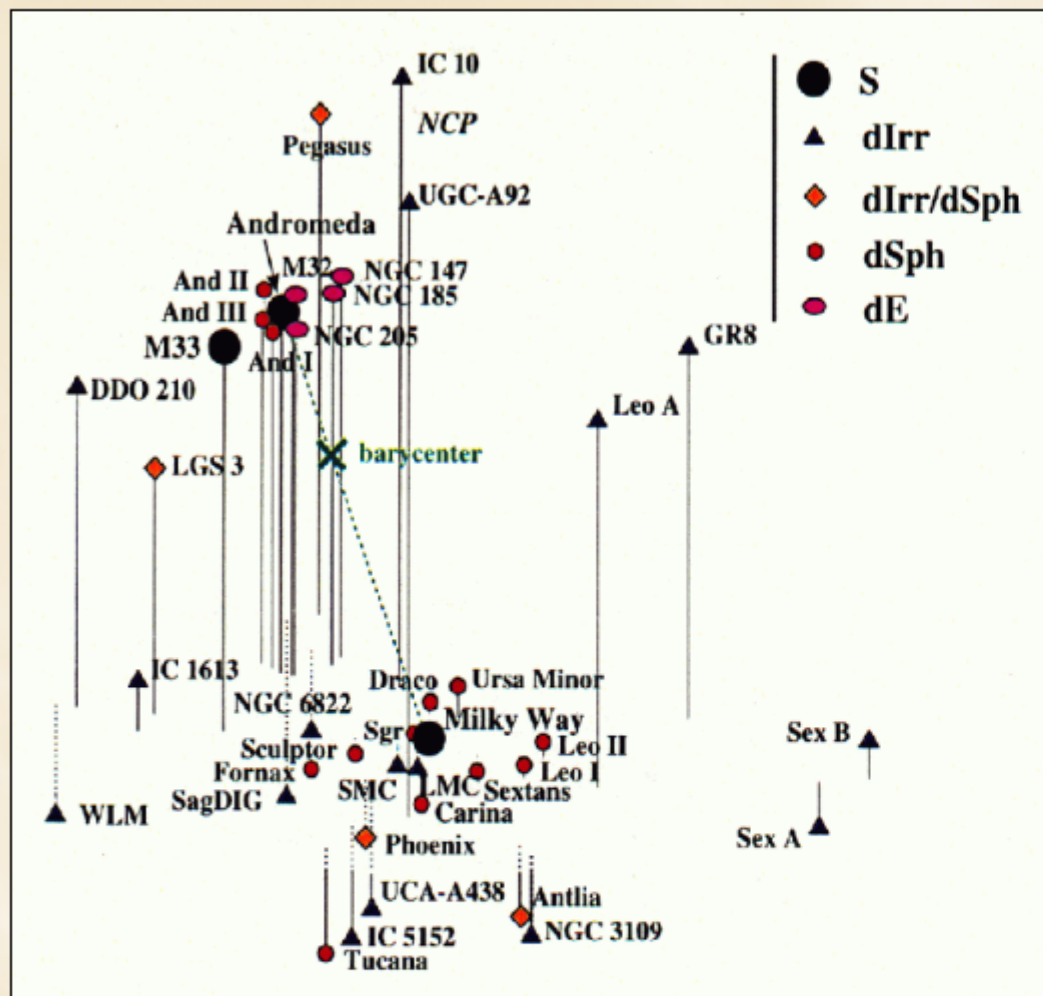
Galactic Supernova Events



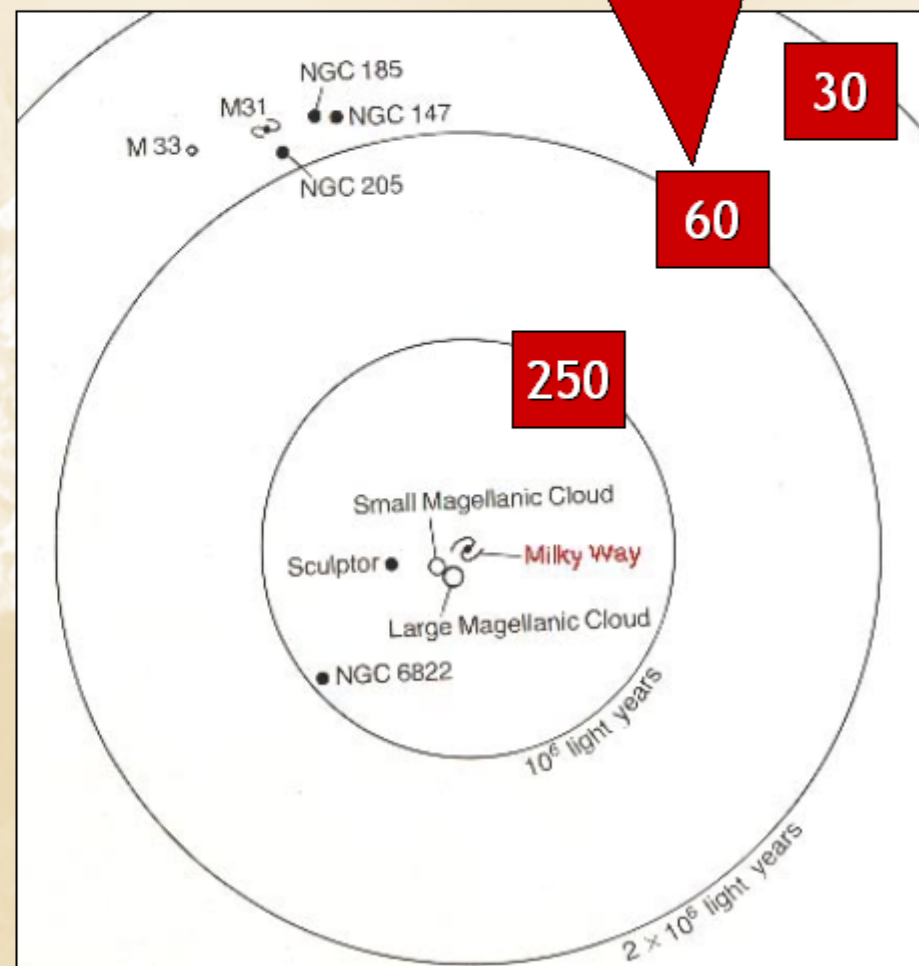
Estimates of the Galactic SN Rate



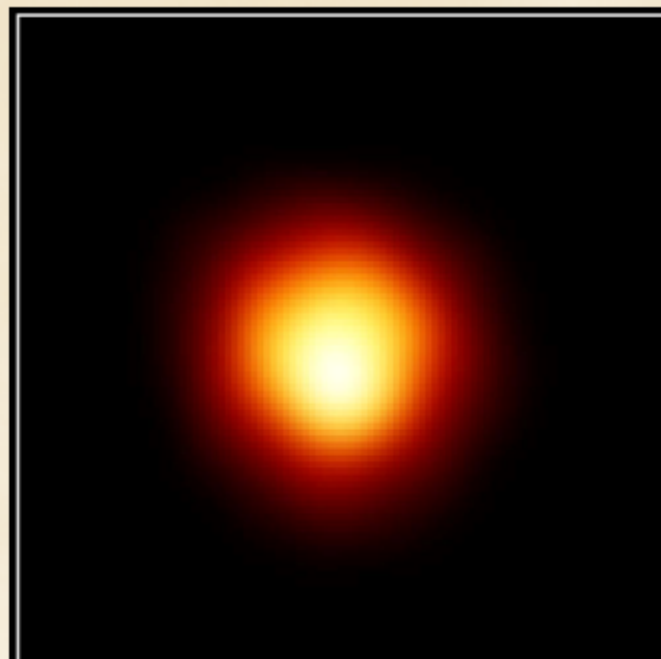
Local Group of Galaxies



Events in a detector with
30 x Super-K fiducial volume,
e.g. Hyper-Kamiokande



The Red Supergiant Betelgeuse (Alpha Orionis)



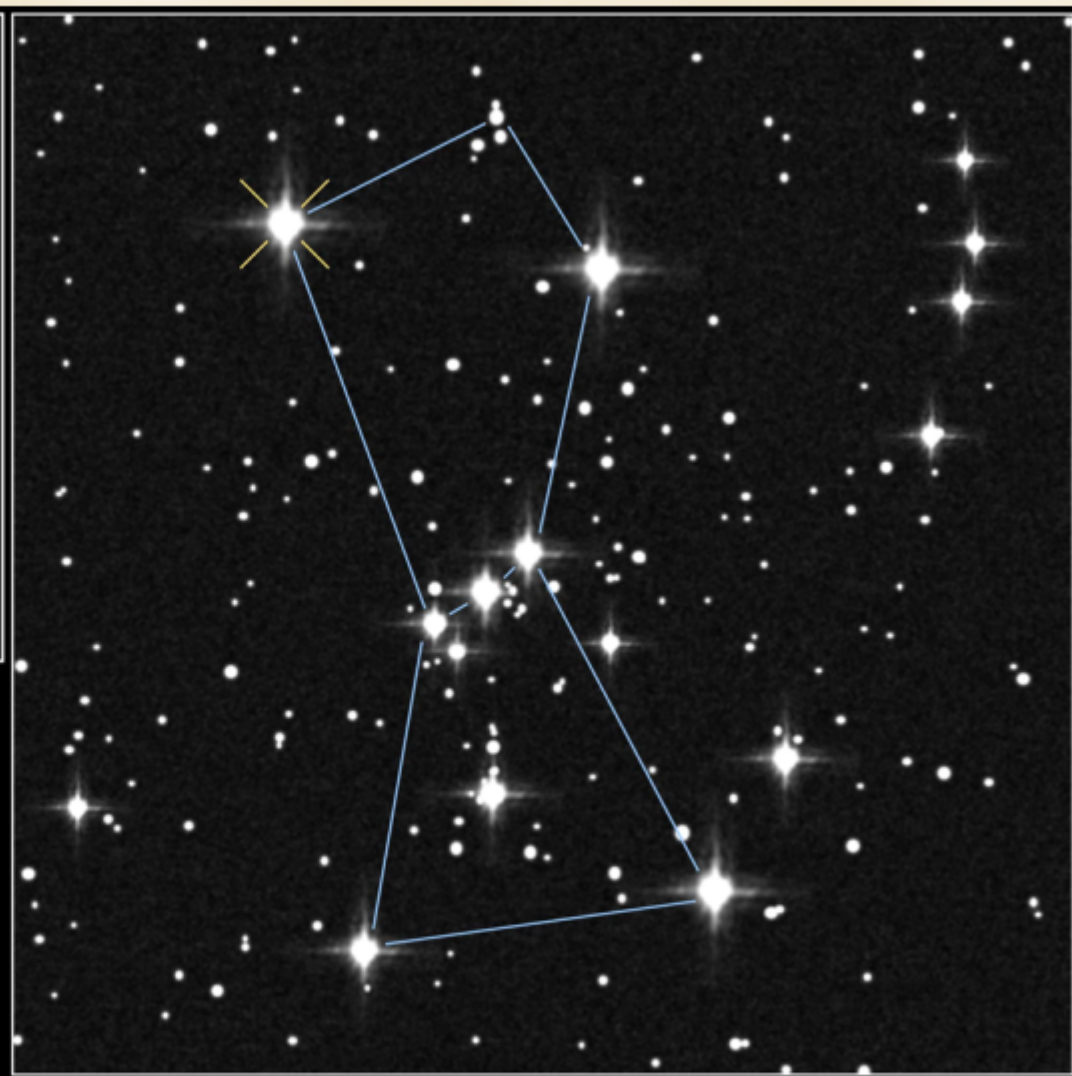
Size of Star



Size of Earth's Orbit



Size of Jupiter's Orbit



First resolved
image of a star
other than Sun

Distance
(Hipparcos)
130 pc (425 lyr)

If Betelgeuse goes Supernova
 6×10^7 neutrino events
in Super-Kamiokande

Neutrinos From All Cosmic Supernovae

Diffuse Background Flux of SN Neutrinos

$$1 \text{ SNu} = 1 \text{ SN} / 10^{10} L_{\text{sun,B}} / 100 \text{ years}$$

$$L_{\text{sun,B}} = 0.54 L_{\text{sun}} = 2 \times 10^{33} \text{ erg/s}$$

$$E_{\nu} \sim 3 \times 10^{53} \text{ erg per core-collapse SN}$$



$$1 \text{ SNu} \sim 4 L_{\nu} / L_{\gamma,B}$$

Average neutrino
luminosity of galaxies
~ photon luminosity

- Photons come from nuclear energy
- Neutrinos from gravitational energy



For galaxies, average
nuclear & gravitational
energy release comparable

Present-day SN rate of $\sim 1 \text{ SNu}$, extrapolated to the entire universe,
corresponds to ν_e flux of $\sim 1 \text{ cm}^{-2} \text{ s}^{-1}$

Realistic flux is dominated by much larger early star-formation rate

- Upper limit $\sim 54 \text{ cm}^{-2} \text{ s}^{-1}$

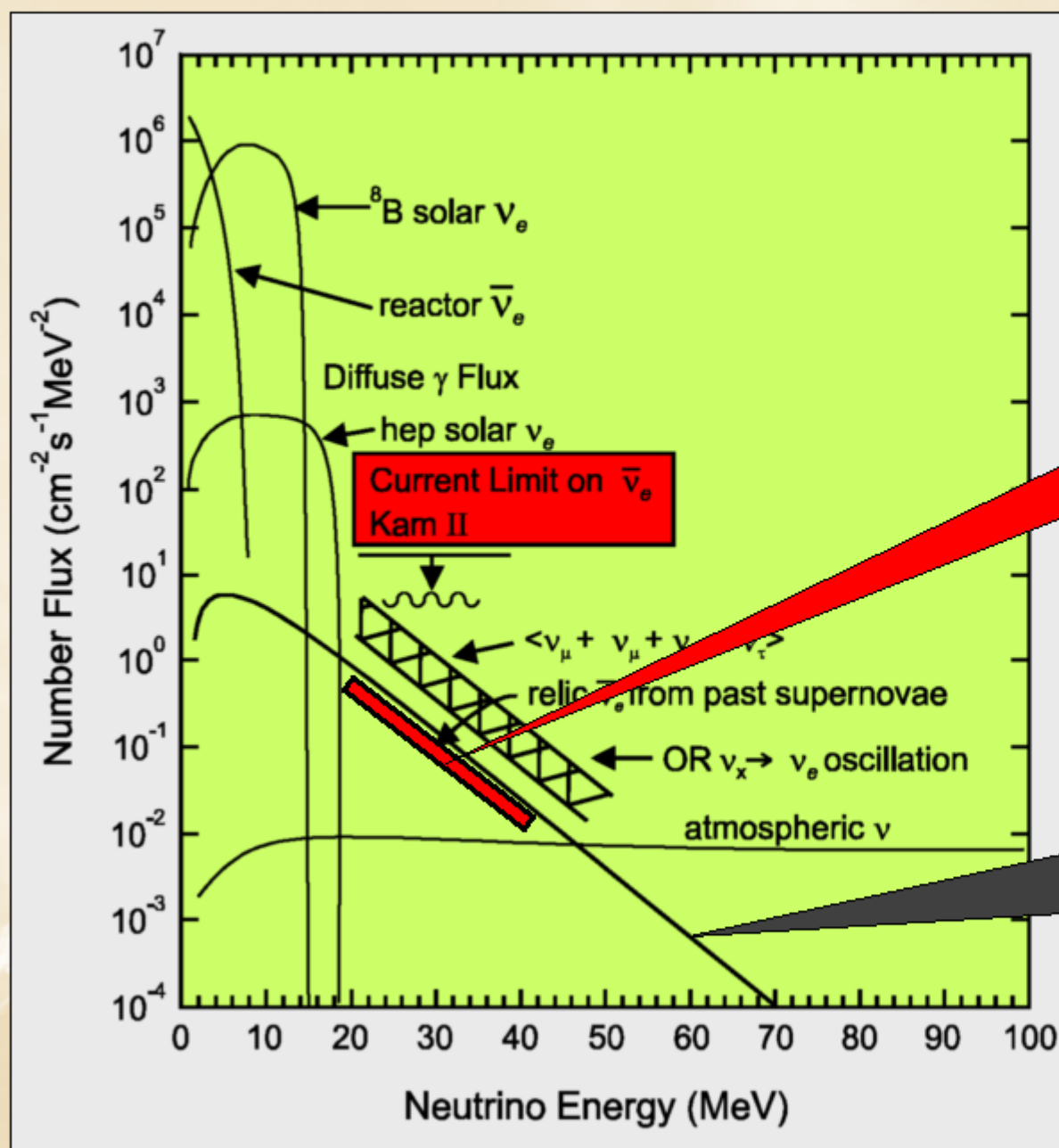
[Kaplinghat et al., astro-ph/9912391]

- “Realistic estimate” $\sim 10 \text{ cm}^{-2} \text{ s}^{-1}$

[Hartmann & Woosley, Astropart. Phys. 7 (1997) 137]

Measurement would tell us about early history of star formation

Experimental Limits on Relic Supernova Neutrinos



Super-K upper limit
 $29 \text{ cm}^{-2} \text{s}^{-1}$ for
Kaplinghat et al. spectrum
[hep-ex/0209028]

Upper-limit flux of
Kaplinghat et al.,
astro-ph/9912391
Integrated $54 \text{ cm}^{-2} \text{s}^{-1}$

Cline, astro-ph/0103138

Improved Sensitivity with Neutron Tagging

M.Vagins, Talk at NOON 2003, <http://www-sk.icrr.u-tokyo.ac.jp/noon2003/>

Super-Kamiokande limited by

- Solar neutrinos for $E_\nu < 18\text{--}19\text{ MeV}$
- Sub-Cherenkov muons from atm nus
 $\mu \rightarrow e + \nu_e + \bar{\nu}_\mu$

Solution:

Neutron tagging $\bar{\nu}_e + p \rightarrow e^+ + n$

Water: Neutron capture on protons
2.2 MeV gammas, invisible in SK

Add gadolinium to SK:

- Efficient neutron capture
- 8 MeV gamma cascade, easily visible
- 0.1% (100 tons of GdCl_3)
achieves $> 90\%$ tagging efficiency

SN relic nus: A few events per year
in SK with no background at all

A Modest Proposal

Pouring a bunch of stuff into Super-K is a big step, and not to be done lightly, no matter how promising things may look initially.

Here's what comes next:

- 1) Spend the next year or so exploring the chemistry, stability, and optical properties of GdCl_3 in detail.
- 2) Understand any changes needed in the SK water system and Monte Carlo the modified detector's response using what's learned above as input.
- 3) Build a small test tank (one supermodule) with exactly the same materials as in SK. Put in PMT's, cables, water, and GdCl_3 and let it sit for two years. Check for GdCl_3 -induced damage.
- 4) If everything looks good, in the last month(s) of SK-II put in 9 tons of GdCl_3 to make sure we really understand our backgrounds. Look for reactor antineutrinos!