

Instituto de Física Teórica presents:

ASYMPTOTIC LIMITS AND *curvature*

by Fernando Marchesano

based on 2311.07979

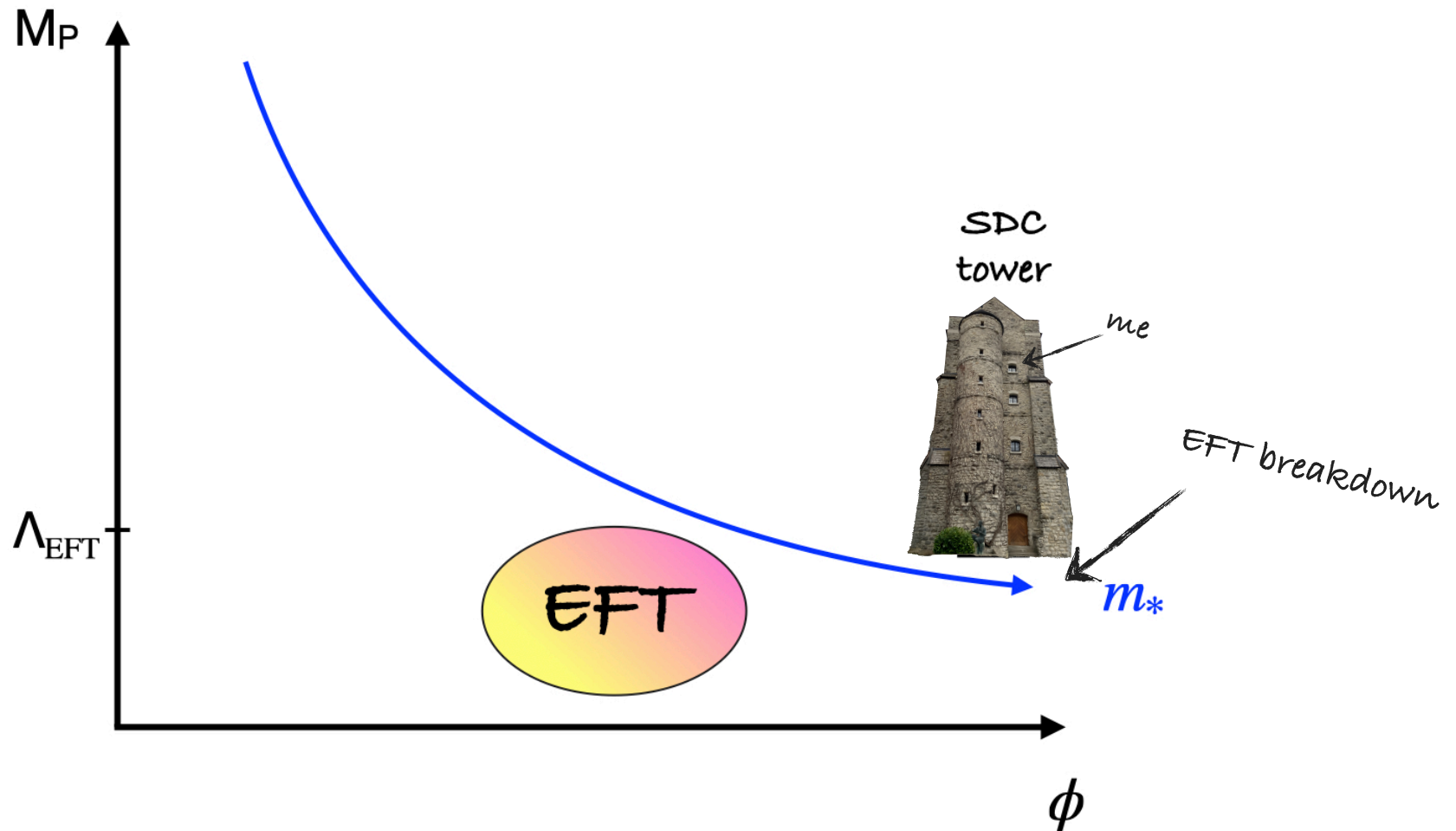
w/Luca Melotti & Lorenzo Paoloni



Swampland Distance Conjecture

Ooguri & Vafa '06

Along infinite distance geodesics there is an infinite tower of states which become exponentially light asymptotically



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Curvature Conjecture

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The scalar curvature near the points at infinity is non-positive
(negative if $\dim \mathcal{M} > 1$)

Examples: *Greene, Shapere, Vafa, Yau '89*

i) type IIB axio-dilaton

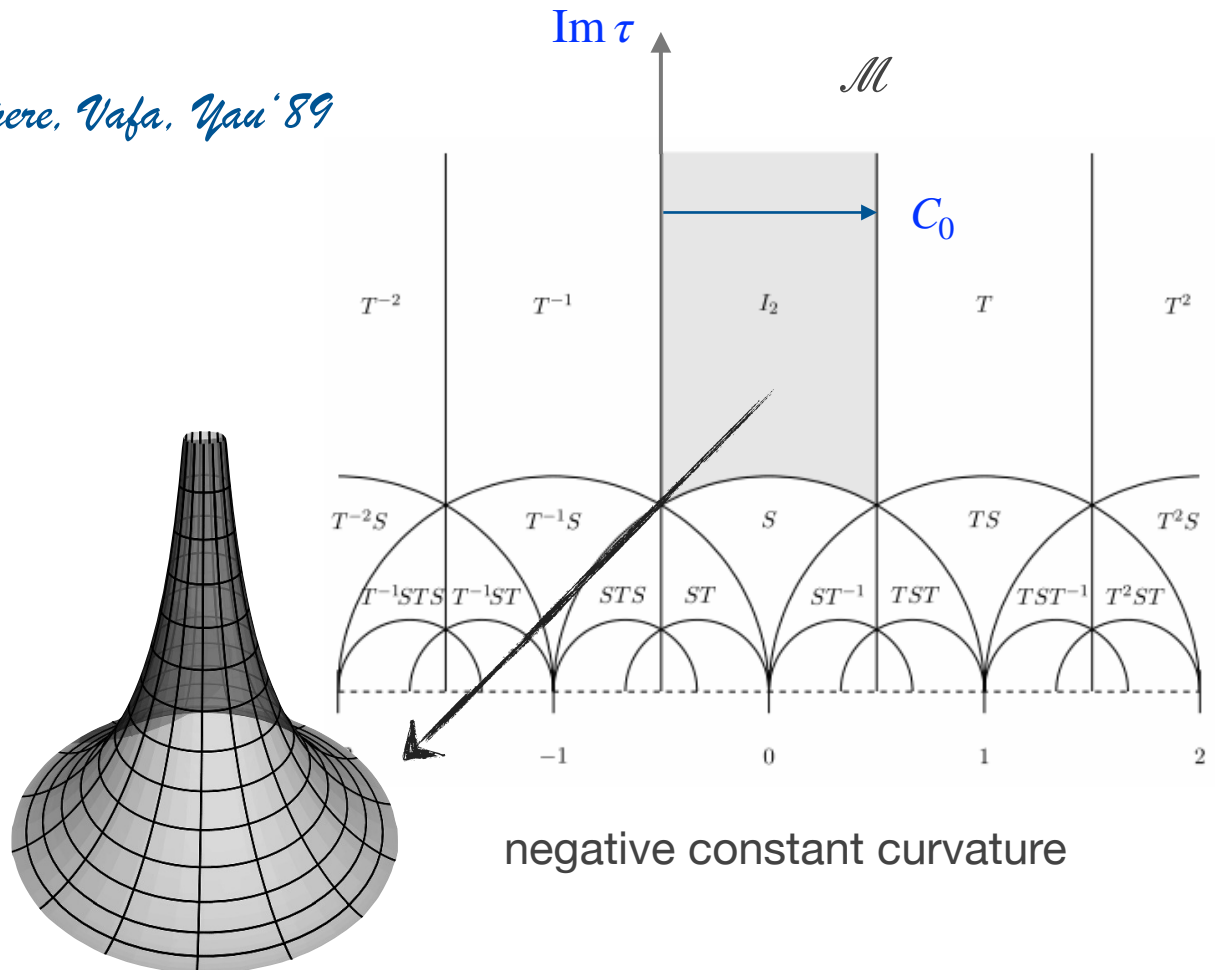
$$\tau = C_0 + ig_s^{-1}$$

$$K = -\log(-i(\tau - \bar{\tau}))$$

ii) single Kähler modulus

$$T = b + it$$

$$K = -3 \log(-i(T - \bar{T}))$$



Curvature Conjecture

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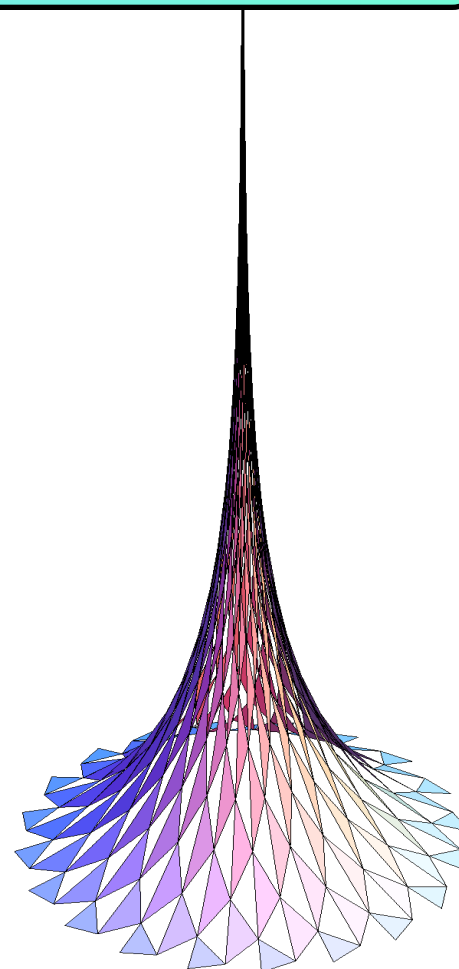
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(negative if $\dim \mathcal{M} > 1$)

- Further support based on type II string theory compactified on CY manifolds
 $\Rightarrow 4d \mathcal{N} = 2$ supergravity theories
- In this context **counterexamples** were found in CY **Vector Multiplet** moduli spaces with three moduli



Trener & Wilson '09

Asymptotic curvature not only positive but also divergent!



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Questions:

When is the asymptotic curvature positive?

When is it divergent?

What is the physical source of the divergence?

The Laboratory: type IIA on a CY, VM

We focus on: **Type IIA on a CY₃** $\longrightarrow$ $\mathcal{M}_{\mathcal{N}=2} = \mathcal{M}_{\text{HM}} \times \mathcal{M}_{\text{VM}}$

Large volume regime: $K = -\log \left(\int J \wedge J \wedge J + \dots \right) \quad J = t^a \omega_a \implies e^{-K} = \kappa_{abc} t^a t^b t^c$

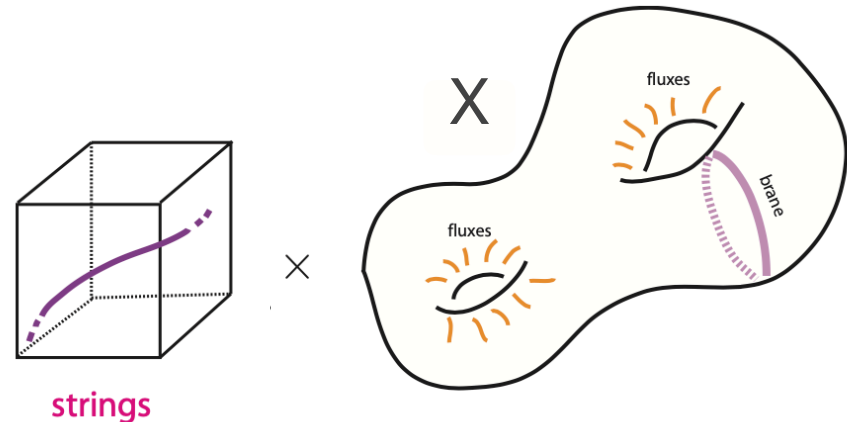
Infinite distance LV limits: physical realisation as **backreaction of 4d strings** made up from NS5-branes wrapping Nef divisors of X_6 (EFT strings) *Lanza et al. '21*

$$t^a = t_0^a + e^a \phi, \quad \text{with} \quad \phi \rightarrow \infty$$

$$\text{Vol}_X \sim \phi^w \quad g_s \sim \phi^{w/2} \quad w = 1, 2, 3$$

SDC tower: D0-branes $m_{\text{D0}} = m_*$

$$\frac{m_*^2}{M_{\text{P}}^2} \sim \phi^{-w} \sim \left(\frac{T_{\text{NS}}}{M_{\text{P}}^2} \right)^w$$



Limits classified in terms of $w = 1, 2, 3$

Type IIA large volume limits

Idea: compute the scalar curvature along EFT string trajectories

Type IIA CY₃ VM sector: EFT strings are type IIA NS5-branes wrapping Nef divisors

$$t^a = e^a \phi \quad \text{with} \quad \phi \rightarrow \infty$$

$$g_s^2(\phi) \sim \text{Vol}_X \sim \phi^w \rightarrow \infty$$

Large volume and
strong 10d coupling

Classification of limits: *Corvillain, Grimm, Valenzuela '18* *Lee, Lerche, Weigand '19*

w	Definition	Dual description
3	$k \neq 0$	M-theory on X
2	$k=0, k_a \neq 0$	F-theory on X
1	$k_a=0, k_{ab} \neq 0$	Heterotic dual

$$k = \kappa_{abc} e^a e^b e^c$$

$$k_a = \kappa_{abc} e^b e^c$$

$$k_{ab} = \kappa_{abc} e^c$$

Type IIA vs. M-theory description

$$t^a = e^a \phi \quad \text{with} \quad \phi \rightarrow \infty$$

$$g_s^2(\phi) \sim \text{Vol}_X \sim \phi^w \rightarrow \infty$$

$$I_{ab} = 4\text{Vol}_X g_{ab}$$

M-theory on $S^1 \times X$

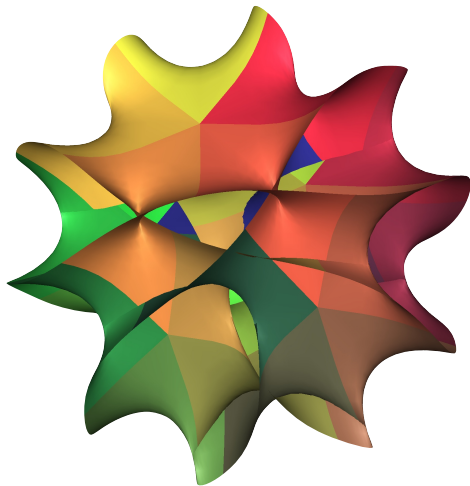


$$M^a = t^a / \text{Vol}_X^{1/3}$$

$$2\pi R_5 = \text{Vol}_X^{1/3}$$

$$J_{ab}$$

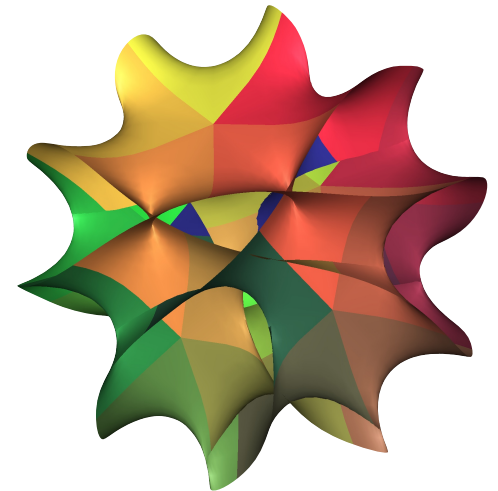
Gauge kinetic matrix



$$\phi_4 = \text{const.}$$



×



$$\text{Vol}_M = \text{const.}$$

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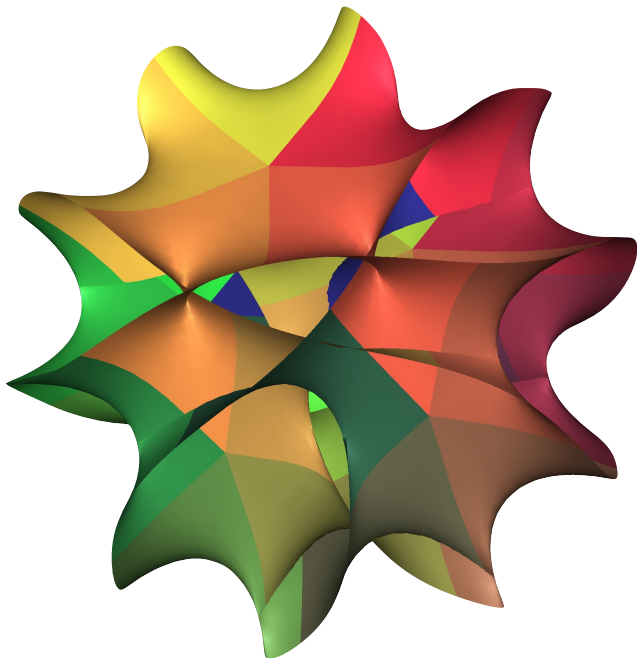
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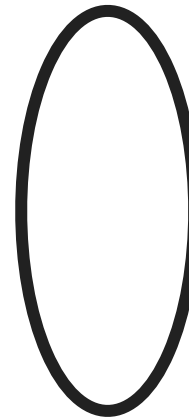
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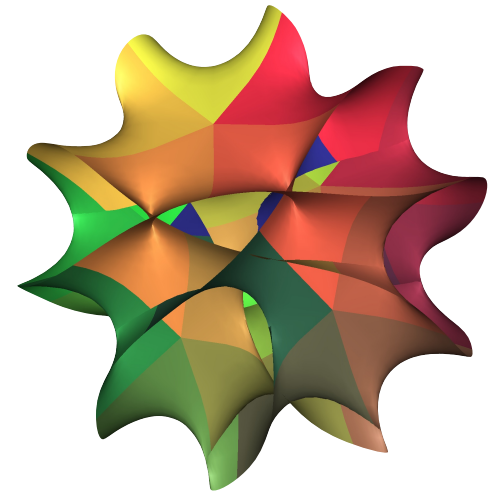
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Gauge kinetic matrix

$$J_{ab}$$

w=3 limits

$$M^a(\phi) = \left(\frac{6}{k}\right)^{1/3} \left(e^a + \phi^{-1} \left(t_0^a - e^a \frac{k_b t_0^b}{k} \right) + \dots \right)$$

$$k = \kappa_{abc} e^a e^b e^c$$

$$k_a = \kappa_{abc} e^b e^c$$

finite distance trajectory for M-theory on X

w=2,1 limits

infinite distance boundary of M-theory on X

The (type IIA) scalar curvature

In **special geometry** there are simple formulas for the **Riemann curvature**

Strominger '90

Implementing the large-volume axionic **shift symmetries** one finds:

$$R_{a\bar{b}c\bar{d}} = g_{ab}g_{cd} + g_{ad}g_{bc} - \frac{g^{ef}\kappa_{ace}\kappa_{bdf}}{64\text{Vol}_X^2}$$



$$R_{\text{IIA}}/2 = -n_V(n_V + 1) + Y^2$$

bounded from below

$$Y^2 = \frac{g^{ab}g^{cd}g^{ef}\kappa_{ace}\kappa_{bdf}}{64\text{Vol}_X^2} = \text{Vol}_X I^{ab} I^{cd} I^{ef} \kappa_{ace} \kappa_{bdf}$$

$\downarrow$ $\downarrow \downarrow \downarrow$ $\downarrow \downarrow$
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In **M-theory** variables: $Y^2 = J^{ab} J^{cd} J^{ef} \kappa_{ace} \kappa_{bdf} \simeq \left[g_\alpha^2 g_\beta^2 g_\gamma^2 \right]_{5d}$

$\implies$ Smooth function in M-theory moduli space

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Can only diverge at a boundary of M-theory moduli space (strong gauge coupling)

Types of CY_3 (M-th) Kähler boundaries: *Witten '95*

- Curve collapses to a point $\rightarrow$ flop transition [no divergence]
- Divisor collapses to a curve $\rightarrow$ $\text{su}(2)$ enhancement [no divergence]
- Divisor collapses to a point $\rightarrow$ non-Lagrangian SCFT [divergence]
- Infinite distance boundary $\rightarrow$ weak coupling regime [uncertain]



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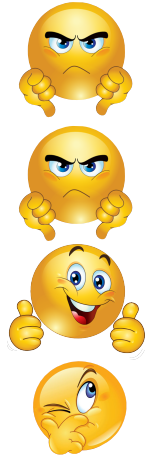
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Fully collapsing divisors D are non-Nef and generalised del Pezzo

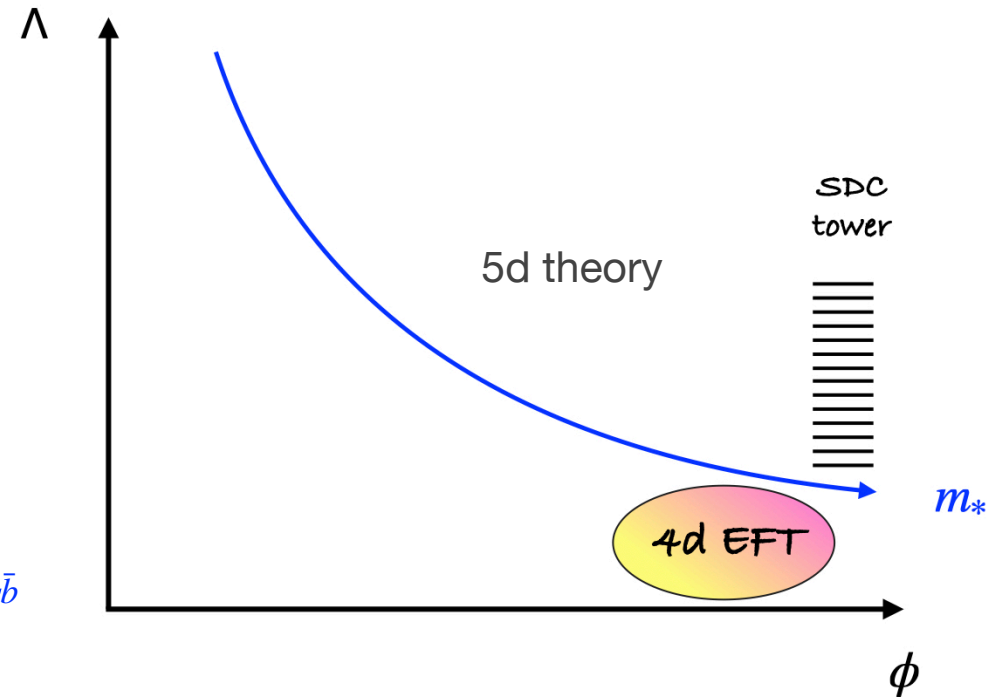
In type IIA variables D does not collapse, but stays of constant volume while $\text{Vol}_X \sim \phi^w$ diverges $\implies$ a gauge coupling remains constant along the limit

The 4d EFT viewpoint

A constant gauge coupling along the limit suggests that **there is always some interacting gauge theory below the SDC scale**, despite the exponential fall-off

Let us **reconsider** the **kinetic terms** in units of the 4d cut-off $m_*^2 = M_P^2/4\text{Vol}_X$:

$$M_P^2 \int g_{ab} dT^a \wedge *d\bar{T}^b \implies m_*^2 \int I_{ab} dT^a \wedge *d\bar{T}^b$$

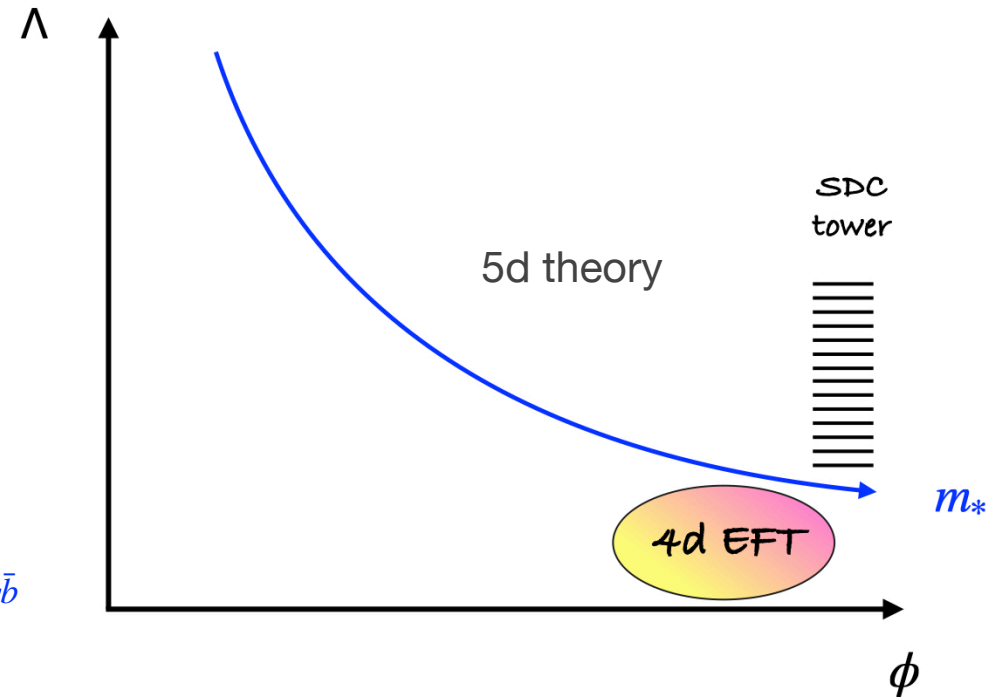


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$\implies$ **In 4d EFT units**, most **kinetic terms** diverge along the infinite distance limit, but some **remain constant** when some gauge couplings do as well

Directions that belong to $\ker k_{ab}$, with $k_{ab} \equiv \kappa_{abc} e^c$

$\ker k_{ab} \neq 0 \iff D \subset X_6$
contractible divisor

The 4d EFT viewpoint

Below the SDC scale we recover a 4d N=2 rigid field theory

$$S_{4\text{d},\text{rigid}}^{\text{VM}} = m_*^2 \int I_{\sigma\rho} dT^\sigma \wedge *d\bar{T}^{\bar{\rho}} + \frac{1}{2} \int I_{\sigma\rho} F^\sigma \wedge *_4 F^\rho + R_{\sigma\rho} F^\sigma \wedge F^\rho$$

The dynamical fields are $T^\sigma \in \ker k_{ab}$  SDC direction ϕ excluded!

Rigid prepotential: $F_{\text{rigid}} = -\frac{1}{6} \kappa_{\sigma\rho\tau} T^\sigma T^\rho T^\tau + \dots$

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In terms of the Kähler potential:

$$M_{\text{p}}^{-2} K = -\log (\mathcal{K}_0 + \mathcal{K}' + \dots) \simeq -\log \mathcal{K}_0 - \frac{\mathcal{K}'}{\mathcal{K}_0} + \dots$$


 $T^\sigma \in \ker k_{ab}$

$$\Rightarrow m_*^{-2} K_{\text{rigid}} = -\frac{2}{3} \mathcal{K}'$$

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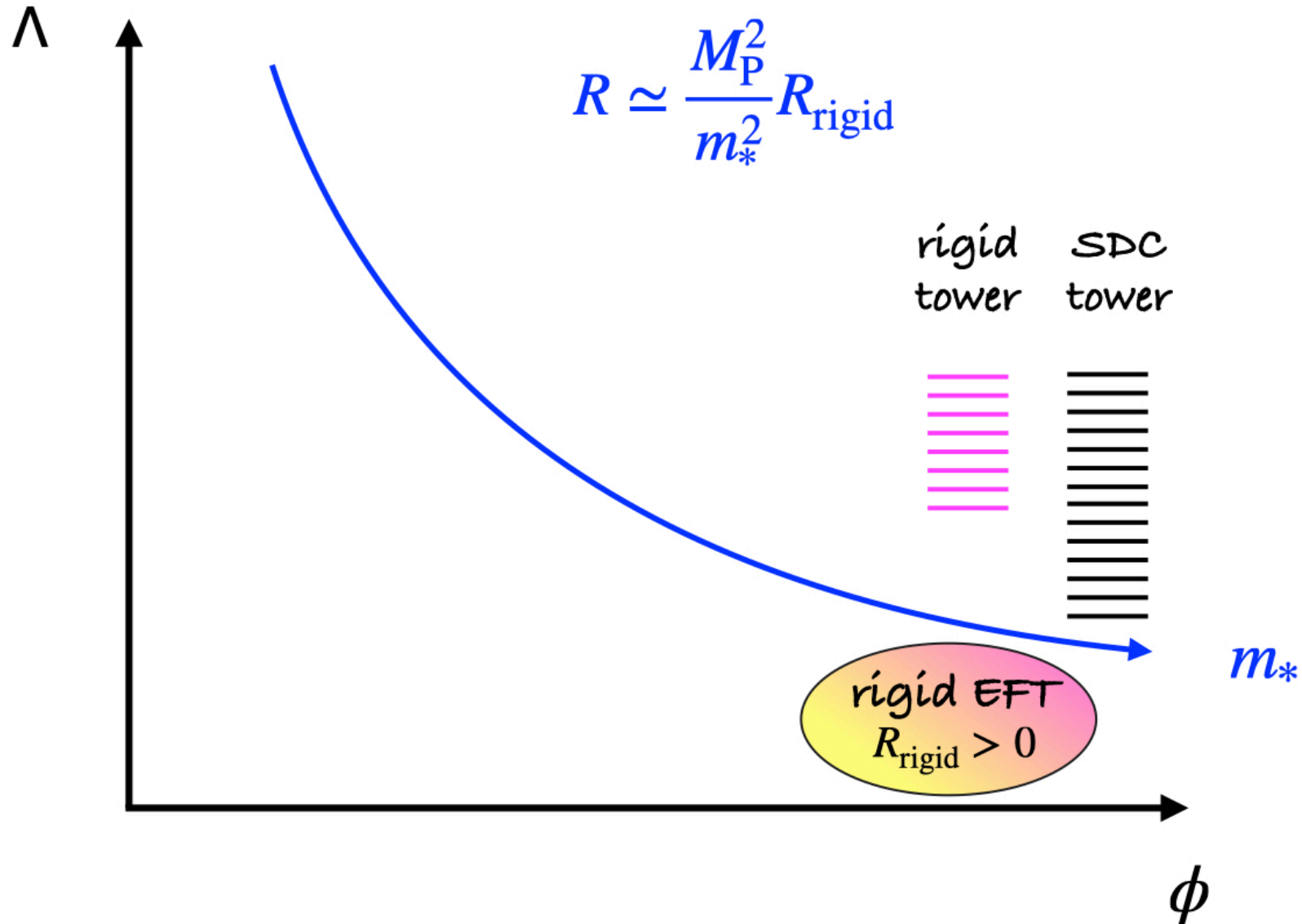
Rigid prepotential: $F_{rigid} = -\frac{1}{6} \kappa_{\sigma\rho\tau} T^\sigma T^\rho T^\tau + \dots$

The curvature of an N=2 rigid theory is always positive:

$$R_{rigid}/2 = \frac{1}{4} I^{\sigma\rho} I^{\tau\eta} I^{\mu\nu} \kappa_{\sigma\tau\mu} \kappa_{\rho\eta\nu} \simeq \frac{Y^2}{4\text{Vol}_X} = \frac{m_*^2}{M_P^2} Y^2$$

$$\Rightarrow R_{IIA} \simeq -2n_V(n_V + 1) + \frac{M_P^2}{m_*^2} R_{rigid}$$

The 4d EFT viewpoint

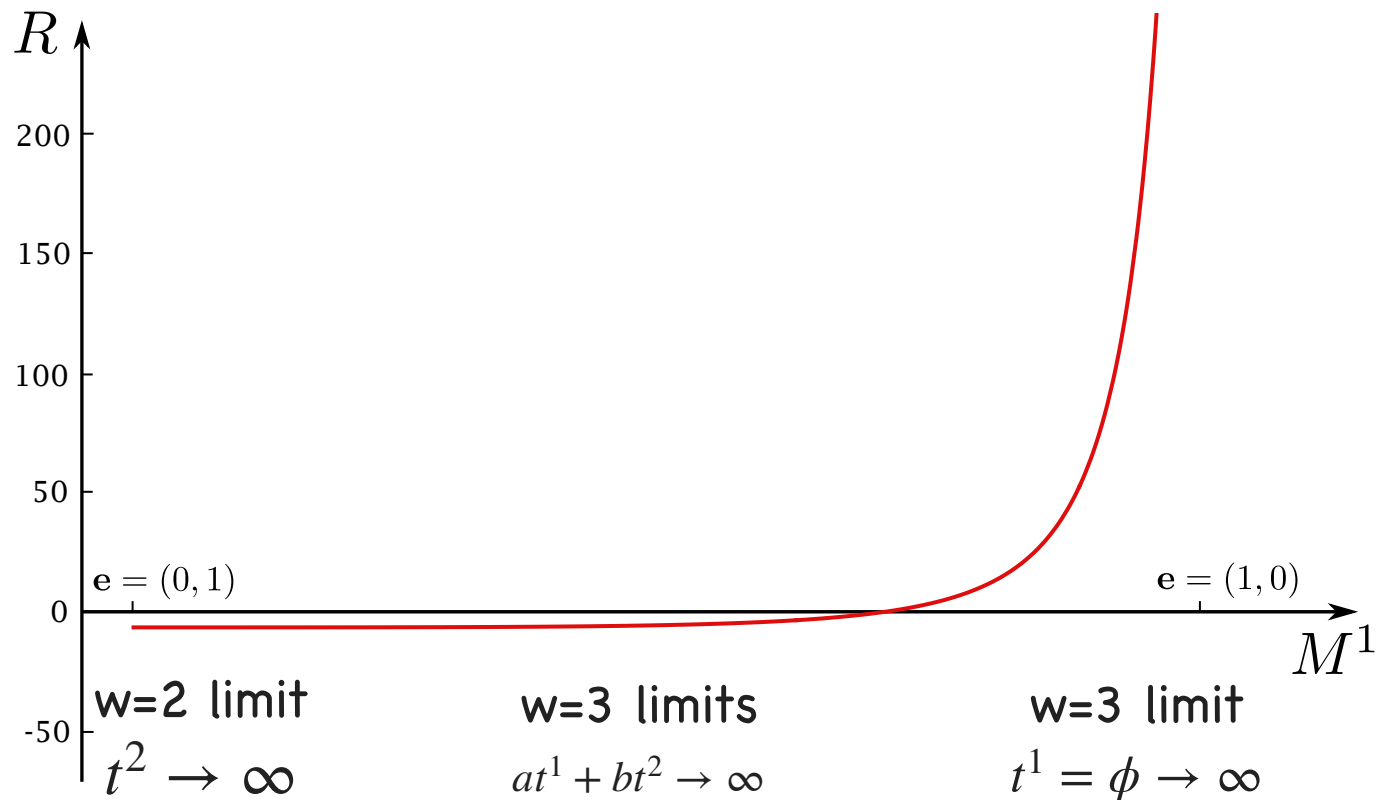


An example

Swiss-cheese CY

Calabi-Yau $X_6 = \mathbb{P}^{(1,1,1,6,9)}[18]$ with two Kähler moduli, smooth fibration with base $\mathbb{P}^2$

$$\kappa_{111} = 9 \quad \kappa_{112} = 3 \quad \kappa_{122} = 3 \quad \kappa_{222} = 0$$



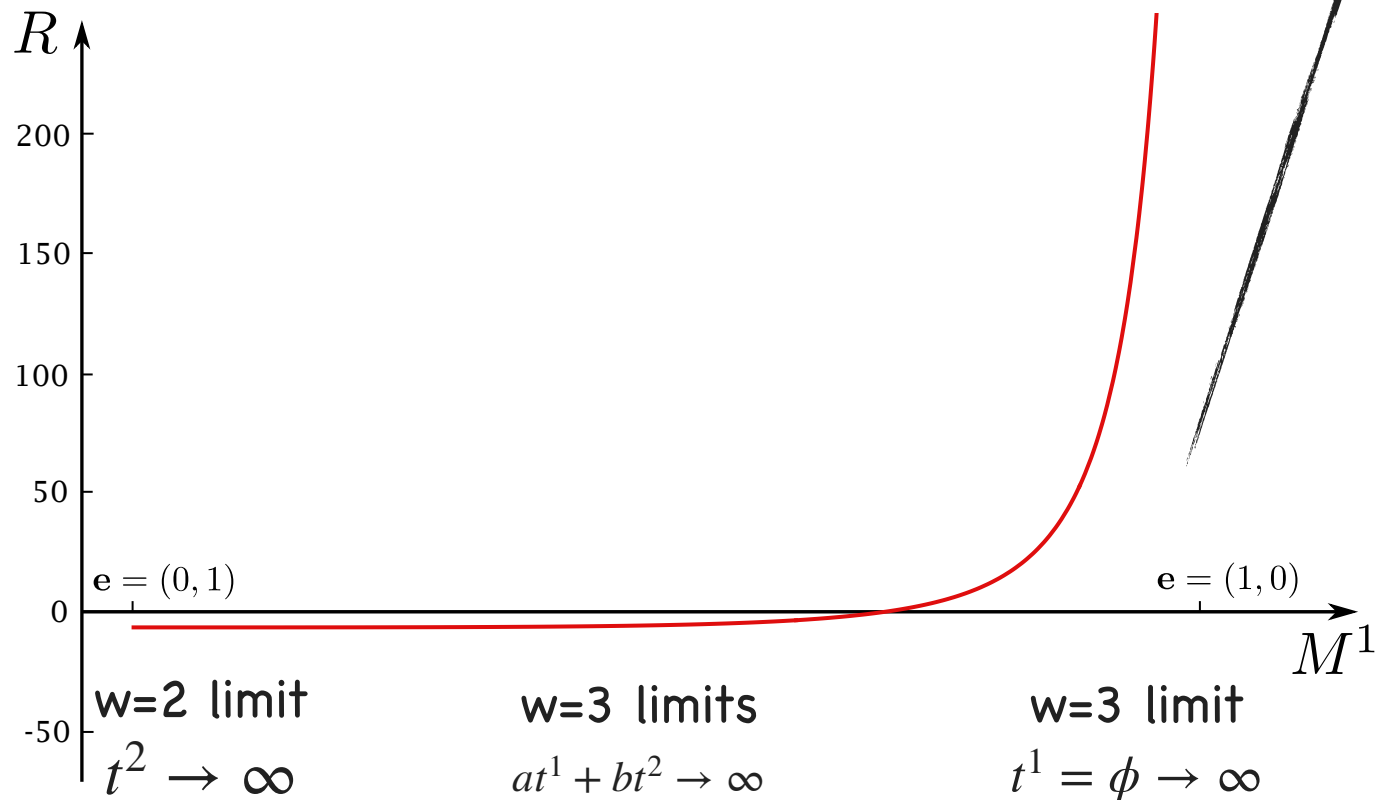
$$R_{\text{IIA}} = \frac{9}{(t_0^2)^3} \phi^3 + \mathcal{O}(\phi^2)$$

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$$\begin{aligned}
 k_{ab} &= \begin{pmatrix} 9 & 3 \\ 3 & 1 \end{pmatrix} \\
 \downarrow \\
 D_B &= D_1 - 3D_2 \in \ker k_{ab} \\
 \downarrow \\
 \kappa_{BBB} &= -9 \\
 \downarrow \\
 F_{\text{rigid}} &= \frac{3}{2}(T^B)^3 \\
 \downarrow \\
 R_{\text{rigid}} &= \frac{3}{2(t^2)^3} \\
 \downarrow \\
 R_{\text{IIA}} &= \frac{9}{(t_0^2)^3} \phi^3 + \mathcal{O}(\phi^2)
 \end{aligned}$$

The Curvature Criterion

Along a geodesic trajectory of infinite distance, a moduli space scalar curvature that diverges asymptotically implies the presence of a field theory sector that is decoupled from gravity.

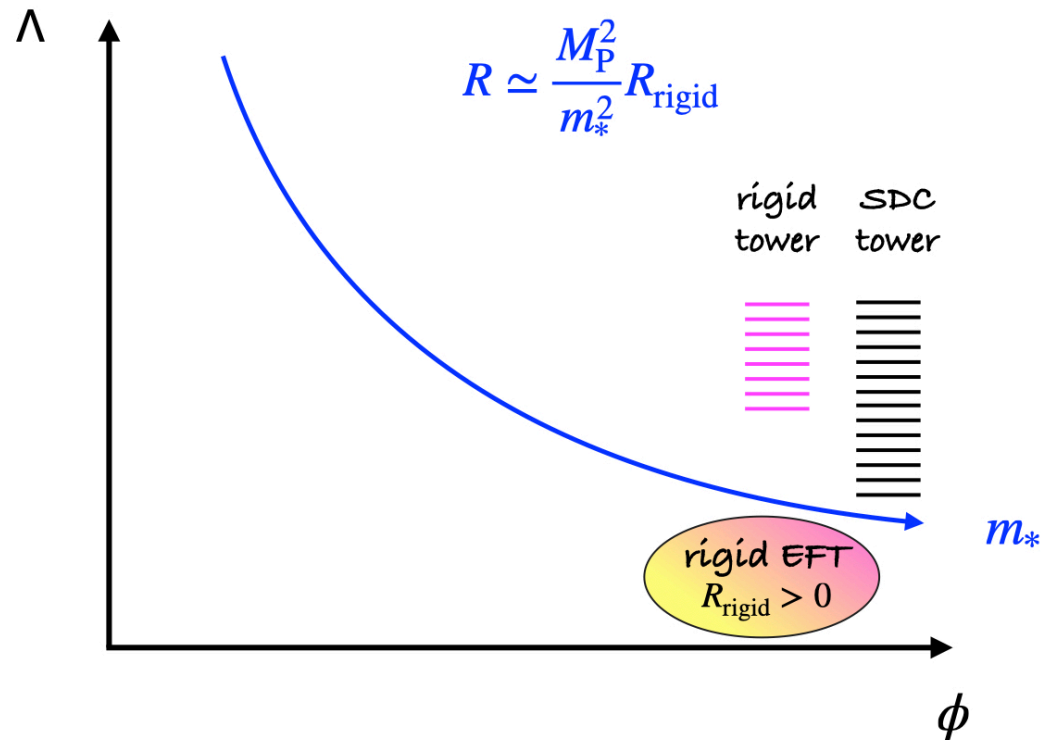
Interpretation: curvature divergence sourced by a gauge theory that dominates over gravity

In our case, the decoupling happens due to a hierarchy of kinetic terms

For the divergence we need $R_{\text{rigid}} \neq 0$

New tower of charged particles

Limits “near” the divergent one have a positive and large asymptotic curvature. Otherwise it is negative.



The Curvature along different limits

Case	$R_{\text{IIA}}^{\text{cl}}(\phi \rightarrow \infty)$
$w=3$ $r = n_V$	$-2n_V^2 + n_V + \mathfrak{C}$
$r < n_V$	$R_{\text{rigid}}^{\text{cl}} \frac{2}{3} k \phi^3$
$w=2$ $r = n_V$	$-2n_V^2 + n_V$
$r < n_V$, smooth	$-2(n_V^2 - 2n_V + 3)$
$r < n_V$, non-smooth	$[-2n_V^2 + 4n_V - 3r]^*$
$w=1$ $r = n_V - 1$	$-2(n_V^2 - 2n_V + 3)$
$r < n_V - 1$	$R_{\text{rigid}}^{\text{cl}} 2k_{\Sigma\Lambda} t_0^\Sigma t_0^\Lambda \phi$

$$n_V = h^{1,1}(X_6)$$

$$\mathfrak{C} = -\frac{k}{6} k^{ab} k^{cd} k^{ef} \kappa_{ace} \kappa_{bdf}$$

$$r = \text{rank}(k_{ab}) \quad k_a = \kappa_{abc} e^b e^c$$

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$$n_V = h^{1,1}(X_6)$$

$$\mathfrak{C} = -\frac{k}{6} k^{ab} k^{cd} k^{ef} \kappa_{ace} \kappa_{bdf}$$

$R_{\text{rigid}}^{\text{cl}} = 0$, but there can be divergence due to ws instanton corrections

$$r = \text{rank}(k_{ab}) \quad k_a = \kappa_{abc} e^b e^c$$

$$k_{ab} = \kappa_{abc} e^c \quad k = \kappa_{abc} e^a e^b e^c$$

Aftermath

We have computed the **asymptotic behaviour of the scalar curvature** for a huge set of cases, using the recent classification of infinite distance limits

Emerging picture: the asymptotic curvature seems to be the result of **two competing effects**

- **Gravitational** contribution, that is **negative** and asymptotically constant along infinite distance limits, as 4d gravity decouples due to the SDC
- **Rigid field theory** contribution, that is **non-negative** and diverges if the theory remains dynamical below the SDC scale along the limit

Positive finite curvature is recovered when some **gauge interactions are much stronger** than that of the graviphoton, but not parametrically

If this is a general feature, it could be used to detect corners of the string theory landscape with non-trivial EFTs

Conclusions

- **Swampland criteria** have proven to be particularly powerful in **asymptotic regions** of field space. In this work we have focused on the behaviour of the **scalar curvature**, for which there is a proposal and counterexamples that challenges it.
- We have analysed the asymptotic behaviour of the scalar curvature in **4d N=2 moduli spaces**, which have been recently considered in light of the SDC.
- We have focused on **type IIA CY VM sector** at large volume, which provide a huge set of limits, recently classified in light of the SDC. In the case the **SDC tower always involved D0-branes**, so there is an M-theory description.
- The **take-home message** is that **curvature divergences** appear when there is a **non-trivial EFT below the SDC scale**, that decouples from gravity.
- The **next step** is to test this picture in **more general setups**, and see if similar lessons can be drawn for other metric invariants or components of the Riemann curvature.

The background is a deep space scene. A bright, golden-yellow path of light starts from the bottom center and curves upwards and to the left, leading the eye towards a distant, glowing spiral galaxy in the upper right. The path is composed of many fine, overlapping lines of light, giving it a sense of motion and depth. The galaxy is a dense cluster of stars and dust, with a bright central core. The surrounding space is dark blue and black, with a few other distant stars visible. The text 'Thank you!' is written in a white, elegant script font, positioned in the lower-left quadrant of the image. A solid black rectangular shape is located in the bottom right corner.

Thank you!