

# Kulikov Models and the Emergent String Conjecture

- 2111.xxxx w/ Seung-Joo Lee
- 211y.yyyy w/ Seung-Joo Lee and Wolfgang Lerche

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# Swampland Distance Conjecture

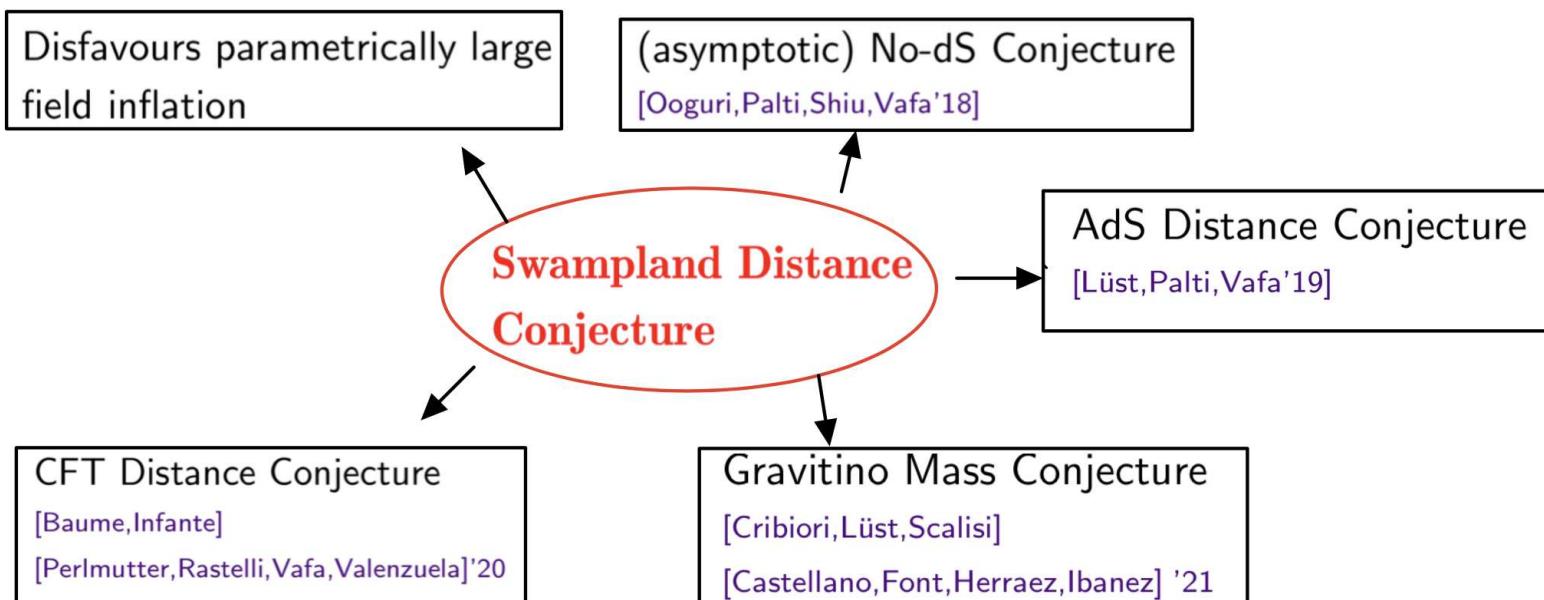
Within the web of Swampland Conjectures, special role played by

**Swampland Distance Conjecture** [Ooguri,Vafa'06]:

*At infinite distance in the moduli space of a consistent Quantum Gravity, a tower of infinitely many states becomes asymptotically light:*

$$m(\Phi) \rightarrow m(\Phi_0) e^{-c \frac{\Delta\Phi}{M_{\text{Pl}}}}$$

with  $c = \mathcal{O}(1)$  (**Refined SDC** [Baume,Palti][Kläwer,Palti]'16)



# Swampland Distance Conjecture

Many successful tests in various corners of string landscape:

Example: Complex structure moduli of Type IIB compactifications

Origin of towers:

4d N=2 BPS states from D3-branes wrapped on vanishing 3-cycles

[Grimm,Palti,Valenzuela'18] [Grimm,Li,Palti'18] [Grimm,Li,Valenzuela'19] ... [Grimm'20]

[Bastian,Grimm,van de Heisteeg'20/21]

[Blumenhagen,Kläwer,Schlechter,Wolf'18]

[Klemm,Joshi'19]

[Font,Herraez,Ibanez'19]

[Gendler,Valenzuela'20] [Palti'21]

[Kläwer'21]

What's the nature of the theory at infinite distance?

# Emergent String Conjecture

**Conjecture:**

[Lee,Lerche,TW'19]

If a quantum gravity theory admits an *infinite distance limit*, then

- either it reduces to a weakly coupled string theory  
⇒ infinite tower of string excitations
- or it decompactifies  
⇒ infinite tower of Kaluza-Klein excitations

Confirmed in non-trivial (non-perturbative) setups:

$$\text{Existence and uniqueness of emergent critical string} \quad \iff \quad (\text{Quantum}) \text{ geometry of string compactification}$$

Kähler moduli F/M/IIA-theory in 6d/5d/4d

[Lee,Lerche,TW'18,'19,'20]

4d N=2 hypermultiplets

[Baume,Marchesano,Wiesner'19]

M-theory on  $G_2$

[Xu'20]

4d N=1 F-theory

[Lee,Lerche,TW'19]

+ corrections

[Lee,Kläwer,TW,Wiesner'20]

cf. Distant axionic string conjecture

[Lanza,Marchesano,Martucci,Valenzuela'20/21]

# This Talk

**Aim:** *Understand physics on the boundary of complex structure (CS) moduli spaces*

**Method:** Detailed analysis of degenerating geometry at infinite distance in CS moduli space

**First step:** Analyse infinite distance CS degenerations of elliptic K3 surfaces  
 $\iff$  Physics of 8d F-theory on boundary on CS moduli space

**Main results:**

## 1) Math: Classification of CS infinite distance limits of elliptic K3

Refinement of Kulikov degenerations

- Type II: Type II.a and II.b [Clingher,Morgan'03] [Baily-Borel] [Mumford]
- Type III: Type III.a and Type III.b [Lee,TW'21] cf.[Alexeev,Brunyante,Engel'20]

## 2) Physics: Characterisation of infinite distance limits for F-theory on K3

# This Talk

## Main results:

### 1) Math: Classification of CS infinite distance limits of elliptic K3

Refinement of Kulikov degenerations

- Type II: Type II.a and II.b [Clingher,Morgan'03] [Baily-Borel] [Mumford]
- Type III: Type III.a and Type III.b [Lee,TW'21] cf.[Alexeev,Brunyante,Engel'20]

### 2) Physics: Characterisation of infinite distance limits for F-theory on K3

- Type II.a: Decompactification limit  $8d \rightarrow 10d$  cf [Morrison,Vafa'96]  
Type II.b: Weak coupling/emergent string limit in 8d  
cf [Aspinwall,Morrison'97]
- Type III.a: Decompactification limit  $8d \rightarrow 9d$   
Type III.b: Decompactification limit  $8d \rightarrow 10d$

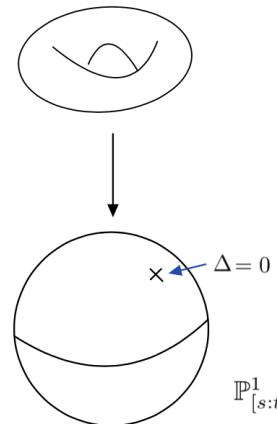
⇒ Agreement with Emergent String Conjecture

# F-theory on K3

K3: Elliptic fibration over  $\mathbb{P}_{[s:t]}^1$

$$\begin{aligned} y^2 &= x^3 + f_8(s, t)xz^4 + g_{12}(s, t)z^6 \\ \Delta &= 4f^3 + 27g^2 \end{aligned}$$

7-branes  $\leftrightarrow \Delta = 0$



finite enhancements  $\longleftrightarrow$  Kodaira-Néron classification

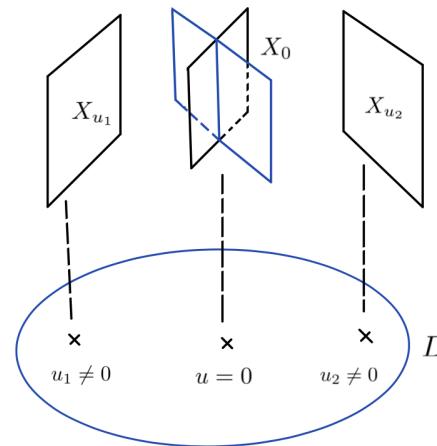
Branes	Algebra	Kodaira-type	$\text{ord}(f)$	$\text{ord}(g)$	$\text{ord}(\Delta)$
$A^{n+1}$	$A_n$	$I_{n+1}$	0	0	$n+1$
$A^n BC$	$D_n$	$I_{n-4}^*$	2	3	$n+2$
$A^5 BC^2$	$E_6$	$IV^*$	$\geq 3$	4	8
$A^6 BC^2$	$E_7$	$III^*$	3	$\geq 5$	9
$A^7 BC^2$	$E_8$	$II^*$	$\geq 4$	5	10
	non-min	$\geq 4$	$\geq 6$	$\geq 12$	

# Semi-Stable Degenerations

Consider 1-parameter family of K3 surfaces

$$X_u \quad u \in D = \{u \in \mathbb{C}, |u| < 1\},$$

- $X_{u \neq 0}$  smooth K3
- $X_0$  is degenerate



$\implies$  3-fold  $\mathcal{X}$  fibered over  $D$  with smooth fiber  $X_{u \neq 0}$  and degenerate central fiber  $X_0$

Semi-stable reduction theorem: [Mumford]

Every such degeneration can be brought into semi-stable form.

- **Semi-stable:**

$X_0 = \cup_i X^i$  with surface components  $X^i$  appearing with multiplicity one (reduced) and all singularities of  $X_0$  are of local normal crossing type

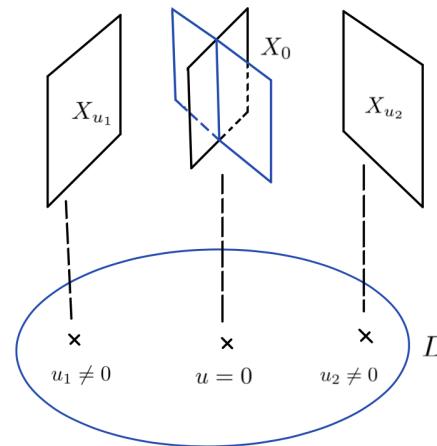
- This may require birational transformations on  $\mathcal{X}$  (leaving  $X_{u \neq 0}$  invariant) or a base change  $u \rightarrow u^n$ ,  $n \in \mathbb{Z}$ .

# Semi-Stable Degenerations

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Semi-stable reduction theorem: [Mumford]

*Every such degeneration can be brought into semi-stable form.*

Theorem: [Kulikov'77] [Persson,Pinkham'81]

*Up to birational transformations and base-change, a semi-stable K3 degeneration  $\mathcal{X}$  can be arranged to have trivial canonical bundle.*

$\implies$  **Kulikov models**

# Kulikov models

**Theorem** [Kulikov'77] [Persson'77] [Friedman,Morrison'81]

*Kulikov models admit a classification as models of Type I, Type II, Type III.*

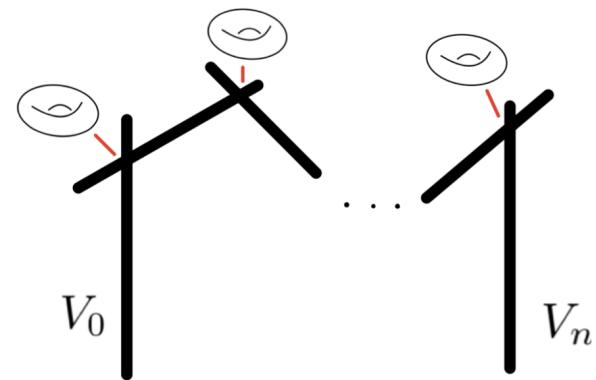
**Kulikov Type I:**  $X_0$  is single smooth reduced surface.

This occurs at finite distance in complex structure moduli space.

Infinite distance degenerations:

**Kulikov Type II:** degenerate K3  $X_0 = V_0 \cup V_1 \cup \dots \cup V_n$

- $V_0, V_n$ : rational surfaces
- $V_1, \dots, V_{n-1}$ : elliptic ruled surface
- $V_i \cap V_{i+1}$ : elliptic curve



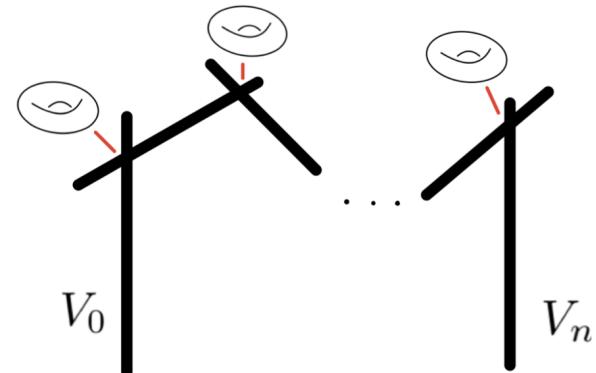
**Kulikov Type III:** degenerate  $X_0 = \cup_i V_i$

- Each is  $V_i$  a rational surface
- $V_i \cap V_j$  is a rational curve or empty

# Kulikov models

Kulikov Type II: degenerate K3  $X_0 = V_0 \cup V_1 \cup \dots \cup V_n$

- $V_i \cap V_{i+1}$ : elliptic curve
- 2 transcendental 2-tori  $\gamma_j \in H_2(X_0, \mathbb{Z})$ :  
 $\int_{\gamma_j} \Omega = 0$ ,  $j = 1, 2$



Kulikov Type III: degenerate  $X_0 = \cup_i V_i$

- $V_i \cap V_j$  is a rational curve or empty
- 1 transcendental 2-torus  $\gamma_1 \in H_2(X_0, \mathbb{Z})$ :  $\int_{\gamma_1} \Omega = 0$

M-theory on  $X_u$  in limit  $u \rightarrow 0$ :

- Obtain 2 or 1 towers of asymptotically massless BPS particles from M2-branes wrapped  $n$ -times on  $\gamma_j$  for  $n \in \mathbb{Z}$ .

Similar arguments on CY3 and CY4:

[Grimm,Palti,Valenzuela'18] [Grimm,Li,Palti'18] [Grimm,Li,Valenzuela'19] ...

- These in general form a *subset* of the asymptotically massless states.  
More details on degeneration required to extract asymptotic physics.

# Type II Kulikov models

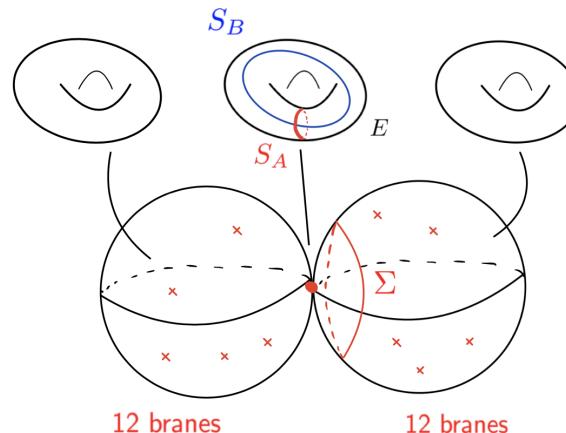
Theorem: [Clingher,Morgan'03] [Baily-Borel] [Mumford]

For elliptically fibered K3, the Type II degenerations are (birationally) of the form

$$X_0 = X^1 \cup X^2, \quad X^1 \cap X^2 = E \quad E : \text{elliptic curve}$$

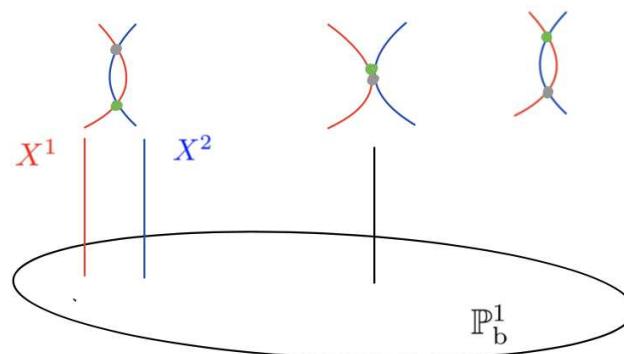
- Type II.a:

$X^1$  and  $X^2$  are both  $dP_9$  surfaces cf. [Morrison,Vafa'96]



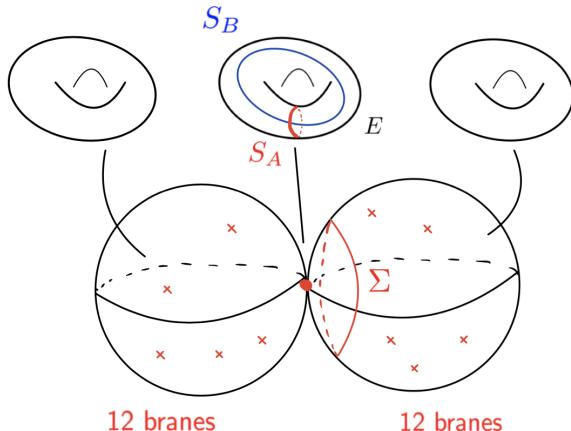
- Type II.b:

$X^1, X^2$  are  $\mathbb{P}^1$ -fibrations over  $\mathbb{P}_b^1$  cf. [Aspinwall,Morrison'96]



# Kulikov Type II.a models

'Stable degeneration limit' of F-theory - heterotic duality cf. [Morrison,Vafa'96]



$$\gamma_1 = S_A \times \Sigma, \gamma_2 = S_B \times \Sigma$$

Particle towers in M-theory:

$$\delta_i: \text{M2-brane on } \gamma_i \quad i = 1, 2$$

F-theory:  $\delta_1$ : (1, 0) string on  $\Sigma$        $\delta_2$ : (0, 1) string on  $\Sigma$

$\implies$  encircling configuration of 12 branes of total monodromy

$$\prod_{i=1}^{12} M_{[p_i, q_i]} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = M_{I_0}^{-1} = M_{\hat{E}_9} \quad \hat{E}_9 = A(A^7 BC^2) X_{[3,1]}$$

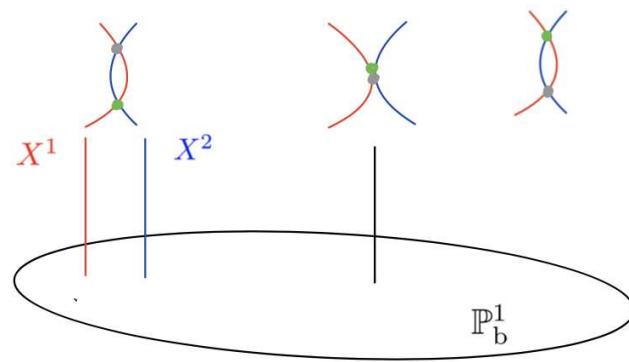
Hallmark: Component with  $I_0$  fiber intersecting component in  $I_0$  fiber

Physics: 8d  $\rightarrow$  10d decompactification limit of dual heterotic theory on

$$T_{\text{het}}^2 = S_{\text{het},1}^1 \times S_{\text{het},2}^1 \quad \delta_i: \text{KK tower associated with } S_{\text{het},1}^1 \quad i = 1, 2$$

# Kulikov Type II.b models

Realises limits of form [Aspinwall,Morrison'96]



$X^1 \cap X^2 = E = \sigma_1 \times \sigma_2$ :  
bisection (double cover of  $\mathbb{P}_b^1$ )

In generic fiber over  $\mathbb{P}_b^1$ :  
 $S_A^1$  is vanishing 1-cycle  
( $I_2$  degeneration)

$\implies$  2 vanishing 2-tori     $\gamma_i = S_A^1 \times \sigma_i$ ,  $i = 1, 2$

Asymptotically massless particle towers in M-theory:

1. BPS particles from M2-branes on  $\gamma_i$ ,        $i = 1, 2$

$$\frac{M_{w,i}}{M_{11}} \sim \mathcal{V}_{11}(S_A) \times \mathcal{V}_{11}(\sigma_i) \sim \mathcal{V}_{11}(\gamma_i) \rightarrow 0$$

2. Tower of excitations of (non-BPS) tensionless string from M2 on  $S_A^1$ :

$$\frac{M_{\text{str}}^2}{M_{11}^2} \sim \mathcal{V}_{11}(S_A) \rightarrow 0$$

# Kulikov Type II.b models

F-theory interpretation:

Realised in Sen limit to weakly coupled Type IIB orientifold on  $E$

[Aspinwall,Morrison'96] [Donagi,Wijnholt '12]

Asymptotically tensionless weakly coupled  $(1,0)$  string with tower of winding states parametrically at same scale:

$$M_{w,i} \sim M_{\text{str}}^2 \text{Vol}(\sigma_i) \sim M_{\text{str}} \mathcal{V}_{\text{IIB}}(\sigma_i)$$

⇒ Effective 8d theory rather than decompactification

Summary so far

Classification as Type II Kulikov not sufficient to distinguish between

- Decompactification limits  $8d \rightarrow 10d$ : Realisation of  $\hat{E}_9$  loop algebra
- Emergent string limit in 8d: Realisation of Type IIB orientifold/Sen limit

# Kulikov Type II/III - Systematics

Degenerate K3  $X_0$  has structure of **fibration over  $B_0$**

Blow down all exceptional fibers  $\implies$  **degenerate Weierstrass model**

- $\pi : Y_0 = \cup_{i=0}^P Y^i \longrightarrow B_0 = \cup_{i=0}^P B^i$

$$y^2 = x^3 + fxz^4 + gz^6$$

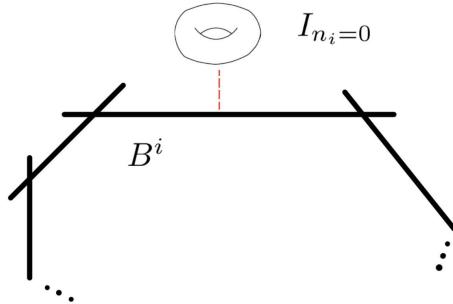
$f$ : degree 8 on  $B_0$

$g$ : degree 12 on  $B_0$

$\Delta = 4f^3 + 27g^2$ : degree 24

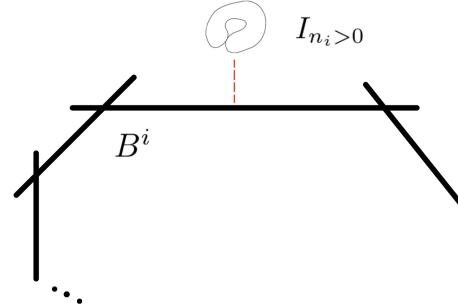
- If write  $B^i = \{e_i = 0\}$ , then

$$f = \prod_{i=0}^P e_i^{a_i} \tilde{f}, \quad g = \prod_{i=0}^P e_i^{b_i} \tilde{g}, \quad \Delta = \prod_{i=0}^P e_i^{\textcolor{red}{n}_i} \tilde{\Delta}$$



$n_i = 0$ :

$Y^i$  = elliptic fibration over  $B^i$



$n_i > 0$ :

degenerate fiber over generic point  
of base component  $B^i$

# Systematics

**Observation 1:** [Lee, TW'21]

Fiber over generic point must be of Kodaira Type  $I_{n_i}$  for  $n_i \geq 0$

i.e.  $a_i \geq 0, b_i = 0$  or  $a_i = 0, b_i \geq 0$

Reason: All others lead to not normal crossing or higher multiplicities  
not semi-stable

Further degenerations over special points on  $B^i$  (codimension-one fibers):

- from intersection of 2 components
- in the interior of  $B^i$ : physical 7-branes in the theory

Read off from vanishing orders of

$$f_i = f|_{e_i}, \quad g_i = g|_{e_i}, \quad \tilde{\Delta}_i = \tilde{\Delta}|_{e_i}$$

at special points:

$$\text{ord}_{\text{K3}}(f, g, \Delta)|_{\mathcal{P} \in B^i} = (\text{ord}(f_i), \text{ord}(g_i), \text{ord}(\tilde{\Delta}_i))|_{\mathcal{P}}$$

# Systematics

## Observation 2:

1. On a component with  $I_0$ -fibers: general Kodaira fibers are possible
2. On a component with generic  $I_{n_i > 0}$ , the codimension-one fibers can only be of

*D-type:*  $\text{ord}_{K3}(f, g, \Delta)|_{\mathcal{P} \in B^i} = (2, 3, 2 + k) \leftrightarrow O7\text{-planes}$

*A-type:*  $\text{ord}_{K3}(f, g, \Delta)|_{\mathcal{P} \in B^i} = (0, 0, k) \leftrightarrow \text{perturbative 7-branes}$

If the  $I_{n_i > 0}$ -component is

- an end component, then precisely 2 *D*-type singularities
- a middle component, then no *D*-type singularities

Reason: An  $I_{n_i > 0}$  component requires

$$f_i = -3h_i^2, \quad g_i = 2h_i^3 \quad \text{for } h_i \in H^0(B^i, L_i^2).$$

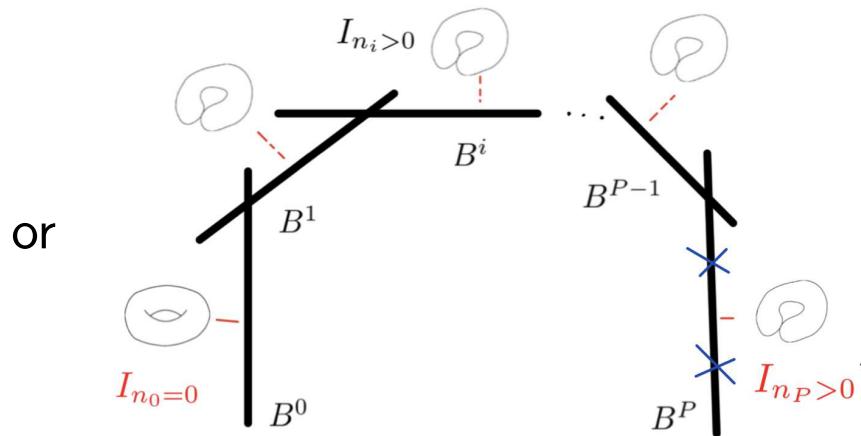
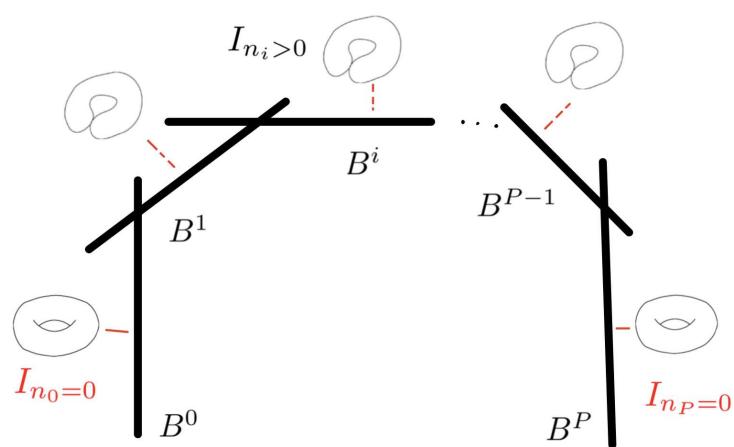
Explicit analysis of discriminant shows claim

# Elliptic Type III - Classification

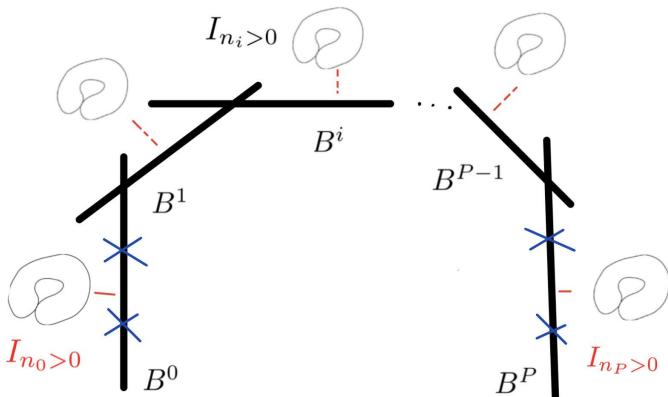
**Theorem:** [Lee, TW'21] see also: [Alexeev, Brunyante, Engel'20]

Every Type III Kulikov model must have a Weierstrass model with central element  $Y_0$  degenerating as a chain  $Y_0 = \cup_{i=0}^P Y^i$  with  $P \geq 1$ :

## 1. Type III.a degenerations:



## 2. Type III.b degenerations:



# Physics of Type III.a

Type III.a:

One or both ends are  $dP_9$  surfaces intersecting  $I_{n>0}$  component  $\Rightarrow$  decompactification to 9d

$$Y_0 = \cup_{i=0}^P Y^i$$

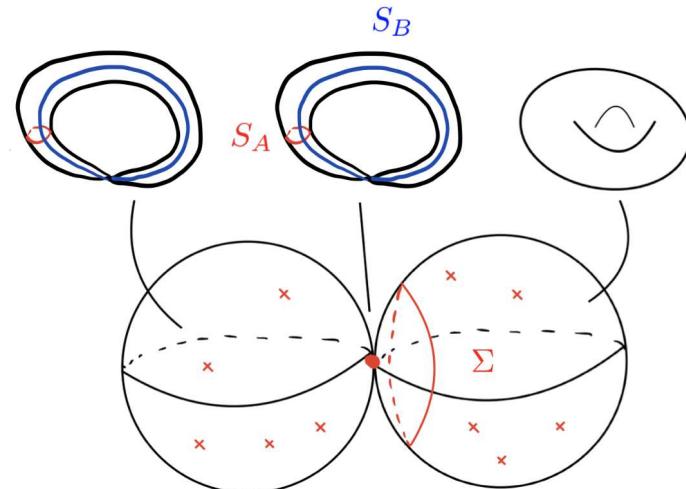
- $Y^P$ :  $I_0$  fiber over  $B^P$  ( $dP_9$  surface)
- $Y^{P-1}$ :  $I_{n>0}$  fiber over  $B^{P-1}$
- $B_P \cap B_{P-1} = 1$  point

$$\prod_{i=1}^{12-n} M_{[p_i, q_i]} = \begin{pmatrix} 1 & n \\ 0 & 1 \end{pmatrix} = M_{I_n}^{-1} = M_{\hat{E}_{9-n}} \quad (12-n) \text{ branes}$$

$$\gamma_1 = S_A^1 \times \Sigma \iff \text{affine node } \delta_1 \text{ within } \hat{E}_{9-n}$$

**Physics:** Partial decompactification of dual heterotic theory on

$$S_{\text{het},1}^1 \times S_{\text{het},2}^1: 8\text{d} \longrightarrow 9\text{d}$$

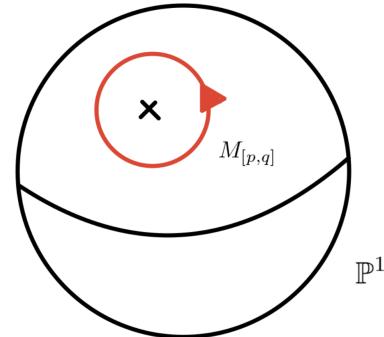


# Digression: Affine Enhancements

$\binom{p}{q}$  strings end on  $[p, q]$ -7-branes with  $SL(2, \mathbb{Z})$  monodromy

$$\binom{r}{s} \rightarrow M_{[p,q]} \binom{r}{s}$$

$$M_{[p,q]} = \begin{pmatrix} 1 + pq & -p^2 \\ q^2 & 1 - pq \end{pmatrix}$$



ADE Lie algebras by collision of  $[p, q]$ -branes of type

$G$	branes	Monodromy $M_G$
$A = X_{[1,0]}$	$A_N$	$A^{N+1}$
$B = X_{[1,-1]}$	$D_N$	$A^N BC$
$C = X_{[1,1]}$ [Gaberdiel,Zwiebach'97] [DeWolfe,Zwiebach'98]	$E_N$	$A^{N-1} BCC$

# Digression: Affine Enhancements

Analysis of monodromies shows:

[DeWolfe,Hauer,Iqbal,Zwiebach'98]

Affine enhancement

$$\hat{E}_N = E_N X_{[3,1]} = (A^{N-1} BCC) X_{[3,1]}$$

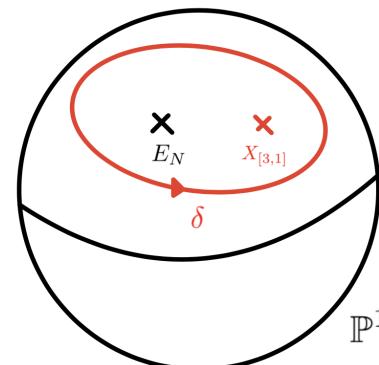
$$E_N \xrightarrow{+X_{[3,1]}} \hat{E}_N$$

$$M_{\hat{E}_N} = \begin{pmatrix} 1 & 9-N \\ 0 & 1 \end{pmatrix}$$

- $M_{\hat{E}_N} \delta = \delta$ ,  $\delta = \begin{pmatrix} -1 \\ 0 \end{pmatrix}$

$\implies \delta = \text{string encircling } \hat{E}_N$  gives

BPS state, massless for  
coincident  $E_N$  and  $X_{[3,1]}$



- $\hat{E}_N$  <sup>a</sup> is **affine extension** of finite Lie algebra  $E_N$   
simple roots:  $\{\alpha_i\}_{E_N}$ ,  $\delta$ : **imaginary root**  $\delta \cdot \delta = 0$ ,  $\delta \cdot \alpha_i = 0$

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<sup>a</sup> $E_8$  has two equivalent enhancements: 1)  $E_8 \rightarrow \hat{E}_8 = E_8 X_{[3,1]}$  2)  $E_8 \rightarrow E_9 = AE_8$

# Physics of Type III.a

Symmetry algebra (non-abelian part):

$$G_\infty = H \oplus (\hat{E}_{n_0} \oplus \hat{E}_{n_P}) / \sim \quad \text{for 2 } dP_9 \text{ ends}$$

Interpretation: Non-abelian gauge algebra in 9d:

$$G_{9d} = H \oplus E_{n_0} \oplus E_{n_P}$$

$$\begin{aligned} \hat{E}_8, \quad \hat{E}_7, \quad \hat{E}_6, \quad \hat{E}_5 &= \hat{D}_5, \\ \hat{E}_4 &= \hat{A}_4, \quad \hat{E}_3 = \widehat{A_2 \oplus A_1}, \quad \hat{E}_2 = \widehat{A_1 \oplus u(1)}, \quad \hat{E}_1 = \hat{A}_1, \quad \hat{\hat{E}}_0 = \hat{\emptyset}. \end{aligned}$$

Application: Classification of maximal non-abelian gauge algebras in 9d

2  $dP_9$  ends:

$$G_\infty^{\max} = A_{17-n-m} \oplus (\hat{E}_n \oplus \hat{E}_m) / \sim \implies G_{9d}^{\max} = A_{17-n-m} \oplus (E_n \oplus E_m), \quad n, m \in \{0, 1, 3, \dots, 8\}$$

1  $dP_9$  end:

$$G_\infty^{\max} = D_{17-k} \oplus \hat{E}_k \implies G_{9d}^{\max} = D_{17-k} \oplus E_k, \quad k \in \{0, 1, 3, \dots, 8\}$$

Reproduces results of [Cachazo,Vafa'00] [Font,Fraiman,Grana,Nunez,Freitas'20]

# Physics of Type III.b

Only  $I_{n>0}$  fibers:

Weak coupling limit + large complex structure limit of torus  $T_{\text{IIB}}^2$  to 10d

- same  $(1, 0)$  cycle  $S_A$  in fiber degenerates over all components  $B^i$   
 $\implies$  M2 on  $S_A$ : asymptotically tensionless fundamental IIB string  
 $\implies$  globally weak coupling limit
- In addition to weak coupling limit, 2 or more O-planes collide  
Vanishing orders:  $(2, 3, *) \rightarrow (4, 6, *) \implies$  blowup  
 $\implies$  Degenerating complex structure of  $T_{\text{IIB}}^2$

Massless towers:

- $(1, 0)$  string around intersection points: winding tower
- From above picture we know there must in addition be a SUGRA KK tower which we cannot see in this simple manner

$\implies$  Decompactification to weakly coupled Type IIB in 10d

# Realisation via non-minimal fibers

**Theorem** [Lee, TW'21]

All Type III Kulikov models are blowups of Weierstrass models with suitable non-minimal singularities:

- Start with Weierstrass over base  $\mathbb{P}_{[s:t]}^1$   
 $y^2 = x^3 + f_u(s, t)xz^4 + g_u(s, t)z^6$
- Consider non-minimal Kodaira singularity at  $s = 0$  in the limit  $u \rightarrow 0$ :  
 $\text{ord}(f, g, \Delta)|_{u=0, s=0} = (4 + \alpha, 6 + \beta, 12 + \gamma)$

Then possibly upon base change, a chain of blowups in the base leads to a family of Weierstrass models without non-minimal singularities

- If  $\gamma = 0$  (and hence  $\alpha = 0$  or  $\beta = 0$  or both):  
Blowup gives Type II model birational to Type II.a
- If  $\gamma > 0$  and  $\alpha = 0 = \beta$ :  
blowup gives Type III.a (generically) or III.b model (non-generically)
- If  $\gamma > 0$  and  $\alpha > 0$  and  $\beta > 0$ : Type I (finite distance)

# $E_7 \times E_8$ Weierstrass model

$$f = t^3 s^4 (\textcolor{red}{a} t + \textcolor{blue}{c} s), \quad g = t^5 s^5 (\textcolor{blue}{d} s^2 + \textcolor{red}{b} s t + e t^2)$$

- on base  $\mathbb{P}_{[s:t]}^1$ :  $E_7|_{t=0} \times E_8|_{s=0}$
- $\text{ord}(f, g, \Delta)|_{t=0} \geq (4, 6, 12)$  for  $\textcolor{blue}{c} \rightarrow 0, d \rightarrow 0$

$$4a^3 + 27b^2 \sim u^k, \quad c \sim u^n, \quad d \sim u^m \quad u \rightarrow 0$$

- $k = 0$ : Type II limit:  $\hat{E}_9 \times \hat{E}_9$   
Full decompactification to 10d with non-ab. gauge group  $E_8 \times E_8$
- $k \geq 1$ : Type III limit:  
decompactification to 9d, with variety of further enhancements

towers from  $\hat{G}_1$  and  $\hat{G}_2$   
are equivalent and identified

Loop algebra	non-ab part in 9d
$\hat{E}_7 \times \hat{E}_8$	$E_7 \times E_8$
$\hat{E}_7 \times \hat{E}_8 \times SU(2)$	$E_7 \times E_8 \times SU(2)$
$\hat{E}_7 \times \hat{E}_8 \times SU(3)$	$E_7 \times E_8 \times SU(3)$
$\hat{E}_8 \times \hat{E}_8$	$E_8 \times E_8$
$\hat{E}_8 \times \hat{E}_8 \times SU(2)$	$E_8 \times E_8 \times SU(2)$

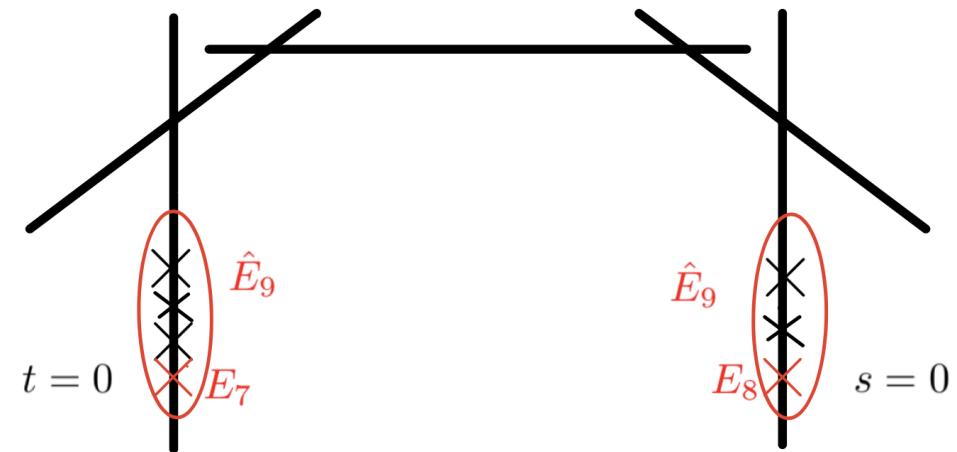
# $E_7 \times E_8$ Weierstrass model

$$f_8 = t^3 s^4 (a t + \textcolor{blue}{c} s), \quad g_{12} = t^5 s^5 (\textcolor{blue}{d} s^2 + b s t + e t^2)$$

Example :  $4a^3 + 27b^2 \sim \textcolor{red}{u}^k$ ,  $\textcolor{blue}{c} \sim u^4$ ,  $\textcolor{blue}{d} \sim u^4$   $u \rightarrow 0$

$k = 0$ : Kulikov Type II

$$K3 \rightarrow Y^0 \cup Y^1 \cup Y^2 \cup Y^3 \cup Y^4$$



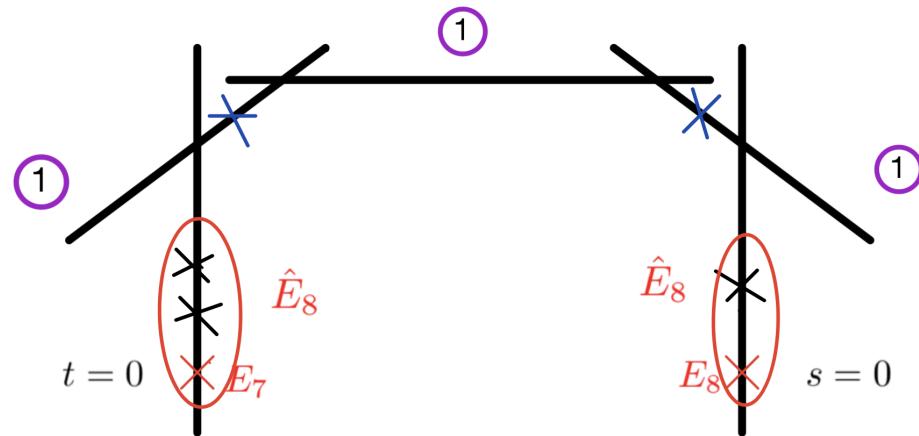
- $Y^0$  and  $Y^4$  are  $dP_9$ ,  $Y^1, Y^2, Y^3 \simeq T^2 \times \mathbb{P}^1$ ,  
 $Y^i \cap Y^{i+1} = T^2$
- $Y^0$  and  $Y^4$  contain those branes which coalesce in inf. distance limit  
 $\implies \hat{E}_9 \times \hat{E}_9$
- Each  $\hat{E}_9$  gives two towers, and towers from  $Y^0$  and  $Y^4$  are isomorphic  
 $\implies$  decompactification  $8d \rightarrow 10d$

# $E_7 \times E_8$ Weierstrass model

Example :  $4a^3 + 27b^2 \sim u^k$ ,  $c \sim u^4$ ,  $d \sim u^4$

$k = 1$ , otherwise generic:

Kulikov Type III



- $Y^0, Y^4$ :  $\text{dP}_9$ ,  $Y^1, Y^2, Y^3$ : rational fibration over  $\mathbb{P}^1$ ,  
 $Y^i \cap Y^{i+1} = \mathbb{P}^1$
- From  $Y^0, Y^4$ :  $\hat{E}_{7/8} \times \hat{E}_8$  in infinite distance limit
- Interpretation:  
 1 BPS tower from each  $\hat{E}_n$ , both identified  
 $\implies$  decompactification  $8d \rightarrow 9d$  with  $G_{\text{non-ab}}^{9d} = E_7 \times E_8$

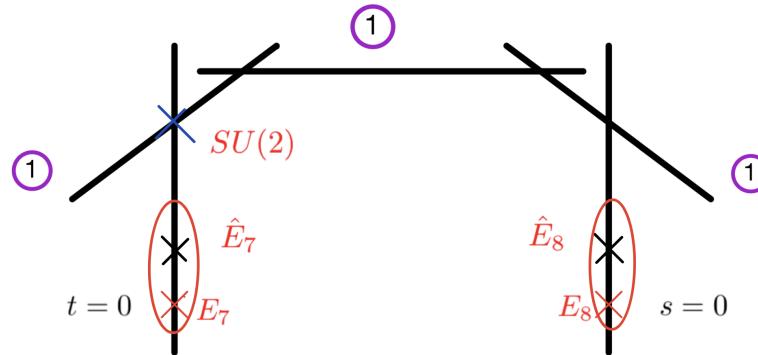
# $E_7 \times E_8$ Weierstrass model

Type III degeneration

$$4a^3 + 27b^2 \sim u$$

Specialisation  $c = -i \frac{\sqrt{3}}{\sqrt{a}} d$

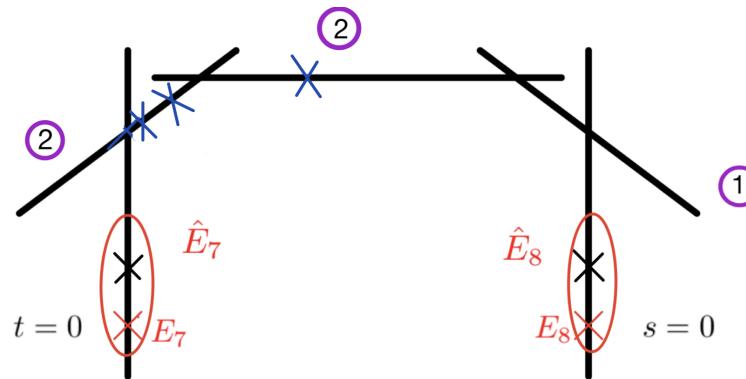
$E_7 \times E_8 \times SU(2)$  in 9d



$$4a^3 + 27b^2 \sim u^2$$

Specialisation  $c = -i \frac{\sqrt{3}}{\sqrt{a}} d$

$E_7 \times E_8$  in 9d



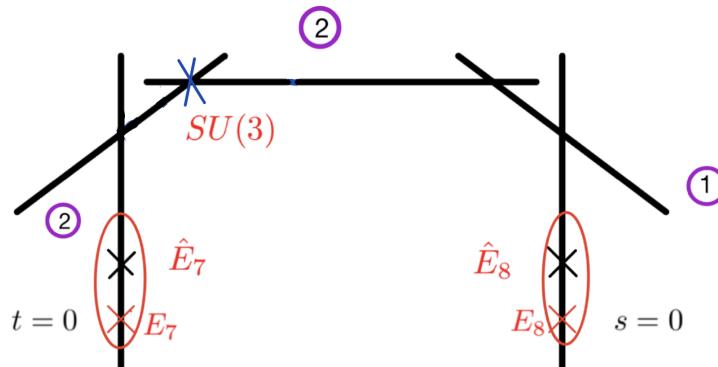
$$4a^3 + 27b^2 \sim u^3$$

Specialisation  $c = -i \frac{\sqrt{3}}{\sqrt{a}} d$

+ 1 more tuning

Type III degeneration

$E_7 \times E_8 \times SU(3)$  in 9d



# Heterotic dual

Match with dual heterotic on  $T^2$  cf. [Malmendier,Morrison'14] [Jockers,Gu'15]

[Klemm, Poretschkin,Schimannek,Raum'15]

Map to Siegel modular forms

[Font,Garcia-E.,Lüst,Massai,Mayrhofer'16]

$$a = -\frac{\psi_4(\underline{\tau})}{48}, \quad b = -\frac{\psi_6(\underline{\tau})}{864}, \quad c = -4\chi_{10}(\underline{\tau}), \quad d = \chi_{12}(\underline{\tau}), \quad e = 1.$$

$$\underline{\tau} = \begin{pmatrix} \tau & z \\ z & \rho \end{pmatrix} \quad \begin{array}{l} \text{$\tau$: compl. struct.} \\ \text{$\rho$: Kähler mod.} \end{array}, \quad z: \text{Wilson line}$$

$$c \sim \chi_{10} \sim q_\tau q_\rho (-2 + \xi + \frac{1}{\xi}) + \dots \sim u^4 \rightarrow 0$$

$$d \sim \chi_{12} \sim q_\tau q_\rho (10 + \xi + \frac{1}{\xi}) + \dots \sim u^4 \rightarrow 0$$

$$4a^3 + 27b^2 \sim (\psi_4^3 - \psi_6^2) \sim q_\tau + q_\rho + \dots \sim u^k$$

$$q_\tau = e^{2\pi i \tau}, \quad q_\rho = e^{2\pi i \rho}, \quad \xi = e^{2\pi i z}$$

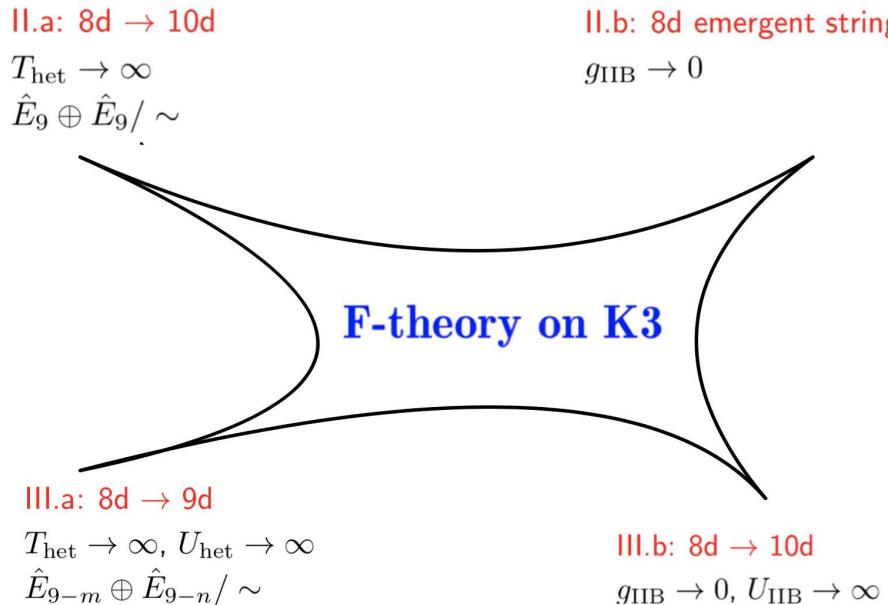
$k = 0$  (Type II):  $\rho \rightarrow i\infty, \tau$  finite:  $\longrightarrow$  10d limit ✓

$k > 0$  (Type III):  $\rho \rightarrow i\infty, \tau \rightarrow i\infty, \tau/\rho = \mathcal{O}(1)$ :  $\longrightarrow$  9d limit ✓

# Conclusions

Mathematics and physics of CS infinite distance limits for K3 surfaces

Refinement of Kulikov classification in agreement with physics:



- ✓ In agreement with idea of Emergent String Conjecture
- ✓ Reproduces classification of maximal 9d non-ab. gauge symmetries

[Cachazo,Vafa'00] [Font,Fraiman,Grana,Nunez,Freitas'20]

Next steps: Extension of this reasoning to CY<sub>3</sub> and CY<sub>4</sub>