OFF-SHELL CONIFOLD POTENTIALS

in progress with L. Randall

Severin Lüst

Harvard University

Geometry, Strings and the Swampland Schloss Ringberg, November 10, 2021

de Sitter vacua

→ challenge for String Phenomenology

- notoriously difficult to construct (contrary to $\Lambda \leq 0$)
- constrained by various no-go theorems, require stringy ingredients (O-planes, D-branes)
- conjectured not to exist at all (de Sitter conjecture)
 [Obied et al. '18, Ooguri et al. '18]

KKLT DE SITTER VACUA

Three step procedure [KKLT '03]

- warped IIB with CS-moduli stabilized by three-form fluxes

 + region with strong warping [GKP]
 described by Klebanov-Strassler throat [Klebanov, Strassler '00]
 → large hierarchy of scales
- 2. Stabilize Kähler moduli by non-perturbative effets
 → supersymmetric AdS-vacuum
- 3. Supersymmetry breaking by an anti-D3-brane at the bottom of the throat
 - \rightarrow exp. suppressed uplift to dS due to strong warping

CHALLENGES OF KKLT

► Bottom-up (EFT) construction with top-down ingredients!

- general scenario, concrete realization more difficult (flux landscape, tadpole problem, ...)
- interaction between ingredients not always well understood

Here: back-reaction of anti-brane on complex structure stabilization

fluxes (*M*, *K*) along the three-cycling of the deformed conifold generate a potential for the CS modulus S: [Douglas, Shelton, Torroba, '07, '08]





addition of an anti-brane: contributes to the potential: $V_{\overline{D3}} \sim 2e^{4A}T_{D3} \sim \frac{|S|^{4/3}}{g_s(\alpha' M)^2}$ combined potential: V(S) $\sqrt{g_s}$ M = 5 $\overline{D3}$ $\sqrt{g_s} M = 7$ $\sqrt{g_s}$ M = 12 ▶ runaway to infinite throat ($S \rightarrow \infty = KT$ -solution) unless:

THE WARPED CONIFOLD POTENTIAL

Potentials from fluxes:

Moduli of Calabi-Yau compactifications:

- complex structure (volumes of three-cycles)
- Kähler (volumes of two/four-cycles)
- ► magnetic flux on a cycle:

$$\int_C F \in \mathbb{Z}_{\neq 0} \qquad \xrightarrow{\rightarrow} \text{stabilize the corresponding} \\ \text{modulus}$$

► IIB: three-form fluxes $G_3 \rightarrow$ stabilize complex structure

$$V \sim \int_{CY} e^{4A} G_3 \wedge (\star \overline{G}_3 + i \overline{G}_3)$$
[Shiu, Torroba, Underwood, Douglas '08]

.

► Flux potential as a (no-scale) F-term potential:

$$V \sim \int_{CY} e^{4A} G_3 \wedge (\star \overline{G}_3 + i \overline{G}_3) \sim e^K G^{I \overline{J}} D_I W \overline{D}_{\overline{J}} \overline{W}$$

► Expand G_3 in terms of harmonic forms:

$$W = \int_{CY} G_3 \wedge \Omega \qquad \qquad K = -\log \int_{CY} \Omega \wedge \overline{\Omega}$$

[Gukov, Vafa, Witten '99]

► Introduction of a non-trivial warp factor $(ds_4^2 \rightarrow e^{2A}ds_4^2)$

W: unchanged (holomorphic)

$$K = -\log \int_{CY} e^{-4A} \Omega \wedge \overline{\Omega} \qquad \text{[DeWolfe, Giddings '02]}$$

> deformed conifold:

$$\sum_{i=1}^{4} z_i^2 = S$$

 \rightarrow S = complex structure modulus

► Superpotential:

$$W \sim \int G_3 \wedge \Omega = \frac{M}{2\pi i} S\left(\log \frac{\Lambda_0^3}{S} + 1\right) + \frac{i}{g_s} KS$$

Kähler potential requires knowledge of warp factor: Klebanov-Strassler solution:

$$e^{-4A(\tau)} \sim \frac{g_s(\alpha' M)^2}{|S|^{\frac{4}{3}}} I(\tau)$$

 S^3

 $S \sim vol(S^3)$

► Kähler metric:

[Douglas, Shelton, Torroba, '07, '08]

.

$$G_{S\bar{S}} = \partial_S \partial_{\bar{S}} K \sim \int e^{-4A} \chi_S \wedge \overline{\chi}_{\bar{S}} \approx e^{-4A(\tau=0)} \sim \frac{g_s(\alpha' M)^2}{|S|^{\frac{4}{3}}}$$

EFFECTIVE POTENTIALS FORM A 5D TRUNCATION

[Papadopoulos, Tseytlin '00], [Berg, Haack, Mück '05, '06]

instead of 10D IIB supergravity consider 5D "fake" supergravity:

$$S = \int d^5 x \sqrt{g} \left[-\frac{1}{4}R + \frac{1}{2}G_{ab}\partial_A\phi^a\partial^A\phi^b + V(\phi) \right]$$

with superpotential: $V(\phi) = \frac{1}{2}G^{ab}W_aW_b - \frac{4}{3}W^2$

► allows for "domain wall" solutions:

$$ds^{2} = e^{2A(\tau)} \eta_{\mu\nu} dx^{\mu} dx^{\nu} + e^{2f(\tau)} d\tau^{2} \qquad \dot{A} = -\frac{2}{3} e^{f} W$$
$$\phi^{a} = \phi^{a}(\tau) \qquad \dot{\phi}^{a} = e^{f} G^{ab} W_{b}$$

► related to Klebanov-Strassler geometry as a consistent truncation exploiting $SU(2) \times SU(2) \times \mathbb{Z}_2$ symmetry

► introduce dependence on the 4D coordinates according to

$$\phi^{\alpha}(\tau) \to \phi^{\alpha} \left[\tau, u^{I}(x^{\mu})\right]$$
$$ds^{2} \to e^{2A\left(\tau, u^{I}(x)\right)} g_{\mu\nu}(x) dx^{\mu} dx^{\nu} + \left[e^{f\left(\tau, u^{I}(x)\right)} d\tau + K_{\mu}(\tau, x) dx^{\mu}\right]^{2}$$

► inserting in the 5D action gives: $u^{I}(x^{\mu})$: 4D fields (assuming a suitable UV cutoff)

$$S = \frac{1}{2} \int d^{4}x \sqrt{g^{(4)}} \int d\tau \left[e^{2A+f} \left(-\frac{1}{2} R^{(4)} + \partial_{i} u^{I} \partial^{i} u^{J} \left(3 \partial_{I} A \partial_{J} A + 3 \partial_{I} A \partial_{J} f - g_{ab} \partial_{I} \phi^{a} \partial_{J} \phi^{b} \right) \right) + e^{4A+f} \left(6(D_{\tau}A)^{2} - g_{ab} D_{\tau} \phi^{a} D_{\tau} \phi^{b} - 2V(\phi) \right)$$
effective 4D potential?!

Need to impose 4D Einstein equations as a constraint:

$$R^{(4)} - 6 \Box u^{I} D_{I} A - 2\partial_{\mu} u^{I} \partial^{\mu} u^{J} \Big[3D_{I} D_{J} A + 3D_{I} A D_{J} A + g_{ab} D_{I} \phi^{a} D_{J} \phi^{b} \Big] -2e^{2A} \left[6(D_{\tau} A)^{2} - g_{ab} D_{\tau} \phi^{a} D_{\tau} \phi^{b} + 2V(\phi) \right] = 0$$

Puzzle: warp factor A depends on 4D momenta and curvature! But: A needed for computation of potential?!

► Potential: $V = -\mathscr{L}\Big|_{\partial_{\mu}u=0}$

 \rightarrow solve constraint at $R^{(4)} = C$, $\partial_{\mu}u = \nabla^i \partial_i u = 0$

[Douglas '09]

Constraint on the warp factor (at vanishing 4D momenta):

$$0 = \frac{\delta S}{\delta A} = 3D_{\tau}^2 A + 6(D_{\tau}A)^2 + g_{ab}D_{\tau}\phi^a D_{\tau}\phi^b + 2V(\phi) - 3e^{-2A}C$$

Normalization: Constant 4D Planck mass: (can also be seen from 5D eom)

$$0 = \delta G_N = \frac{V_W}{M_5^3} = \frac{1}{M_5^3} \int d\tau \, e^{2A + f}$$

Re-insert into action: Resulting potential:

$$V = \frac{V_W}{M_5^3}C$$

APPLICATION TO DEFORMED CONIFOLD

- Consider a GKP like setup with strongly warped region
- Strongly warped region: Klebanov-Strassler: space-time independent solution of fake SUGRA:

 $\phi^a_{KS}(\tau), \quad A_{KS}(\tau)$

consider a one-parameter family of field configurations

 $\phi^a(\tau, u)$

such that in the UV: $\phi^a(\tau \to \tau_{UV}, u) = \phi^a_{KS}(\tau \to \tau_{UV})$

► use the constraint to determine $A(\tau, u)$

 \rightarrow potential V(u)

 $\phi^a(\tau, u)$

 $\phi^a_{KS}(\tau)$

> Deformed conifold in \mathbb{C}^4 :

 $\sum_{i=1}^{4} z_i^2 = S$

S = complex structure modulus



metric on the deformed conifold:

 $ds_{DC}^{2} = \frac{S^{2/3}}{2} K(\tau) \left[\frac{1}{3K^{3}(\tau)} \left[d\tau^{2} + (g^{5})^{2} \right] + \cosh^{2} \left(\frac{\tau}{2} \right) \left[(g^{3})^{2} + (g^{4})^{2} \right] + \sinh^{2} \left(\frac{\tau}{2} \right) \left[(g^{1})^{2} + (g^{2})^{2} \right] \right]$ complex structure just a conformal factor?!

Answer: did not fix gauge (coordinates) yet!

► First: understand gauge fixing without warping:

$$ds_{10}^2 = ds_4^2 + ds_{DC}^2$$

► Gauge fixing of Calabi-Yau deformations:

$$g_{ij}
ightarrow g_{ij} + \delta g_{ij}$$
 [Candelas, de la Ossa '91]

$$\Rightarrow \qquad g^{ij}\delta g_{ij} = 0 \qquad \nabla^i \delta g_{ij} = 0$$
(traceless) (harmonic)

(will get modified in the presence of warping!)Deformed conifold:

$$\delta g_{ij} = \partial_S g_{ij} \sim \frac{1}{S} g_{ij}$$

[Giddings, Maharana '05], [Shiu et al. '08], [Douglas, Torroba '08]

harmonic but not traceless!

Add compensating diffeomorphism:

$$\delta g_{ij} = \partial_S g_{ij} + 2 \nabla_{(i} \eta_{j)}$$

Ansatz:

Solution:

$$\eta = \left(\eta^{\tau}(\tau), 0, 0, 0, 0, 0\right) \qquad \qquad \eta^{\tau}(\tau) = -\frac{1}{2S} \frac{\sinh(2\tau) - 2\tau}{\sinh^2 \tau}$$

► Interpretation:

Replace τ with "new" *S*-dependent radial variable: $\tau \to T(\tau, S)$

Analytic solution:

$$\frac{dT}{dS} = \eta^{\tau} \left(T(\tau, S), S \right) \longrightarrow T(\tau, S) = F \left[F^{-1}(\tau) - \frac{1}{4} \log \frac{S}{S_0} \right]$$

with $F(x) = \frac{1}{2} \log \left[\sinh(2x) - 2x \right]$

► The radial coordinate as a function of *S*:



- ► UV behavior $(\tau \to \infty)$: $T(\tau, S) \to \tau \log S/S_0$
- ► Compare with UV expansion of the metric:

$$ds_{DC}^{2}(\tau \to \infty) \to S^{\frac{2}{3}}e^{2T/3}\left(\frac{1}{9}dT^{2} + \frac{1}{6}ds_{T^{1,1}}^{2}\right) = S_{0}^{2}e^{2\tau/3}\left(\frac{1}{9}d\tau^{2} + \frac{1}{6}ds_{T^{1,1}}^{2}\right)$$

Deformation acts only in the IR!

A POTENTIAL FOR THE WARPED DEFORMED CONIFOLD

A POTENTIAL FOR THE WARPED DEFORMED CONIFOLD

- In the SU(2) × SU(2) × Z₂ truncation:
 Choose a one-parameter family of fields φ^a(τ, S) such that
 - metric: deformed conifold up to diffeomorphism $T(\tau, S)$

.

• fluxes + dilaton: given by KS solution (unchanged)

• Impose
$$V_W = \int d\tau e^{2A+f} = \text{const}$$

 \rightarrow fixes $A(\tau, S)$ in terms of $T(\tau, S)$

► use the constraint to determine $T(\tau, S)$ and hence V(S)

A POTENTIAL FOR THE WARPED DEFORMED CONIFOLD

Numerical evaluation of the constraint yields the following warp factor: $e^{-4A(\tau)}$



► In the IR:

(on-shell) KS warp factor:

$$e^{-4A(\tau=0)} \sim \frac{1}{S}$$

$$e^{-4A(\tau=0)} \sim \frac{1}{S^{4/3}}$$

A POTENTIAL FOR THE WARPED DEFORMED CONIFOLD

► Resulting potential:



\rightarrow no antibrane instability?!

Caveat: Does not take into account possible deformations of G_3 and ϕ .

CONCLUSIONS

That's it, that's all I wanted to say. But before I can wish you a magnificent day, A few more thoughts I have to convey:

> We used a potential But it's not evidential:

Did we do it correctly? Have we been to directly? Did we use all the fields? Do we know what G_3 maybe yields?

> We found a potential And it's very essential:

We must not forget constraints are a threat for systems with warping we can't not enforce them!

So let me tell you endmost: My geometry looks maybe a bit like the Ringberg castle's ghost...



THANK YOU!