

# Closed string disk amplitudes in the pure spinor formalism

Michael Haack (ASC Munich, LMU)

*"Strings, Geometry and the Swampland"*  
Ringberg Castle, Nov. 10, 2021

2011.10392 (with Andreas Bischof)

ARNOLD SOMMERFELD  
CENTER FOR THEORETICAL PHYSICS



# Overview

- Motivation
- Review of pure spinor formalism
- Adaption to purely closed string disk amplitudes
- Application to 2- and 1-point functions

# Why disk amplitudes?

- First quantum correction to sphere level
- Higher derivative corrections to DBI action?

E.g.  $\sim e^{-\Phi} \epsilon_{10} \epsilon_{10} R^4$

leads to correction  
to 4D EH-term

[Antoniadis, Ferrara,  
Minasian, Narain (1997)]

- Heterotic / Type I duality seems to predict such a term  
[Green, Rudra (2016)]

- Type II:

$$(\zeta(3)e^{-2\Phi} + \frac{\pi^2}{6})t_8 t_8 R^4 - (\zeta(3)e^{-2\Phi} \pm \frac{\pi^2}{6})\epsilon_{10}\epsilon_{10} R^4$$

leads to correction to 4D  
kinetic terms of scalars

IIB (inherited by I)  
leads to correction  
to 4D EH-term [Antoniadis, Ferrara,  
Minasian, Narain (1997)]

- Heterotic:

$$(\zeta(3)e^{-2\Phi} + \frac{\pi^2}{6})t_8 t_8 R^4 - \zeta(3)e^{-2\Phi}\epsilon_{10}\epsilon_{10} R^4$$

- How is this compatible with heterotic / type I duality?

$$g_{\mu\nu}^{(I)} = e^{-\Phi_{het}} g_{\mu\nu}^{(het)} \quad , \quad \Phi_I = -\Phi_{het}$$

- Possible answer:  $S^{(het)}$  in 10D contains  
[Green, Rudra (2016)]

$$\underbrace{\sqrt{g^{(het)}} e^{-\Phi_{het}/2} J_0 E_{3/2}(e^{-\Phi_{het}}) - \sqrt{g^{(het)}} \frac{\pi^2}{6} \mathcal{I}_2}_{\rightarrow \sqrt{g^{(I)}} e^{-\Phi_I/2} J_0 E_{3/2}(e^{-\Phi_I})} \quad \text{Disk level!}$$

★  $J_0 = t_8 t_8 R^4 - \frac{1}{8} \epsilon_{10} \epsilon_{10} R^4$

[de Roo, Suelmann, Wiedemann (1993)]

★  $\mathcal{I}_2 = -\frac{1}{8} \epsilon_{10} \epsilon_{10} R^4 + \dots$

★  $E_{3/2} = \zeta(3) e^{-3/2 \Phi_{het}} + \frac{\pi^2}{6} e^{\Phi_{het}/2} + \text{non-pert.}$

S-duality invariant

- Origin: Disk and/or projective plane
- Direct check requires 5-point graviton amplitude!
- Alternatively: Check for EH-term correction from disk / projective plane in type I on Calabi-Yau  $Y_3$   
 $\sim e^{-\Phi} \chi_{Y_3} R$
- How can disk / projective plane amplitude of gravitons depend on Euler number  $\chi_{Y_3}$  of Calabi-Yau  $Y_3$  ?

# Pure spinor formalism

[Berkovits (2000)]

- Manifestly super-Poincare covariant
- Loop amplitudes calculable via non-minimal formulation
- Equivalence to RNS formalism shown in many examples (mainly amplitudes of massless states; for examples with massive states cf.

[Chakrabarti, Kashyap, Verma (2018) ]

- Can lead to simplified calculations of amplitudes
  - ★ Complete quartic effective action of type II at tree level [Policastro, Tsimpis (2006)]
  - ★ Closed string 4-point 3-loop amplitude in type II at low energy [Gomez, Mafra (2013)]
  - ★ Arbitrary  $n$ -point amplitude of massless open strings on the disk [Mafra, Schlotterer, Stieberger (2011)]

# CFT

- Type IIB-action for 10D flat space-time:

$$S = \frac{1}{2\pi} \int d^2z \left( \frac{1}{2} \partial X^m \bar{\partial} X_m + p_\alpha \bar{\partial} \theta^\alpha + \bar{p}_\alpha \partial \bar{\theta}^\alpha + w_\alpha \bar{\partial} \lambda^\alpha + \bar{w}_\alpha \partial \bar{\lambda}^\alpha \right)$$

$(\lambda \gamma^m \lambda) = 0$

i.e.  $\lambda$  pure spinor

- $\lambda^\alpha, w_\alpha$  commuting

- $h(\theta^\alpha) = h(\lambda^\alpha) = 0, h(p_\alpha) = h(w_\alpha) = 1$

- Supersymmetric fields (relevant for vertex operators):

$$\Pi^m = \partial X^m + \frac{1}{2}(\theta \gamma^m \partial \theta) ,$$

$$d_\alpha = p_\alpha - \frac{1}{2} \left( \partial X^m + \frac{1}{4}(\theta \gamma^m \partial \theta) \right) (\gamma_m \theta)_\alpha$$

(antiholomorphic analogs)

- OPEs (needed to perform contractions):

$$X^m(z, \bar{z}) X^n(w, \bar{w}) = -\eta^{mn} \ln |z-w|^2$$

$$p_\alpha(z) \theta^\beta(w) = \frac{\delta_\alpha^\beta}{z-w} \quad , \quad w_\alpha(z) \lambda^\beta(w) = -\frac{\delta_\alpha^\beta}{z-w}$$

- Nilpotent BRST operator:

$$Q = \oint \frac{dz}{2\pi i} \lambda^\alpha(z) d_\alpha(z)$$

$$(\lambda \gamma^m \lambda) = 0 \quad \implies \quad Q^2 = 0$$

$$d_\alpha(z) d_\beta(w) = -\frac{\gamma_{\alpha\beta}^m \Pi_m(w)}{z-w}$$

- Cohomology of  $Q$  coincides with superstring spectrum

[Berkovits (2000)]

# Massless vertex operators

- Open string

- ★ Unintegrated

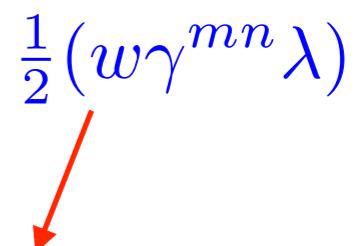
$$V^{(0)}(z) = [\lambda^\alpha A_\alpha(X, \theta)](z)$$

- ★ Integrated

$$V^{(1)}(z) = [\partial\theta^\alpha A_\alpha(X, \theta) + \Pi^m A_m(X, \theta) + d_\alpha W^\alpha(X, \theta) + \frac{1}{2} N^{mn} \mathcal{F}_{mn}(X, \theta)](z)$$

$$\star QV^{(0)} = 0 \quad , \quad QV^{(1)} = \partial V^{(0)} \quad (\text{cf. RNS})$$

$\frac{1}{2}(w\gamma^{mn}\lambda)$



★ E.g.: Gauge field with polarisation  $\xi_m$ :

$$A_\alpha(X, \theta) = e^{ik \cdot X} \left\{ \frac{\xi_m}{2} (\gamma^m \theta)_\alpha - \frac{1}{16} (\gamma_p \theta)_\alpha (\theta \gamma^{mnp} \theta) ik_{[m} \xi_{n]} + \mathcal{O}(\theta^5) \right\}$$

$$A_m(X, \theta) = e^{ik \cdot X} \left\{ \xi_m - \frac{1}{4} ik_p (\theta \gamma_m{}^{pq} \theta) \xi_q + \mathcal{O}(\theta^4) \right\}$$

$$W^\alpha(X, \theta) = e^{ik \cdot X} \left\{ -\frac{1}{2} ik_{[m} \xi_{n]} (\gamma^{mn} \theta)^\alpha + \mathcal{O}(\theta^3) \right\}$$

$$\mathcal{F}_{mn}(X, \theta) = e^{ik \cdot X} \left\{ 2ik_{[m} \xi_{n]} - \frac{1}{2} ik_{[p} \xi_{q]} ik_{[m} (\theta \gamma_n{}^{pq} \theta) + \mathcal{O}(\theta^4) \right\}$$

- **Closed string ( $G_{mn}, B_{mn}, \Phi$ , i.e. NS-NS-fields)**

★  $\epsilon_{mn} = \xi_m \otimes \bar{\xi}_n$

★  $V^{(a,b)}(z, \bar{z}) = V^{(a)}(z) \otimes \bar{V}^{(b)}(\bar{z}) , \quad a, b \in \{0, 1\}$

★  $V^{(a,b)}$  contains factor

$$e^{ik \cdot X(z)} e^{ik \cdot \bar{X}(\bar{z})} = e^{ik \cdot [X(z) + \bar{X}(\bar{z})]} = e^{ik \cdot X(z, \bar{z})}$$

# Tree level correlators

- After fixing conformal Killing group:

$$\mathcal{A}_{S^2}^{\text{closed}}(1, 2, \dots, n) = \left\langle V_1^{(0,0)}(z_1, \bar{z}_1) \prod_{i=2}^{n-2} \int d^2 z_i V_i^{(1,1)}(z_i, \bar{z}_i) V_{n-1}^{(0,0)}(z_{n-1}, \bar{z}_{n-1}) V_n^{(0,0)}(z_n, \bar{z}_n) \right\rangle$$

$$\mathcal{A}_{D_2}^{\text{open}}(1, 2, \dots, n) = \left\langle V_1^{(0)}(z_1) \prod_{i=2}^{n-2} \int_{z_{i-1}}^{z_{n-1}} dz_i V_i^{(1)}(z_i) V_{n-1}^{(0)}(z_{n-1}) V_n^{(0)}(z_n) \right\rangle$$

← take this  
as example

- Integrate out non-zero modes via Wick's theorem, using

$$\langle X^m(z) X^n(w) \rangle = -\eta^{mn} \ln(z-w)$$

$$\langle p_\alpha(z) \theta^\beta(w) \rangle = \frac{\delta_\alpha^\beta}{z-w} \quad , \quad \langle w_\alpha(z) \lambda^\beta(w) \rangle = -\frac{\delta_\alpha^\beta}{z-w}$$

- Tree level: only  $h = 0$  fields  $X^m, \theta^\alpha, \lambda^\alpha$  have zero modes
  - $\Rightarrow$  All  $h = 1$  fields  $\partial\theta^\alpha, \Pi^m, d_\alpha, N^{mn}$  have to be integrated out via Wick's theorem
  - $\Rightarrow$  After Wick contractions and integrating out  $X^m$  zero modes, one ends up with:

$$\left\langle V_1^{(0)}(z_1) \prod_{i=2}^{n-2} V_i^{(1)}(z_i) V_{n-1}^{(0)}(z_{n-1}) V_n^{(0)}(z_n) \right\rangle = \delta(\sum_{i=1}^n k_i) \langle \lambda^\alpha \lambda^\beta \lambda^\gamma f_{\alpha\beta\gamma}(\theta; z_i) \rangle_0$$

zero mode integration of  $X^m$ 
zero mode integration of  $\theta^\alpha, \lambda^\alpha$

- Zero mode prescription:

$$\underbrace{\langle (\lambda \gamma^m \theta)(\lambda \gamma^n \theta)(\lambda \gamma^p \theta)(\theta \gamma_{mnp} \theta) \rangle_0}_{} = 1$$

unique element of  $Q$ -cohomology  
with 3 factors of  $\lambda$

- Projects out coefficients of  $\lambda^3 \theta^5$ -terms of

$$\lambda^\alpha \lambda^\beta \lambda^\gamma f_{\alpha\beta\gamma}(\theta; z_i)$$

- Need to integrate over  $z_i$ ,  $i = 2, \dots, n - 2$

# Closed string disk amplitudes

[Bischof, M.H.]

- Earlier results on 3-pt fct of 1 closed and 2 open states in type I  
[Alencar (2009); Alencar, Tahim, Landim, Costa Filho (2011)]
- Type IIB with Dp-brane along  $X^1, \dots, X^p$
- $V^{(a,b)}(z, \bar{z}) = V^{(a)}(z) \bar{V}^{(b)}(\bar{z}) \quad , \quad z \in \mathbb{H}_+$
- Employ doubling trick:  $\bar{X}^m(\bar{z}) = D^m{}_n X^n(\bar{z})$

$$D^{mn} = \begin{cases} \eta^{mn} & m, n \in \{0, 1, \dots, p\} \\ -\eta^{mn} & m, n \in \{p+1, \dots, 9\} \\ 0 & \text{otherwise} \end{cases}$$

- **Doubling trick for spinors**

[Garousi, Myers; Hashimoto, Klebanov;  
Gubser, Hashimoto, Klebanov, Maldacena  
(1996)]

$$\overline{\Psi}^\alpha(\bar{z}) = M^\alpha{}_\beta \Psi^\beta(\bar{z}) \quad , \quad \overline{\Psi}_\alpha(\bar{z}) = N_\alpha{}^\beta \Psi_\beta(\bar{z})$$

- Relations between  $D, M, N$  (e.g.  $N = (M^T)^{-1}$ ) allow rewriting:

$$\overline{V}^{(0)}(\bar{z}) = \left( \overline{\lambda}^\alpha \overline{A}_\alpha[\bar{\xi}, k](\bar{X}, \bar{\theta}) \right)(\bar{z}) = \left( \lambda^\alpha A_\alpha[D \cdot \bar{\xi}, D \cdot k](X, \theta) \right)(\bar{z})$$

$$\begin{aligned} \overline{V}^{(1)}(\bar{z}) = & \left( \overline{\partial} \theta^\alpha A_\alpha[D \cdot \bar{\xi}, D \cdot k](X, \theta) + \Pi^m A_m[D \cdot \bar{\xi}, D \cdot k](X, \theta) \right. \\ & \left. + d_\alpha W^\alpha[D \cdot \bar{\xi}, D \cdot k](X, \theta) + \frac{1}{2} N^{mn} \mathcal{F}_{mn}[D \cdot \bar{\xi}, D \cdot k](X, \theta) \right)(\bar{z}) \end{aligned}$$

⇒ Can use same contractions as above, but  
allow both  $z$  and  $\bar{z}$

- Conformal Killing group  $PSL(2, \mathbb{R})$  only allows to fix one and a half closed string vertex operators

$$\implies \mathcal{A}_{D_2}^{\text{closed}}(1, \dots, n) =$$

following [Hoogeveen, Skenderis (2007)]

$$= 2ig_c^n \tau_p \int_0^1 dy \left\langle V_1^{(0)}(iy) \bar{V}_1^{(1)}(-iy) \prod_{j=2}^{n-1} \int_{\mathbb{H}_+} d^2 z_j V_j^{(1,1)}(z_j, \bar{z}_j) V_n^{(0)}(i) \bar{V}_n^{(0)}(-i) \right\rangle$$

D-brane  
tension



cf. also [Grassi, Tamassia (2004); Alencar, Tahim, Landim, Costa Filho (2011)]

# 2-pt fct

$$\mathcal{A}_{D_2}^{\text{closed}}(1,2) = 2ig_c^2 \tau_p \int_0^1 dy \left\langle V_1^{(0)}(iy) \bar{V}_1^{(1)}(-iy) V_2^{(0)}(i) \bar{V}_2^{(0)}(-i) \right\rangle$$

$$= 2ig_c^2 \tau_p \int_0^1 dy \left\langle (\lambda A_1[\xi_1, k_1])(iy) \left( \bar{\partial} \theta^\alpha A_{1\alpha}[D \cdot \bar{\xi}_1, D \cdot k_1] + \Pi^m A_{1m}[D \cdot \bar{\xi}_1, D \cdot k_1] \right. \right. \\ \left. \left. + d_\alpha W_1^\alpha[D \cdot \bar{\xi}_1, D \cdot k_1] + \frac{1}{2} N^{mn} \mathcal{F}_{1mn}[D \cdot \bar{\xi}_1, D \cdot k_1] \right) (-iy) (\lambda A_2[\xi_2, k_2])(i) (\lambda A_2[D \cdot \bar{\xi}_2, D \cdot k_2])(-i) \right\rangle$$

$$\sim g_c^2 \tau_p \int_0^1 dy \underbrace{\left( \frac{4y}{(1+y)^2} \right)^{k_1 \cdot D \cdot k_1} \left( \frac{(1-y)^2}{(1+y)^2} \right)^{k_1 \cdot k_2}}_{\text{Koba-Nielsen factor}} \left( \frac{d_1}{2y} + \frac{d_2}{1+y} + \frac{d_3}{1-y} \right)$$

kinematic factors

- E.g.

$$d_1 = \langle i(\lambda A_1[\xi_1, k_1])k_1 \cdot A_1[D \cdot \bar{\xi}_1, D \cdot k_1](\lambda A_2[\xi_2, k_2])(\lambda A_2[D \cdot \bar{\xi}_2, D \cdot k_2]) \\ + A_{1m}[\xi_1, k_1](\lambda \gamma^m W_1[D \cdot \bar{\xi}_1, D \cdot k_1])(\lambda A_2[\xi_2, k_2])(\lambda A_2[D \cdot \bar{\xi}_2, D \cdot k_2]) \rangle_0$$

we applied zero mode prescription using *Cadabra* [Peeters]

- $\mathcal{A}_{D_2}^{\text{closed}}(1, 2) \sim g_c^2 \tau_p \frac{\Gamma(-t/2)\Gamma(2q^2)}{\Gamma(1-t/2+2q^2)} \left( \frac{1}{2} k_1 \cdot D \cdot k_1 - 2k_1 \cdot k_2 \right)$

$$a_1 = \text{Tr}(\epsilon_1 \cdot D) k_1 \cdot \epsilon_2 \cdot k_1 - k_1 \cdot \epsilon_2 \cdot D \epsilon_1 \cdot k_2 - k_1 \cdot \epsilon_2 \cdot \epsilon_1^T \cdot D \cdot k_1 \\ - k_1 \cdot \epsilon_2^T \cdot \epsilon_1 \cdot D \cdot k_1 - k_1 \cdot \epsilon_2 \cdot \epsilon_1^T \cdot k_2 + q^2 \text{Tr}(\epsilon_1 \cdot \epsilon_2^T) + \{1 \leftrightarrow 2\}$$

Same as  
RNS

$$a_2 = \text{Tr}(\epsilon_1 \cdot D)(k_2 \cdot D \cdot \epsilon_2 \cdot D \cdot k_2 + k_1 \cdot \epsilon_2 \cdot D \cdot k_2 + k_2 \cdot D \cdot \epsilon_2 \cdot k_1) \\ + k_1 \cdot D \cdot \epsilon_1 \cdot D \cdot \epsilon_2 \cdot D \cdot k_2 - k_2 \cdot D \cdot \epsilon_2 \cdot \epsilon_1^T \cdot D \cdot k_1 + q^2 \text{Tr}(\epsilon_1 \cdot D \cdot \epsilon_2 \cdot D) \\ - q^2 \text{Tr}(\epsilon_1 \cdot \epsilon_2^T) - (q^2 - \frac{t}{4}) \text{Tr}(\epsilon_1 \cdot D) \text{Tr}(\epsilon_2 \cdot D) + \{1 \leftrightarrow 2\}$$

[Hashimoto, Klebanov;  
Garousi, Myers (1996)]

# 1-pt fct

- Earlier work in bosonic theory and RNS [Douglas, Grinstein (1987); Liu, Polchinski (1988); Ohta (1987)]
- Pure spinor: At most 2 factors of  $\lambda^\alpha$  (in  $V^{(0,0)}$ ) !?
- Alternative zero mode prescription (equivalent for higher-point tree amplitudes): [Berkovits (2016)]

$$\langle 1 \rangle_0 = 1$$


corresponds to only alternative scalar element of  $Q$ -cohomology

- $$\bullet \quad \mathcal{A}_{D_2}^{\text{closed}}(1) = g_c \tau_p \int_{\mathbb{H}_+} \frac{d^2 z}{V_{\text{CKG}}} \underbrace{\left\langle V^{(1)}(z, \bar{z}) \right\rangle}_{V^{(1)}(z) \bar{V}^{(1)}(\bar{z})}$$

infinite

$\implies$  Fix position of  $V^{(1)}$  to  $z = i$  and divide by volume of  $K \subset PSL(2, \mathbb{R})$  leaving  $z = i$  invariant

$$K = \left\{ \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \middle| \theta \in [0, 2\pi] \right\}, \quad \frac{\cos \theta \ i + \sin \theta}{-\sin \theta \ i + \cos \theta} = i$$

volume:  $2\pi$

$$\implies \mathcal{A}_{D_2}^{\text{closed}}(1) \sim g_c \tau_p \text{Tr}(\epsilon \cdot D)$$

For graviton this corresponds to linearisation of  $\tau_p \int d^p x \sqrt{-G}$

- Alternative approach [Kashyap (2020)]

# Outlook

- RR-fields & fermions
- Projective plane cf. [Garousi (2006)] for RNS
- Higher  $n$ -points
- Higher derivative corrections to DBI

Thank you!