

RINGBERG CASTLE, 12/11/2021



# SWAMPLAND, STRING DEFECTS AND (BRANE) SUPERSYMMETRY BREAKING

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Based on arXiv:2007.12722  
in collaboration with Bonnefoy, Condeescu, Dudas + ...

*WE LEARN IN BOOKS THAT A THEORY TO BE  
CONSISTENT MUST BE FREE FROM ANOMALIES*

*HOWEVER, THIS IS NOT ENOUGH TO BE IN THE LANDSCAPE*

*HOW TO FURTHER CONSTRAIN IT?*

*KIM, SHIU AND VAFA SUGGESTED TO LOOK AT THE  
CONSISTENCY OF EFFECTIVE THEORY ON DEFECTS*

[Kim, Shiu, Vafa 2019]

*THIS APPROACH IS PARTICULARLY EFFECTIVE IN D=10 AND  
D=6 DIMENSIONS WHERE ANOMALIES ARE VERY RICH*

*(IN D=10 KSV MANAGED TO RULE OUT  $U(1)^{496}$  AND  $E_8 \times U(1)^{248}$ )*

## OUTLINE

The  $\mathcal{N}=(0,1)$  (SUSY) vacuum

String defects and anomaly inflow

A conjecture

(Brane) Supersymmetry Breaking

Reducible anomaly and couplings in the LEEA

# THE $\mathcal{N}=(0,1)$ VACUUM IN D=6

A generic 6d vacuum with minimal supersymmetry  
has four different types of matter

*Gravity multiplet:*  $\{g_{\mu\nu}, C_{\mu\nu}^+; \psi_{\mu\alpha}\}$

*Tensor multiplet:*  $\{\phi, C_{\mu\nu}^-; \tau_{\dot{\alpha}}\}$

*Vector multiplet:*  $\{A_\mu; \lambda_\alpha\}$        $\longrightarrow$      $G = \prod_i G_i$

*Hyper multiplet:*  $\{4\phi; \zeta_{\dot{\alpha}}\}$        $\longrightarrow$      $R_G$

## THE $\mathcal{N}=(0,1)$ VACUUM IN D=6

Absence of irreducible (gravitational) anomaly ( $\text{tr}R^4$ ):

$$273 - 29 n_T + n_V - n_H = 0$$

The reducible anomaly polynomial

$$I_8 = \frac{1}{2} X_4^\alpha \Omega_{\alpha\beta} X_4^\beta \quad SO(1, n_T)$$

$$X_4^\alpha = \frac{1}{2} a^\alpha \text{tr}R^2 + \frac{1}{2} \sum_i \frac{b_i^\alpha}{\lambda_i} \text{tr}F_i^2$$

## THE $\mathcal{N}=(0,1)$ VACUUM IN D=6

The reducible anomaly is then cancelled by the  
*Sagnotti-Green-Schwarz* counter-term

$$S_{\text{GS}} = \int \Omega_{\alpha\beta} C_2^\alpha \wedge X_4^\beta$$

which implies a redefinition of the two-form curvature

$$H_3 = dC_2 + \omega_3$$

Supersymmetry relates this term to other couplings in the action

$$S \supset \int \sum_i J(\phi) \cdot b_i \text{tr}(F_i^2)$$

$$A \cdot B = \Omega_{\alpha\beta} A^\alpha B^\beta$$

$$J \cdot J > 0, \quad J \cdot b_i > 0, \quad J \cdot a < 0$$

## STRING DEFECTS AND ANOMALY INFLOW

One can (should) consider one-dimensional defects in these theories.

If BPS they preserve four supercharges  
and have  $\mathcal{N}=(0,4)$  supersymmetry on the two-dimensional world sheet

KSV derived consistency conditions for the  
consistency of the 2D/6D system

[Kim, Shiu, Vafa 2019]

## STRING DEFECTS AND ANOMALY INFLOW

$$S_{D1} \supset -Q^\alpha \Omega_{\alpha\beta} \int C_2^\beta$$

and from the anomalous transformation of  $C_2$  so that the d.o.f. on the defect must cancel the anomaly inflow

$$\begin{aligned} I_4 &= \Omega_{\alpha\beta} Q^\alpha \left( X_4^\beta + \frac{1}{2} Q^\beta \chi(N) \right) \\ &= \frac{1}{2} Q \cdot a \text{tr} R^2 + \frac{1}{2} \frac{Q \cdot b_i}{\lambda_i} \text{tr} F_i^2 + \frac{1}{2} Q \cdot Q \chi(N) \end{aligned}$$

## STRING DEFECTS AND ANOMALY INFLOW

From the anomaly inflow we can extract the CFT data

$$c_L - c_R = 6Q \cdot a + 2, \quad k_i = Q \cdot b_i$$

If one can (unambiguously) identify a  $SU(2)_R$  symmetry

$$\begin{aligned} c_L &= 3Q \cdot Q - 9Q \cdot a + 2, \\ c_R &= 3Q \cdot Q - 3Q \cdot a. \end{aligned}$$

# KSV CONSISTENCY CONDITIONS

[Kim, Shiu, Vafa 2019]

$$J \cdot J > 0$$

tensor metric  
positivity

$$J \cdot a < 0$$

Gauss-Bonnet  
positivity

$$J \cdot b_i > 0$$

vector metric  
positivity

$$Q \cdot J \geq 0$$

positivity  
D1 tension

$$Q \cdot Q \geq -1$$

positivity of central charges ( $c_R$  and  $k_l$ )

$$Q \cdot Q + Q \cdot a \geq -2$$

$$k_i \geq 0$$

conditions on 6D SUGRA

non-degenerate strings

unitarity       $\sum_i \frac{k_i \dim G_i}{k_i + h_i^\vee} \leq c_L$

## A CONJECTURE

[C.A., Bonnefoy, Condeescu, Dudas 2019]

In all consistent (F-theory or orientifold) constructions we have analysed  
we have always found defects with *null-charge*

$$Q \cdot Q = 0$$

In string compactification this clearly follows from the D1 string existing in 10D

What about really  
non-geometric  
constructions?

***Null-charge defects should exist for any model in the landscape***  
(clearly, for vacua with at least one tensor multiplet)

New conjecture

# A CONJECTURE

[C.A., Bonnefoy, Condeescu, Dudas 2019]

## Example 1

$$n_T = 1, \quad G = \text{SU}(N), \quad \frac{1}{2}N(N+1) + (N-8) \times N$$
$$a = (-3, 1), \quad b = (0, -1), \quad Q = (\pm q, q) \text{ does not satisfy KSV constraints}$$

Previous restrictions  
 $N < 31$  (anomaly)  
 $N < 118$  (KSV)

## Example 2

$$n_T = 1, \quad G = \text{SU}(24) \times \text{SO}(8), \quad 3 \times 276$$
$$a = (-3, 1), \quad b_1 = (1, 0), \quad b_2 = (0, -2), \quad Q = (\pm q, q) \text{ does not satisfy KSV constraints}$$

NO previous  
restrictions

Examples from:

[Kumar, Morrison, Taylor 2010]

*These models are ruled out by the null-charge conjecture*

# BREAKING SUPERSYMMETRY IN THE VACUUM

*Do KSV consistency conditions hold  
when supersymmetry is broken?*

spontaneous breaking

*continuous* deformation of  
supersymmetric models  
(Scherk-Schwarz)

string-scale breaking

*isolated* vacua disconnected  
from supersymmetric ones  
(Brane Supersymmetry  
Breaking — BSB)

# BRANE BREAKING SUPERSYMMETRY IN THE VACUUM

[Sugimoto 1999; Antoniadis, Dudas, Sagnotti 1999]

Supersymmetry is exact in the closed-string sector:  
mutually BPS configurations of Orientifold planes

$O9_+$        $O9_+/O5_-$        $O9_-/O5_+$        $O9_+/O5_+$

*RR tadpole cancellation implies*

Supersymmetry is explicitly broken in the open-string sector:  
non-BPS combinations of D-branes and Orientifold planes

$\overline{D9}$        $\overline{D9}/D5$        $D9/\overline{D5}$        $\overline{D9}/\overline{D5}$

# BRANE BREAKING SUPERSYMMETRY IN THE VACUUM

**closed strings**

$O9_-/O5_+$

$\mathcal{N} = (1,0)$  SUGRA with

$n_T = 17, \quad n_H = 4$

*this model does not admit a supersymmetric version with the same fermionic spectrum*

**open strings**

$D9/\overline{D5}$

$G_{CP} = SO(16)_9 \times SO(16)_9 \times USp(16)_{\bar{5}} \times USp(16)_{\bar{5}}$

$\psi_L \sim (120, 1; 1, 1) + (1, 120; 1, 1) + (1, 1; 120, 1) + (1, 1; 1, 120)$

$\psi_R \sim (16, 16; 1, 1) + (1, 1; 16, 16)$

$4\phi \sim (16, 16; 1, 1) + (1, 1; 16, 16)$

$\psi_L^{SMW} \sim (16, 1; 16, 1) + (1, 16; 1, 16)$

$2\phi \sim (16, 1; 1, 16) + (1, 16; 16, 1)$

# BRANE BREAKING SUPERSYMMETRY IN THE VACUUM

$$\begin{aligned}
I_8 = & \frac{1}{2} \left( \text{tr} R^2 + \frac{1}{2} \text{tr} F_2^2 - \frac{1}{2} \text{tr} G_1^2 - \frac{1}{2} \text{tr} G_2^2 \right)^2 - \frac{1}{2} \left( \text{tr} R^2 - \frac{1}{2} \text{tr} G_2^2 \right)^2 \\
& - \frac{1}{2} \left( \frac{1}{2} \text{tr} R^2 + \frac{1}{4} \text{tr} F_2^2 - \frac{1}{2} \text{tr} G_2^2 \right)^2 - \frac{1}{2} \left( \frac{1}{2} \text{tr} R^2 + \frac{1}{4} \text{tr} F_2^2 - \frac{1}{2} \text{tr} G_1^2 \right)^2 \\
& - \left( \frac{1}{2} \text{tr} R^2 - \frac{1}{4} \text{tr} F_2^2 \right)^2 - \frac{1}{2} \left( \text{tr} R^2 - \frac{1}{4} \text{tr} F_1^2 + \frac{1}{4} \text{tr} F_2^2 - \frac{1}{2} \text{tr} G_1^2 \right)^2 - \frac{3}{2} \left( \frac{1}{4} \text{tr} F_1^2 - \frac{1}{4} \text{tr} F_2^2 \right)^2
\end{aligned}$$

$$\begin{aligned}
a \cdot a = & -8, \quad a \cdot b_i = \begin{pmatrix} 2 \\ 2 \\ 1 \\ 1 \end{pmatrix}, \\
b_i \cdot b_j = & \begin{pmatrix} -4 & 4 & -1 & 0 \\ 4 & -4 & 0 & -1 \\ -1 & 0 & -1 & 1 \\ 0 & -1 & 1 & -1 \end{pmatrix}
\end{aligned}$$

An integral basis

$$a = (2, -2, 0, 0, 0, -1^3, 1, 0, 2, 0^7),$$

$$b_1 = (0, 1^4, 0^{13}),$$

$$b_2 = (2, -1^4, 1, -1, 1^2, 0^9),$$

$$b_3 = (-1, 1, 0^4, 1, 0^{11}),$$

$$b_4 = (-1, 0^7, -1, 0, -1, 0^7)$$

Existence of a Kähler form

$$J \cdot J > 0, \quad J \cdot a < 0, \quad J \cdot b_i > 0$$

$$|J_0| > |J_1|, \quad J_1 < 0, \quad J_0 - J_1 > 0, \quad J_0 + J_1 < 0,$$

**NO SOLUTION**

# BRANE BREAKING SUPERSYMMETRY IN THE VACUUM

D1 branes at the orbifold fixed point with  $G_{\text{CP}} = \text{SO}(r)$

representation	$\text{SO}(1, 1) \times \text{SU}(2)_L \times \text{SU}(2)_R \times \text{SO}(4)$
$\frac{1}{2}r(r-1)$	$(0, 1, 1, 1) + (\frac{1}{2}, 1, 2, 2')_L$
$\frac{1}{2}r(r+1)$	$(1, 2, 2, 1) + (\frac{1}{2}, 2, 1, 2')_R$
$(r; 16, 1; 1, 1)$	$(\frac{1}{2}, 1, 1, 1)_L$
$(r; 1, 1; 16, 1)$	$(\frac{1}{2}, 1, 1, 2')_L$
$(r; 1, 1; 1, 16)$	$(\frac{1}{2}, 1, 1, 2)_R$

$$c_L = 4_{\text{CM}} + 8 + \textcolor{blue}{16_{\overline{\text{D5}}}}, \quad c_R = 6_{\text{CM}} + 0 + \textcolor{blue}{16_{\overline{\text{D5}}}} \quad k_i = Q \cdot b_i = (1, 0, 1, -1)$$

$$\begin{aligned} c_L &= 3Q \cdot Q - 9Q \cdot a + 2, \\ c_R &= 3Q \cdot Q - 3Q \cdot a, \\ Q \cdot Q &= Q \cdot a = -1 \end{aligned}$$

# BRANE BREAKING SUPERSYMMETRY IN THE VACUUM

What does it means that one cannot find  $J$ ?

Re-think the anomaly polynomial

$$I_8 = \frac{1}{64} (\text{tr } F_1^2 + \text{tr } F_2^2 - \text{tr } G_1^2 - \text{tr } G_2^2)^2 - \frac{1}{64} (8 \text{tr } R^2 - \text{tr } F_1^2 - \text{tr } F_2^2 - \text{tr } G_1^2 - \text{tr } G_2^2)^2 \\ - \frac{1}{128} (\text{tr } F_1^2 - \text{tr } F_2^2 + 4 \text{tr } G_1^2 - 4 \text{tr } G_2^2)^2 - \frac{15}{128} (\text{tr } F_1^2 - \text{tr } F_2^2)^2$$

in the *string basis*

$$I_8 \sim ((Q_{O9} + Q_{O5}) \text{tr } R^2 - Q_{D9} \text{tr } F_9^2 - Q_{D5} \text{tr } F_5^2)^2 - ((Q_{O9} - Q_{O5}) \text{tr } R^2 - Q_{D9} \text{tr } F_9^2 + Q_{D5} \text{tr } F_5^2)^2 \\ - \sum_{a \in \{\text{fixed points}\}} ((Q_{O9}^a + Q_{O5}^a) \text{tr } R^2 - Q_{D9}^a \text{tr } F_9^2 - Q_{D5}^a \text{tr } F_5^2)^2$$

reflects the structure of RR tadpoles

# BRANE BREAKING SUPERSYMMETRY IN THE VACUUM

The gauge kinetic terms should not be determined by the RR tadpoles

$$S \supset \int \sum_i J(\phi) \cdot b_i \text{tr}(F_i^2)$$

On the contrary, they are determined by the NS-NS tadpoles

$$\begin{aligned} & ((T_{O9} + T_{O5}) \text{tr}R^2 - T_{D9} \text{tr}F_9^2 - T_{D5} \text{tr}F_5^2)^2 - ((T_{O9} - T_{O5}) \text{tr}R^2 - T_{D9} \text{tr}F_9^2 + T_{D5} \text{tr}F_5^2)^2 \\ & - \sum_{a \in \{\text{fixed points}\}} ((T_{O9}^a + T_{O5}^a) \text{tr}R^2 - T_{D9}^a \text{tr}F_9^2 - T_{D5}^a \text{tr}F_5^2)^2 \end{aligned}$$

$$\begin{aligned} & \rightarrow \frac{1}{64} (\text{tr}F_1^2 + \text{tr}F_2^2 + \text{tr}G_1^2 + \text{tr}G_2^2)^2 - \frac{1}{64} (8 \text{tr}R^2 - \text{tr}F_1^2 - \text{tr}F_2^2 + \text{tr}G_1^2 + \text{tr}G_2^2)^2 \\ & - \frac{1}{128} (\text{tr}F_1^2 - \text{tr}F_2^2 - 4 \text{tr}G_1^2 + 4 \text{tr}G_2^2)^2 - \frac{15}{128} (\text{tr}F_1^2 - \text{tr}F_2^2)^2 \end{aligned}$$

extract  
 $\tilde{a}, \quad \tilde{b}_i$

# BRANE BREAKING SUPERSYMMETRY IN THE VACUUM

Tadpole conditions in BSB:  $\left. \mathrm{SO}(N_1) \times \mathrm{SO}(N_2) \right|_9 \times \left. \mathrm{USp}(D_1) \times \mathrm{USp}(D_2) \right|_{\bar{5}}$

$$V_4 O_4 (O_4 V_4) : \quad (N_1 + N_2 - 32)\sqrt{v} \pm (D_1 + D_2 + 32)\frac{1}{\sqrt{v}} = 0$$

untwisted tadpoles

$$C_4 C_4 (S_4 S_4) : \quad (N_1 + N_2 - 32)\sqrt{v} \mp (D_1 + D_2 - 32)\frac{1}{\sqrt{v}} = 0$$

$C_{\mu\nu}^+$  ↗ ↘  $C_{\mu\nu}^-$

$$O_4 C_4 : \quad (N_1 - N_2) - 4(D_1 - D_2) = 0 \Bigg|_{\mathrm{fp}=1}, \quad (N_1 - N_2) = 0 \Bigg|_{\mathrm{fp}=2, \dots, 16} = 0$$

twisted tadpoles

$$S_4 O_4 : \quad (N_1 - N_2) + 4(D_1 - D_2) = 0 \Bigg|_{\mathrm{fp}=1}, \quad (N_1 - N_2) = 0 \Bigg|_{\mathrm{fp}=2, \dots, 16} = 0$$

↗  $C_{\mu\nu}^-$

## BSB: NEW CONSISTENCY CONDITIONS(?)

$$J \cdot J > 0$$

tensor metric  
positivity

$$J \cdot \tilde{a} < 0$$

Gauss-Bonnet  
positivity

$$J \cdot \tilde{b}_i > 0$$

vector metric  
positivity

$$Q \cdot J \geq 0$$

positivity  
D1 tension

$$Q \cdot Q \geq -1$$

positivity of central charges ( $c_R$  and  $k_l$ )

$$Q \cdot Q + Q \cdot a \geq -2$$

$$k_i = Q \cdot b_i \geq 0$$

unitarity       $\sum_i \frac{k_i \dim G_i}{k_i + h_i^\vee} \leq c_L$

## OUTLOOK

Which are the consistency conditions when supersymmetry is broken?

What determines the sign of  $k_i$ ?

How to generalise these consistency conditions to four dimensions?

**THANK YOU**