

# RG and Unitarity

in

## Spacetime-dependent QFT

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I. { [1207.xxxx] New Perturbative  
Critical Phenomena  
[1203.1680] Effect on Unitarity  
PRD bounds

II. [1208.xxxx]  $ld_e \sigma_{\text{single-trace}} = 0$   
for  $(A)dS_D / dS_{D-1}$  duality

I. Consider

$$S = S_0 + \int d^d X \underbrace{\lambda(x_\mu)^K}_{\omega} \mu^{d-\Delta} \mathcal{O}_\Delta$$

affects scaling  
under  $x \rightarrow x/b$ ,  
+ relevance or irrelevance  
at large  $x$

a) Novel  $\approx$  fixed points generalizing  
Wilson-Fisher, but perturbative  
in physical (integer) dimensionalities  
(small  $N$ !)

b) Shifted unitarity bounds explain  
IR content of  $x$ -dependent QFTs  
(large  $N$ , including FRW duals)

a) Consider  $(d = 4 - \varepsilon)$

$$S = \int d^d x \left\{ \frac{1}{2} (\partial \phi)^2 - \frac{\lambda \mu^{\kappa \kappa + \varepsilon}}{4!} \phi^4 \right\}$$

$\kappa = 0$  Wilson/Fisher "Critical Exponents in 3.99 Dimensions"

bare  $\tilde{\lambda}_0 = \mu^\varepsilon \lambda + \frac{3\lambda^2}{16\pi^2} \frac{1}{\varepsilon} + \dots$   $\beta_{4d} \propto \dots$

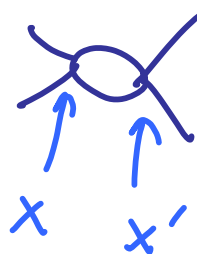
$$\Rightarrow \beta_\lambda = -\varepsilon \lambda + \frac{3\lambda^2}{16\pi^2} + \dots$$

- Small  $\varepsilon \Rightarrow \lambda_* = \frac{16\pi^2}{3} \varepsilon + \mathcal{O}(\varepsilon^2)$   
fictional fractional dimension

- $\varepsilon = 1$   $\varepsilon$  expansion + resummation  
 $\Rightarrow$  critical exponents for spin systems: QFT  $\leftrightarrow$  data

$$S = \int d^d x \left\{ \frac{1}{2} (\partial \phi)^2 - \frac{\lambda X^\mu \phi^{k+\epsilon}}{4!} + \dots \right\}$$

$k \neq 0$  : Critical Exponents in 4.0 Dimensions



$$\sim \lambda_\mu^2 \int d^d x_+ d^d x_- \frac{X_+^k X_-^k}{(X_-^2)^{d-2}}$$

$$= \int d^d x_+ \underbrace{\lambda_\mu^2 X_+^{2k}}_{\lambda(x)^2} \int \frac{d^d x_-}{(x_-^2)^{d-2}} + \mathcal{O}\left(\frac{kx_-^3}{x_+^2}\right)$$

usual log

Bare coupling

$$\lambda_0 = \mu^\epsilon \left[ \lambda_\mu^k X^k + \frac{3\lambda_\mu^2 X^{2k}}{16\pi^2 \epsilon} + \dots \right]$$

Bare coupling

$$\tilde{\lambda}_0 = \mu^\epsilon \left[ \lambda_\mu^k X^k + \frac{3\lambda_\mu^2 X^{2k}}{16\pi^2 \epsilon} + \dots \right]$$

$$e^{-\frac{1}{k}} \ll X_\mu \ll e^{\frac{1}{k}}$$

$$\rightarrow \tilde{\lambda}_0 \approx \mu^{\epsilon+k} \lambda + \frac{3\lambda^2}{16\pi^2 \epsilon} + \dots$$

$$\beta = \mu \frac{d\lambda}{d\mu} = -(\epsilon+k)\lambda + \frac{3\lambda^2}{16\pi^2} + \dots$$

$\downarrow$   
0 (d=4)

$$\Rightarrow \lambda_* \approx \frac{16\pi^2}{3} k \quad \text{in } 4d$$

\* Fixed point under  $X_\pm \rightarrow X_\pm / b$   
cf Osborn, Lawrence/Sever...

This gave a fixed point to very good approximation over exponentially wide range of scales.

- $\exists$  exact fixed point with further tuning

$$\lambda = \lambda_0 + \lambda_1 k \log \mu x + \lambda_2 (k \log \mu x)^2 + \dots$$

$$\rightarrow \beta_{\lambda_0} = -k \lambda_1 + \frac{3\lambda_0^2}{16\pi^2} + \dots$$

$$\beta_{\lambda_1} = -k \lambda_2 + \frac{6\lambda_0 \lambda_1}{16\pi^2} + \dots$$

$$\left( \text{iterates: } \lambda_{m*} \approx c \lambda_0 \lambda_{m-1} \right)$$

- Similar mechanism for any theory with marginally (ir)relevant coupling

- $KQED^*$  ( $m_{e^-} \rightarrow 0$ )

$$\beta = -ke + \frac{e^3}{12\pi^2} + \dots$$

Force between charges:

$$V(X_{\pm}) \approx \frac{g}{X_{\pm}} \left[ 1 + 2k \log(X_{+} \mu) - \frac{\alpha}{3\pi} \log(X_{-}^2 \mu^2) \right]$$

$V \rightarrow Vb$      $X_{\pm} \rightarrow X_{\pm}/b$

\* supported by viewers like you.

- $K$  QCD ( $K \rightarrow -K$ )
- $d = 3$   $\lambda_6 (\vec{\phi})^2$   $O(N)$  theory  
 (tricritical He4 - He3 etc)  

$$\beta = -K \lambda_6 + \frac{22+3N}{240\pi^2} \lambda_6^2 + \dots$$

- $d = 2$   $\sigma$ -models

$$\beta_{G_{ij}} = -K G_{ij} - R_{ij}$$

fixed point  $R_{ij} = -K G_{ij}$   
 (Einstein space)



- Strongly Coupled examples

$$S_{(S)CFT} + \int \lambda(x) \mathcal{O}_{\text{marginal}}$$

$$\rightarrow \beta \approx -K\lambda + C\lambda^2$$

$(\infty)_{CFT}$

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General mechanism; examples include small -  $N_f, N_c$  theories w/o SUSY, perturbatively controlled

- could be tested
- killer app?

## b) Unitarity Bounds

→ Constrain IR physics

$$\Delta S = \int \lambda(x, \mu)^k \mu^{d-\Delta} \mathcal{O}_\Delta$$

↑  
makes  $\mathcal{O}_\Delta$  relevant

for  $k > \Delta - d$

This changes IR content of the theory, and (as we will see) unitarity bounds adjust accordingly.

Consider

$$\int \Delta \mathcal{L} = \int dt d^{d-1} \vec{x} g(t, \vec{x}) \mathcal{O}$$

$$g = g_0 t^K \quad \text{or} \quad g_0 (t^2 - x^2)^{\frac{K}{2}}$$

as  $t \rightarrow \infty$

- $K$  can change whether  $\Delta \mathcal{L}$  dominates at late times (IR)

- If  $\Delta \mathcal{L}$  marginal in IR under  $x^m \rightarrow \lambda x^m$ , then

$$[\mathcal{O}] = d + K$$

→ Expect  $K$  can shift relevance condition & unitarity bounds

We can analyze this explicitly in large- $N$  double trace flows.

$$\Delta S = \int \frac{1}{2} \phi m^2 \phi + g(t, \vec{x}) \partial \phi$$

$$\approx \int \frac{g^2}{2m^2} \partial \partial$$

$$\langle \phi \phi \rangle = \text{---} + \text{---} \begin{matrix} \circ \circ \\ \downarrow \end{matrix} \text{---} + \text{---} \begin{matrix} \circ \circ \\ \downarrow \end{matrix} \text{---} + \dots$$

Effectively  
Gaussian

$$+ \mathcal{O}\left(\frac{1}{N}\right)$$

$$\text{---} \begin{matrix} \uparrow \\ \text{---} \end{matrix} \text{---} + \text{non-adiabatic effects}$$

Static Limit:

cf Andrade  
et al

$$\Delta_{\pm} = \frac{d}{2} \pm \nu$$

$$\langle \mathcal{O}_{\pm}(p) \mathcal{O}_{\pm}(-p) \rangle = -i C_{\pm\nu} (p^2 - i\varepsilon)^{\pm\nu}$$

$$S_{\text{CFT}}^{(+)} + \int \frac{g^2}{4m^2} \underbrace{\mathcal{O}_+ \mathcal{O}_+}_{\Delta = d+2\nu}$$

irrelevant

$$\langle \phi(p) \phi(-q) \rangle = \frac{-i \delta(p-q)}{m^2 - g^2 C_{\nu} (p^2)^{\nu}}$$

$$\rightarrow \langle \mathcal{O}_- \mathcal{O}_- \rangle$$

as  $p^2 \rightarrow \infty$

$$\boxed{2^{-2\nu} \pi^{\frac{d}{2}} \frac{\Gamma(-\nu)}{\Gamma(\frac{d}{2} + \nu)}}$$

UV  $\mathcal{O}_-$  nonunitary for  $\nu > 1$

OK as cut off QFT  $\left\{ \begin{array}{l} \lambda_g^\nu \\ g^{\frac{1}{\nu-1}} \end{array} \right.$

IR  $\mathcal{O}_+$  unitary

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t-dependent case

•  $\int \lambda_0 t^{2k} \mathcal{O}_+^2$  is relevant  
(dominates 2 pt ftns at large  $x$ )

when  $[\lambda_0] = 2(k - \nu) > 0$

- Unitarity maintained, including  $\nu > 1$
- Can UV complete, e.g. SUSY models

$$\Delta S = \int \frac{1}{2} \phi m^2 \phi + \underbrace{g(t, \vec{x}) \phi \partial_+ \phi}_{\phi}$$

$$\langle \tilde{\phi}(p) \tilde{\phi}(q) \rangle \xrightarrow{\text{IR}} i \delta(p-q) \frac{(p^2 - i\epsilon)^{-\nu}}{C_\nu}$$

$$\Rightarrow \langle \phi(x) \phi(x') \rangle = \frac{-1}{C_\nu C_{-\nu} g(x) g(x') [(x-x')^2]^{\Delta_-}}$$

\* Not norm of a state

\* \*

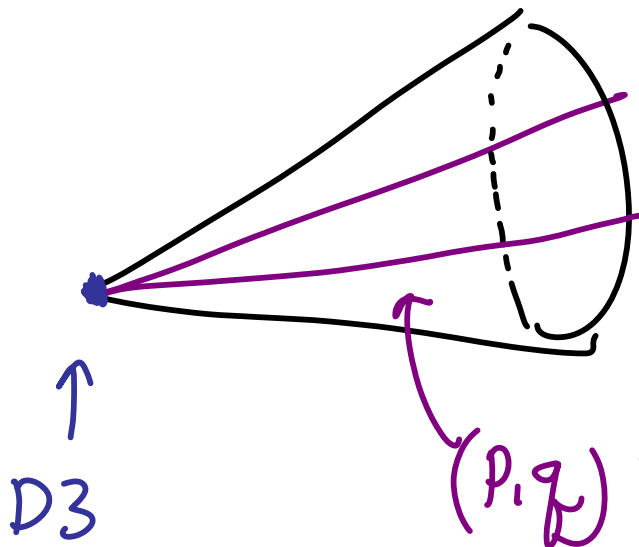
Despite the  $\Delta_-$  here, forward scattering\* is unitary

$$\text{Im } A(\chi \rightarrow \chi) \propto -\sin \pi \nu / C_\nu > 0$$

(Intnligator/Griestein:  $\text{Im } A_\phi \propto C_\phi (\Delta - (\frac{d-2}{2}))$  in CFTs)

Our original motivation: <sup>DHMST'11</sup>

duals of FRW backgrounds:

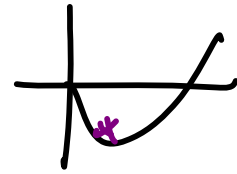


Seiberg Witten, Sen,  
Banks Douglas Seiberg  
Argyres Douglas Shenker  
Aharony Kachru ES  
Aharony Fayazizadeh  
Maldacena  
Polchinski ES

(P, q) 7-branes

$N_7 < 36 \rightarrow$  Static AdS/CFT  
Solution

↑  
flavor content



↓  
 $N_7 > 36 \rightarrow$  time-dependent  
solution



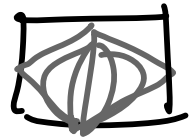
still with warped metric

36 = static unitarity bound



## II. Holographic RG for $(A)dS_D / dS_{D-1}$

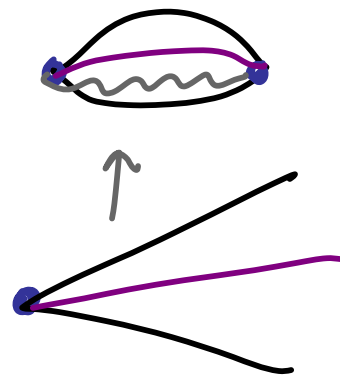
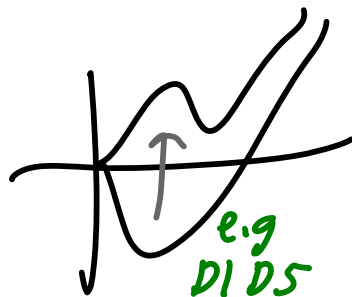
$dS_D / dS_{D-1}$  Correspondence:



Macro  $ds^2_{(A)dS_D} = \sin^2(h) \frac{w}{L} ds^2_{dS_{D-1}} + dw^2$

2 highly redshifted regions  $\Rightarrow$  EFT<sub>3</sub>  
 UV cutoff + GR<sub>D-1</sub> (e.g. Liouville)

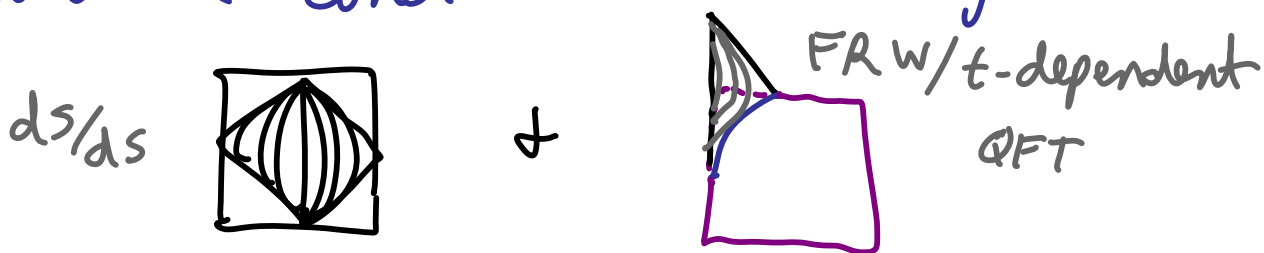
Micro



Same structure: 2 large- $N_1 N_5$  matter sectors  
 $\rightarrow$  parametric estimate of  $S_{dS}$   
 (= # of degrees of freedom needed to reconstruct static patch)

# Comparative holography:

Recall in AdS/CFT that p-brane construction lands on Poincaré slicing. In dS + FRW, above brane constructions  $\rightarrow$  slicings



- inside a causal region
- a spatial direction ( $\leftrightarrow$  scale) emerges.
- $\Rightarrow$  • # of degrees of freedom real,  $> 0$   
and unitarity more transparent
- symmetries (such as they are) less manifest

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As in AdS  $\rightarrow$  Global, this may connect to other slicings (dS/CFT, FRW/CFT)

Anninos/Hartman/Strömberg  
Harlow/shenker/stanford/Susskind

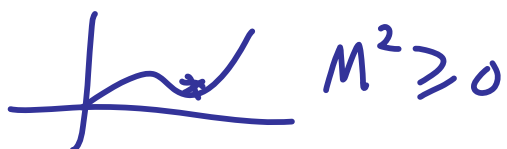
$\nwarrow$  also have  
 $\nearrow$  dynamical gravity

## ② UV structure of $dS_D$ duals

### Maximal symmetry & RG

$$ds_D^2 = \sin(h)^2 \frac{w}{L} ds_{dS_{D-1}}^2 + dw^2$$

(1) In  $AdS_D$ , Moduli fixing  $(\partial_{\Phi_I} V = 0)$   
is dual to  $\beta_{\{1\}} = 0$ .

(2) In  $dS_D$ , we also (meta-)stabilize  
the moduli  $(\partial_{\Phi_I} V = 0)$    $M^2 \geq 0$

→ How are (1) & (2) reflected  
in holographic RG? What  
is the  $(D-1)$  dual of (2)?

# Wilsonian holographic RG

... Heemsterk  
Pohinski

$$\left\{ \begin{array}{l} ds^2 = L_D^2 \frac{dz^2}{z^2} + a(z,x)^2 ds_{D-1}^2 \\ \text{Scalar } \phi \text{ with potential } V(\phi) \end{array} \right.$$

integrate  
out

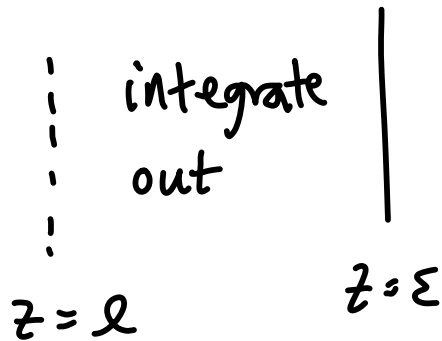
$$\rightarrow \text{Wilson action } S(l) = \int_{z=l}^{z=\epsilon} \tilde{a}^d(l) \sigma_{nm}(l) T^n \varrho^m$$

• For  $V'(\phi_*) = 0$ , find solution  
 $\partial_l \sigma_{01}^{(\vec{L}=0)} = 0$  regardless of sign  
of  $V_* = V(\phi_*)$

→ single-trace coupling  $l$ -independent  
for both  $dS_D$  &  $AdS_D$

# Metastability & RG

- KKLT, MSS, ...
- ADS/CFT uplifts



radial Hamiltonian

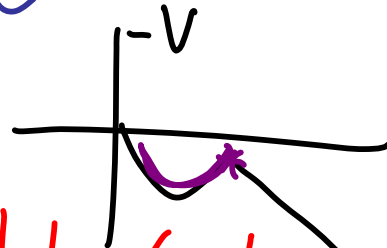
$$\psi_{uv}[\tilde{\phi}, \tilde{a}; l] = \langle \tilde{\phi}, \tilde{a} | e^{-H \log l} | \phi_0, a_0 \rangle$$

(related to Wilson action by integral transform)

Meta-stability adds bounce solution,

⇒ non-perturbative correction to

Wilson action



→ dS alone incomplete (not unitary),  
decays → FRW.

## Summary

I. Couplings  $\sim \int \lambda(x_\mu)^k \mathcal{O}$

affect IR physics, leading to novel perturbative fixed points and shifted unitarity bounds.

II. Maximal symmetry ( $V'=0$ )

$$\Rightarrow \mu \partial_\mu \sigma_{\text{single-trace}} = 0$$

in dS/dS holography.

