



HETEROTIC

FLUXES

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# HETEROTIC FLUXES

M.B, L.S.TSENG + S.T YAU, in progress

MB, L.S.TSENG + S.T. YAU, arXiv: 0706.4290  
[hep-th]

M.B, L.S.TSENG + ST YAU, hep-th/0612290

K.BECKER, MB, J.X.FU, L.S.TSENG + ST YAU  
hep-th/0604137

K.BECKER, MB, K.DASGUPTA + P.S.GREEN  
hep-th/0301161

K.BECKER, M.B. K.DASGUPTA, P.GREEN + E.SHARPE  
hep-th/0310058

# HETEROTIC FLUXES

Flux compactifications have received a great deal of attention in recent years :

**PHYSICS** : Moduli space problem?  
Standard model?

**MATH** : torsional manifolds / Hitchin

Flux compactifications are **much harder** to analyze than their Calabi-Yau counterparts, especially in the heterotic theory where only a very limited number of examples is known!



And even the best known examples turn out to be remarkably hard to understand...

String duality nevertheless taught us that string theories on different geometrical spaces can be dual to each other!

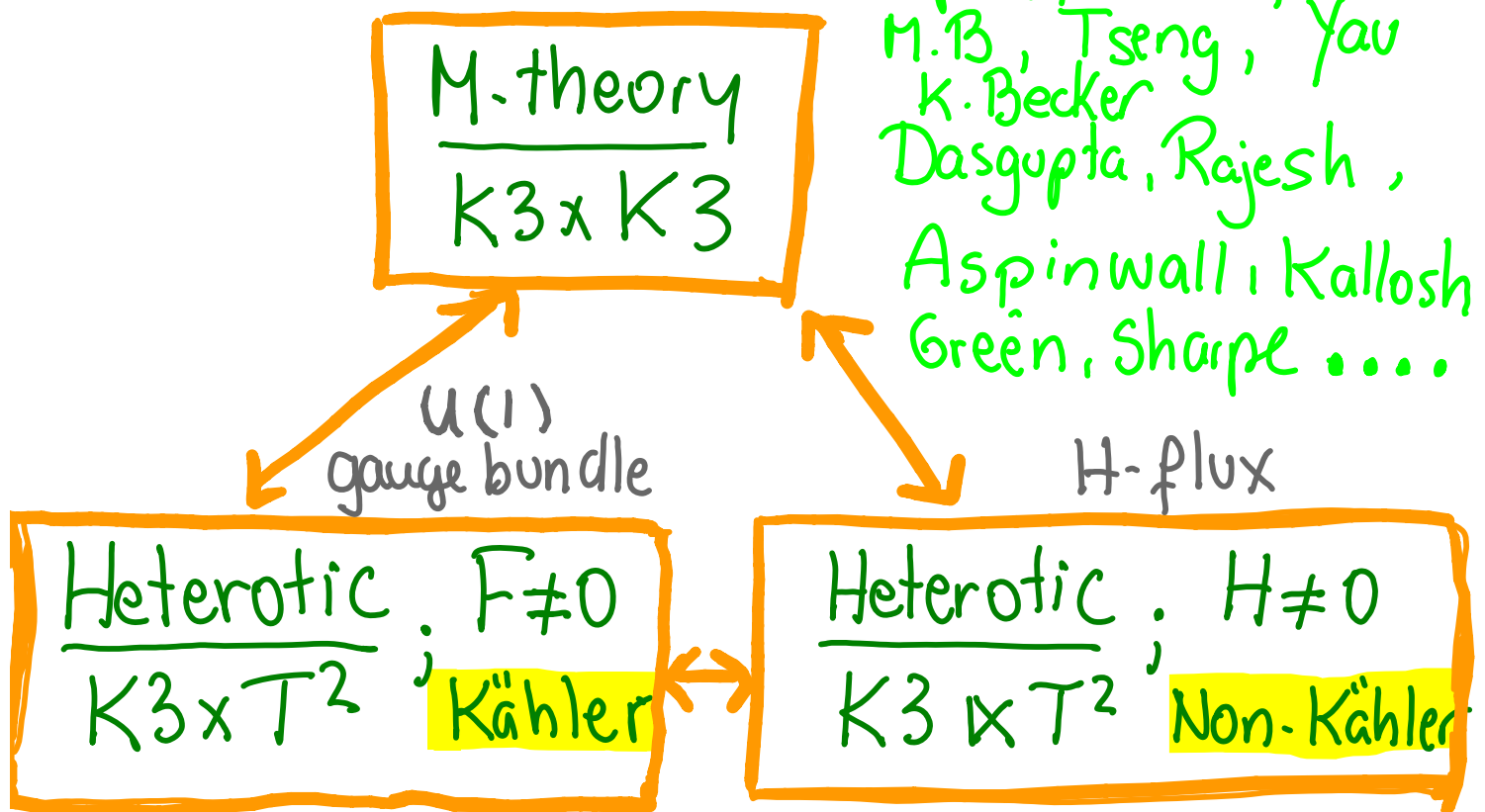


Calabi-Yau  $\leftrightarrow$  Non-Kähler duality

It would be very interesting if a duality between a non-Kähler manifold with torsion and a conventional CY compactification of the heterotic theory existed!

**GOAL** Show that such a duality is indeed possible in the context of  $\mathcal{N}=2, \mathcal{D}=4$  compactifications of the heterotic string!

Adams, Ernebjerg,  
Lapan, Sethi,  
M.B., Tseng, Yau  
K. Becker  
Dasgupta, Rajesh,  
Aspinwall, Kallosh  
Green, Sharpe ...



Both backgrounds give  $\mathcal{N}=2, \mathcal{D}=4$   
(thus solve susy constraints)

Strominger  
De Wit et al

## HETEROTIC TORSIONAL CONSTRAINTS

The bosonic part of the action of the 10D heterotic string contains  $(g_{\mu\nu}, \phi, H, F)$

$$S = \frac{1}{2\alpha_{10}^2} \int d^{10}x \sqrt{-g} e^{-2\phi} \left( R + 4(\partial\phi)^2 - \frac{H^2}{2} - \frac{\alpha' \text{tr} F^2}{4} \right)$$

$$\delta\psi_M = \nabla_M \eta + \frac{1}{8} H_{MNP} \gamma^{NP} \eta$$

$$\delta\lambda = \gamma^M \partial_M \phi \eta + \frac{1}{12} H_{MNP} \gamma^{MNP} \eta$$

$$\delta\chi = \gamma^{MN} F_{MN} \eta$$

Demanding invariance under these transformations gives constraints ...

These constraints are formulated in terms of

$$J_{mm}^{(1,1)} = -i \eta^{\dagger} \delta_{mm} \eta \quad \text{hermitian 2-form}$$

$$\Omega_{mmp}^{(3,0)} = e^{-2\phi} \eta^{\dagger} \delta_{mmp} \eta \quad \text{holomorphic 3-form}$$

Calabi-Yau

Non-Kähler

$$dJ = 0$$

$$d\Omega = 0 \quad \text{Kähler}$$

$$H = 0$$

$$\phi = \text{const}$$

$$d(\|\Omega\|^2 J \wedge J) = 0$$

$$d\Omega = 0$$

$$H = i(\bar{\partial} - \partial)J$$

$$e^{-2(\phi - \phi_0)} = \|\Omega\|$$

$H, \phi$  from geometry!

## HERMITIAN YANG-MILLS

Both theories satisfy

$$F_{2,0} = F_{0,2} = 0 \quad ; \quad F_{mm} J^{mm} = 0$$

holomorphic (primitive)

## ANOMALY CANCELLATION

$$dH = 2i \partial \bar{\partial} \zeta = \frac{\alpha'}{4} (\text{tr} R \wedge R - \text{tr} F \wedge F)$$

Relates the gauge bundle with geometry.

The "standard" embedding is not allowed!

Models with  $H \neq 0$  exhibit some rather interesting gauge symmetry breaking patterns! (more later)

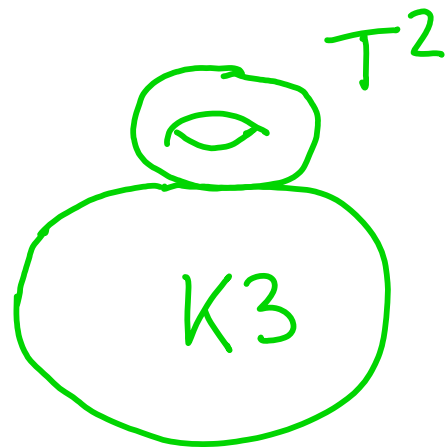
Let's look at a non-Kähler example...

# EXAMPLE: $T^2$ -BUNDLE OVER $K3$

Rajesh, Dasgupta, Sethi

K. Becker, M.B, P. Green, Sharpe  
Goldstein, Prokushkin

Fu, You, Tseng, Becker<sup>2</sup>  
Adams, Erniebjerg, Lapan



FSY GEOMETRY

The geometry is defined in terms of

$$F = e^{2\phi(y)} J_{K3} + \frac{i}{2} \Theta \wedge \bar{\Theta}$$

base

$$\Omega = \Omega_{K3} \wedge \Theta \quad \text{with} \quad d\Omega = 0$$

$$\Theta = dz + d(y)$$

is a globally defined  
(1,0) form

fiber  $\nearrow$   
 $\nearrow$  (1,0)  
form on  $K3$

$\Theta$  is constrained by susy

$\mathcal{N}=1$  SUSY in  $D=4$  allows two components for the "twist"  $\omega$

$$\omega = \omega_1 + i\omega_2 \equiv d\theta = d\alpha = \omega_S^{(2,0)} + \omega_A^{(1,1)}$$

Susy demands the twist to be primitive

$$\omega_{mm} \mathbb{J}_{K3}^{mm} = 0 \quad \text{Primitivity}$$

Check: conformally balanced condition

$$d(e^{-2\phi} \mathbb{J} \wedge \bar{\mathbb{J}}) = i d(\theta \wedge \bar{\theta}) \wedge \mathbb{J}_{K3} \stackrel{!}{=} 0$$

$\mathcal{N}=2$  SUSY further imposes

$$\omega_S^{(2,0)} = \bar{\omega}_S^{(0,2)} = 0$$

In summary . . .

To summarize,  $\mathcal{N}=2$  SUSY demands

|   |                                  |
|---|----------------------------------|
| $\omega_S^{(2,0)} = \omega_S^{(0,2)} = 0$ | $\omega_{mm} \int_{K3}^{mm} = 0$ |
|---|----------------------------------|

The twist ( $\omega = \omega_1 + i\omega_2$ ) is quantized!

$$\frac{\omega_i}{2\pi\sqrt{\alpha'}} \in H^2(K3, \mathbb{Z})$$

Metric is globally defined

These equations are the same equations satisfied by the gauge bundle!

Not a coincidence and due to a rather interesting string duality?

Let's look at the gauge bundle ...

# GAUGE BUNDLE

What are the eqs satisfied by  $F$ ?

Dirac Quantization :  $\frac{i}{2\pi} F^{(a)} \in H^2(K3, \mathbb{Z})$

NEXT NOTICE:

Stable bundles on the 6D manifold can be obtained by lifting stable bundles on  $S = K3$  (which are well understood)

$$F^S_{mm} J^m_m = 0 \quad | \quad F^{(2,0)}_{mm} = F^{(0,2)}_{mm} = 0$$

CHECK:

These are exactly eqs for  $\omega$ !

$$\begin{aligned} F^S_{mm} J^m_m &= * (F^S_{\lambda} J \wedge J) = \\ &= * (F^S_{\lambda} (e^{4\phi} (J_S \wedge J_S) + i e^{2\phi} J_S \wedge \theta \wedge \bar{\theta})) \end{aligned}$$

Gauge groups?

$$= 0$$

These egs are identical to the egs satisfied by the twist? (Duality?)

**NOTICE**: Some rather interesting gauge groups emerge for more general  $SU(N)$  bundles

$E_8 \rightarrow SU(3) \times E_6$  standard embedding

$E_8 \rightarrow SU(4) \times \boxed{SO(10)}$  GUT groups!

$E_8 \rightarrow SU(5) \times \boxed{SU(5)}$

**NOTICE** Fluxes emerge even without singularities or explicit sources like 5-branes (no standard embedding)

Next: Constraints from anomaly cancellation

Fu + Yau  
Becker<sup>2</sup>, Tseng

## INTERLUDE: ANOMALY EQN AND BACKGROUND GEOMETRY

So far an infinite number of background geometries is allowed labelled by  $(\phi, \omega, F)$  which are not determined.

Anomaly cancellation provides this important information!

$$dH = 2i \partial \bar{\partial} \mathcal{J} = \frac{\alpha'}{4} (\text{tr } R \wedge R - \text{tr } F \wedge F)$$

Eqn. was solved by Fu + Yau.

Subtlety: 3 different connections  
Choose hermitian connection (2,2)

Fu + You evaluated the  $\text{Tr} R \wedge R$ ,  $\text{Tr} F \wedge F$  terms for our background and derived a determining eqn. for the dilaton:

$$D_2(\phi) = \text{source term}$$

This eqn can be solved if (and only) if topological constraint is satisfied:

$$-\frac{P_1(F)}{2} + \int_{K3} (\|W_S\|^2 + \|W_A\|^2) \frac{J_S^2}{2} = 24$$

$\sqrt{2}$

$$W_S = m \Omega_{K3} \quad ; \quad W_A = \sum_{I=1}^{19} m_I K^I$$

Finite ~~x~~ solutions!

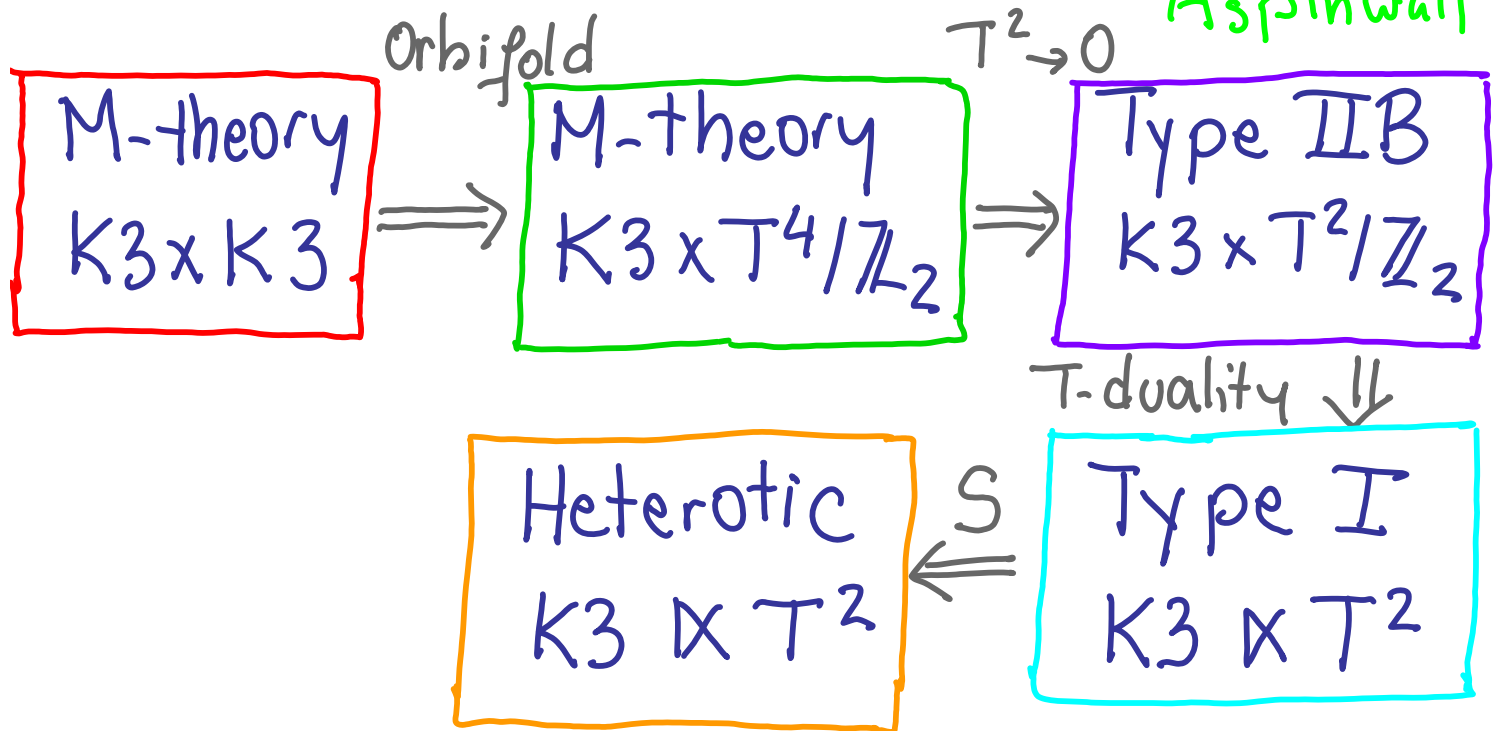
Next: M-theory lift

# M-THEORY: KÄHLER/NON-KÄHLER

The M-theory lift of the torsional geometry reveals that indeed a very interesting duality is present!

Adams  
MB Tseng Yau  
Sethi  
Aspinwall

## RECALL: DUALITY CHAIN



## NON-ZERO G-FLUX

This duality can also incorporate a non-zero 4-form G-flux in M-theory.

To preserve  $N=4$  susy in  $D=3$  the M-theory G-flux is required to be a primitive  $(2,2)$  form

$$G^{(2,2)} \wedge F = 0 \quad \text{Primitive}$$

$$G^{(4,0)} = G^{(0,4)} = G^{(1,3)} = G^{(3,1)} = 0$$

In addition  $G \in H^4(Y, \mathbb{Z})$ ;  $Y = K3 \times K3$   
is quantized and satisfies the  
tadpole condition

$$\frac{1}{2} \int_Y G \wedge G = \frac{\chi(Y)}{24} \quad (\text{no } M2\text{'s})$$

GOAL: Construct  $G$  !

$G$  can be taken to be the exterior  
product of two  $(1,1)$  forms, one for  
each  $K3$ .

Orbifold : Kummer  $K3$   $\rightarrow T^4 / \mathbb{Z}_2$   
limit surface

$T^4/\mathbb{Z}_2$  : 19 primitive  
 (1,1) forms

$\beta_i : i = 1 \dots 16$   
 localized

$\gamma_I : I = 1, \dots, 3$   
 non-localized

We can then write :

$$\Theta = C_{ij} \beta_i \wedge \beta_j' + C_{Ij} \gamma_I \wedge \beta_j' + \\
 + D_{ij} \beta_i \wedge \gamma_j' + D_{Ij} \gamma_I \wedge \gamma_j'$$

integers

1st  $K3$       2nd  $K3'$

T-duality is performed on  $K3'$

$$K3' = T^2_{z_3} \times T^2/\mathbb{Z}_2_{z_4}$$

$$\Theta = C_{ij} \beta_i \wedge \beta_j + C_{Ij} \delta_I \wedge \beta_j' + D_{Ij} \beta_i \wedge \delta_j' + D_{Ij} \delta_I \wedge \delta_j'$$

localized
non-localized

Localized and non-localized contributions dualize to different types of fluxes in the heterotic theory (giving F or H)

What happens if we exchange?  
 $K3 \leftrightarrow K3'$

$C_{ij}, D_{Ij}$  terms  $\leftrightarrow$   $C_{ij}, D_{Ij}$  terms

$$C_{Ij} \leftrightarrow D_{Ij}$$

↓  
 2 different heterotic theories!

Yet M-theory is invariant under  
 $K3 \leftrightarrow K3'$

$\Rightarrow$  A transition / duality between  
two heterotic theories in  $D=3$   
emerges !!

WHAT ARE THE TWO HETEROTIC  
MODELS?

Consider first the  $G$ -flux  
non-localized on  $K3'$ .

$$\begin{aligned}
 \underbrace{\beta \text{ on } K_3}_{\text{blue}} & \quad \underbrace{\delta_1, \delta_2 \text{ on } K_3'}_{\text{green}} \\
 \Theta = & \operatorname{Di}_1 \beta_i \wedge \frac{1}{2} (dz_3 \wedge d\bar{z}_4 + d\bar{z}_3 \wedge dz_4) + \\
 & + \operatorname{Di}_2 \beta_i \wedge \frac{1}{2i} (dz_3 \wedge d\bar{z}_4 - d\bar{z}_3 \wedge dz_4)
 \end{aligned}$$

$$\delta_3 = \frac{1}{2} (dz_3 \wedge d\bar{z}_3 - dz_4 \wedge d\bar{z}_4)$$

is not normalizable and thus not included.

Next take the periodicities of the  $T^8$  covering space to be

$$z_K \sim z_{K+1} \sim z_{K+i}, \quad K=1 \dots 4$$

Re-arranging terms we get:

$$\begin{aligned}\Theta &= \frac{1}{2} (D_{i1} + i D_{i2}) \beta_i \wedge d\bar{z}_3 \wedge dz_4 + \\ &+ \frac{1}{2} (D_{i1} - i D_{i2}) \beta_i \wedge dz_3 \wedge d\bar{z}_4 = \\ &= \frac{1}{2} [ D_3 \wedge dz_4 + \bar{D}_3 \wedge d\bar{z}_4 ] = \\ &= \frac{1}{2} [ D_3 \wedge (dx_{10} + i dx_{11}) + \\ &\quad \bar{D}_3 \wedge (dx_{10} - i dx_{11}) ] = \\ &= \frac{1}{2} (D_3 + \bar{D}_3) \wedge dx_{10} + \frac{i}{2} (D_3 - \bar{D}_3) \wedge dx_{11}\end{aligned}$$

$$\Theta = H_3 \wedge dx_{10} + F_3 \wedge dx_{11}$$

The heterotic H-flux comes from

$$H_3 = \frac{1}{2} (\underbrace{D_i \beta_i}_{\text{red}} \wedge d\bar{z}_3 + \underbrace{\bar{D}_i \beta_i}_{\text{red}} \wedge dz_3) =$$
$$= \frac{1}{2} d(\underbrace{\alpha}_{\text{red}} \wedge d\bar{z}_3 + \underbrace{\bar{\alpha}}_{\text{red}} \wedge dz_3) = dB_2$$

$$B_2 = \frac{1}{2} (\alpha \wedge d\bar{z}_3 + \bar{\alpha} \wedge dz_3)$$

↑  
T-dualize in  $z_3$ -direction

Buscher rules :  $B_2 \leftrightarrow g_{ij}$  mix !

Heterotic model <sup>DRS</sup>  
K. Becker + Dasgupta

$$ds^2 = e^{2\phi} (dz_1 d\bar{z}_1 + dz_2 d\bar{z}_2) + |dz_3 + \alpha|^2$$

Next : check anomaly

# ANOMALY CANCELLATION

The heterotic anomaly cancellation:

$$\frac{1}{16\pi^2} \int_{K3} \text{tr} F \wedge F - \int_{K3} \omega \wedge \bar{\omega} = 24$$

follows from the M-theory tadpole condition!

$$\frac{1}{2} \int_Y G \wedge G = \frac{\chi(Y)}{24}$$

Check:

$$\begin{aligned} 24 &= \frac{1}{2} \int_Y G \wedge G = - \int_{K3} \boxed{D_i \beta^i} \wedge \bar{D}_j \beta^j \\ &= - \int_{K3} \boxed{\omega} \wedge \bar{\omega} \end{aligned}$$

as  $\omega = D_i \beta^i$ ;  $F=0$

Next:  $K3 \leftrightarrow K3'$

M-theory again :  $K3 \leftrightarrow K3'$

The G-flux has localized components on  $K3'$

$$G = C_{1i} \frac{1}{2} (dz_1 \wedge d\bar{z}_2 + d\bar{z}_1 \wedge dz_2) \wedge \beta_i +$$

$\delta_1$

$$+ C_{2i} \frac{1}{2i} (dz_1 \wedge d\bar{z}_2 - d\bar{z}_1 \wedge dz_2) \wedge \beta_i =$$

$\delta_2$

Rearranging :

$$G = \frac{1}{2} [C_i d\bar{z}_1 \wedge dz_2 + \bar{C}_i dz_1 \wedge d\bar{z}_2] \wedge \beta_i$$

$$G = \frac{F^i}{4\pi} \wedge \beta_i$$

T-dualizing again in  $Z_3$  with no flux in this direction gives a direct product (Kähler) manifold :

$$K3 \times T^2$$

$$F \neq 0 ; w = 0$$

ANOMALY CANCELLATION :

$$24 = \frac{1}{2} \int_Y G \wedge G = \frac{1}{2} \int_Y F^i \wedge F^j \int_{K3} \beta_i \wedge \beta_j =$$

$$= \frac{1}{32\pi^2} \int_{K3} F^i \wedge F^j \int_{K3} \beta_i \wedge \beta_j =$$

$$= -\frac{1}{16\pi^2} \int_{K3} F^i \wedge F^i \quad \nabla$$

## TO SUMMARIZE :

Depending which type of flux is on  $K3'$  two different models have been found that are dual

$$\begin{array}{ccc} \frac{\text{Heterotic}}{K3 \times T^2} & \triangleq & \frac{\text{Heterotic}}{K3 \times T^2} \\ F=0; H \neq 0 & & F \neq 0; H=0 \\ & & \omega=0 \end{array}$$

DUALITY :  $K3 \leftrightarrow K3'$

## CONCLUSION

The map to M-theory was used to connect Kähler / non Kähler geometries in the heterotic string

Turning on  $w$  or  $F$  corresponds to turning on different M-theory 6-flux.

Such a Kähler / non-Kähler duality / transition may allow us to study torsional geometries with the tools of CY geometry!

# OUTLOOK

\* Non-abelian  $F$ ?

On the M-theory side M2-branes wrapping singular 2-cycles of  $K3$  are needed

\* Duality / transition for  $\omega = 1$  ?  
Take  $\omega_S^{(2,0)} \neq 0$

\* 4D effective action ?  
(special geometry?)

\* More general torsional geometries (Del Pezzo base?)

Thanks !

